Rocketship Fuerza Community Prep

Petition for the Renewal of a Charter School
Submitted to the Santa Clara County Office of Education

August 31, 2018
EXECUTIVE SUMMARY

In May 2014, the Santa Clara County Board of Education approved a charter, on appeal from a denial by the Alum Rock Union School District, for Rocketship Education to open a ninth charter school in San Jose, CA. The charter was granted for a five-year term that began in July 2014. The charter school, which was named Rocketship Fuerza Community Prep (“Fuerza”), first opened its doors to students in August 2014.

Now in its fifth year of operation, Rocketship Fuerza is submitting a petition for the renewal of its charter. As this Executive Summary and the accompanying charter petition describe, Fuerza has made a demonstrable and meaningful impact among its families, within the community, and on the educational growth and achievement of its students.

The Fuerza Community

Rocketship Public Schools has a long history of successfully serving our families throughout Santa Clara County and beyond. Rocketship’s story began in 1999, with Father Mateo Sheedy, and was solidified in 2006 when his parishioners asked two education entrepreneurs, John Danner and Preston Smith, to found Rocketship. They installed Maricela Guerrero, founding Fuerza principal.

In 2013, the families of East San Jose banded together with “ganás”—the determination to see a school born to serve their children. The school was chartered initially by the Santa Clara County Board of Education as the first of a group of countywide authorizations. Entitlements for the school campus, to be retrofitted into an old postal office on Jackson Avenue, were approved by the San Jose City Council in December 2013. Entering 2014, the school was fully enrolled, and parents were excited for the year ahead when litigation intervened to put the charter on hold. More than 400 parents did not give up their quest. Parents joined with Rocketship to file a new district charter petition with the Alum Rock Union School District, who denied the petition. Parents marched on and turned to the Santa Clara County Board of Education, which ultimately voted to approve the charter in June 2014 prior to the August opening. The families had such unrelenting strength and hope that they gave a name to their school before the school was approved. Hence, Rocketship #9 on Jackson Avenue was born. Parents baptized the school with a name that embodied their perseverance: Rocketship Fuerza Community Prep. Today, many of these families remain as proud 4th and 5th grade parents, older students are in middle school.

Rocketship Public Schools operates 17 schools nationwide and ten of these schools are in San Jose (Santa Clara County). Rocketship Fuerza is part of a network of high-performing charter schools that are centrally governed and operated by Rocketship Public Schools, a nonprofit public benefit corporation with 501(c)(3) status. We are governed by a Board of Directors comprised of diverse and experienced community leaders. We also benefit from the counsel provided by our Regional Advisory Board, comprised teachers, parents, administrators and community leaders.

Academic Achievement and Growth at Fuerza
Executive Summary

For the past five years, Fuerza students have also demonstrated outstanding progress toward measurable outcomes, including the following accomplishments:

- In 2015-17 (the most recent year that data is available at the time of this writing), Fuerza students outperformed the entire Alum Rock Union School District (“the District”) and neighboring District schools by 15 or more percentage points on the California Assessment of Student Performance and Progress (CAASPP) Mathematics. Fuerza students also outperformed the entire State of California on CAASPP Mathematics.
- Fuerza also outperformed the District and neighboring District schools on CAASPP English/Language Arts.
- Among socioeconomically disadvantaged (SED) students, Fuerza outperformed all comparison groups - the State, the District, and the neighboring District schools - in both Mathematics and ELA on the CAASPP.
- Among Hispanic students, Fuerza also outperformed all comparison groups in both Mathematics and ELA on the CAASPP.
- Among English Learners (ELs), Fuerza outperformed all comparison groups in ELA on the CAASPP. Fuerza ELs also outperformed the State and the District on CAASPP Mathematics.
- The percentage of students at Fuerza scoring proficient in both English and Mathematics has steadily increased over the three years that the CAASPP has been administered.
- In the fall of 2014, when Fuerza first opened, only 29 percent of its students were scoring above the national norm (50th percentile rank) in Mathematics on the NWEA MAP. Only 30 percent of students were scoring above the national norm on NWEA MAP Reading. By spring 2018, 62 and 66 percent of these same students were scoring at or above the national norm in Mathematics and Reading, respectively.
- Over the past four school years, Fuerza students averaged nearly 1.3 years of growth in Mathematics and Reading as measured by NWEA MAP.

Pages 9-20 of the accompanying petition for renewal provide further details on student growth and achievement at Fuerza. The petition also describes how Fuerza has satisfied all criteria for charter renewal under Education Code 47607(b) and Assembly Bill 484.

By approving the renewal of this charter, the Santa Clara County Board of Education will be fulfilling the intent of the Charter Schools Act of 1992 to improve student learning; increase learning opportunities for all students, with special emphasis on expanded learning opportunities for all students who are identified as academically low-achieving; create new professional opportunities for teachers; provide parents and students with expanded choices in education; and be following the directive of law to encourage the creation of Charter Schools.
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The Charter Schools Act ("Act") of 1992, codified as California Education Code Section 47600 et seq., requires each charter school to have a charter that sets forth a reasonably comprehensive description of the required elements of charter petitions (California Education Code Section 47605).

The California Legislature, in enacting the Charter Schools Act of 1992, sought to provide opportunities for teachers, parents, students, and community members to establish and maintain schools that operate independently from the existing school district structure, as a method to accomplish all of the following:

(a) Improve student learning.

(b) Increase learning opportunities for all students, with special emphasis on expanded learning experiences for students who are identified as academically low achieving.

(c) Encourage the use of different and innovative teaching methods.

(d) Create new professional opportunities for teachers, including the opportunity to be responsible for the learning program at the school site.

(e) Provide parents and students with expanded choices in the types of educational opportunities that are available within the public school system.

(f) Hold the schools established under this part accountable for meeting measurable student outcomes, and provide the schools with a method to change from rule-based to performance-based accountability systems.

(g) Provide vigorous competition within the public school system to stimulate continual improvements in all public schools.

The following sections of this charter explain how Rocketship Fuerza Community Prep fulfills the requirements of Section 47605 of the Act.
As the authorized lead petitioner, I, Maria O’Hollearn, hereby certify that the information submitted in this petition for the renewal of a California public charter school named Rocketship Fuerza Community Prep (the “Charter School” or “Fuerza” or “RFZ”), submitted to the Santa Clara County Office of Education (“the County” or “SCCOE”) and located within the Alum Rock Union School District boundaries is true to the best of my knowledge and belief; I also certify that this petition does not constitute the conversion of a private school to the status of a public charter school; and further, I understand that if awarded renewal of the charter, the Charter School will follow any and all federal, state, and local laws and regulations that apply to the Charter School, including but not limited to:

1. The Charter School will meet all statewide standards and conduct the student assessments required, pursuant to Education Code Sections 60605 and 60851, and any other statewide standards authorized in statute, or student assessments applicable to students in non-charter public schools. [Ref. California Education Code §47605(c)(1)]

2. The Charter School shall be deemed the exclusive public school employer of the employees of the Charter School for purposes of the Educational Employment Relations Act, Chapter 10 (commencing with Section 3540) of Division 4 of Title 1 of the Government Code. [Ref. California Education Code §47605(b)(6)]

3. The Charter School will be nonsectarian in its programs, admissions policies, employment practices, and all other operations. [Ref. California Education Code §47605(d)(1)]

4. The Charter School will not charge tuition. [Ref. California Education Code §47605(d)(1)]

5. The Charter School shall admit all students who wish to attend the Charter School, and who submit a timely application; unless the Charter School receives a greater number of applications than there are spaces for students, in which case each application will be given a chance of admission through a public random drawing process. Except as required by Education Code Section 47605(d)(2), admission to the Charter School shall not be determined according to the place of residence of the student or his or her parents within the State. Preference in the public random drawing shall be given as required by Education Code Section 47605(d)(2)(B). In the event of a drawing, the chartering authority shall make reasonable efforts to accommodate the growth of the Charter School in accordance with Education Code Section 47605(d)(2)(C). [Ref. California Education Code §47605(d)(2)].

6. The Charter School shall not discriminate on the basis of the characteristics listed in Section 220 (actual or perceived disability, gender, gender identity, gender expression, nationality, race or ethnicity, immigration status, religion, sexual orientation, or any other characteristic that is contained in the definition of hate crimes set forth in Section 422.55 of the Penal Code or association with an individual who has any of the aforementioned characteristics). [Ref. California Education Code Section 47605(d)(1)]

7. The Charter School will adhere to all applicable provisions of federal law relating to students with disabilities, including, but not limited to, the Individuals with Disabilities in Education Improvement Act of 2004, Section 504 of the Rehabilitation Act of 1973, and Title II of the Americans with Disabilities Act of 1990.
8. The Charter School will meet all requirements for employment set forth in applicable provisions of law, as necessary. [Ref. Title 5 California Code of Regulations §11967.5.1(f)(5)(C)]

9. The Charter School will ensure that teachers in the Charter School hold a Commission on Teacher Credentialing certificate, permit, or other document equivalent to that which a teacher in other public schools are required to hold. As allowed by statute, flexibility will be given to non-core, non-college preparatory teachers. [Ref. California Education Code §47605(l)]

10. The Charter School will at all times maintain all necessary and appropriate insurance coverage.

11. The Charter School will keep current all necessary permits, licenses, and certifications related to fire, health and safety within the building and on school property.

12. The Charter School will have at least 175 days of instruction and for each fiscal year, offer, at a minimum, the following number of minutes of instruction per grade level as required by Education Code Section 47612.5(a)(1)(A)-(D): to pupils in kindergarten, 36,000 minutes; to pupils in grades 1 to 3, inclusive, 50,400 minutes; to pupils in grades 4 and above, 54,000 minutes.

13. If a pupil is expelled or leaves the Charter School without graduating or completing the school year for any reason, the Charter School shall notify the superintendent of the school district of the pupil’s last known address within 30 days, and shall, upon request, provide that school district with a copy of the cumulative record of the pupil, including a transcript of grades or report card and health information. [Ref. California Education Code Section 47605(d)(3)]

14. The Charter School shall maintain accurate and contemporaneous written records that document all pupil attendance and make these records available for audit and inspection. [Ref. California Education Code Section 47612.5(a)]

15. The Charter School shall, on a regular basis, consult with its parents and teachers regarding the Charter School’s education programs. [Ref. California Education Code Section 47605(c)]

16. The Charter School shall comply with any jurisdictional limitations to locations of its facilities. [Ref. California Education Code Sections 47605 and 47605.1] The Charter School will be located within the boundaries of the District as required by the Education Code.

17. The Charter School shall comply with all laws establishing the minimum and maximum age for public school enrollment. [Ref. California Education Code Sections 47612(b), 47610]

18. The Charter School shall comply with all applicable portions of the Elementary and Secondary Education Act.


22. The Charter School shall comply with all portions of California Education Code and State Board Title 5 Regulations which are not otherwise waived under Ed Code Section 47610.

August 31, 2018

MARIA O’HOLLEARN
CRITERIA FOR RENEWAL

Education Code § 47607(b) states that a charter school must meet at least one of the following criteria to have its charter renewed:

1. Attained its Academic Performance Index (API) growth target in the prior year or in two of the last three years, or in the aggregate for the prior three years [§ 47607(b)(1)];
2. Ranked in deciles 4-10, inclusive, on the API in the prior year or in two of the last three years [§ 47607(b)(2)];
3. Ranked in deciles 4-10, inclusive, on the API for a demographically comparable school in the prior year or in two of the last three years [§ 47607(b)(3)]; or
4. The entity that granted the charter determines that the academic performance of the charter school is at least equal to the academic performance of the public schools that the charter school pupils would otherwise have been required to attend, as well as the academic performance of the schools in the school district in which the charter school is located, taking into account the composition of the pupil population that is served at the charter school [§ 47607(b)(4)(A)].

Due to the State Board of Education’s suspension of API, however, Assembly Bill 484 authorized three alternatives to meet legislative and/or programmatic requirements, including charter renewals. These alternatives, described below, are set forth in a May 13, 2014 letter from Superintendent Tom Torlakson at the California Department of Education (CDE).¹

1. The most recent API calculation.
2. An average of the three most recent annual API calculations.
3. Alternative measures that show increase in pupil academic achievement for all groups of pupils schoolwide and among significant student subgroups.

In this letter, the CDE states that “[p]ursuant to EC Section 47607(a)(3)(A), the most important factor in determining whether to grant a charter renewal is the increase in pupil academic achievement for all groups of pupils served by the charter school.” (emphasis added)

In addition to the three options described above, the letter from the CDE also allows authorizers to use the fourth option under current law, EC 47607(b)(4)(A):

The entity that granted the charter determines that the academic performance of the charter school is at least equal to the academic performance of the public schools that the charter school pupils would otherwise have been required to attend, as well as the academic performance of the schools in the school district in which the charter school is located, taking into account the composition of the pupil population that is served at the charter school.

When making this determination, the CDE instructs authorizers to evaluate all of the following:

¹ These alternatives are subject to change with the implementation of new state assessments and academic accountability reporting, but they are current at the time of this writing.
● Documented and clear and convincing data;
● Pupil achievement data from assessments for demographically similar pupil populations in comparison schools; and
● Information submitted by the charter school.

As the data presented below shows, Rocketship Fuerza Community Prep has met each and every criteria for renewal under the Education Code and as authorized by the CDE. Furthermore, over the past five years, Fuerza students have demonstrated improvements in pupil academic achievement for all groups of pupils schoolwide and among significant student subgroups as measured by a variety of assessments. Finally, Fuerza students have made substantial progress toward measurable outcomes and achieved at levels not only equal to, but in many cases far exceeding those of, the Alum Rock Union School District (“District”) and the District schools that its students would have otherwise attended.

STUDENT ACHIEVEMENT AND GROWTH AT ROCKETSHIP FUERZA

CAASPP

In accordance with California laws and regulations, Fuerza administers the California Assessment of Student Performance and Progress (CAASPP). The CAASPP and the Common Core State Standards (CCSS) with which they align are unparalleled in rigor. Students in California are being asked to engage in and articulate complex, higher-order thinking across content areas, often in ways they have never previously encountered on performance assessments.

As described above, charter renewal laws mandate that academic performance comparisons include comparisons to the school district in which the charter school is located and to the district schools that charter school students would otherwise attend. Academic comparisons must also take into account the composition of the pupil population that the charter school serves.

Fuerza is located in the Alum Rock Union School District (“ARUSD” or “the District”). A significant number of Fuerza students would otherwise attend Cesar Chavez or San Antonio Elementary Schools (hereinafter described as the “alternative District schools”). Table 1 below shows the breakdown of Fuerza’s primary student subpopulations as compared to the District, the State, and the alternative District schools.

Table 1: 2016-17 Enrollment Demographics, K-5th*

<table>
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<tr>
<th></th>
<th>Enrollment</th>
<th>% SED</th>
<th>% ELL</th>
<th>% Hispanic</th>
<th>% SwD</th>
</tr>
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<tr>
<td>RFZ</td>
<td>622</td>
<td>77%</td>
<td>52%</td>
<td>81%</td>
<td>6%</td>
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<tr>
<td>State of CA</td>
<td>2860733</td>
<td>61%</td>
<td>30%</td>
<td>55%</td>
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<td>ARUSD (non-charters)</td>
<td>6861</td>
<td>86%</td>
<td>52%</td>
<td>80%</td>
<td>11%</td>
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### Cesar Chavez ES

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<tr>
<th></th>
<th>397</th>
<th>94%</th>
<th>66%</th>
<th>84%</th>
<th>10%</th>
</tr>
</thead>
</table>

### San Antonio ES

|       | 404 | 89%  | 49%  | 78%  | 14%  |

Source: California Department of Education, Enrollment Reports  
([https://dq.cde.ca.gov/dataquest/dataquest.asp](https://dq.cde.ca.gov/dataquest/dataquest.asp))

*2016-17 data is being used to align with the CAASPP data presented below, which is the most recent school year for which data is available at the time of this writing.*

The data in the remainder of this section illustrates how Fuerza students in 3rd-5th grades largely outperformed the two alternative District schools and the entire District on CAASPP Mathematics and ELA.

### Comparison - All Students.

**Figure 1**

As Figure 1 above shows, Fuerza students scored more than 15 percentage points higher than the alternative District schools and the District in Mathematics standards on the CAASPP. Fuerza also outperformed the State in Mathematics.

Fuerza students as a whole also outperformed the District and the alternative District schools in ELA.

### Comparison - SED Students.
Approximately 82 percent of Fuerza students in grades 3-5 are socioeconomically disadvantaged (SED). Figure 2 shows how Fuerza’s SED students outperformed the SED subpopulations among all comparison groups in both Mathematics and ELA.

**Comparison - English learners.**
Approximately 57 percent of Fuerza students are English learners (ELs). As Figure 3 above demonstrates, Fuerza’s ELs outperformed all comparison groups in ELA and almost all comparison groups in Mathematics.

**Comparison - Hispanic students.**

**Figure 4**

![Figure 4](image)

Source: California Department of Education. Grades 3-5 percentages calculated by Rocketship Public Schools from grade-level data in released files downloaded from the CAASPP website [https://www.cde.ca.gov](https://www.cde.ca.gov).

Approximately 81 percent of Fuerza 3rd- 5th graders are Hispanic. As shown above in Figure 4, Fuerza’s Hispanic students outperformed all comparison groups in both Mathematics and ELA.

**Year-over-Year Change: All Students.**

**Figure 5**
As described above, the CAASPP is unparalleled among standardized assessments in its rigor and depth. Although the 2016-17 school year was only the third year that California schools administered the CAASPP assessments, Figure 5 above shows how Fuerza has continued to outperform the District, even as the District has improved each year. Though Fuerza has experienced some slight dips in performance, the school has maintained relatively consistent and strong results over time.

Source: California Department of Education. Grades 3-5 percentages calculated by Rocketship Public Schools from grade-level data in research files downloaded from the CAASPP website (https://caaspp.cde.ca.gov/).
Figure 6 above illustrates the significant gains by Fuerza’s SED population in both CAASP ELA and Mathematics, especially as compared to the SED students in the District.

As further described in this petition, Rocketship is committed to exploring ways to continue to evolve and improve our instructional model to ensure that Fuerza students are able to achieve even higher levels of mastery of the rigorous standards called for by the CA CCSS and CAASPP.

NWEA MAP

As described above, the CDE has stated “the most important factor in determining whether to grant a charter renewal is the increase in pupil academic achievement for all groups of pupils served by the charter school.”

Rocketship uses the nationally-normed NWEA MAP assessments to measure growth throughout the school year in Reading and Mathematics. The data below shows how students have made significant growth in both subjects over the past four years of attending Fuerza.

Figure 7
Figure 7 shows how, in the fall of 2014, 29 percent of Fuerza students were scoring at or above the national norm (50th percentile rank) in Mathematics. By spring 2018, 62 percent of these same students were scoring at or above the national norm, more than doubling the percentage of students on grade level. In addition, the number of students scoring below the 25th percentile decreased by more than half in Mathematics and more than two-thirds in Reading.

This data shows that Rocketship’s instructional program works. Students really do make meaningful growth during their time at Fuerza. The ability to move such a significant percentage from below to above grade level during a four-year time frame is why we continue to do what we do at Rocketship; that is, to change the educational trajectories of all our students on our quest to eliminate the achievement gap.

Additionally, over the past four school years, Fuerza students averaged approximately 1.3 years of growth on both NWEA Reading and Mathematics. While average growth in Math and Reading has slightly decreased over recent years, after 2014-2015, more than 50 percent of students were already on grade level, meaning less growth was required (as students didn’t need to catch up).

Table 2

<table>
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<tr>
<th>School Year</th>
<th>Mathematics</th>
<th>Reading</th>
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<tbody>
<tr>
<td>2014-15</td>
<td>1.68</td>
<td>1.64</td>
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<tr>
<td>2015-16</td>
<td>1.29</td>
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<td>2016-17</td>
<td>1.21</td>
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<tr>
<td>2017-18</td>
<td>1.11</td>
<td>1.15</td>
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Furthermore, as Figure 8 below shows, three quarters or more of Fuerza students scored above the 50th percentile in Mathematics and roughly two-thirds of Fuerza students scored above the 50th percentile in Reading in each of the past four school years.

**Figure 8**

![Graph showing RFZ: % of Students Scoring Above the 50th Percentile on NWEA MAP]

**Special Education Students.**

Fuerza deeply values its special education students and has an Integrated Special Education team dedicated to best serving each of them. The graph below shows Fuerza's special education growth over the past four school years.

**Figure 9**
Fuerza has also helped its EL students make tremendous gains in English proficiency over the past five years. Figure 10 below shows the California English Language Development Test (CELDT) proficiency levels among 200 students who were tested in fall 2014 and who were still enrolled at Fuerza in fall 2016 (the last year the CELDT was administered).

As Figure 10 shows, in fall 2014, 28 percent of these students were proficient (Early Advanced or Advanced) when they became students at Fuerza. By fall 2016, this number had grown to 63
percent— an increase of 35 percent in just two years. Additionally, by fall 2016, 16 percent of students had been reclassified.\(^2\) (Element A below provides more details on Rocketship’s reclassification process.) This data shows how Rocketship’s instructional program is successful in significantly increasing the English proficiency of ELs during their time at Fuerza.

**Dashboard**

As the State is no longer using API, we present the Dashboard in Figure 11 to demonstrate an additional measure of achievement and growth. According to these California School Dashboards, which were published in December 2017, Fuerza scored the second highest level on the Mathematics Academic Indicator. Fuerza received a lower rating on Suspensions than the District because their number increased while the District’s maintained; however, Fuerza’s overall suspension rate is lower than the District’s (1.5 percent vs. 2.7 percent).

**Figure 11**

<table>
<thead>
<tr>
<th>Indicator</th>
<th>RFZ</th>
<th>ARUSD</th>
<th>Cesar Chavez</th>
<th>San Antonio</th>
</tr>
</thead>
<tbody>
<tr>
<td>Suspension Rate</td>
<td>Orange (Level 2)</td>
<td>Yellow (Level 3)</td>
<td>Orange (Level 2)</td>
<td>Blue (Level 5)</td>
</tr>
<tr>
<td>EL Progress</td>
<td>N/A(^3)</td>
<td>Green (Level 4)</td>
<td>Red (Level 1)</td>
<td>Green (Level 4)</td>
</tr>
<tr>
<td>ELA</td>
<td>Orange (Level 2)</td>
<td>Orange (Level 2)</td>
<td>Yellow (Level 3)</td>
<td>Yellow (Level 3)</td>
</tr>
<tr>
<td>Math</td>
<td>Green (Level 4)</td>
<td>Orange (Level 2)</td>
<td>Yellow (Level 3)</td>
<td>Yellow (Level 3)</td>
</tr>
</tbody>
</table>

**Performance Levels:**
- Red (Lowest Performance)
- Orange
- Yellow
- Green
- Blue (Highest Performance)

**FUERZA IMPACT**

Rocketship Public Schools has a long history of successfully serving our families throughout Santa Clara County and beyond. Rocketship’s story began in 1999, when Father Mateo Sheedy, Pastor of Sacred Heart Parish, created a scholarship to Santa Clara University but the students in his parish lacked the basic academic requirements to attend Santa Clara — or any other top-tier university. Father Sheedy concluded that his students needed a better early education foundation, a tuition-free alternative to the local district school. In 2006, his parishioners approached John

\(^2\) Rocketship policy at the time dictated that a student had to be in 2nd grade to be eligible for reclassification.

\(^3\) Due to an error in CELDT labeling in 15-16, we do not have a performance level for that year. While we have the current status for 16-17, we are not able to calculate the change from 15-16.
Danner and Preston Smith two educational entrepreneurs. Together they founded Rocketship and they introduced their founding principal, Maricela Guerrero.

In 2013, the families of East San Jose banded together with “ganas”—the determination to see a school born to serve their children. The school was chartered initially by the Santa Clara County Board of Education as the first of a group of countywide authorizations. Entitlements for the school campus, to be retrofitted into an old postal office on Jackson Avenue, were approved by the San Jose City Council in December 2013. Entering 2014, the school was fully enrolled, and parents were excited for the year ahead when litigation intervened to put the charter on hold. More than 400 parents did not give up their quest. Parents joined with Rocketship to file a new district charter petition with the Alum Rock Union School District, who denied the petition. Parents marched on and turned to the Santa Clara County Board of Education, which ultimately voted to approve the charter in June 2014 prior to the August opening. The families had such unrelenting strength and hope that they gave a name to their school before the school was approved. Hence, Rocketship #9 on Jackson Avenue was born. Parents baptized the school with a name that embodied their perseverance: Rocketship Fuerza Community Prep. Today, many of these families remain as proud 4th and 5th grade parents, older students are in middle school.

Rocketship Fuerza has grown over the past four years, this year serving over 630 families on our campus. Initially, our commitment to our families was to offer them an alternative choice to their local district school--an option that unleashed academic prowess and leadership in the students. The founding kindergarten class is in 4th grade and academically outperforming their district peers.

Rocketship Public Schools operates 19 schools nationwide and ten of these schools are in San Jose (Santa Clara County). Rocketship Fuerza is part of a network of high-performing charter schools that are centrally governed and operated by Rocketship Public Schools, a nonprofit public benefit corporation with 501(c)(3) status. As further described below, Rocketship Public Schools is governed by a Board of Directors comprised of diverse and experienced community leaders.

The Board is ultimately responsible for the operation and activities of each Rocketship school. Board members have a responsibility to solicit input from school staff and students’ parents regarding issues of significance and to weigh the input and opinions carefully before taking action. We are also expanding our Advisory Board, which may consist of a diverse group of parents, teachers, and civic and business leaders committed to closing the achievement gap. The primary responsibility of the Advisory Board is to serve as a formal structure giving voice to Rocketship student, family, and community needs.
Rocketship Fuerza is part of a network of high-performing charter schools that is centrally governed and operated by Rocketship Public Schools (“Rocketship” or “RPS”), a non-profit public benefit corporation with 501(c)(3) status. As further described in Element D below, Rocketship Public Schools is governed by a Board of Directors comprised of diverse and experienced community leaders with a broad range of expertise in relevant fields, including academics, education reform, community engagement, finance, management, real estate, law, and fundraising.

The Board is ultimately responsible for the operation and activities of each Rocketship school. Board members have a responsibility to solicit input from, and opinions of, both school staff and students’ parents regarding issues of significance and to weigh the input and opinions carefully.
before taking action. We are also expanding our San Jose Regional Advisory Board to include a diverse group of parents, civic, and business leaders. The primary responsibility of the Regional Advisory Board is to serve as a formal structure giving voice to Rocketship student, family, and community needs. The Advisory Board may provide advice and counsel to Rocketship’s executive leadership; provide meaningful input to the Board of Directors on topics such as plans and strategies for local growth, model improvement, and staff development; build local partnerships to enhance the quality and sustainability of Rocketship schools; and speak at local events, political forums, and site visits.

In addition to benefiting from a deeply experienced Board of Directors, Rocketship Fuerza receives management services from our centralized network staff. As we have expanded our network and reach over the past decade, we have focused on building organizational capacity to maintain high-quality schools while also fueling growth. We have worked to develop and consistently apply strong functional expertise in each of the areas that comprise the complexity of school management (i.e. academic and social-emotional instruction, talent, community relations, finance, strategy, operations, legal, technology, human resources). Our centralized management structure is further described in Element D below.

**ELEMENT A: DESCRIPTION OF THE EDUCATIONAL PROGRAM**

“A description of the educational program of the school, designed, among other things, to identify those whom the school is attempting to educate, what it means to be an “educated person” in the 21st century, and how learning best occurs. The goals identified in that program shall include the objective of enabling pupils to become self-motivated, competent, and lifelong learners.”

“A description, for the charter school, of annual goals, for all pupils and for each subgroup of pupils identified pursuant to Section 52052, to be achieved in the state priorities, as described in subdivision (d) of Section 52060, that apply for the grade levels served, or the nature of the program operated, by the charter school, and specific annual actions to achieve those goals. A charter petition may identify additional school priorities, the goals for the school priorities, and the specific annual actions to achieve those goals.”

- California Education Code Section 47605(b)(5)(A)(i)-(ii)

**MISSION, VISION, AND MODEL**

There is a significant and growing gap in student achievement in our country. It’s a gap between ethnic groups, between income levels, even between neighboring communities in the same city. Regardless of which side we’re on, ultimately, the achievement gap affects us all.

The achievement gap is bigger than grades and standardized tests. It affects dropout rates, college graduation, employment, lifetime earnings and quality of living. And it’s a perpetual cycle, persisting for generation after generation in the same community.

**MISSION STATEMENT**

Rocketship’s mission is to eliminate the achievement gap by graduating all students at or above
grade level. Our goals include the following:

- Rocketship students will graduate from Rocketship at or above grade level.
- Rocketship students will become self-motivated, competent, and lifelong learners.
- Rocketship students will develop a deep love of learning.
- Rocketship will provide parents of with a path for their children to take in order to have the best chance to attend a four-year college.
- Rocketship will encourage our alumni both to become leaders in their community and help others achieve their goals.

VISION STATEMENT
Rocketship seeks to create a future in which thousands of children have graduated from four-year colleges and have come back to eradicate the last traces of the achievement gap.

ROCKETSHIP MODEL

The Rocketship model is built on three foundational pillars of excellence, which we believe are the key to our continued success as we work to close the achievement gap in California and beyond.

**Teachers and Leaders: Elevating and Celebrating Instruction.** Teachers and leaders are one of the most important factors in student success. To ensure our students have access to the best teachers and leaders, we provide dedicated coaching, professional development, and leadership programs to help them grow professionally and personally—regardless of their experience level. We elevate and celebrate teaching, providing exciting and rewarding careers where educators feel empowered, appreciated, and valued. We help our teachers grow using embedded learning opportunities, personalized coaching and customized training as part of the regular workday. And we grow our leaders from within our schools, providing on-the-job leadership and principal training programs with a clear path towards long-term career goals.

**Rocketeer Students: Personalized Learning and Growth.** We all learn in our own unique ways. From the time we’re children until long after we leave school, each person has their own way of learning and advancing. Unfortunately, the traditional school system doesn’t allow for that. Our students (called Rocketeers) get personalized instruction targeted to their needs and tailored to their unique learning styles. Our blended learning model combines traditional instruction, technology and tutoring, allowing every Rocketeer to learn at their own pace. And best of all, this model works for all students in the Rocketship program, whether they are catching up or racing ahead.

**Rocketeer Parents: Leaders in the Home, the School, and the Community.** Engaged parents are essential to eliminating the achievement gap. We work with our parents to help them become powerful advocates for their children and their communities. We work directly with parents, helping them become leaders at home, in the schools, and in the community. This includes helping with homework, managing the household and serving as a positive role model for their children, leading community meetings, planning school-wide events, advocating for their children’s needs, assisting in the teacher and leader interview process, being active members in the community, participating in advocacy groups and school boards, and standing up for their students and their schools.

TARGET SCHOOL POPULATION – WHO THE SCHOOL IS ATTEMPTING TO EDUCATE
As described above, Rocketship’s program is designed to serve students who are or may be at risk of achieving below grade level. The average Rocketship student is between one and two years behind grade level upon entry. Although all Rocketship schools are open to all students, and we do not discriminate on the basis of race, ethnicity, or any other actual or perceived characteristic, we do target students from predominantly low-income neighborhoods where access to high-quality, high-performing schools is limited. We believe that these students will derive the most benefit from our model, which is further described in our petition.

The vast majority of students that we serve are socioeconomically disadvantaged (SED). As shown below, our network has experience working with students from a diverse range of backgrounds, including Hispanic/Latino, Black/African American, and Asian students as well as English learners.

Table 4

<table>
<thead>
<tr>
<th>School Year</th>
<th>% Hispanic</th>
<th>% Black/African American</th>
<th>% White</th>
<th>% Asian</th>
<th>% ELL</th>
<th>% SED</th>
<th>% SPED</th>
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</thead>
<tbody>
<tr>
<td>2014-2015</td>
<td>85.1%</td>
<td>1.6%</td>
<td>0.8%</td>
<td>11.6%</td>
<td>61.6%</td>
<td>84.9%</td>
<td>5.4%</td>
</tr>
<tr>
<td>2015-2016</td>
<td>84.9%</td>
<td>1.0%</td>
<td>0.8%</td>
<td>12.2%</td>
<td>50.6%</td>
<td>80.1%</td>
<td>6.1%</td>
</tr>
<tr>
<td>2016-2017</td>
<td>81.2%</td>
<td>1.1%</td>
<td>0.8%</td>
<td>11.3%</td>
<td>52.3%</td>
<td>77.2%</td>
<td>5.8%</td>
</tr>
<tr>
<td>2017-2018</td>
<td>81.1%</td>
<td>0.8%</td>
<td>0.7%</td>
<td>12.7%</td>
<td>57.0%</td>
<td>82.4%</td>
<td>6.2%</td>
</tr>
</tbody>
</table>

*Source: Rocketship official demographic data
**Data for the 18-19 school year not yet available

ROCKETSHIP’S HISTORY SERVING OUR TARGET POPULATION

Rocketship has a long history of successfully serving our target population throughout the Bay Area and beyond.

Rocketship’s story began back in 1999, when Father Mateo Sheedy, Pastor of Sacred Heart Parish, created the Juan Diego Scholarship to Santa Clara University. After an extensive search for candidates, Father Mateo was surprised to discover that, among the hundreds of children in his parish, none of them met the basic academic requirements to qualify them to attend their hometown college, or any other top-tier university.

Father Mateo immediately began researching ways to solve this problem. He soon became convinced that the public schools around his parish were failing to educate the students in his church. He decided that the children of his parish needed to have an alternative to their neighborhood district school.

Unfortunately, Father Mateo Sheedy passed away too soon, but his movement lived on. In 2006,
his parishioners approached John Danner and Preston Smith—two educational entrepreneurs who were developing a new model for schools in low-income neighborhoods. John Danner, a former software engineer, was interested in the ways technology could help personalize education. Preston Smith was a young principal at a promising elementary school in San Jose who recognized the impact empowered teachers and engaged parents can have on student success.

Rocketship Mateo Sheedy Elementary opened soon after and our students quickly showed strong results in academic achievement. In fact, Rocketship Mateo Sheedy became the highest ranked low-income elementary school in Santa Clara County and the seventh ranked school in California. As more families joined the waiting list, we realized the need for even more high quality schools in the San Jose community. The founders decided to expand its nonprofit benefit corporation, Rocketship Public Schools, to serve other schools in the neediest neighborhoods.

Rocketship Public Schools has taken the successful model pioneered at Rocketship Mateo Sheedy Elementary School and translated it into critical systems necessary for successful replication. As described above, Rocketship Public Schools provides each of its schools with systems and support for Curriculum, School Leadership, Operations, Finance, Legal, Online Technology, Human Resources, and other services. By capturing best practices, Rocketship allows each of its schools to avoid many of the startup hurdles faced by most charters and quickly produce strong academic results and a solid school culture by focusing on the key levers that drive student achievement.

As of the 2018-19 school year, Rocketship operates thirteen schools in California. Ten of these schools are in San Jose, where we have grown to become a well-established presence over the past decade. We also have a campus in Redwood City, which opened in the 2015-16 school year. In the 2016-17 school year, we opened a new school in Concord as part of our movement to expand and serve students deep into the East Bay. Also in furtherance of this movement, this school year we opened Rocketship Delta Prep in Antioch.

We also have diverse experience opening new schools in other regions throughout the country. We opened our first school in Milwaukee in 2013, followed by two schools in Nashville in the subsequent two school years. During the 2016-17 school year, we opened our first school in Washington, D.C, followed by a second in 2017-2018. Most recently, in the 2018-19 school year, we opened a second school in Milwaukee. Though geographically different, each of our school launches shares the common experience of profound community and parent involvement. As described above, we begin working with local community stakeholders, parent leaders, and prospective families well before our doors open for the first time. We host community meetings, informational sessions, and even educational events (i.e family reading night) to establish meaningful connections with our Rocketeers.

**TARGET ENROLLMENT**

Rocketship targets an enrollment of approximately 600 students each year at Fuerza. Table 5 shows Fuerza's enrollment over its first four years. (At the time of this writing, data for the 2018-19 school year is not yet available.)

**Table 5**
Currently, Rocketship uses PowerSchool for student attendance accounting and reporting.

The following table shows our projected enrollment and ADA through 2022.

### Table 6

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Year 5</td>
<td>Year 6</td>
<td>Year 7</td>
<td>Year 8</td>
<td>Year 9</td>
</tr>
<tr>
<td><strong>Projected Enrollment by Grade</strong></td>
<td></td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>Transitional Kindergarten</td>
<td>26</td>
<td>25</td>
<td>25</td>
<td>25</td>
<td>25</td>
</tr>
<tr>
<td>Kindergarten</td>
<td>118</td>
<td>112</td>
<td>112</td>
<td>112</td>
<td>112</td>
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<tr>
<td>1st Grade</td>
<td>118</td>
<td>112</td>
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<tr>
<td>2nd Grade</td>
<td>111</td>
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</tr>
<tr>
<td>3rd Grade</td>
<td>101</td>
<td>112</td>
<td>112</td>
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<td>112</td>
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<tr>
<td>4th Grade</td>
<td>98</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>5th Grade</td>
<td>49</td>
<td>48</td>
<td>48</td>
<td>48</td>
<td>48</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>621</td>
<td>621</td>
<td>621</td>
<td>621</td>
<td>621</td>
</tr>
</tbody>
</table>

| Average Daily Attendance |         |         |         |         |         |
|                          | ADA %   |         |         |         |         |
|                          | 94%     | 94%     | 94%     | 94%     | 94%     |
| **Total**                | 584     | 584     | 584     | 584     | 584     |

For more details on our enrollment projections and grade level breakdowns over the first five
years of operation, please see our Budget Narrative attached as Appendix 1.

OUR EDUCATIONAL PHILOSOPHY

WHAT IT MEANS TO BE AN EDUCATED PERSON IN THE 21ST CENTURY

We believe that an educated person in the 21st century possesses a depth and breadth of academic and critical life skills that will enable him/her to develop into a self-motivated, competent, lifelong learner.

The academic skills that we strive for our students to develop are rooted in critical thinking, problem-solving, and metacognition. To meaningfully contribute to and participate in this increasingly global society, we believe that students must not only possess an extensive knowledge base, but also skills on how to analyze and access more information. Students must be prepared to apply things they have learned to solve novel problems, think critically and creatively, and communicate precisely and effectively across many different mediums. Students must be prepared to work with and adapt to rapidly-changing technological resources. Students should also have the ability and disposition to explore the thinking and learning process and to explain their rationales to others.

The critical life skills that we strive for our students to develop are rooted in sense of self, relationship and social skills, and commitment to learning. We believe that students must be able to work cooperatively and collaboratively with diverse backgrounds, perspectives, and cultures. They will need strong and resilient social and emotional skills to be prepared to formulate healthy relationships at school, at home, and in the workplace. Through it all, students must remain focused and motivated as they learn and grow both inside and outside the classroom. Students should be motivated to pursue goals and take responsibility for academic, social, and emotional self-development.

Rocketship’s program focuses on academic and critical life skills designed to help students flourish in multiple aspects of their lives during their time at Rocketship and beyond.

PERSONALIZED LEARNING

At Rocketship, personalized learning is about reaching each student with the right content at the right time using the right method of instruction. Our teachers lead the learning process for every single student we serve in both whole group grade level instruction and differentiated instruction that meets students at their individual level. We use tutors and adaptive online learning programs to augment teacher led instruction and provide valuable time for students to practice new concepts and develop the mastery they need to advance. This carefully orchestrated combination of instructional methods is made possible through robust and regular data streams that highlight where students need support to achieve mastery – from concept acquisition all the way through practice and repetition. This is how we ensure we are optimizing our teachers talent and instructional time, targeted in our tutoring, and purposeful in our use of technology.

Personalization is critical to our Rocketeers’ success. We enroll students at all grade levels every
year. Many of our students, particularly those in the upper grades, arrive at Rocketship performing far below grade level and with a diverse set of needs. We address these gaps by coupling a holistic instructional model that addresses academic and social-emotional needs via purposeful student groupings in class with thoughtfully-planned instructional opportunities in the Learning Lab through adaptive online programs and tutoring. By providing multiple opportunities to pursue mastery of content, Rocketship’s approach to personalized learning allows Rocketeers to make gap-closing gains in academic performance. Importantly, we strive for this growth for every single Rocketeer, every single school year. We do not just enroll kindergarteners and count on five years at Rocketship to realize the results we are after.

Our instructional model is based on our beliefs that learning best occurs in the following ways:

- Through a thoroughly-planned, standards-aligned academic curriculum that centers on higher-order critical thinking and complex problem solving and that authentically integrates a variety of content throughout the school day.
- Through instruction in critical life skills that include teaching core values, strong behavioral skills, and habits of excellence.
- Through personalized instruction that includes targeted interventions and blended classroom-based and adaptive online learning.
- Through differentiation and integrated supports for special student populations.
- Through data-driven instruction, planning, and analysis.
- Through careful selection of educators, and consistent and rigorous intellectual professional development and growth opportunities to keep teachers and staff members invested and effective.

**CURRICULUM AND INSTRUCTION**

**STANDARDS-ALIGNED CURRICULUM**

The Rocketship curriculum follows California’s adoption of the Common Core State Standards (“CCSS”) for English/Language Arts, English Language Development, and Mathematics; the Next Generation Science Standards; and state standards for other content areas including Social Studies and Visual and Performing Arts.

We understand and appreciate that the new CA CCSS are unprecedented in rigor. Additionally, the CCSS-aligned Smarter Balanced assessment system that California has adopted tests a wide range of complex cognitive skills that require students to both engage in and articulate higher-order thinking across content areas. As such, we have evolved our classroom instructional practices to teach students to not only build skills but then to apply their understandings in a diverse variety of tasks and settings. These practices also help further the academic skills that, as described above, we believe all educated persons in the 21st century must possess.

Along with our students, our teachers will be required to make their own cognitive leaps as they develop and align their classroom practices to the increased rigor of the CA CCSS and Smarter Balanced. Rocketship’s centralized network Achievement Team is tasked with designing an intellectual preparation process to best set teachers and students up for short and long-term

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4 Pursuant to CA Education Code 60605.
success. The Achievement Team partners with teachers and School Leaders (school principals and assistant principals) to develop plans and materials that span from long-term curriculum maps to daily lessons. Teachers also meet regularly throughout the school year with their school-based coaches and members of the Achievement Team to explore content covered in various units, thinking about questions like "what are the key understandings and skills needed for the relevant standards?" and "what should students be able to do/produce if they have mastered the standard?"

Additionally, the Achievement Team maps out the actual sequence of the particular unit, determining which content teachers will teach on each day to solidify student understanding. Rocketship also identifies a lead planner at every grade level to be a common planner for the network. The lead planner writes daily lesson plans that are made available to all Rocketship teachers. This planner also prepares videotapes to accompany the lessons so that teachers can internalize best practices. Each grade level also designates a model teacher to serve as the go-to resource for teachers who need additional support.

The Achievement Team works year-round to dissect, analyze, and further investigate the standards for all content areas that we teach, including English/Language Arts, English Language Development, Mathematics, Science, Social Studies, and Visual and Performing Arts. The Achievement Team, along with Rocketship’s network Schools Team and Analytics Team, also design and analyze standards-based assessments throughout the year to help design instruction and track student progress. Through it all, we constantly share our learnings with teachers and School Leaders and develop processes for receiving feedback from the “ground level.” We make adjustments as necessary to ensure that our teachers continue to feel motivated, supported, and prepared and our students continue to make significant growth.

The below sections provide further details on our curriculum and instruction.

**ELA/LITERACY**

**CCSS-ALIGNED INSTRUCTION**

Core Beliefs about Literacy Instruction

1. We believe that all students can and must learn lifelong reading habits and a love of reading, which only happens when families, educators and teachers in our community cultivate lifelong reading habits and a love of reading with our students.
2. We believe teachers must provide necessary and appropriate scaffolds for students to experience productive struggle with rigorous text and content.
3. We believe that all components of language development--reading, writing, speaking and listening--reinforce students to become fervent readers and confident communicators.
4. We believe rigorous discourse is at the heart of great instruction, which must be buoyed by rich language and accountability for ideas by all participants.

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5 These sections describe our instruction as it is currently being implemented in accordance with the CA CCSS. We constantly monitor any changes in the state standards and assessment systems and may at times adjust elements of our instruction, curriculum, and/or teaching materials to ensure that teachers and students are best being equipped for mastery. In accordance with CA Education Code 47607, we will notify our authorizer at any time should any adjustment become of the magnitude that may constitute a material revision to our charter.
5. We believe all instructional choices should build independence in learners to set them up for future success.

Each of the elements of our reading and writing instruction are focused on the CA CCSS for English/Language Arts (ELA) and Literacy. For each of the strands called for in the CCSS (reading, writing, speaking and listening, and language, each further described below), our instruction includes the College and Career Readiness anchor standards and their accompanying grade-specific standards to ensure that our students are on track to meet end-of-year expectations.

**Reading Strand.** In accordance with the CA CCSS for ELA/Literacy, our reading instruction for both literature and informational text focuses on the anchor and grade-specific standards in the following areas: (1) key ideas and details (i.e. determining central ideas and themes, making logical inferences from text, analyzing how ideas and characters develop): (2) craft and structure (i.e. analyzing meaning, structure, and point of view of a text); (3) integration of knowledge and ideas (i.e. evaluating content, comparing information from multiple sources); and (4) range of reading and level of text complexity (i.e. reading and comprehending complex literary and informational texts). We also teach the reading standards for foundational skills, which include print concepts, phonological awareness, phonics and word recognition, and fluency. Our instructional strategies for teaching reading are further described in the following section.

**Writing Strand.** Our writing instruction focuses on the anchor and grade-specific standards in the areas of (1) text types and purposes (i.e. learning to write narratives, informative/explanatory texts, arguments, and a variety of other subgenres); (2) production and distribution of writing (i.e. producing and sharing clear, coherent and organized writing through a well-planned writing process); (3) research to build and present knowledge (i.e. assessing the credibility of sources, analyzing research, and integrating findings to support writing); and (4) range of writing (i.e. writing over short and long time frames for a variety of purposes and audiences).

**Speaking and Listening Strand.** Our speaking and listening instruction, which we integrate not only into our ELA/Literacy lessons but also throughout the entire school day, focuses on the anchor and grade-specific standards in the areas of (1) comprehension and collaboration (i.e. preparing for and participating in a wide range of conversations with diverse partners, integrating and evaluating integration presented in a variety of formats, and evaluating other speakers' reasoning and rhetoric); and (2) presentation of knowledge and ideas (i.e. presenting findings with supporting evidence, making strategic use of media and data displays to express ideas, adapting speech to a variety of tasks).

**Language Strand.** Our language instruction focuses on the anchor and grade-specific standards in the areas of (1) conventions of standard English (i.e. grammar, usage, capitalization, punctuation); (2) knowledge of language (i.e. understanding how language functions in different contexts); and (3) vocabulary acquisition and usage (i.e. using context clues to determine the meaning of unknown words, understanding figurative language and word relationships, acquiring and using a range of academic language).

Additionally, we are committed to focusing our instruction on the three new emphases in the CA CCSS for ELA/Literacy (also called “shifts” from the previous standards). These emphases include
regular practice with complex texts and their academic language; (2) reading, writing, and speaking grounded in evidence from texts, both literary and informational (i.e. asking students to answer not solely from their prior knowledge but rather from a close, careful reading of the text); and (3) building knowledge through content-rich information (i.e. students are immersed in information about the world around them, especially through content-rich nonfiction). We recently added a new nonfiction block to our ELA/Literacy instruction, where students in grades 2 and up receive comprehension instruction for both narrative and nonfiction text every single day and the lower grades receive alternating lessons in narrative and nonfiction. We are also providing explicit training to our reading teachers on how to purposefully select complex texts that are rich with academic vocabulary. Our instruction now also includes strategies for using text to support a response. We understand that these three emphases were developed in response to the growing literacy demands of college and the workforce, and we are committed to launching our Rocketeers on a path of long-term success beginning at the earliest age.

COMPONENTS OF OUR LITERACY INSTRUCTION

Our reading and writing instruction includes four different components to teach the standards and strands described above: (1) explicit teaching of the building blocks of literacy; (2) explicit teaching of reading comprehension skills; (3) application of the building blocks and reading comprehension skills; and (4) explicit teaching of writing skills and process.

Explicit teaching of the building blocks of literacy. Our instructors teach phonics (sound-spelling relationships), phonemic awareness (distinguishing individual sounds within words), and language arts (word and structural analysis); and fluency.

- **Phonics:** Students will learn to relate sounds to spellings to decode words. Our instruction will include concepts like long and short vowel sounds, consonant and vowel combinations, consonant clusters, diphthongs, digraphs, and variant vowels.

- **Phonemic awareness:** Students will learn how to distinguish individual sounds (phonemes) within words. Our instruction will include activities like categorization (recognizing the “odd” sound in a word), isolation (identifying a single sound in a word), rhyming, segmenting (separating spoken words into individual sounds), and oral blending (combining individual sounds in a word).

- **Language arts:** Our instruction will zoom in on the concept of word and structural analysis, engaging in in-depth study of topics like morphemes (i.e. prefixes, suffixes, root words), compound words, homophones, and syllabication.

- **Fluency:** Our instruction will focus on helping students acquire automaticity (rapid and automatic word recognition) and prosody (reading with phrasing and recognition of punctuation). Our teachers will build students’ fluency through modeling good oral reading, teaching students phrasing, and offering many opportunities for students to practice with guidance and support (i.e. repeated reading). Additionally, teachers will expose students to high-frequency words and sight words to allow students to focus less energy on decoding and more on comprehension during their reading lessons.

Explicit teaching of reading comprehension skills. Reading comprehension instruction will occur at all grade levels. Teachers will identify a CCSS-aligned standard and objective for the lesson. Teachers will model the skill as well as the metacognition (thinking about the comprehension that students will be asked to do in their own reading). Teachers will read with the students, making pre-designated stops to highlight the skill and ask students to also interact with the text.
themselves, either in small groups or individually, and practice the skill.

Reading comprehension instruction may also take the form of a read aloud, which is emphasized more heavily in the younger grades, where teachers will use an anchor text to model a particular reading skill/strategy. The teacher will define the skill, explain why good readers use the skill, and model how to apply the skill. The teacher may also provide either a preview of the text or a summary from the previous day’s reading, including review of specific vocabulary words. The teacher will then read the text, modeling fluency, and take pre-planned pauses to do a “think aloud” to describe the comprehension strategies she is using, any comprehension problems that she is encountering as well as ways to address them, and continue to build enthusiasm and engagement about the text. Teachers will also assess comprehension of the text by posing literal, inferential, and critical thinking discussion questions.

The application of literacy and reading comprehension skills. This element of our reading instruction occurs primarily through guided reading, which is the linchpin of the literacy and comprehension skills that we teach. Our guided reading primarily occurs in small, homogeneous groups, and instruction is focused on what each individual student needs in the moment to advance in reading ability.

We use assessments as the starting point for our guided reading instruction. Our assessments, particularly the STEP assessment system, are designed to provide teachers with critical and targeted information on how students process information and read texts. Teachers will use this data to gauge students’ approximate “reading levels,” assess reading growth over time, and plan guided reading instruction. Teachers’ plans will include selecting a text that will give multiple opportunities for students to practice a skill or strategy, designing a pre-reading activity to focus students, observing students during reading, and leading a comprehension conversation to practice and assess students’ comprehension.

Our students may also practice reading comprehension through independent reading. Our teachers are trained to organize leveled libraries, which will include multiple text formats and reading levels, various genres, a range of content areas, and appeal to students’ interests. Our teachers will also set independent reading expectations with their students and develop ways to hold them intellectually accountable.

The explicit teaching and application of writing skills and the writing process. Our writing instruction includes both teacher-driven and student-driven components. Using the CCSS-aligned long-term unit plans, teachers will teach various writing skills, strategies and features. Our Achievement Team also develops writing assessments that mirror the level of rigor that students encounter under the CAASPP assessments and regularly assess student achievement and progress throughout the year.

As further described below in our Special Populations section, we also incorporate the English Language Development (ELD) standards into our ELA/Literacy block through both integrated and designated instruction.

INSTRUCTIONAL PLANNING AND PREPARATION

As described above, our teachers and school leaders are provided with a plethora of resources to
effectively and efficiently plan and prepare their ELA/Literacy instruction. These include:

- Scope and sequence curriculum maps, which lay out the standards-aligned objectives for each unit throughout the school year.
- Unit plans
- Sample daily lesson plans
- Fiction and nonfiction texts that correlate with the lesson plans, objectives, and units

For samples of Rocketship’s preparation materials, including scope and sequence maps, please see Appendix 2.

**MATHEMATICS**

**CCSS-ALIGNED INSTRUCTION**

Core Beliefs for Mathematics Instruction:

- The heart of effective mathematics instruction is an emphasis on thinking, problem solving and reasoning, which must sit on a foundation of purposeful practice and the building of strong fluency and automaticity in basic math facts and procedures.
- Effective Mathematics instruction should stimulate inquiry and curiosity within students and teachers.
- All students can demonstrate mastery given the supports they need in the STEM classroom.
- Mathematics is a language we teach - one that allows us to communicate precisely, notice patterns and infer future outcomes, and helps us explain the world we observe.

Our mathematics instruction is centered on the CA CCSS for Mathematics (CA CCSSM). Lessons incorporate the CA CCSSM’s eight Mathematical Practice Standards, which are designed to develop students’ broader mathematical understanding across all grade levels, as well as the CA CCSSM’s Content Standards, which are a progression of grade-level specific mathematical topics.

**Practice Standards.** We believe that all students should develop the varieties of expertise that are reflected in the practice standards, as these standards are designed for all levels of mathematical maturity and will enable students to become strong in mathematics even beyond their elementary school experience at Rocketship. The eight practice standards, as well as a brief summary of what proficiency looks like for each of them under the CA CCSSM, are described below.

- **Make sense of problems and persevere in solving them:** Proficient students will be able to explain to themselves the meaning of a problem, plan a solution pathway, consider analogous problems, monitor and evaluate progress toward a solution, and check their answers using a different method.
- **Reason abstractly and quantitatively:** Proficient students will be able to make sense of quantities and their relationships, create a coherent representation of the problem at hand, consider the units involved, and know how to use different properties of operations and objects.
- **Construct viable arguments and critique the reasoning of others:** Proficient students will make conjectures and build ways to explore them, recognize and use counterexamples, make plausible arguments about data, distinguish logical vs. flawed reasoning, justify and communicate their conclusions.
• **Model with mathematics:** Proficient students will apply the mathematics they know to solve problems arising in everyday life (even if this is as simple as writing an addition or subtraction equation to describe a situation). Proficient students will also be able to identify important quantities in practical situations and map relationships using tools such as diagrams, two-way tables, graphs, flowcharts, and formulas.

• **Use appropriate tools strategically:** Proficient students will be able to consider the available grade-appropriate tools when solving a problem, make mathematical models, and identify external mathematical resources.

• **Attend to precision:** Proficient students will use clear definitions, articulate the meaning of chosen symbols, carefully specify appropriate units of measure, calculate accurately and efficiently, and provide explanations of their reasoning.

• **Look for and make use of structure:** Proficient students will discern patterns, deconstruct equations, and shift perspectives.

• **Look for and express regularity in repeated reasoning:** Proficient students will look for repeated calculations and shortcuts, notice regularity, and maintain oversight of calculations they are working to solve.

**Content Standards.** While the practice standards describe the ways in which mathematics learners should be engaging with subject matter, the content standards include the actual grade-specific subject matter. They are designed to work in conjunction with the practice standards. The CA CCSSM Content Standards identify several “critical areas” of focus for each grade level, on which we center our mathematics instruction.

- **Transitional Kindergarten:** (1) developing important aspects of counting, including cardinality and 1:1 correspondence, (2) sorting & classifying objects by shared attributes, (3) developing a basic understanding of geometric properties of everyday objects. Note: Per Cal. Ed. Code Section 48000(f), we have aligned our transitional kindergarten curriculum to the California Preschool Learning Foundations standards.

- **Kindergarten:** (1) representing, relating, and operating on whole numbers, initially with sets of objects; and (2) describing shapes and space.

- **Grade 1:** (1) developing understanding of addition, subtraction, and strategies for addition and subtraction within 20; (2) developing understanding of whole number relationships and place value; (3) developing understanding of linear measurement and measuring lengths as iterating length units; and (4) reasoning about attributes of, and composing and decomposing geometric shapes.

- **Grade 2:** (1) extending understanding of base-ten notation; (2) building fluency with addition and subtraction; (3) using units of measure; and (4) describing and analyzing shapes.

- **Grade 3:** (1) developing an understanding of multiplication and division and strategies for multiplication and division within 100; (2) developing understanding of fractions; (3) developing understanding of the structure of rectangular arrays and area; and (4) describing and analyzing two-dimensional shapes.

- **Grade 4:** (1) developing understanding and fluency with multi-digit multiplication, and developing understanding of dividing to find quotients involving multi-digit dividends; (2) developing an understanding of fraction equivalence, addition and subtraction of fractions with like denominators, and multiplication of fractions by whole numbers; and (3) understanding that geometric figures can be analyzed and classified based on their properties, such as having parallel sides, perpendicular sides, particular angle measures, and symmetry.
Grade 5: (1) developing fluency with addition and subtraction of fractions, and developing understanding of multiplication of fractions and division of fractions; (2) extending division to two-digit divisors, integrating decimal fractions into the place value system and developing understanding of operations with decimals to hundredths, and developing fluency with whole number and decimal operations; and (3) developing understanding of volume.

COMPONENTS OF OUR MATHEMATICS INSTRUCTION

To best meet the demands of Common Core, our math instruction will include all of the following elements at all grade levels:

- Instruction and learning activities focused on math concepts and application of understandings
- Explicit instruction on math vocabulary and language
- Spiral review on concepts already covered, with a specific focus on application of understandings across a diverse range of concepts
- Protected intervention and corrective instruction time during the STEM block
- Well-designed routines and number stories closely matched to grade-level content and the development of number sense and math strategies
- The purposeful and data-driven integration of online learning programs within the STEM classroom, to provide aligned and personalized practice that is incentivized, monitored, and aligned by the teacher

In order to accomplish all of these each day, it is imperative that our STEM blocks are designed to make the most of every minute, and ensure that the teacher is able to be as impactful as possible with their time and attention. It also requires homework to be considered as a component of the daily schedule and not a separate element or add-on to the classroom routines.

**Spiral review.** Spiral review is critical to ensure our learners are repeatedly engaging with content and applying their burgeoning understandings to a wide assortment of contexts and challenges. This block also keeps skills fresh in the minds of students and allows teachers opportunities to shore-up small misconceptions, review key vocabulary, and investigate application of previously learned content in new situations. There are two main forms of spiral review: math meeting (Grades TK & K) and math board (Grades 1-5).

- **Math meeting:** Math Meeting is a daily routine in the TK & K classroom, where students practice routinized skills (e.g. counting), explore the calendar for math patterns, and spiral review previously taught content. At the beginning of the year, math meeting is a group exercise, while students become more independent as they become more familiar with the routine. Kindergarten students start the year with math meeting, and transition to math board by the end of the year in preparation for Grade 1.

- **Math board:** Math Board is a review worksheet with a 5-6 problems aligned to previous objectives and skills. Students complete the majority of their math board the previous evening as homework and then complete 1-2 additional problems for the first 5 minutes of their math block as their “Do Now” routine. Teachers then use the remaining 10 minutes of their spiral review time to review 1-2 targeted
problems as a class, focusing on key misconceptions from their formative assessment data or important background knowledge required for upcoming content. For maximum effectiveness, math board can be differentiated to reach students at different levels.

**Number Stories.** Number Stories at Rocketship are a critical part of our students’ STEM education. Number stories ask students to explore their understandings within a familiar context, with a focus on making sense of the mathematics and discovering new strategies for solving problems, using a Cognitively Guided Instruction approach. Students work on not just solving problems, but understanding, representing, discussing, and approaching problems using various strategies. Students practice producing visual, oral, and written explanations for problems, as well as responding to and critiquing others’ reasoning. We believe that STEM is a language we teach - number stories help students examine what the language of math looks like in real-life contexts and discussions.

**Counting Routines.** In TK & K, counting routines consists of 2 routines that rotate based on the time of the year, the content of the unit and the grade level. In the second half of the year, counting routines starts to alternate with Number Stories.

- **Count Around the Room** - Count Around the Room is designed to give students practice with counting by many different numbers and to foster numerical reasoning about the relationships among numbers.
- **Counting Collections** - Counting Collections has students practice counting collections of objects and share different ways to organize them. It builds 1-to-1 matching, cardinality and eventually base-10 understanding.

**Core Lesson.** The Core Lesson is the portion of the math instructional block where new skills are taught. This component is infused with checks for understanding and culminates in a formal measure of mastery in the form of an exit ticket. Teachers will follow a network-designed scope & sequence map for this component.

Lessons take one of three formats based on the content of the standard, the scope of the objective and the location of the lesson within the unit. Every objective in the Scope & Sequence Map is tagged with one of the following three formats.

- **Direct Instruction** - The direction instruction format allows teachers to explicitly model new skills and have students practice through a gradual release of responsibility.

- **Explore & Notice** - The explore & notice format has students investigate a short problem using their background knowledge and problem-solving skills. This is then followed by a group discussion of “noticings” students made during their exploration, which the teacher explicitly stamps as the key understanding for the day. Students then practice applying this understanding in different scenarios, working toward independence.

- **Task** - Mathematical tasks ask students to solve a complex problem or series of problems. They allow students practice integrating knowledge and skills across multiple objectives and/or standards - a key component of college and career
readiness. Tasks also push students to transfer their learning to new and authentic situations, and present realistic conditions and constraints for students to navigate. Student work time is followed by a teacher-led debrief, which provides students opportunities to justify their conclusions and respond to the conjectures of others.

**Application and Flexible Grouping.** The Application & Flexible Grouping component of the block has two primary goals:

- Give students the opportunity to explore extended application of developing concepts
- Provide teachers an explicit time to respond to their formative and summative assessment data, and to pull groups of varying size to give students the “just right” instruction for them at that time, whether re-teaching, intervention or extension.

There are three basic structures for the Application & Flexible Grouping component. Choice around structure should be guided by student data and may change throughout the year:

- **Small Group Instruction** - In this structure, teachers leverage this time to work in depth with a group of students. They may focus on needed intervention to fill in content gaps from the previous grade-level, additional re-teaching on content from the current grade-level, or challenge and extension work for accelerated learners. There is no limit to the size of a small group - it may consist of 2-3 students, or it may consist of 75% of the class. During this time, students not participating in the small group should be working on extended application work, either independently or in groups.

- **Whole Group Corrective Instruction** - Teachers can also leverage this time for whole group corrective instruction when formative data indicates that the majority of students need additional work with a concept to attain mastery. In this structure, teachers lead the whole class in a short review of an objective, tightly aligned to a specific misconception, and then work through a series of practice problems, releasing students to additional independent application work as they demonstrate mastery.

Whole Group Corrective Instruction will likely be used more in the upper grades given the current gaps in knowledge and skills in many of our upper-elementary students, however regular use of Whole Group Corrective Instruction should signal significant gaps in the effectiveness of the lesson planning and execution. Additionally, regular use of this structure (more than 10% of the time) inhibits the ability of students to receive personalized, targeted instruction, which is one of the main goals of the Application & Flexible Grouping component.

- **Homogenous Rotations** - The third structure involves building a rotational model for this time where students are homogeneously grouped according to recent formative and summative data and then are scheduled into different learning activities that most match the content they need. This structure is a best practice, though it requires significant planning and systems development. Additionally, this structure involves incorporating OLPs and technology as an assigned practice or extension activity that some students engage in, while others are receiving teacher-led differentiated instructed, and others are engaged in other learning activities.
As further described below in our Special Populations section, we also incorporate ELD standards and strategies related to academic vocabulary in our Mathematics block.

INSTRUCTIONAL PLANNING AND PREPARATION

The need for solid planning and intellectual preparation is especially important to ensure that teachers leverage the interconnectedness of the CA CCSSM Practice and Content Standards. As described in Section A above, our teachers and School Leaders are provided with many resources to help them effectively plan and execute their mathematics instruction. These include:

- **Scope and Sequence Map**: This is a curriculum map that identifies the key practice and content standards, skills, and concepts to be taught throughout the year as well as the assessments to be used to track and evaluate progress.
- **Modules**: We prepare comprehensive guide books, called “modules,” for various mathematical concepts that are designed to help teachers develop a profound and broad understanding of the mathematics standards. Modules also reference a variety of resources that teachers can use to further their understanding and effectively teach the standard. A number of these resources come from the CCSS-aligned Singapore Math. Singapore Math is based on the national mathematics curriculum used for grades K-6 in Singapore, modified for the United States and aligned to Common Core. (In fact, the creators of the Common Core consulted the Singapore Ministry of Education when creating the standards due to the success of Singaporean students on international assessments.) Two of Singapore Math’s key approaches that our teachers are trained to use are (1) employing a concrete to pictorial to abstract trajectory when teaching concepts and (2) bar modeling to help illustrate and solve word problems.
- **Sample objectives**: While the modules described above are designed to give teachers a broad understanding of the “why,” the sample objectives provide the “how.” These documents break down each unit in terms of number of days and the standards-aligned objectives to be taught each day. These help teachers plan their lessons and stay on track to teach all content within the confines of the school year.

For STEM scope and sequencing, please see Appendix 3.

SCIENCE AND SOCIAL STUDIES INSTRUCTION

INTEGRATED CONTENT INSTRUCTION

“Content instruction” describes the subject matter that students are learning about. Our primary goals for content instruction are to provide students with exposure to a wide breadth of science and social studies topics, build skills and competencies around learning investigations, foster curiosity in various types of content, and provide opportunities for students to continually practice and utilize knowledge.

With our shift to the CA CCSS, we have moved away from designating explicit portions of the school day for isolated science and social studies instruction. The CA CCSS actually calls for history/social studies, science, and technical subjects to be integrated into K-5 reading literacy instruction. Our instructional framework is rooted in integration, with science and social studies content appearing in not only reading but also in writing, mathematics, arts, and language.
Our content instruction contains four main components.

**Skills and competencies.** We teach science and social studies skills that can be applied to various subject matter at any time (i.e. recording observations, reading maps, using timelines). We generally try to frontload skills and competencies related to our content instruction at the beginning of the school year so that students have the opportunity to apply them in an increasingly sophisticated manner.

**General content exposure.** As described above, we aim to expose our students to a wide variety of science and social studies content to bolster curiosity and broaden their horizons in an authentic way. This is often done through reading nonfiction text, which (as described in Section A above) is a key emphasis of the CA CCSS. We also explicitly teach science and social studies content during our ELA/Literacy and mathematics instruction.

**Explicit vocabulary instruction.** Consistent with the goals of the CA English Language Development (ELD) standards to authentically expose students to academic vocabulary, we teach students vocabulary during our instructional blocks. For example, teachers will select domain-specific Tier 2 and Tier 3 words that are reviewed at the beginning of every reading comprehension lesson. Additionally, we devote 10 mins every day to teaching science/social studies through GLAD strategies specific to that non-fiction unit (one focus strategy per week). This is a time where teachers are introducing students to pre-selected vocabulary words and using them in context with their strategy of the week.

**Projects and investigations.** We provide students with opportunities to conduct research, do projects, and make observations while learning new content. This can occur during either the Literacy or Mathematics block. As our instructional model evolves, we also hope to incorporate projects and investigations into our Learning Lab.

Our content instruction model requires a high degree of communication and collaboration among classroom teachers and instructional staff, for which our rotational model and professional development structure (further described below) are well suited. We strive to ensure that classrooms are aligned on the various skills and content being taught in science, social studies, and beyond. We also routinely analyze performance data across all content areas to plan and evaluate our instruction and ensure that instruction progresses fluidly through grade levels.

**SOCIAL STUDIES INSTRUCTION**

We strive to align our current social studies instruction with the CA CCSS for ELA/Literacy and also the existing California History-Social Science content standards. Our goal is for students to become proficient in social studies in order to achieve civic competence—the knowledge, intellectual processes, and democratic dispositions required of all students to be active and engaged participants in public life.

Some of the key concepts that our students will learn as they progress through Rocketship include investigations into the world’s ancient peoples and civilizations; studies of ancient peoples of our own continent, Native Americans; native peoples in different areas of our continent; and early
explorers, colonialism, and westward movement. Students will also explore topics like producers and consumers in society; social justice, including studies of pioneers like Rosa Parks and Cesar Chavez; major historical conflicts such as the Civil War; and geography and map skills.

We also use Social Studies as a further opportunity to celebrate our students’ diverse heritage. We invite students to share their language, cultural ideas and observations, customs, and backgrounds to provide a multicultural dimension. We also conduct lessons and units centered on important multicultural figures and events.

**SCIENCE INSTRUCTION**

**NGSS-Aligned Instruction.** At Rocketship, we are continuing to monitor and participate in the California Department of Education (CDE)’s Next Generation Science Standards (NGSS) Implementation Plan, which addresses how the CDE, schools, and community stakeholders can collaboratively work to actualize the NGSS in California classrooms.

We participate in meetings, trainings, and workshops and develop teaching and coaching tools for NGSS-aligned instruction. We use resources that are available on the CA NGSS Digital Center, research best practices, use high-quality sample CA NGSS-aligned assessment resources, engage in community outreach to educate stakeholders about the ongoing transition to NGSS, and establish partnerships within the district and region to assist us in better understanding the NGSS framework.

**Components of Rocketship Science Instruction.** Science instruction is an integral component of our STEM block. We build NGSS-aligned science units into the STEM block, which occur during the Lesson of the Day component described above. We also administer NGSS-aligned unit assessments.

Our science instruction is focused on building content knowledge and schema, using GLAD strategies to support language acquisition. We provide opportunities for hands-on experiments, supported by standards-aligned FOSS kits. Our units encompass the three Disciplinary Core Ideas of the NGSS:

- **Physical Sciences.** Our instruction includes grade-appropriate lessons in the NGSS focus areas of motion and stability, waves and their application in technologies, and matter and its interactions.

- **Life Sciences.** Our instruction includes grade-appropriate lessons in the NGSS focus areas of molecules and organisms, heredity (inheritance of traits), ecosystems, and biological evolution.

- **Earth Sciences.** Our instruction includes grade-appropriate lessons in the NGSS focus areas of earth’s systems, earth’s place in the universe, and the effects of global activity on the earth.

As described above, science instruction is also integrated into our Humanities and STEM blocks to ensure that students are having an authentic and meaningful experience with the content they are learning.
Our instructional program incorporates a number of enrichment opportunities for our Rocketeers. All students will receive Physical Education. We have a strong focus on wellness, and as such we will align our P.E. program in accordance with E.C. 51210(g), which requires students in grades 1-5 to be provided with not less than 200 minutes each ten school days, exclusive of lunch and recesses. Pursuant to EC 60800 and 5 CCR 1040, we will administer the Physical Fitness Test (PFT) to our fifth grade students.

In addition to P.E., Rocketship schools have a variety of enrichment programs, including Art, Urban Gardening, Folklorico Dance, and Engineering. The number and type of enrichment programs vary depending on enrollment each year at each school. We hire enrichment instructors who have demonstrated expertise and interest in a specific enrichment content area. Students will receive some type of enrichment during every full school day. (For Fuerza’s bell schedule, please see Appendix 5.) Where relevant, our instructors strive to align their curriculum with the California Visual Performing Arts framework. This framework provides guiding principles, planning and implementation strategies, curriculum development, assessment resources, and professional development for instruction in dance, music, theater, and the visual arts. As with all members of our instructional staff, our enrichment instructors receive regular coaching, training, and professional development. We continually strive to ensure that all enrichment content is rigorous, standards-aligned, and data-driven.

We believe that students must master both academic and critical life skills to truly be successful participants in and contributors to society. To this end, our instructional program includes a social-emotional learning curriculum as well as other initiatives to help students develop into confident, competent, self-motivated, and productive lifelong learners.

At every Rocketship campus, we teach four core values—respect, responsibility, persistence, and empathy. Additionally, each school chooses a fifth core value of its own; at Fuerza, this fifth value is “ganas”. By nurturing and developing students’ natural curiosity, Fuerza believes students learn to become critical thinkers who develop their own ideas, express their feelings, make responsible choices, and achieve success in school and life.

Core values form the basis of our behavioral instruction and management systems. At the beginning of the school year, teachers explicitly teach core values lessons in which they demonstrate what different core values look like in action. Every school also has its own system to promote/incentivize the core values, such as providing students with Core Value Rockets, which can be redeemed at the school store or entered into a prize raffle. Furthermore, teachers try to identify which specific core values students are not demonstrating when students receive a behavioral consequence (i.e. a student is moving down on the classroom behavior “clip chart”
because his/her actions were not demonstrating our core value of respect).

LAUNCH

Each Rocketship campus begins the school day with Launch, which is a period of time for the whole school to come together around some school wide foci. This usually includes one of the core values (i.e., a “core value of the month”). During Launch, the school may also promote a particular successful student habit, such as preparedness, “going above and beyond, or urgency. Schools may also teach a new feature of an incentive or behavior management system. Launch is also a time to promote school wide academic progress. School leaders may teach everyone a word or idiom of the day or celebrate progress toward achievement goals. Finally, schools may use Launch time to foster school culture and pride and student engagement. The whole school, including the staff, may learn a song or a dance together or celebrate a particular student or classroom’s recent accomplishment.

POSITIVE BEHAVIORAL INTERVENTIONS AND SUPPORTS (PBIS)

Positive Behavioral Interventions and Supports (PBIS) is our framework for creating learning environments that are consistent, predictable, positive, and safe. We believe that students need to be taught how to behave, and struggling students must be taught replacement behaviors.

Each school has a PBIS Team comprised of a wide cross-section of staff members, including teachers, school leaders, and operational and support staff members. Each member of the team is tasked with overseeing a different PBIS component, further described below.

- **Data:** The Data Lead will enter information on negative behaviors that occur at school into an online system to analyze trends and make data-based decisions about how to shift behaviors. (For example, the Data Lead may analyze data that reveals that kindergarteners tend to get into trouble in the late afternoon toward the end of each week and help design a management system targeted at this time period.)
- **Positive Behavior:** The Positive Behavior Lead is in charge of school-wide incentive programs such as Core Value of the Month and Student of the Month. The Incentives Lead also ensures that the school is incentivizing positive behaviors and giving consequences fairly and consistently.
- **Staff:** The Staff Lead oversees staff culture and designs programs to promote staff enjoyment, wellness, and ensure a positive environment.
- **Kimochis/RULER Curriculum:** The Kimochis/RULER Leads oversee the implementation of these social-emotional learning curricula, further described below.

SOCIAL-EMOTIONAL CURRICULUM

**RULER Approach.** The RULER Approach is the social-emotional curriculum that we use in our upper grades. The curriculum includes a set of four tools designed to build students’ emotional intelligence:

- **The Class Charter:** Each class comes together to name the feelings that the students want to feel at school, the actions they will take to produce these feelings, and the actions they will take if someone is not showing these feelings. The purpose of the charter is to establish
an environment where students feel safe talking about feelings at school.

- **The Mood Meter:** The mood meter is a coordinate grid that plots students’ levels of pleasantness/unpleasantness against their energy levels. Each grid quadrant is associated with a color. Students are taught to identify how they feel in relation to the grid. Students are also taught vocabulary associated with these feelings and regulation strategies to introduce actions they can take to change their feelings.

- **The Meta-Moment:** For students who find themselves angry or anxious, the meta-moment is a tool for students to use to stop themselves from reacting, picture their “best selves,” and pick a strategy to become their “best selves.”

- **The Blueprint:** The blueprint is a conflict management tool that teaches students to take others’ perspectives and react to a situation based on how another person is feeling.

Teachers spend the first part of the school year unrolling these tools and getting students well-versed in them. Students then use these tools the rest of the year and track their behaviors, feelings, and progress in a mood journal.

**Kimochis Curriculum.** Kimochis is a more scripted behavioral curriculum that we use in our younger grades. *Kimochi* means “feelings” in Japanese. The curriculum is designed to give young students the knowledge, skills, and attributes they need to recognize their emotions, demonstrate care and concern for others, establish positive relationships, make responsible decisions, and correctly handle challenging situations.

The curriculum is centered on five characters, which each have a unique temperament and personality. The characters act as a safe third party that students can relate to as they consider their own strengths and weaknesses. Additionally, the Kimochis Keys to Communication provide communication tools to help students learn how to listen openly, make good choices, speak in a respectful and responsible way, and be open in negotiating.

For sample RULER and Kimochis materials and research supporting these curricula, please see Appendix 4.

**LEARNING LAB**

The Learning Lab offers access to adaptive Online Learning Programs (OLPs), targeted tutoring instruction, leveled independent reading exercises, and purposeful independent work. This gives Rocketeers multiple and varied opportunities to master content at their level. In the Learning Lab, we reinforce concepts introduced in the classroom and provide repeated practice opportunities for students under the support and guidance of a trained tutor. The Learning Lab is like a high quality afterschool program that is integrated into a student’s school day and tightly tailored to their unique learning process and needs. Our student’s time in the Learning Lab does not replace any time with a teacher, rather it augments their classroom learning by helping students learn at their own pace and develop more ownership of their learning.

Classroom instruction is complemented with a variety of Learning Lab activities, supporting students in multiple ways as they work to master standards. For example, while tutors spend time every day teaching small-group guided reading, students also use an Online Learning Program to read independently, leveraging the program’s extensive library and using its assessments that check for comprehension. Similarly, while tutors focus on building a conceptual understanding of
During the learning lab block, students will also participate in small group instruction with students with similar learning needs. Based on academic performance, our students are grouped according to their academic need. In these leveled small groups, students receive regular tutoring on literacy and/or math skills by tutors who are utilizing research-based curricula to deliver lessons which align to students’ specific learning needs.

The Learning Lab is staffed by Individualized Learning Specialists (ILSs) and it is supervised by our School Leaders (the Principal and Assistant Principals). ILSs create a positive student culture in the Learning Lab, motivating and supporting students to reach their individual learning goals. They actively guide students on all computer programs and ensure that the educational software used in the Lab effectively meets the needs of students. As mentioned above, ILSs also tutor leveled small groups of students on literacy and/or math skills.

The School Leaders oversee the managerial aspects (i.e. hiring ILSs and enrichment teachers, monitoring student behavior, selecting appropriate OLPS, designing and laying out the learning space). They also play a vital role in ensuring the substantive quality of the education that occurs in the Learning Lab. Our School Leaders also serve as coaches to the ILSs. They train our ILSs to use pre-selected, evidence-based intervention curricula to respond to individual student needs and engage in regular progress-monitoring of each ILS to identify areas for additional training or other supports. Along with our classroom teachers, our School Leaders also work with the ILSs to collect and analyze data from in-person and online interventions to help design personalized support for our Rocketeers. ILSs also receive similar support and professional development as classroom teachers on campus.

For more details on the responsibilities and qualifications for various staff roles at Rocketship, please see Element E below. For further description of our student schedule, please see Section H.

ONLINE LEARNING PROGRAMS (OLPS)

As mentioned above, part of our blended learning approach to personalized instruction includes the purposeful use of adaptive technology through OLPS. OLPS deliver many benefits, including:

- Personalized instruction delivered on a constant, reliable basis. While we believe that effective instructors are at the heart of effective instruction, the reality is that no single teacher can reach all learners at every minute. OLPS broaden the swath of students that we can effectively reach to teach content. This is especially critical to us given that the student population that we serve often arrives at Rocketship achieving significantly below grade level.
- Basic skill remediation. Students must develop the foundational knowledge in various content areas if they are going to successfully progress as learners. As with any classroom, our teachers’ time is limited. Allowing some of the remedial work to occur through reliable online programs (our selection process is further described below) enables students to build the foundations they need while freeing up teachers to focus their time on
higher-level instruction.

- Student accountability, motivation, and engagement. Through tracking features of our various OLPs, students can assume responsibility for their own progress toward individualized learning goals.
- Data analysis. Our OLPs are a valuable data resource. The programs consistently generate a variety of data for our teachers and school leaders to analyze while planning and personalizing instruction.
- Real-time teaching. Many of our OLPs are designed to adjust content in real-time based on students' individual progress to keep students working on the most appropriate material and advancing along their individualized learning pathways.

Each OLP that we introduce to our suite undergoes a rigorous selection and piloting process to ensure that it meets our well-defined criteria. We generally look for programs that maximize adaptability, assignability, and analytics.

**Adaptability.** A program is adaptive if it automatically senses a student's gap in understanding or mastery of a skill and systematically changes the pace or lessons. Often, programs will assess student understanding through instructional lessons or intermittent mini-tests, adjusting as necessary to focus on that individual student. While our OLPs are always subject to change to reflect the latest advances in adaptive technology, our current programs that assess regularly in this fashion include DreamBox, Lexia Core5 and myON. Other programs, such as i-Ready and ST Math, utilize more extensive diagnostic assessments or pre-tests to gauge a student's level and assign appropriate instructional lessons. Through these intelligent adaptive systems, students focus on their own personalized levels, working on the skills that they need to practice most.

**Assignability.** Additionally, we leverage programs that allow for in-program assignability. Rather than giving online programs 100% control over the online content that students engage in, we balance both online assessment systems as well as teacher input. Several of our current programs, including i-Ready, ST Math and myON, allow teachers to assign lessons, reorder objectives or select books for students to see in their online portals. While ST Math & myON allow these customizations for classes, i-Ready allows for an even higher level of assignability, allowing teachers to modify instruction for groups of students or even individual students. Through this balance of integrated adaptive assessments and teacher input based on other assessments, we can ensure that the programs truly match student needs, both inside and outside of the programs.

**Analytics.** The last, arguably most important, feature that we utilize in our online learning programs is the teacher analytics. These dashboards give teachers real-time data on how students are performing. They break down assessments, individual lessons and overall usage, allowing teachers to adjust both online and in-class programming. Teachers may utilize assignability features to assign specific lessons or domains of instruction or even adjust the order of objectives that classes encounter. Teachers can also customize features such as when a student can access online instruction, assessments or games within the programs. Through these insights, teachers can ensure our programs are highly focused and continually benefiting student outcomes.

As described above, students may engage with OLPs during any of the instructional blocks, including Humanities, STEM, and the Learning Lab.
SCHOOL DAY

Students start the day with Launch, often times with parent participation and observation, where Schools Leaders and teachers engage all students on building their social emotional learning skills. Students spend about half of the day in a Humanities block and a quarter of the day in a STEM block, with separate teachers who specialize in their respective subjects. Students receive large group instruction, experience small group and team learning, and receive targeted intervention from their classroom teacher. Students rotate into the Learning Lab for adaptive online learning, additional small group instruction, team learning, and targeted intervention. In addition to a daily recess block at each grade-level, every Rocketeer has regular access to physical education. On days where students are not in P.E., they engage in enrichment activities selected by school leaders with parent input to meet the unique needs of each community.

INSTRUCTIONAL MINUTES

Rocketship Fuerza will have at least 175 days of instruction and for each fiscal year offer, at a minimum, the following number of minutes of instruction:

- to pupils in transitional kindergarten and kindergarten, 36,000 minutes.
- to pupils in grades 1-3, inclusive, 50,400 minutes.
- to pupils in grades 4-5, inclusive, 54,000 minutes.

The number of instructional minutes that we offer for all grades will meet or exceed the state requirements (described above and found in Education Code Section 47612.5(a)(1)). We will offer the same or greater number of instructional days as the District. All components of the day counting towards instructional minutes are led by credentialed teachers who utilize curricular resources aligned to CA CCS to guide their instruction.

We expect a significant number of our students to arrive at Rocketship below grade level, and so we aim to provide students with maximal time to make the progress that they need to catch up. Therefore, we extend the school day beyond the required number of instructional minutes. During our extended school day students engage in independent and small group learning in the Learning Lab and participate in P.E. or other Enrichment activities. While the exact bell times vary by school, many of our schools currently run from approximately 8 a.m. to 4 p.m.

The table below delineates subject areas and approximate time per grade level, but we reserve the right to adjust students’ instructional minutes in each subject and learning space based on students’ personalized learning needs.

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6 We define instructional minute as minutes spent under the direct supervision of a certificated teacher.
### Rocketship Daily Minutes

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<th>Instructional Minutes</th>
<th>Non-instructional Minutes</th>
<th>Total Daily Minutes</th>
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<tr>
<td></td>
<td>Humanities</td>
<td>STEM</td>
<td>Launch/Social Emotional Learning</td>
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<td>5</td>
<td>190</td>
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</table>

*Total Instructional Minutes reflect total instructional time; different cohorts may experience slight differences in the allocation between Humanities and STEM blocks, which is reflected in this chart.

For a sample full day at Fuerza, please see full bell schedule (attached as Appendix 5)

**DATA-DRIVEN INSTRUCTION**

Our instructional program is profoundly data-driven. We provide constant opportunities for
comprehensive and systematic assessment, analysis, goal-setting, progress monitoring, and data tracking.

**ASSESSMENT**

We use an assortment of assessments to measure students’ achievement levels. These include the NWEA MAP assessment, which allows us to measure our students’ proficiency against national norms for CCSS performance, and the STEP assessment developed by the University of Chicago, which provides teachers with a granular breakdown of students’ reading ability in terms of “fundamental skills” and general comprehension skills. We also administer assessments under the California Assessment of Student Performance and Progress (CAASPP) as well as the state-mandated English language assessments.

We also conduct additional bi-monthly standards-aligned assessments of each student in reading, writing, and math. These assessments drive decisions about whether students need additional classroom support or tutoring. They also allow us to progress-monitor our students throughout the year in a way that annual assessments do not.

**ANALYSIS**

Assessment data provides many opportunities to analyze and reflect on both student and teacher progress and gaps. We are deeply committed to data analysis throughout the school year.

Following administration of our bi-monthly interim assessments, Rocketship holds two full days of professional development for teachers, Assistant Principals, and the Principal to take a deep dive into the analysis of the data.

A key component of these data days is the identification of overall positive trends as well as any challenges and/or achievement gaps among students. This exercise then leads the teacher to begin to identify specific “focus” students within the challenge groups. After identifying three to five students, the teacher begins to dig deeper and identify specific instructional areas that have been challenging for these students. The teacher then sets academic goals for these students to be accomplished within the next four weeks and eight weeks. The teacher then begins to develop an instructional plan for these specific students, which is intended to ensure that the student will realize the goals that have been set for them in the next four and eight weeks. The plan is not meant to be limited to these specific students. We expect teachers to use it to guide instruction for all students facing similar challenges. Thus, by focusing in-depth on one student within the challenge group, the modified instruction should be able to positively impact the student achievement of all students within this group.

**GOAL SETTING AND PROGRESS**

After teachers analyze the data from diagnostic interim assessments during quarterly Data Days, students, teachers, and parents work together in a variety of ways to set and/or revisit goals to help ensure that all students are on track to make the requisite growth during the school year.

**Conferences.** Students review and discuss individual progress after each interim assessment period. Teachers prepare and review data summaries with students to identify places in which
performance is on track to achieve established goals and areas in which improvements are needed. Teachers also communicate with parents (via parent/teacher conference, phone calls home, e-mails, notes in backpacks, home visits, and other mechanisms as needed) about student goals.

**Classroom Tracking.** Each classroom or grade-level publicly tracks a number of class-based and individual goals, including progress toward ELA/Literacy and mathematics benchmarks, as well as other measures such as sight word recognition, progression in STEP reading levels, and letter/sound mastery. Each teacher defines his/her specific data-tracker approach, but all Rocketship classrooms display individual student goals and progress to student goals. For example, some classrooms use frogs that jump from lily-pad to lily-pad; others use “rocket ships” to align with school mascots.

**Online Learning Programs.** Each student also sets individualized Online Learning Program (OLP) goals for the week, which focus on individual progress during computer-based learning time. Students track progress in their OLP Logs, which are kept in the Lab for fall semester. In the spring semester, as a reflection of their ownership of their progress, students in grades two through five begin to keep track of their own OLP Logs. In addition to helping students build a habit of showing quality work, OLP Logs help the Individualized Learning Specialists (ILSs) hold students accountable for their independent work. The OLP Log can also help build communication between classroom and online learning when teachers guide students in setting weekly/daily goals and check students’ Logs weekly for quality work. (See Appendix 7 for example OLP goals.)

**School wide Recognition.** Outside of the classrooms, our entire school celebrates progress toward goals as well. Every week during the Rocketship Launch time (further described in Section F above), we celebrate achievements and give out awards based on growth and mastery. For example, we may award Reading Capes to the classes with the greatest progress on OLPs (e.g. lessons passed in iReady), or we may award “Math Medals” to the class who demonstrated the greatest proficiency on a recent formative assessment.

Furthermore, we highlight the connection between individualized goal setting and success in college by focusing on college readiness from the first day our students enter our schools. Our hallways are lined with college banners, and each student belongs to a homeroom named for a college mascot (e.g., “Bears” for UC Berkeley). Community members speak to students about college and broader experiences related to college attendance. Students and parents participate jointly in college visits to experience the excitement and diversity of a college campus. As a result, all students believe and expect that they will go to college.

**Report Cards.** Students’ progress is shared with parents through CCSS-aligned report cards that are shared with parents online as well as printed out for parent conferences which occur at least three times annually. (See Appendix 6 for a sample report card.)

**DATA TRACKING TOOLS**

We use various tools to track and manage data, several of which are described below.7

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7 As with all aspects of our instructional program, we constantly monitor development in data analysis tools. With ever-increasing sophistication of education technology, we strive to keep abreast of advances that may lead to
Illuminate. The Illuminate platform provides educators with easy access to assessment items, and supports the scoring and data capture of assessments. Through Illuminate, educators can select standards-aligned assessment questions, create their own assessments, deliver them to students, and easily score them using the program. These digital resources ensure that educators can effectively measure the progress of their students and modify their approach as needed.

Schoolzilla. Rocketship also uses the cloud-based Schoolzilla data warehouse and reporting system to collect and organize student achievement, enrollment, and attendance data. Rocketship partners with Schoolzilla to build toolkits and user-friendly reports and dashboards that translate simple data into actionable information that educators can use to modify their instructional approaches. Schoolzilla incorporates data from state assessments, student assessments in Illuminate, and a broad set of other data systems across the organization to allow educators to access and understand the needs of their students in real time. Through the use of Schoolzilla, a teacher can quickly gain an understanding of which students have mastered a particular standard, and the next day group them differently for small group instruction, to re-teach to students who have not yet gained mastery.

Schoolzilla dashboards facilitate communications between teachers and ILSs, by providing more timely status updates of a student’s progress, and by enabling teachers to easily specify remediating content and activities during tiered interventions that are targeted to meet the student’s specific learning needs. At the end of Tier II RtI sessions, further described above, tutors can leave notes on student progress and highlighting things to discuss during common planning time, which occurs daily with ILS staff and teachers within a grade level. Additionally, content from Schoolzilla’s RtI tab is automatically linked and integrated with the student’s Individualized Learning Plan.

Approximately every four to six weeks, our teachers reassess to show student progress, as further described in Section XI.B above. To facilitate the identification of students in need of more focused support, teachers can use Schoolzilla to create data displays for each class that show which students fall into each quartile.

Our objective is for Schoolzilla to be a continually-updated repository of student assessment data which is sourced from online learning programs, benchmark assessments, formative assessments given by teachers, data gathered from tutoring, CCSS assessments (i.e. Smarter Balanced), and more.

In addition to data entry, Schoolzilla provides educators with both high-level and detailed comparisons of student achievement. Comparisons can be made by school, by grade, by standard, and by month.

DIBELS. DIBELS is a web-based platform designed to support schools in engaging in data-based decision making within an RtI framework. Rocketship utilizes the curriculum-based measurements (CBMs) provided via DIBELS as one of the assessment tools in the universal screening process that indicates which students are candidates for Tier 2 and Tier 3 academic
greater efficiency and effectiveness. We may at times alter our data analysis tools and will notify our authorizer if we plan any changes that may be sufficiently significant to require the need for a material revision to our charter.
interventions. Once identified students are placed in intervention, Rocketship utilizes DIBELS as our ongoing progress monitoring system, administering weekly CBMs to all students participating in Tier 2 and Tier 3 reading interventions. The data yielded by these weekly progress monitoring assessments is then utilized to make instructional decisions for each student in intervention (for example, students who have met their annual progress monitoring goal may exit back to Tier 1, and students who are not making sufficient progress may receive a more intensive and individualized level of intervention).

SPECIAL POPULATIONS

AT-RISK STUDENTS

RESPONSE TO INTERVENTION: OVERVIEW AND PURPOSE

Response to Intervention (RtI) is a data-based instruction and intervention model designed to efficiently identify at-risk and academically low-achieving students, match them with appropriate, evidence-based interventions, and guide teams in engaging in a clear problem-solving process to ensure that every student receives the support they need in order to achieve grade level expectations. According to the National Center for Response to Intervention, a comprehensive RtI model contains seven essential components:

1. Universal Screening: a systematic process for identifying a subset of students from the entire student population who are struggling academically and/or behaviorally, and are at-risk of negative short- or long-term outcomes
2. Multiple Tiers of Support: the service delivery model of providing a graduate sequence of intensifying interventions in order to match services to student need
3. Evidence-Based Interventions: the implementation of interventions and supports which are supported by empirical evidence to have positive academic and/or behavioral outcomes for the student population with which they are being implemented
4. Ongoing Progress Monitoring: the continual monitoring (using research-based assessment methods) of the ongoing progress of students participating in intervention, in order to assess the effectiveness of interventions for specific students and overall
5. Data-Based Decision Making: the utilization of student progress monitoring data to make decisions whether to intensify, modify, keep in place, or remove particular interventions or supports.
6. Treatment Integrity: the systematic monitoring of the implementation of interventions in order to ensure that they are implemented as intended to enable appropriate and legally defensible decision-making
7. Problem-Solving: the dynamic and systematic process that guides the school team's behavior in: identifying the problem, analyzing the problem, developing a plan of action, implementing the plan, and evaluating the outcome of the plan

When implemented in accordance with these guiding principles, RtI has many potential benefits for students from diverse economic, linguistic, and cultural backgrounds. This model promises to provide equitable access to standards-based curricula for all students and reduce over-identification of students with learning difficulties, which is a longstanding problem that is
especially predominant among low-income and culturally diverse populations. In the article Cultural Considerations with Response to Intervention Models, Klinger and Edwards state that RtI “...has dramatic implications for culturally and linguistically diverse students who historically have been disproportionately overrepresented in special education programs...RtI models hold promises for preventing academic failure by providing support for culturally and linguistically diverse students before they underachieve.” (pg.108).

All of the individual programs necessary for implementing RtI exist in current practice. All necessary funding, programs, access to training, and staffing are currently available under existing law. The components of the RtI model are further described below.

**RESPONSE TO INTERVENTION AT ROCKETSHIP**

Rocketship has adopted an RtI framework to serve our at-risk students. Our model encompasses each of the seven essential RtI components detailed above.

**Universal Screening.** Rocketship utilizes a “multiple gating” process in our approach to universal screening. Multiple gating refers to the process of using the results from a variety of universal screening tools in order to ensure all students who are struggling academically or behaviorally are identified in a timely manner. For academics, our first screen is our STEP assessment. Students who fall below a certain cut point on each of these assessments are further screened using a Curriculum-Based Measurement (CBM) in order to further pinpoint the specific area of academic deficit. Once that area of deficit is identified, further diagnostics are administered in order to identify specific intervention starting points for each student.

**Multiple Tiers of Support.** Rocketship uses a three-tiered model to organize our instructional and social-emotional supports for all students.

- **Tier 1- General Classroom Instruction:** All Rocketship students participate in and benefit from the instruction and support that takes place at the Tier 1 level. Examples of academic supports that take place at the Tier 1 level include homogenous guided reading groups in the ELA classroom, CCSS-aligned units in the math classroom, and adaptive online learning programs in the learning lab. Examples of behavioral and social-emotional supports that occur at the Tier 1 level include school wide positively stated behavior expectations, access to a school wide incentive system, and social-emotional curriculum that are implemented as a component of our Positive Behavior Interventions and Supports (PBIS) model.

- **Tier 2- Supplemental, Small Group Supports:** Even in the best of instructional models, some students will require additional supports in order to reach grade level proficiency. At Rocketship, we have a number of evidence-based interventions that are delivered in a small group setting to students at the Tier 2 level, including but not limited to small group phonics and fluency intervention in the learning lab and small group behavior interventions (such as small group counseling and Check-in/Check-out).

- **Tier 3- Intensive, Individualized Supports:** Students who don’t respond favorably to supports at the Tier 1 and Tier 2 levels may be referred for a more intensive level of intervention. Intervention at the Tier 3 level is more targeted and more individualized. At Rocketship, we may use an alternative curriculum which is more specifically focused on an area of skill deficit (for example, Seeing Stars, and Lindamood Bell curriculum that addresses phonemic awareness), and our interventions will also be more specifically
targeted to the individual student (for example, developing and implementing an individualized behavior intervention plan). At the Tier 3 level, we often will make a referral for further assessment and evaluation to determine if the student is presenting with a disability that requires special education services and supports.

**Evidence-Based Interventions.** Rocketship utilizes a suite of evidence-based intervention resources to support students across all tiers of intervention. These include (but are not limited to):

- **Academic Interventions:** Systematic Instruction in Phonics and Phonemic Awareness (SIPPS), HELPS fluency routines, Seeing Stars and On Cloud 9 (Lindamood Bell), Sound Partners
- **Behavioral/Social-Emotional Interventions:** Check-in/Check-out (CICO), individual counseling, functional behavior assessments and positive behavior intervention plans

**Ongoing Progress Monitoring.** Rocketship utilizes a variety of tools to conduct ongoing progress monitoring of students participating in interventions. Students participating in Tier 2 and Tier 3 academic intervention participate in weekly progress monitoring activities using curriculum-based measurements. The STEP assessment (further described above) is also utilized to monitor the progress of students participating in reading comprehension intervention. The progress of students participating in Check-in/Check-out (CICO) is tracked daily by CICO coordinators.

**Data-Based Decision Making.** Rocketship’s four to six week data cycles support the data-based decision making component of our RtI model. On data days, school staff analyze the progress monitoring data of students who have participated in intervention in order to determine whether to continue, modify, or discontinue the intervention for each individual student. We have developed a number of protocols and structures to support school staff in engaging in this problem-solving process.

**Treatment Integrity.** Rocketship Assistant Principals and Network Support staff conduct regular ‘implementation fidelity’ reviews of all staff conducting intervention using our evidence-based interventions. These reviews are not evaluatory; rather, they are designed to provide valuable feedback to intervention providers in order to ensure that intervention programs are being implemented according to their design.

**Problem-solving.** Rocketship uses a variety of structures to engage in the problem-solving component of the RtI process. Our pre-referral process consists of Student Huddle meetings, wherein grade level teams and school leaders meet to discuss and plan supports for individual students, followed by formal SST meetings where more intensive and targeted supports can be planned. Rocketship’s current “Pre-Referral Handbook” can be found in Appendix 8.

Transparency is an important component to the RtI framework. We regularly communicate with parents throughout the entire process, beginning with a written notification that their child has been selected to participate in RtI interventions. For a sample parent notification letter, please see the Rocketship RtI Playbook in Appendix 8.
High-achieving students are those who score at least one grade level above on standardized tests or internal metrics for ELA/Literacy and/or Mathematics. These students benefit from the same practices that are helpful to our struggling students. Because our internal systems measure student gains every four to six weeks, we will be able to monitor our high-achievers to make sure that their gains continue and do not regress to class averages.

In addition to applying elements of our RtI program to high-achieving students (i.e. guided reading groups, advanced Singapore Math resources, use of adaptive OLPS, regular progress-monitoring, and data-based decision making), we serve this population in a variety of ways, described below.

**Early Detection.** Rocketship will use internal assessment in ELA/Literacy and Mathematics to help us identify high-performing students within the first four to six weeks of school and monthly thereafter. Frequent and ongoing assessment will ensure that we are meeting the needs of all students as they arise and tracking progress to ensure our strategies are supporting improved achievement.

**Differentiation.** Differentiation describes an instructional method where instruction styles, content, and materials are targeted at the specific needs and characteristics of individual or small groups of students. Teachers will use assessment and progress-monitoring data to plan enrichment activities with their high-performing student. These will occur both in whole-class and small-group lessons.

**Family Communication.** We will inform families as soon as we have assessed students’ performance. Teachers may provide high-performing students with additional books and enrichment work to perform at home to increase their understanding of a particular subject area.

**Teacher Collaboration.** At least weekly, subject area teachers will gather to compare their student data, discuss students, and discuss instructional strategies, interventions and enrichment. This will be realized through a schedule that will allow the staff to have an early dismissal day one day a week and common planning time, further described above.

**Focused Instruction.** Rocketship’s standard instructional approach is for teachers to plan their lessons with at least three groups of students broken out who are striving for different sub-goals in their development towards meeting the same overall grade-level standards. One such subgroups is students who are performing above grade level. All students, including high-performing students, will receive targeted small group Guided Reading instruction and small group skills instruction in both the Humanities and Integrated Mathematics blocks. High-performing students may also participate in student-led literature circles and book clubs. In addition, our academic model allows for students to access material at their instructional level throughout the academic day. For example, students have access to a wide array of reading material, spanning many genres and reading levels, in our classroom libraries. Our Integrated Mathematics teachers also differentiate math centers, homework, and daily review exercises by difficulty level. Each student also receives personalized attention during 1:1 conferences during Writer’s Workshop.

**Daily Enrichment.** The Learning Lab is an additional space where high-performing students can read a variety of leveled literature and use adaptive software that challenges them. We anticipate providing other activities to our high-achieving students during Learning Lab which let them
examine the current grade-level concepts in more depth.

**Ongoing Assessment.** Data is gathered both through frequent real-time assessments performed in the subject areas and daily feedback given teachers from the online programs in the Learning Lab. Teachers will have the tools they need to track all of their students and make sure that students who began the year with good gains are not starting to fall back.

**SPECIAL EDUCATION SERVICES**

Rocketship Fuerza will comply with all applicable state and federal laws in serving students with disabilities, including, but not limited to, the Individuals with Disabilities Education Improvement Act of 2004 (IDEA), Section 504 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act, and any other civil rights enforced by the U.S. Department of Education Office of Civil Rights (OCR). Furthermore, Rocketship Fuerza will comply with authorizer guidelines, and all California laws pertaining to special education students.

**OVERVIEW**

Rocketship’s commitment to eliminate the achievement gap extends to all students, including students with unique learning and behavioral needs. The mission of the Integrated Special Education (ISE) department within Rocketship Public Schools is to ensure that students with disabilities receive a free and appropriate public education within the least restrictive environment. Towards this end, we develop rigorous, individualized educational programming for all students with disabilities. We will accomplish this through several means: high quality direct services provided to students, strategic professional development of our ISE and general education staff members, and a consultation and coaching model that empowers classroom teachers, school leaders, and families to appropriately support each student’s individualized education plan.

In order to fulfill this mission, Rocketship seeks to apply current, research-based best practices in order to create individualized plans that support students with disabilities in working to their full potential. We believe that all students are best-served within an inclusive model, and our service delivery model is grounded in team-based decision making. We approach educational programming for our students from a positive, strength-based perspective. Our ISE staff members serve as coaches and consultants who empower key stakeholders to support the academic and social development of our students both within the classroom and in their communities. We believe that our families are our students’ greatest advocates, and we strive to support them in becoming experts in their children’s needs and educational programs.

Rocketship Fuerza Community Prep operates as a Local Educational Agency (LEA) under the El Dorado County Charter Special Education Local Plan Area (SELPA) pursuant to Education Code Section 47641 (a). All of Rocketship’s existing California charter schools are members of the El Dorado SELPA.

As an LEA, Rocketship Fuerza will be solely responsible and liable, the same as a school district, for providing special education and related services. As an LEA pursuant to 47641(a), Rocketship will
assume full responsibility for providing special education and related services to eligible charter school students, in accordance with state and federal law. Rocketship agrees to follow policies and procedures of the SELPA in which it is a member and shall utilize SELPA forms and information systems necessary to identify and serve students who qualify for special education. Rocketship agrees to collaborate with the SELPA to respond to inquiries and requests and provide access to information and records, as needed, and shall be responsible for maintaining confidentiality of students records.

Rocketship Fuerza strives to achieve a student population representative of the community which we are serving. Whether a child is eligible for special education services under IDEA, or is provided a plan under Section 504, he or she is considered with all others for enrollment at Rocketship Fuerza. Disability or non-disability status is not a factor for enrollment or acceptance. Admission is based solely on availability of student enrollment space in a “general education” classroom.

**INTEGRATED SPECIAL EDUCATION (ISE)**

**ISE Model.** An Integrated Special Education (ISE) approach requires schools to align educational services for students with special education needs within existing structures (grade levels, groupings, etc.) rather than through special and segregated programs. Special and general education teachers work in collaborative arrangements designed to bring appropriate instructional supports to each child in the general school environment. Support is built on culturally relevant differentiation and instruction through universal access to content-driven curriculum.

Rocketship students are placed in general education classrooms and then provided flexible instructional opportunities that include large group, small group, and one-to-one instructions for those students with more significant needs. At Rocketship, we employ a variety of curricular and pedagogical options to maximize student learning in an array of teaching arrangements in environments that can be accessed by all learners, not just those with specific disabilities. In the Rocketship model, all teachers are responsible for all learners. Ongoing support develops the capacity of all teachers to teach to a diverse range of students’ learning needs. A system of general and special education teachers proactively supporting students are better able to put into place effective interventions prior to student failure. In the following sections, please find a description of the continuum of our special education services.

**Differentiation within the General Education Program.** At Rocketship, students with disabilities are educated in the general education environment, alongside their typically developing peers, to the greatest extent possible and appropriate for each individual student. In this model, it is essential that the general education program is scaffolded and differentiated to meet the needs of students with disabilities. Special education and general education staff closely collaborate in order to identify and designed needed scaffolds and supports. Supports in the Tier 1 program vary by student and according to need, but may include:

- Accommodations based on a Universal Design for Learning (UDL) framework
- Homogenous small group reading instruction using texts at students individual instructional levels
- Modified homework and independent classwork
- Visual supports, such as visual schedules or visual behavior supports
• Assistive technology supports
• Testing accommodations

We believe these supports benefit all learners, and the close collaboration between general and special education professionals to serve any student who is experiencing learning challenges will help us meet our goal of helping every student to reach 1.5 years of achievement in each grade. For sample materials on general and special education collaboration and the UDL framework, please see Appendix 8.

Specialized Academic Instruction. Specialized academic instruction (SAI) is provided to students for whom it is required in order to access and benefit from the educational program. SAI services are tailored to the individual needs of the student, and may be provided within the general education setting or in a pull-out setting, in a small group or individually. All SAI services are fully described in the IEP and are provided by or under the supervision of credentialed special education staff. At Rocketship, we use a suite of evidence-based curricula to support the implementation of SAI services, although each child’s program is individualized based on his or her identified needs.

At Rocketship, qualified Education Specialists also work with qualified paraprofessionals to provide special education services. The paraprofessional works under the direction of the Education Specialist to provide additional instructional support and services to identified students.

Related Services. Rocketship provides related services, including but not limited to speech and language services, occupational therapy services, and physical therapy services as needed to meet eligible students’ IEP needs. The services are provided by certified or licensed professional staff. Service delivery ranges in time and intensity based on the needs of the students as identified in the IEP. All services are written up in the IEP, agreed to, and fully executed by the ISE staff with the assistance of the general education staff and administration with only qualified, trained and knowledgeable personnel all based on the needs of the student population. Rocketship has directly hired many related service providers, including speech language pathologists, school psychologists, and occupational therapists. Other low incidence related services, including vision services, adapted physical education, and deaf/hard of hearing services are provided by contracted, certified Non-Public Agency (NPA) providers. In accordance with SELPA guidelines, Rocketship develops a Master Contract and Individual Service Agreements for all services provided by NPAs.

Referral to Non-public School Agency. In some exceptional cases, when a student may require a placement in a more restrictive setting, the IEP team may consider a referral to a non-public school(NPS). If a parent places students at a non-public school, private school or residential facility, Rocketship will immediately inform the SELPA. We currently have one Rocketship student enrolled in a NPS.

Educationally Related Mental Health Services. As an LEA for the purposes of special education, Rocketship is responsible for the provision of Educational Related Mental Health Services (ERMHS) for any student who requires this related service in order to access and benefit from his or her educational program. Rocketship conducts ERMHS assessments and develops recommendations for ERMHS provision in accordance with state regulations and SELPA guidelines. ERMHS services are provided by a combination of Rocketship school psychologists...
and contracted NPAs, depending on the recommendations of the IEP team and needs of the individual student. See Appendix 8 for additional information regarding ERMHS procedures.

**Transportation.** Rocketship will provide transport to any student if required by a written statement in the student’s IEP, and only with the written consensus of the IEP team as needed, for any eligible child to and from school and all school related activities. A transportation assessment will guide the determination.

**Supports for Students with Moderate to Severe Learning Needs:** Rocketship’s flexible and supportive approach to special education reduces the need for separate “SDC” placements for many students, and we have historically found that the vast majority of students who enter Rocketship from an SDC placement are able to be successful in an inclusive model with the appropriate supports and collaboration between special and general educators. Because of our open lottery process, we have students with a variety of learning and behavioral needs in our schools, and have developed supports and structures to support all learners within our model. Students with moderate to severe learning needs are case managed by a teacher with a moderate to severe Education Specialist credential, on a caseload with a much lower student-to-staff ratio than students with mild learning differences. Our moderate to severe teaching staff have access to professional development opportunities uniquely designed for their specific student needs. Inclusion in the general education program is still a critical component of the educational program for students with moderate to severe learning needs, but we recognize that these students often have additional, unique needs that must be addressed. Towards that end, we have adopted a suite of curricular resources specifically designed to support students with more significant needs, and our moderate-to-severe teaching staff weave support and instruction in adaptive and communication skills throughout the day for these learners.

**SPECIAL EDUCATION ELIGIBILITY DETERMINATION**

Rocketship understands its responsibility to ensure that all children with disabilities who need special education and related services are identified, located, and evaluated, regardless of the severity of the disability. Although Rocketship will not administer any assessment or evaluation for the purposes of admission, in accordance with the Child Find mandate, we make a continuous and proactive effort to identify students with special needs and those in need of a pre-referral intervention plan.

In order to proactively identify students who have already been found eligible to receive accommodations and/or services via an IEP or Section 504 plan, Rocketship includes a “Child Find Supplement,” which asks families to indicate if the enrolling student has a current IEP or 504 plan, has recently been evaluated for any purpose, or if the family has additional concerns. (For a sample Child Find Supplement, please see Appendix 7.) This information is entered into our student information system, and our special education staff work with office managers and families to secure copies of IEPs, 504 plans, and/or evaluation reports in order to ensure that a student’s identified supports and services are in place at the start of the school year. In accordance with California state special education regulations, an interim IEP meeting is held within the first 30 days of school for any student enrolling with a current IEP; however, services are provided as outlined in the current IEP and in accordance with SELPA guidelines immediately upon the start of the school year for all identified students.
If a student enrolls in Rocketship Fuerza with an existing IEP, the Charter School will notify the District and/or the SELPA within five days.

Rocketship also implements a systematic approach to identifying and evaluating any student who the school has reason to believe may have a disability. Rocketship's pre-referral process includes the following student and staff supports:

- A “student huddle” process, wherein grade level teams and school leaders collaborate to plan classroom-level supports for students with identified academic and/or behavioral needs.
- A formal Student Study Team (SST), which includes family members, classroom teachers, school leaders, the school psychologist, and other staff as necessary, which plans targeted, individualized students who present with more significant academic and/or behavioral needs. (For a copy of our current SST Handbook, please see Appendix 7.)
- Tier 2 and 3 behavior and academic interventions, further described above, delivered to students identified as needing them through the universal screening process

Students who do not respond to targeted pre-referral interventions that are delivered with fidelity may be referred for formal evaluation to determine whether they meet criteria for special education services or accommodations under a Section 504 plan. Rocketship doesn’t have an explicit rule regarding the exact time frame during which interventions must be implemented before special education evaluation is considered, as this timeline will likely vary depending on the need of the individual student participating in intervention. However, as a general rule, if a student has participated in two cycles of interventions that have been implemented with fidelity, and the student has not demonstrated adequate responsiveness to those interventions, the SST or intervention team may consider whether a referral for special education evaluation is appropriate.

Generally, students who do not respond to targeted pre-referral interventions after two cycles that have been delivered with fidelity may be referred for formal evaluation to determine whether they meet criteria for special education services or accommodations under a Section 504 plan. Intervention cycles can range from 6-10 weeks and may align with Data Days set by the Academic Calendar.

If the student has not demonstrated adequate responsiveness to interventions during these cycles, the SST or intervention team may consider whether a referral for special education evaluation is appropriate.

The Individual with Disabilities Education Act (IDEA) governs the identification, evaluation and implementation of special education services. Interventions within the general education program shall implemented before referral for a special education evaluation. (Cal. Ed. Code Section 56303). Rocketship also recognizes the requirement to proceed without delay in the initiation of an evaluation when the school has reason to suspect that a child has a disability. For that reason, even when a formal evaluation of a student is in process, academic and/or behavioral interventions are planned and implemented in order to support the student while the evaluation is completed.

Rocketship also responds formally to all parent and staff requests for special education evaluation in accordance with state and SELPA guidelines and regulations. If a verbal request for special
education evaluation is made by a parent or staff member, the receiving staff member assists the parent in putting their request in writing. Written requests are day and time stamped by the receiving staff member and immediately delivered to the special education team, including a special education teacher, a school leader and a school psychologist. The school team then schedules a formal SST meeting, which includes the parent, to respond to the formal request for evaluation. During that meeting, if it is determined that there is reason to suspect the child may have a disability, an assessment plan is drafted and provided to the parent. Parents will receive a written Assessment Plan within 15 days. Rocketship Fuerza will also notify the District and/or SELPA of the assessment request within five days of receipt. Parents will be given at least 15 days to provide consent. If the parent provides consent to proceed with the evaluation, the special education team completes the evaluation and schedules an IEP meeting to discuss the results, including a recommendation for eligibility for special education services, within 60 days of the receipt of written consent to Assessment Plans and Prior Written Notice documents are always provided to parents in their primary language, and are accompanied by a current copy of the SELPA’s Procedural Rights and Safeguards.

All Rocketship staff, including Office Managers and Business Operations Managers, are trained in the Child Find mandate and pre-referral process prior to the start of each school year.

ASSESSMENT AND REVIEW

An assessment for special education services is a comprehensive, in-depth evaluation of a student’s school, health, and family history, and present academic and social-emotional functioning. An assessment is the same as evaluation in IDEA (CFR Section 1414, Title 20). At Rocketship, students are assessed by a multidisciplinary team in all areas of suspected disability. The assessment team includes a lead assessor (generally the School Psychologist or the Speech Language Pathologist), the family, the Education Specialist, classroom teachers, and any other individual knowledgeable of the student. Additional specialists, such as occupational or physical therapists, adapted PE teachers, social workers, etc. may be involved depending on the student’s needs. Rocketship will inform parents that special education and related services are provided at no cost to them.

IDEA mandates that “No single procedure is used as the sole criterion for determining an appropriate educational program for an individual with exceptional needs.” Consequently, the assessment team utilizes many data sources (record reviews, interviews, observations, formal and informal testing, etc.) to make a recommendation regarding eligibility for special education services. All assessments used by Rocketship are validated for the specific purpose for which they are used, and assessors take particular caution when selecting assessments that reliably evaluate the performance of culturally and linguistically diverse students. Students are also assessed in their primary language, and Rocketship employs bilingual school psychologists and speech language pathologists to accommodate this requirement. Hearing and vision assessments are also conducted as a component of all formal special education evaluations. In the case that a parent provides outside evaluation information, the IEP team also considers this information as a component of the formal evaluation.

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8 California state law prohibits the use of IQ tests with African American children. Assessment teams use alternative methods of assessing cognitive functioning for these students.
Classroom teachers participate in the assessment process by consulting with the assessors regarding the student’s academic, behavioral and social functioning. They may also provide data demonstrating the student’s performance as compared to his or her peers. Education Specialists complete the academic portion of the evaluation, which includes formal as well as informal assessment.

If a student is found eligible to receive special education services under one or more of the 13 qualifying conditions, the team meets to create an Individualized Education Plan for the student. The team then meets no less than annually to review the IEP, and every three years to hold a triennial IEP meeting. The team may also meet in other circumstances, including:

- After the student has received a formal assessment or reassessment;
- Within 30 days of a parent’s request;
- When an Individual Transition Plan (ITP) is required at the appropriate age;
- If a manifestation hearing is required.

Rocketship assessment reports include the following: the student’s present level of educational performance; the relevant behavior noted during the observation of the student in an appropriate setting; the relationship of that behavior to the student’s academic and social functioning; the educationally relevant medical findings, if any; a determination concerning the effect of environmental, cultural, or economic disadvantage; a statement as to if and how the student’s disability affects involvement and progress in the general curriculum; the student’s historical and current functioning in the general education curriculum regardless of the setting; deficits in the student’s cognitive functioning, communicative functioning, social and emotional functioning and physical functioning that might serve as a barrier to their successful involvement in the general education curriculum; and what has been the impact of the student’s attendance on his/her achievement. Assessment reports will be provided to parents in their primary language whenever indicated.

In the case where a parent disagrees with Rocketship’s assessment in a particular area, they are informed of their right to request an Independent Educational Evaluation, which is provided at public expense.

Every three years, a student with an IEP is re-evaluated. The triennial assessment serves two purposes: to review progress made since the last formal evaluation, and to determine if the student continues to be eligible to receive special education services.

Prior to the triennial IEP, the IEP team reviews existing evaluation data, including evaluations and information provided by the parents of the student, current classroom-based assessments and observations, and teacher and related service providers’ observations. On the basis of that review and input from the student’s parents, the IEP team identifies what additional information is needed to establish the present levels of performance and determine the educational needs of the student. An assessment plan reflecting proposed assessment in these identified areas is presented to the parent, and assessment is conducted within the same timeframe as initial evaluations (60 days).

Rocketship assumes full responsibility for IEP review. Unless otherwise specified on the student’s IEP, parents will be informed four times a year of the student’s progress toward meeting annual
goals. If reassessment is requested any time during the year, school will have thirty days, not including school vacations greater than five days, to hold the IEP meeting.

**IEP DEVELOPMENT**

At the conclusion of a formal evaluation for special education services, the Individualized Education Program (IEP) team—comprised of, at a minimum, the Education Specialist, general education teacher, administrator or administrative designee, assessors, related service providers, and the parent, will then meet to reach an eligibility determination in consideration of all of the data, observations, and assessment results.

Rocketship follows all applicable federal and state laws governing the IEP process and procedural safeguards. Parents, general education, special education services staff, other professionals and other service providers or professionals as appropriate will be involved throughout the IEP process. As a member of the El Dorado County Charter SELPA, all of the current Rocketship schools use the SEIS electronic IEP form for documenting this process. The IEP will include a child’s present level of academic performance, annual progress goals and the way that they will be measured, dates, frequency and duration of services to be provided, and the degree of inclusion for this student in the general education classroom. Our current forms are all on SEIS.

Rocketship designs IEPs such that it can be reasonably expected that the child will receive meaningful educational benefit from the program that is developed. IEP teams focus on developing the accommodations and services that are necessary for the student to access and benefit from the general education program, and we take very seriously our mandate to educate students with disabilities in the least restrictive environment. Towards this effort, whenever possible, special education services are provided by specialists within the general education classroom (see Appendix 7 for more information on our approach to co-teaching). We do, however, understand that an environment can only be considered “least restrictive” when the student is receiving meaningful educational benefit from the program, and as such, a critical component of the IEP process is designing the systems that will allow the team to continually monitor student progress and adjust the plan in the case that a student is not making adequate progress. While we have adopted an inclusive approach to our special education service delivery and place a high priority on students with disabilities spending as much time as is appropriate in the general education environment, alongside their typically developing peers, we do provide pull-out specially designed services when the IEP team determines that this placement is most appropriate for the individual student.

In the case that a student is exhibiting behaviors that significantly impede the learning of him/her or others, the IEP team develops a positive behavior intervention plan (PBIP) as a formal component of the IEP. When necessary, the IEP team will conduct a Functional Behavior Assessment (FBA) to determine the function of the child’s behavior, as well as environmental conditions that may be contributing to the behavior. The FBA consists of observations, interviews, record reviews, and formal testing as necessary. The results of the FBA are then used to develop the PBIP, which outlines a functionally equivalent replacement behavior, specific behavior goals, services and supports (including necessary environmental changes) needed to make progress towards the goal, and a clear response plan should the behavior occur again in the future.

In the event that a student may transfer out of Rocketship, our practice is to notify the
superintendent of the student’s district of residence in writing of the IEP.

INTERIM AND INITIAL PLACEMENTS OF NEW CHARTER SCHOOL STUDENTS

Rocketship shall comply with Education Code Section 56325 with regard to students transferring into the Charter School within the academic school year. Rocketship shall provide transferring students with free and appropriate public education, including services comparable to those listed in the existing IEP. If transferring from another school within the same SELPA, Rocketship shall continue to provide services comparable to those described in the existing approved IEP, unless parents/guardians and school agree otherwise.

If transferring from another school within a different SELPA, Rocketship, pursuant to Education Code Section 56325(a)(1), shall continue to provide services comparable to those described in the existing approved IEP for a period of up to 30 days, by which time Rocketship shall adopt the previous IEP or, in consultation with parents/guardians, develop and implement a new IEP that is consistent with federal and state law.

If transferring from a school outside of California, Rocketship shall provide the student with services comparable to those described in their existing IEP, until Rocketship conducts an assessment pursuant to Section 1414 of Title 20 of the United States Code, if determined to be necessary by Rocketship, and develops a new IEP, if appropriate, in accordance with federal and state law.

SECTION 504 PLANS

In addition to IDEA, Rocketship follows Section 504 of the Rehabilitation Act of 1973 and Title II of the Americans with Disabilities Act (ADA), which prohibits discrimination based on a disability.

A 504 team will be assembled by the Assistant Principal and shall include the parent/guardian and other qualified persons knowledgeable about the student, the meaning of the evaluation data, placement option, and accommodations. The 504 team will review the student’s existing records, including academic, social, and behavioral records, and is responsible for making a determination as to whether a recommendation for 504 services and/or supports is appropriate. If the student has already been evaluated under the IDEA but found ineligible for special education instruction or related services under IDEA, those evaluations can be used to help determine eligibility under Section 504. The student evaluation shall be carried out by the 504 team who will evaluate the nature of the student’s disability and the impact upon the student’s education. This evaluation will include consideration of any behaviors that interfere with regular participation in the educational program and/or activities.

The 504 team may also consider the following information in its evaluation:

- Tests and other evaluation materials that have been validated for the specific purpose for which they are used and are administered by trained personnel.
- Tests and other evaluation materials including those tailored to assess specific areas of educational need, and not merely those which are designed to provide a single general intelligence quotient.
- Tests are selected and administered to ensure that when a test is administered to a
The final determination of whether the student is eligible for accommodations under Section 504 must be made by the 504 team in writing and notice is given in writing to the parent or guardian of the student in their primary language along with the procedural safeguards available to them. If during the evaluation, the 504 team obtains information indicating possible eligibility of the student for special education per the IDEIA, a referral for assessment under the IDEIA will be made by the 504 team.

If the student is found by the 504 team to have a disability under Section 504, the 504 team shall be responsible for determining what, if any, accommodations or services are needed to ensure that the student receives a free and appropriate public education (“FAPE”). In developing the 504 Plan, the 504 team considers all relevant information utilized during the evaluation of the student, drawing upon a variety of sources, including, but not limited to, assessments conducted by the school’s professional staff.

The 504 Plan describes the Section 504 disability and any program accommodations, modifications or services that may be necessary.

All 504 team participants, parents, guardians, teachers and any other participants in the student’s education, including substitutes and tutors, will be provided with a copy of each student’s 504 Plan. The site administrator will ensure that teachers include 504 Plans with lesson plans for short-term substitutes and that he/she review the 504 Plan with a long-term substitute. A copy of the 504 Plan will be maintained in the student’s file. Each student’s 504 Plan will be reviewed as needed and on a regular basis to determine the appropriateness of the plan, needed modifications to the plan, and continued eligibility. Any student with a 504 Plan has a Confidential File Folder maintained by the 504 Case Manager. This folder is a supplement to the student’s cumulative folder.

CONSIDERATIONS FOR EL STUDENTS

Because the majority of current Rocketship students are ELs, Rocketship carefully considers the cultural and instructional needs of students with English as a second language. Verbal and written translation of all materials, notices, documents, reports and communications is offered to parents when indicated or provided at parents request. Assessments are to be conducted in a student’s primary language, or with translation as appropriate, whenever a student’s English language development level may require such assessment in order to better understand a student’s learning needs. Rocketship follows all applicable laws in providing general education instruction and special education services to eligible EL students, as well as ensuring parent procedural safeguards. Teachers providing core content instruction, as well as special education services, have appropriate training and certification. Training is provided to specialists to ensure that IEP goals are written to support the unique learning needs of EL students with disabilities.

The results of the Home Language Survey and state-mandated language assessments (i.e. CELDT/ELPAC), further described below, are considered when developing a student’s IEP. Testing accommodations described on the child’s IEP, are considered for administering required language
CONSIDERATIONS FOR ENGLISH LANGUAGE LEARNER (ELL) STUDENTS

Because the majority of current Rocketship students are English Language Learners (ELLs), Rocketship carefully considers the cultural and instructional needs of students with English as a second language. Verbal and written translation of all materials, notices, documents, reports and communications is offered to parents when indicated or provided at parents request. Assessments are to be conducted in a student’s primary language, or with translation as appropriate, whenever a student’s English language development level may require such assessment in order to better understand a student’s learning needs. Rocketship follows all applicable laws in providing general education instruction and special education services to eligible ELL students, as well as ensuring parent procedural safeguards. Teachers providing core content instruction, as well as special education services, have appropriate training and certification. Training is provided to specialists to ensure that IEP goals are written to support the unique learning needs of ELL students with disabilities.

The results of the Home Language Survey and state-mandated language assessments (i.e. ELPAC), further described below, are considered when developing a student’s IEP. Testing accommodations described on the child’s IEP, are considered for administering required language assessments.

STAFFING

Our ISE team is typically comprised of a Program Director, Program Specialist, School Psychologist, Education Specialists (mild to moderate and moderate to severe), Paraprofessionals, Speech Therapists, Speech and Language Pathologist Assistants, Occupational Therapist, and other specialists as might be required by a student’s IEP.

All roles are crucial to provide the appropriate amount of services personalized for the student. All service providers will have the appropriate credentialing and/or licensing to meet all of the ESSA requirements. In order for us to build teacher capacity, Rocketship utilizes the partnership with a local BTSA Induction Program to help ISE teachers to expand and deepen their teaching knowledge and skills and complete the requirements for a California Clear Credential.

The ISE Paraprofessional is responsible for providing individual and small group instruction for students with special needs, students with typical learning needs, students with behavioral needs, and students with emotional needs in the general education environment including, but not limited to: the Learning Lab, recess, the lunch area, and in the classroom setting. This role is responsible for implementation and recording of data for personalized instructional programs and positive behavior support plans. The Paraprofessional works under the supervision of ISE teachers and the School Psychologist who will provide weekly oversight, training and direction.

The Education Specialist is responsible for managing the IEP caseload for Rocketship students who need ISE/SPED services as outlined in their IEPs. This role will improve students’ success in the basic academics (reading, language and/or math, etc.) through implementing Rocketship-approved curriculum; documenting teaching and student assessments.
progress/activities/outcomes; modeling the necessary skills to perform assignments; providing a safe and optimal learning environment; and providing feedback to students, classroom teachers, parents and administration regarding student- progress, expectations, goals, etc.

Special education staff participate both in the professional development opportunities available to all Rocketship teachers, as well as professional development uniquely tailored to the needs of special educators. PD topics include (but are not limited to):

- Universal Design for Learning (UDL)
- Co-teaching models and best practices
- Assistive technology, including augmentative communication devices
- Behavior assessment, analysis, and planning
- Crisis Prevention Intervention (CPI)
- Writing and implementing high quality IEPs; Facilitating high quality IEP meetings
- Data-based decision making

Special education staff also receive ongoing direct coaching from both an on-site administrator (principal or assistant principal), as well as an assigned Program Specialist who provides special-education specific consultation and support. For sample special education professional development materials, please see Appendix 7.

PARENT INVOLVEMENT

Participation and Training. Rocketship parents are encouraged to participate in the school community through volunteer hours and monthly community meetings. Parents of students with IEPs are full members of the parent/school community. Parents are invited to participate in Community Advisory Committee (CAC) meetings through the SELPA, either in person at the school site or via online connection, to learn more about topics related to special education needs. All meetings requiring translation are translated. These meetings provide additional training to parents in collaboration with our Charter SELPA. Lastly, we combine additional parental trainings on numerous subjects for all parents—those with IEPs and those without—with our monthly school community meetings.

Progress Updates. Education Specialists, in collaboration with General Education Teachers, begin their school year with a home visit to all students on their caseload. Education Specialists are available and in contact with parents by email, phone and home/school communication notebooks on a regular basis throughout the year.

In addition, all students’ progress is updated in writing on a trimester basis. In addition to a progress report on the IEP goals, the Education Specialist will participate in these quarterly parent teacher conferences. This allows for total involvement of the entire IEP team throughout the year rather than just on an annual basis.

Rocketship is committed to having all documents provided in the primary language of the parents/guardians in a timely manner. All meeting notices, IEPs, assessment reports, progress updates, are translated if requested by the parents. This includes verbal and written translations.

Dispute Resolution. Our Charter SELPA offers mediation as an Alternative Dispute Resolution
method. In the event that the issue cannot be resolved through the standard IEP process, we attempt all means of mediation as a way to meaningful settlement of issues. Parents have a right to file a complaint with Rocketship or the California Department of Education.

Rocketship believes that all parents have a right to be informed of their procedural rights in their preferred language. Parents are provided procedural safeguards prior to any SPED assessment process or IEP meeting. The case manager asks if the parent would like a thorough review of these safeguards prior to every IEP meeting and, while some parents do decline, many parents appreciate the additional explanation.

Rocketship implements systems-wide SPED protocols and conducts regular monitoring in an effort to maintain compliance with state and federal mandated laws to protect the rights of students and parents. In the event of a legal dispute, Rocketship Legal Counsel will work very closely with staff, our SELPA and the family (as well as other relevant stakeholders) to come to a resolution.

**ENGLISH LEARNERS**

At Rocketship, we serve a significant number of English learners (ELs). As such, our instructional model is targeted toward ensuring that all of our students become Lifelong Language Learners who have reached full proficiency in the English language. Rocketship will follow all applicable laws and regulations in serving its ELs as they pertain to annual notification to parents, student identification, placement, program options, EL and core content instruction, teacher qualifications and training, reclassification to fluent English proficient status, monitoring and evaluating program effectiveness, and standardized testing and reporting requirements. Rocketship will implement policies to assure proper placement, evaluation, and communication regarding ELs and the rights of students and parents. Rocketship will provide access to grade-level core curriculum for all ELs.

**IDENTIFICATION AND ASSESSMENT**

We will administer the Home Language Survey (HLS) upon a student’s initial enrollment into Rocketship. If a student’s HLS shows a response other than English to the first three questions, he/she must be tested for English comprehension, speaking, reading and writing within 30 days and for primary language assessment within 90 days, as required by law. School personnel shall arrange for these assessments and will place the HLS in the student’s cumulative file.

State and federal laws require that schools administer a state test of English language proficiency. Pursuant to California law, we will administer the California English Language Development Test (CELDT) or ELPAC once each year to ELs until they are Reclassified Fluent English Proficient (RFEP), unless a student is classified as Initial Fluent English Proficient (IFEP) upon the initial CELDT/ELPAC administration. For more details on these classifications, please see below.

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9 Legal guidelines clarify that an English learner (EL) is a TK-12 student who, based on the California English Language Development Test (CELDT), has not developed listening, speaking, reading, and writing proficiencies in English sufficient for participation in the regular school program.

10 The 30-day requirement applies to students who are entering a public school in California for the first time or
CELDT/ELPAC scores will be placed in the student’s cumulative file.

The CELDT/ELPAC will be used to fulfill state and federal requirements for annual English proficiency testing. The purpose of the CELDT/ELPAC is to (1) identify new students who are ELs, in transitional kindergarten through grade twelve; (2) determine their level of English proficiency; (3) monitor their progress in learning English on an annual basis; and (4) determine when students have met one of the criteria to be reclassified to FEP status. Rocketship will notify all parents of the CELDT/ELPAC testing requirements and of CELDT/ELPAC results within 30 days of receiving results from the publisher.

CLASSIFICATION OF INITIAL FLUENT ENGLISH PROFICIENT

A student’s initial CELDT/ELPAC score determines whether he/she is classified as an English learner, and whether he or she is eligible to be classified as Initial Fluent English Proficient (IFEP). If the student is eligible for IFEP status, he or she is marked as “TBD” and evaluated for reclassification at the end of the academic year.

Our criteria for classifying a student as IFEP follow California State guidance and are as follows:

**In Grades TK-1:**
- On the initial ELPAC assessment, the student earns an overall score of Early Advanced (Level 4) or Advanced (Level 5). The student’s Listening and Speaking domain scores must each be Intermediate (Level 3) or higher.

**In Grades 2-5:**
- On the initial ELPAC assessment, the student earns an overall score of Early Advanced (Level 4) or Advanced (Level 5). The student achieves Intermediate (Level 3) or higher on domain scores for Listening, Speaking, Reading, and Writing.

RECLASSIFICATION AS RECLASSIFIED FLUENT ENGLISH PROFICIENT

In general, English Language Learners are no longer classified as "ELL" once they have attained the language skills necessary to compete with mainstream English speakers in age and grade appropriate settings in all areas of language development without the use of modified English materials.

Under current state law, students who are identified as English learners must participate in the annual administration of the ELPAC until they are identified as Reclassified Fluent English Proficient (RFEP).

Our reclassification policies and procedures are developed in accordance with the reclassification criteria who have not yet been CELDT-tested. All other students who have indicated a home language other than English will continue with annual CELDT testing based upon the date last tested at the prior school of enrollment.

11 The CELDT is the state-mandated language assessment at the time of this writing. We understand that the State is planning to transition to the English Language Proficiency Assessments for California (ELPAC) within the next several years. We will comply with all state laws and regulations regarding the implementation of the ELPAC as the successor language assessment.
criteria outlined in the California Ed Code, including: (1) assessment of English language proficiency, using an objective assessment instrument, including, but not limited to, the state test of English language development; (2) teacher evaluation, including, but not limited to, a review of the student’s curriculum mastery; (3) parent opinion and consultation; and (4) comparison of student performance in basic skills against an empirically established range of performance in basic skills based on the performance of English proficient students of the same age.

The process for reclassification occurs annually between May and June. Rocketship’s Analytics Team will generate a spreadsheet of ELL students who are eligible for reclassification. This spreadsheet will include all necessary assessment data on students who met or are close to meeting the reclassification criteria.

School leaders, teachers, and any relevant support staff will collaborate to evaluate each student’s overall growth and curriculum mastery. The goal of this meeting is to determine whether the ELL student is able to participate in grade-level curriculum with the same level of proficiency as their native-language peers. Teachers will bring several relevant work samples (i.e. student project, writing sample) and student test scores as evidence of progress. After the conference, findings are documented in the master spreadsheet.

Teachers will also hold in-person conferences with parents to review students’ language progress. If the school team recommends reclassification, the teacher will discuss this with parents and explain the evidence used in making the determination. The teacher will then obtain official signed parental consent, which will be saved in the student’s cumulative file.

Students who are moved from EL status or RFEP are documented in online databases including PowerSchool and CALPADs. Rocketship will monitor reclassified students’ performance for two years after reclassification in accordance with California regulations and Title III of the ESEA.

ENGLISH LANGUAGE INSTRUCTION
The State Board of Education has adopted the California English Language Development (ELD) standards as part of a national movement to ensure that students gain the necessary literacy and mathematical knowledge and skills required in 21st century higher education and workplace communities. The CA ELD standards describe the key knowledge, skills, and abilities in core areas of English language development that students learning English as a new language need in order to access, engage with, and achieve in grade-level academic content areas, with particular alignment to the key knowledge, skills, and abilities for college and career-readiness as described in the CA CCSS for ELA/Literacy and Mathematics. The CA ELD standards are designed to provide challenging content in English language development for ELLs to gain proficiency in a range of rigorous academic English language skills.

Our instructional materials for ELD instruction will be prepared by Rocketship’s Achievement Team, a specialized group of teachers and Principals, in the same manner as our core content instruction, as described in the Curriculum and Instruction section of this petition above.

ELD Standards. We design our English language instruction to encompass all three parts of the CA ELD standards.

- **Part I:** We focus on meaningful interaction with the English language, both orally and in written texts, via three modes of communication- collaborative, interpretive, and
productive. We will teach students how to use comprehension strategies and analytical skills to understand the meaning of various texts. We will also teach students how to analyze and evaluate the way writers and speakers utilize language to effectively convey meaning.

- **Part II:** We focus on learning how English works to make meaning via three broad language processes – structuring cohesive texts, expanding and enriching ideas, and connecting/condensing ideas. We will teach students how to organize text as they read so they can better grasp the structure. We will also teach students how to adjust their own language choices as they speak and write. We expect students to apply their growing knowledge of language resources to create and comprehend precise and detailed texts that accurately convey meaning.

- **Part III:** We provide foundational skills for ELLs. We will differentiate instruction based on a variety of factors including age, similarities between the student’s primary language and English, and oral language proficiency in English. We will teach students the meaning of words that the students are learning to decode to emphasize the importance of meaning-making.

**Integrated and Designated Instruction.** We will utilize both integrated and designated instruction to teach the ELD standards.

**Integrated instruction** occurs throughout the school day in every subject area. Teachers use the CA ELD standards in tandem with the CA CCSS for ELA/Literacy and other content areas to ensure that students are strengthening their ability to use the academic English that they are learning. Examples of integrated instruction include teachers routinely examining texts and instructional tasks to identify language that may be challenging for ELLs; determining opportunities to highlight and discuss particular language resources (i.e. precise vocabulary, different ways of combining ideas in sentences, different ways to start a paragraph to emphasize a key ideas; observing students to determine how they are using targeted language; adjusting whole group instruction or work with small groups/individuals to provide adequate and appropriate support; and frequently engaging their ELLs in discussions to develop content knowledge.

**Designated instruction** is protected time during the regular school day where teachers use the CA ELD standards to develop critical language skills that ELLs need for content learning in English. This instruction is not required or intended to be separate or isolated from the content areas, but it is a time when teachers actively engage ELLs in developing the discourse practices, grammatical structures, and vocabulary necessary for successful participation in academic tasks.

During designated instruction, students are grouped so that teachers can strategically target students' language learning needs and accelerate English language and literacy development. Depending on the school’s population breakdown, teachers may work with students individually throughout the school day or they may break students into the expanding, emerging and bridging stages of language development, further described below:

- **Emerging:** Students are learning to use English for immediate needs and beginning to understand and use academic vocabulary and other features of academic language
- **Expanding:** Students are increasing English knowledge, skills, and abilities in more contexts. Students are learning to apply a greater variety of academic vocabulary, grammatical structures, and discourse practices in more sophisticated ways, appropriate
to age and grade level.

- **Bridging:** Students are continuing to learn and apply a range of advanced English knowledge, skills, and abilities in a wide range of contexts including comprehension and production of highly complex texts. The “bridge” is the transition to full engagement in grade level academic tasks and activities without specialized instructional support.

Importantly, students will never be removed from other core content instruction to receive designated ELD instruction and students will be grouped heterogeneously throughout the rest of the school day.

During designated ELD, there is a strong emphasis on oral language development as well as reading and writing tasks designed to develop awareness of how English works. For example, teachers may work with ELs at the expanding or bridging level to more closely examine the language used in a text that they have already read; teach the meanings of some of the general academic vocabulary and use the vocabulary in different ways in speaking and writing over the course of the next few weeks; and discuss the structure and type of text or engage in a debate about the text’s content to reinforce language. Teachers may also write prompt sentences from a text and have students combine them into more complex sentences.

**Scaffolding.** Teachers will be trained to scaffold ELD instruction to meet the needs of individual ELLs depending on their proficiency levels (emerging, expanding, or bridging). Scaffolding can be substantial, moderate, or light. ELs at the emerging level will generally require more substantial support to develop the capacity for academic tasks than students at the expanding or bridging levels. For example, ELLs at the emerging level may need substantial support to explain their thinking about a literary or informational text that they read closely, such as sentence frames or graphic organizers. However, ELLs at every level engage in some academic tasks that require light to no scaffolding and some that require substantial. In any case, scaffolding is intended to be temporary, and independence can be promoted and increased through gradual release of responsibility.

**Instructional Strategies.** Rocketship teachers are trained in Project Guided Language Acquisition Design (GLAD), which includes a multi-day professional development workshop. GLAD focus on vocabulary development, graphic organizers, oral language, interactive displays, and several other strategies that have been proven to be highly effective with ELL students. Our teachers have four prioritized GLAD strategies: graphic input chart, pictorial input chart, expert groups, and process grids.

Rocketship teachers will also employ Specially Designated Academic Instruction in English (SDAIE) strategies in their classrooms, which are meant to be beneficial for all students, not just English learners. These strategies may include:

- **Vocabulary development:** Teachers will introduce new vocabulary words while introducing a new concept.
- **Guided interaction:** Teachers will structure lessons so that students work together to understand what they are learning.
- **Metacognition and authentic assessment:** Teachers will model and explicitly teach thinking skills and use a variety of activities to check for understanding.
- **Explicit instruction:** Teachers will utilize direct teaching methods to teach concepts, academic language, reading comprehension, text patterns, vocabulary, writing, and
decoding skills needed to complete classroom activities.

- **Meaning-based context and universal themes:** Teachers will incorporate meaningful references from students’ everyday lives and create classroom environments that provide authentic opportunities to learn the English language.
- **Modeling, graphic organizers, and visuals:** Teachers will regularly utilize a variety of visual aids, graphic organizers, diagrams, summaries, and charts to help ELs easily recognize essential information and its relationship to supporting ideas.

All Rocketship teachers will be Crosscultural Language and Academic Development (CLAD) certified or in the process of obtaining CLAD or BCLAD certification.

PARENT COMMUNICATION AND PARTICIPATION

As described above, parents play a significant role in the reclassification process. Beyond that, however, we strive to find ways to engage and involve parents of our EL students. We are committed to communicating with parents in their primary language; as such, we will provide translations of all major documents, notices, public meetings, and workshops in Spanish and any other languages as needed.

Rocketship Fuerza will establish an English Language Advisory Committee (ELAC) if the Charter School enrolls 21 or more EL students, as further described in Element D below. Parents are encouraged to participate in the ELAC as well as the School Site Council (SSC), further described in Element D below. Parents are also encouraged - though never required - to volunteer in their children’s classrooms and attend community meetings, parent coffees, and school events. Community meetings will often advise parents on programs and services for EL students as well as relevant programs, funds, and instructional strategies.

PROFESSIONAL DEVELOPMENT AND TALENT MANAGEMENT

We believe that our teachers are most effective when they feel invested in their school and that their school is invested in them. To this end, we have rigorous policies and procedures for teacher recruitment, selection, retention, and professional development, further described below.

RECRUITMENT

Effective recruitment and rigorous selection are the first components to ensuring that our teachers and school leaders are well-equipped to drive student achievement.

We develop a broad pipeline of educators and principals by cultivating candidates through diverse channels such as local college career fairs, Teach for America, referral programs for our current teachers, and use of social media and webinars. We hold open houses for candidates to visit our schools and get to know us. We aim to hire exceptionally well-qualified candidates, with a focus on those who have connections to our students’ experiences and communities. Throughout our recruitment process, we build excitement for the meaningful work that we are doing to transform education and eliminate the achievement gap.
Additionally, we are committed to building capacity from within. In recent years, we have increased our support for instructional hourly staff members who have potential and interest in moving into full-time teaching roles through our Rising Teachers program. We believe in providing opportunities for all staff to develop and advance. Furthermore, staff members in these positions have accumulated deep knowledge of Rocketship culture and practices that can translate into success in the classroom. This source of teacher talent also brings great diversity to our staff body, as candidates are often from our local school communities.

Once we develop a strong teacher candidate pool, the recruitment team screens resumes and passes teaching candidates to principals for hiring. This process enables the principals to have pre-screened, high-quality candidates for their schools with the support of Rocketship’s central office. At the same time, principals are empowered to select and staff their own schools, ensuring that they hire candidates that are a good match for their school.

**PROFESSIONAL DEVELOPMENT OPPORTUNITIES**

**Summer.** Each summer, Rocketship hosts an intensive three-week training for all teachers that emphasizes foundational knowledge in our culture and our instructional model. This summer training allows staff to build a collaborative culture of trust while creating a strong school culture shaped around personalized learning and the Rocketship identity. We provide training in classroom management and effective unit, lesson, and yearlong planning. We also introduce foundational components of the Rocketship program, including the process for the use of data, instructional techniques, and the scope and sequence of curricula. Educators also learn strategies to effectively engage parents in this culture, including how to empower parents as key supporters of their children’s educations after students graduate from Rocketship and move onto middle and high school. This helps ensure that our students succeed to and through college. School leaders, including both Principals and Assistant Principals, participate in three weeks of their own summer training to become well-versed in similar topics, ensuring that they are well-prepared to be effective instructional leaders while establishing a school culture that enables student success.

**Yearly.** In addition to summer training, Rocketship schools dedicate at least 200 hours throughout the school year for staff professional development. We dismiss students two and a half hours early one day a week to allow for an afternoon of purposeful and customized professional development and culture building for staff. The Principals and Assistant Principals at each school facilitate and organize sessions at each school, targeting the areas of development they see as most beneficial to the staff, personalizing supports for teachers. Topics have included analyzing and planning using formative assessment data, creating rigorous independent work, exploring whole brain teaching, launching literature circles, and facilitating small group instruction for struggling readers in the upper grades.

We believe that often the most effective professional development session is peer-led. However, to develop the necessary subject expertise for our teachers as well as provide ongoing support for Assistant Principals, Rocketship E partners with several outside professional development organizations and higher education institutions to conduct in-depth professional development in various content areas. These organizations have included Project GLAD, the Santa Clara County Office of Education, Doug Lemov with Uncommon Schools, and Lucy Calkins. Rocketship is constantly reflecting on student achievement data and teacher instructional needs in order to
further develop the professional development program and coordination of resources in order to ensure that all student, teacher, and school needs are addressed in order to realize significant gains in student achievement.

COACHING

A foundational piece of our ongoing staff development is customized, targeted one-on-one coaching that Assistant Principals and Principals provide for teachers and staff members.

The overarching principles of our coaching program are a tight feedback loop, regular opportunities for practice, the identification of root cases of problems and their accompanying solutions, weekly foci for teachers, and measurable goals for coaches to assess progress and collect data.

We implement a variety of coaching strategies and practices. Coaches observe and provide written feedback, deliver in-the-moment feedback (i.e. speaking into an earpiece that the teacher wears during instruction), model instruction, and engage in co-observations with the teacher (i.e. watching a video of the teacher or observing a different teacher). All teachers receive at least four hours of support per week outside the classroom, get observed at least twice a week, receive at least two hours of professional development per week, and have a weekly one-on-one meeting with their coaches.

TEACHER PERFORMANCE MANAGEMENT AND EVALUATION

Our teachers are evaluated based on the four measures described below. Each of these was developed with the goal of promote transparency, consistency, and communication.

**Student Achievement.** Teachers are evaluated based on both absolute and growth metrics. Absolute metrics evaluate percentage of students at national norms on objective assessments such as the NWEA MAP. Growth metrics evaluate the percentage of students making growth and the average years that students grow on objective assessments such as the MAP.

**Parent Metrics.** Teachers are also evaluated on the percentage of home visits completed and the percentage of parents completing 30 partnership hours. (For more information on parent participation at Rocketship, please see Element D below.)

**Core Characteristics.** Teachers are evaluated on their ability to demonstrate the five Rocketship Core Characteristics of pursuit of excellence, innovation, authenticity, community, and tenacity.

**Teaching Performance Rubric.** This rubric was designed to provide greater clarity and consistency in scoring teacher performance. The rubric includes the following areas:

- Domain 1: Intellectual Prep and Culture of Mastery
- Domain 2: Culture of Excellence
- Domain 3: Student Habits: Love of Learning and Pride
- Domain 4: Environment of Excellence

(For a copy of the rubric, please see Appendix 9.)
At the beginning of the year, teachers meet with their coaches to review the evaluation components. Coaching occurs regularly throughout the year, as described above. Teachers undergo a mid-year evaluation in January/February and an end-of-year evaluation in May/June.

For sample training materials that provide an overview of our updated talent management process, please see Appendix 9.

TEACHER RETENTION AND COMPENSATION

Rocketship is committed to addressing and continually improving teacher retention in all of our schools. We have implemented a variety of programs, initiatives and support systems to make teaching a more viable and desirable career that the most talented individuals in our communities pursue. We provide support and counseling for principals, especially those new to the role, on teacher retention. Our Achievement Team focuses on teacher curricular tools and resources to provide teachers with high quality resources and supports. We have also introduced professional development funding that becomes available to teachers as they hit milestones of multiple years at Rocketship.

We also have developed initiatives to provide teachers with increased flexible time for planning or to meet personal needs, including early release days once per month instead of after-school professional development. We have increased opportunities for teachers to provide upward feedback on managers via surveys (i.e. manager effectiveness, staff satisfaction). We have a Teacher Advisory Group that is focused on keeping great teachers at Rocketship and providing a teacher voice on important topics that touch all aspects of our network.

Our network strives to create a competitive total compensation package that includes a robust set of benefits, retirement savings, opportunities for coaching and development, and a competitive pay. Rocketship has also structured compensation to reward high performance and bolster teacher retention. After their first year at Rocketship, teachers’ annual salary increases are based on their performance in their evaluations, outlined above. Our highest performing teachers are eligible for above-average pay increases, often significantly above surrounding districts.

LEADERSHIP OPPORTUNITIES AND CAREER PATHWAYS

**Grade Level Lead Program.** In an effort to cultivate strong internal teacher-leader pipelines, Rocketship provides the opportunity for high achieving teachers to become Grade Level Leads (GLLS). As part of this program, teachers engage in monthly sessions that are focused on developing the Instructional Eye as well as leading adults. Content includes strategies for giving and receiving feedback, influencing others, and developing coaching goals for teachers. In addition, to this monthly programming GLLs are provided with additional responsibilities on campus and are responsible for steering specific pieces of their campuses’ visions. Specifically, GLLs are responsible for steering data-driven instruction, facilitating grade-level meetings on culture and operations, project management of grade-level events, and serving as a staff liaison within the school.

We look for candidates who have instructional expertise in the grade level and content area, a history of strong classroom culture and student results, and strong data analysis skills. GLLs are able to gain experience and development as an instructional leader of other adults through
monthly centralized after-school professional development sessions, role-specific coaching from their school leader, joint observations, and periodic peer feedback. Our GLLs are able to authentically consider and prepare themselves for potential future roles as school leaders.

LEADERSHIP DEVELOPMENT FOR SCHOOL LEADERS

Rocketship also has a comprehensive development system for its Principals and Assistant Principals. (Qualifications and responsibilities for these roles are further described below in Element E.) These school leaders receive ongoing professional development centered on key levers, which include data-driven instruction; coaching and observation; school culture; staff culture; and (for principals) school leader team management. School leaders who manage special education teachers also receive a special strand of professional development.

Our Principals all spend approximately ten hours each month receiving professional development from Rocketship's centralized Schools Team. Our Assistant Principals receive approximately two hours of professional development directly from the Schools Team and also get regular training and support from Principals. Members of the Schools and Achievement Teams also attend meetings between school leaders and teachers to provide feedback on meeting facilitation and coaching. School leaders also do monthly school walk-throughs with the Schools and Achievement Teams.

ANNUAL GOALS AND ACTIONS IN THE STATE PRIORITIES

In accordance with the Local Control Funding Formula (“LCFF”) requirements, the table below provides a description of Rocketship's annual goals for all pupils and for each subgroup of pupils identified pursuant to Education Code Section 52052, to be achieved in the applicable state priorities, as described in Education Code Section 52060(d), and specific annual actions to achieve those goals.

Additionally, Rocketship Fuerza has a comprehensive Local Control and Accountability Plan (LCAP) for the 2017-18 school year and continues to annually update the plan in accordance with California laws. The goals and actions described below are subject to modification as our LCAP is updated annually, without need for material revision of the charter petition.

<table>
<thead>
<tr>
<th>State Priority 1 – Basic Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>The degree to which teachers are appropriately assigned (E.C. §44258.9) and fully credentialed, and every pupil has sufficient access to standards-aligned instructional materials (E.C. § 60119), and school facilities are maintained in good repair (E.C. §17002(d))</td>
</tr>
<tr>
<td>Subpriority A: Teachers</td>
</tr>
<tr>
<td>Goals to Achieve: Rocketship will identify, attract, and build the capacity of teachers, leaders, and classified staff, in order to support students and families. 100% of students, and</td>
</tr>
<tr>
<td><strong>Subpriority</strong></td>
</tr>
<tr>
<td>----------------</td>
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<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
</tbody>
</table>

### Subpriority B: Instructional Materials

<table>
<thead>
<tr>
<th><strong>Goals to Achieve Subpriority</strong></th>
<th><strong>100% of Rocketship students, including 100% of student subgroups, will have access to a range of current instructional strategies and CCSS-aligned materials that serve different styles, paces, and preferences.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td>All instructional materials prepared and purchased (i.e. scope and sequence maps, Visions of Excellence, unit plans, objective plans, daily lesson plans, modules, curricula) will be aligned to state standards as described in this charter petition. Rocketship will also allocate part of its budget for classroom libraries rich in nonfiction text and for additional classroom technology.</td>
</tr>
</tbody>
</table>

### Subpriority C: Facilities

<table>
<thead>
<tr>
<th><strong>Goals to Achieve Subpriority</strong></th>
<th><strong>School facilities will be maintained in good repair, as defined by California Education Code §17002.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td>Maintain and update facilities as needed to provide a safe, clean learning environment. Address safety hazards immediately and refer general needs items to the Board for review and prioritization.</td>
</tr>
</tbody>
</table>

### State Priority 2 – Implementation of Common Core State Standards

Implementation of Common Core State Standards, including how EL students will be enabled to gain academic content knowledge and English language proficiency.

### Subpriority A: CCSS Implementation

<table>
<thead>
<tr>
<th><strong>Goals to</strong></th>
<th><strong>All Rocketship curricula will be aligned to the CCSS. The School will ensure that</strong></th>
</tr>
</thead>
</table>
### Achieve Subpriority

100% of students, including 100% of student subgroups, engage in rigorous, motivating, personalized learning experiences that integrate collaboration, communication, creativity, and the use of technology.

### Actions to Achieve Goal

Rocketship’s Achievement and Schools Teams, in collaboration with School Leaders, will plan and prepare CCSS-aligned intellectual preparation and unit planning materials as described in this charter.

Rocketship’s Achievement and Schools Teams will plan and prepare professional development sessions on implementing the CA CCSS.

### Subpriority B: EL Students and Academic Content Knowledge

#### Goals to Achieve Subpriority

All English Learners will access a CCSS-aligned curriculum. Rocketship will provide specific support for struggling students in English Learner and other subgroups.

#### Actions to Achieve Goal

- Analyze data and monitor English Learner progress in academic content knowledge to provide timely interventions.
- Require all staff (teachers and school leaders) to receive training in CCSS-aligned best practices in curriculum and instruction highlighting needs of all subgroups.
- Train teachers in best practices to improve academic achievement among ELs, including the strategies as described in this charter.

### Subpriority C: EL Students and English Language Proficiency

#### Goals to Achieve Subpriority

100% of ELs make progress to become proficient in English.

#### Actions to Achieve Goal

- Align curriculum to ELD standards.
- Analyze data and monitor EL progress in language development and provide timely interventions. Conduct ongoing assessments with special attention to fluency and correctness in reading, writing, speaking.
- Train teachers in best practices to improve English language proficiency among ELs. Provide professional development for teachers and administrators on how to scaffold the CCSS for access for ELs. Provide training on integrated/designated ELD instruction and targeted interventions.
State Priority 3 – Parental Involvement
Parental involvement, including efforts to seek parent input for making decisions for schools, and how the school will promote parent participation.

<table>
<thead>
<tr>
<th>Subpriority A: Achieving/Maintaining Parental Involvement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority B: Promote Parent Participation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority C: LCAP</th>
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</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
</tbody>
</table>

State Priority 4 – Student Achievement
Pupil achievement, as measured by all of the following, as applicable:

A. California Assessment of Student Performance and Progress (CAASPP) statewide assessment
B. The Academic Performance Index (API)
C. Percentage of pupils who have successfully completed courses that satisfy UC/CSU entrance requirements, or career technical education
D. Percentage of ELs who make progress toward English language proficiency as measured by the California English Language Development Test (CELDT) and/or English Language Proficiency Assessment for California (ELPAC)
E. EL reclassification rate
F. Percentage of pupils who have passed an AP exam with a score of 3 or higher
G. Percentage of pupils who participate in and demonstrate college preparedness pursuant to the Early Assessment Program (E.C. §99300 et seq.) or any subsequent assessment of college preparedness

<table>
<thead>
<tr>
<th>Subpriority A: CAASPP</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve</strong></td>
</tr>
<tr>
<td>Subpriority</td>
</tr>
<tr>
<td>Rocketship students will exceed the average performance levels of students in schools with similar demographics in the District on state assessments.</td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td>Implementation of the CCSS aligned curriculum and instructional strategies.</td>
</tr>
<tr>
<td>Teachers will receive training on the CA Common Core Standards.</td>
</tr>
<tr>
<td>Student support structures (differentiated instruction, online learning programs, ILSs)</td>
</tr>
<tr>
<td>Curriculum designed to support ELs and other struggling subgroups.</td>
</tr>
<tr>
<td>Implementation of assessment software that mimics the online testing format and rigor of the CAASPP.</td>
</tr>
<tr>
<td>ISE services as described in this Charter.</td>
</tr>
<tr>
<td>Analyze student CAASPP test scores and other diagnostic results and adjust instruction to ensure proficiency in ELA/Literacy and Mathematics.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority B: API</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve</strong></td>
</tr>
<tr>
<td>Subpriority</td>
</tr>
<tr>
<td>Rocketship Fuerza will meet all state requirements for academic performance school wide and for all subgroups, including Hispanic students, socioeconomically disadvantaged students, EL students, and students with disabilities.</td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td>Monitor all students to assure they are making expected progress.</td>
</tr>
<tr>
<td>School staff members work with classroom teachers to ensure all students are</td>
</tr>
</tbody>
</table>
**Subpriority C: EL Proficiency Rates**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>100% of EL students will make progress toward EL proficiency each year.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions to Achieve Goal</td>
<td>Monitor EL students to assure they are making expected progress.</td>
</tr>
<tr>
<td></td>
<td>Use the new CCSS ELD standards to guide instruction of EL students.</td>
</tr>
<tr>
<td></td>
<td>Train teachers in SDAIE and GLAD strategies.</td>
</tr>
</tbody>
</table>

**Subpriority D: EL Reclassification Rates**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Rocketship Fuerza EL students will become proficient in English and reclassified such that they are no longer designated as English learners.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions to Achieve Goal</td>
<td>Classroom teachers and ILSs provide scaffolded supports to EL students to help them to become proficient in English and to become reclassified.</td>
</tr>
<tr>
<td></td>
<td>Students continue to be monitored classroom teachers after becoming reclassified. Teachers give individualized instruction with focused English Language support.</td>
</tr>
<tr>
<td></td>
<td>Train teachers in SDAIE and GLAD strategies.</td>
</tr>
</tbody>
</table>

**State Priority 5 – Student Engagement**

Pupil engagement, as measured by all of the following, as applicable:

A. School attendance rates
B. Chronic absenteeism rates
C. Middle school dropout rates (EC §52052.1(a)(3))
D. High school dropout rates
E. High school graduation rates

**Subpriority A: Student Attendance Rates**

<p>| Goals to Achieve | Rocketship Fuerza will maintain a high average daily attendance rate, school |</p>
<table>
<thead>
<tr>
<th>Subpriority</th>
<th>wide and for all subgroups.</th>
</tr>
</thead>
</table>
| **Actions to Achieve Goal** | Monitor attendance reports.  
Educate parents and students about the importance of daily attendance.  
Provide a safe and engaging learning environment for all its students and families, including those of the various subgroups enrolled.  
Hold conferences with parents of students who regularly miss school. |

<table>
<thead>
<tr>
<th><strong>Subpriority B: Student Absenteeism</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
</tbody>
</table>
| **Actions to Achieve Goal** | Incorporate social-emotional curricula as outlined in this petition.  
Conduct regular community and culture-building events. |

**State Priority 6 – School Climate**
School climate, as measured by all of the following, as applicable:
A. Pupil suspension rates  
B. Pupil expulsion rates  
C. Other local measures, including surveys of pupils, parents, and teachers on the sense of safety and school connectedness

<table>
<thead>
<tr>
<th><strong>Subpriority A: Pupil Suspension Rates</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
</tbody>
</table>
| **Actions to Achieve Goal** | Utilize Positive Behavioral Intervention and Supports framework as outlined in this charter.  
Social-emotional curriculum incorporated into instructional plans.  
Tiered interventions for struggling students.  
Community events/parent engagement opportunities to foster a sense of belonging and dedication to the school |
## Subpriority B: Pupil Expulsion Rates

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Rocketship Fuerza will minimize pupil expulsion by implementing various methods to support students who are struggling.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions to Achieve Goal</td>
<td>Utilize Positive Behavioral Intervention and Supports framework as outlined in this charter.</td>
</tr>
<tr>
<td></td>
<td>Social-emotional curriculum incorporated into instructional plans.</td>
</tr>
<tr>
<td></td>
<td>Tiered interventions for struggling students.</td>
</tr>
<tr>
<td></td>
<td>Community events/parent engagement opportunities to foster a sense of belonging and dedication to the school.</td>
</tr>
</tbody>
</table>

## Subpriority C: Other Measures

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Parents are satisfied with the relationship they have with their child's teachers.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions to Achieve Goal</td>
<td>Regular parent-teacher conferences.</td>
</tr>
<tr>
<td></td>
<td>Regular communication between teachers and parents (i.e. phone calls, emails, notes home)</td>
</tr>
<tr>
<td></td>
<td>Parent participation in school events.</td>
</tr>
</tbody>
</table>

## State Priority 7 – Course Access

The extent to which pupils have access to, and are enrolled in, a broad course of study, including programs and services developed and provided to unduplicated students (classified as EL, FRPM-eligible, or foster youth; E.C. §42238.02) and students with exceptional needs. “Broad course of study” includes the following, as applicable: Grades 1-6: English, mathematics, social sciences, science, visual and performing arts, health, physical education, and other as prescribed by the governing board. (E.C. §51210) Grades 7-12: English, social sciences, foreign language(s), physical education, science, mathematics, visual and performing arts, applied arts, and career technical education. (E.C. §51220(a)-(i))

<table>
<thead>
<tr>
<th>Goals to Achieve Priority</th>
<th>Rocketship Fuerza will provide all students including all subgroups access to a broad course of study.</th>
</tr>
</thead>
</table>
| Actions to                   | Provide all students with instruction in English, mathematics, social sciences, }
<table>
<thead>
<tr>
<th>Achieve Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>science, visual and performing arts, health, and physical education (PE). Provide teachers with professional development in elevating student achievement and engagement, cultural competency, and proficiency.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>State Priority 8 – Other Student Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pupil outcomes, if available, in the subject areas described above in #7, as applicable.</td>
</tr>
</tbody>
</table>

**Subpriority A: English**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
</tr>
</thead>
<tbody>
<tr>
<td>All students, including all subgroups, will become competent readers, writers, and speakers of the English Language.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Use CCSS to guide ELA/Literacy instruction. Utilize a variety of instructional strategies (i.e. phonics, reading comprehension instruction, guided reading, Writer's Workshop) as described in Element A of this petition. Provide supports to EL students, students with disabilities, and other struggling subgroups. Systematically monitor progress of all students.</td>
</tr>
</tbody>
</table>

**Subpriority B: Mathematics**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
</tr>
</thead>
<tbody>
<tr>
<td>All students, including all subgroups, will acquire mathematical skills.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Use CCSS to guide Mathematics instruction. Utilize a variety of instructional strategies as described in Element A of this petition. Provide supports to EL students, students with disabilities, and other struggling subgroups. Systematically monitor progress of all students.</td>
</tr>
</tbody>
</table>

**Subpriority C: Social Studies**
<table>
<thead>
<tr>
<th><strong>Goals to Achieve Subpriority</strong></th>
<th>All students will develop an awareness of social studies in order to achieve civic competence—the knowledge, intellectual processes, and democratic dispositions required of students to be active and engaged participants in public life.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td>Social Studies will be incorporated into ELA/Literacy instruction.</td>
</tr>
<tr>
<td></td>
<td>Nonfiction block will include social studies texts.</td>
</tr>
<tr>
<td></td>
<td>EL students will receive scaffolded language support.</td>
</tr>
<tr>
<td></td>
<td>Teachers and service providers will provide other necessary supports and interventions to struggling subgroups.</td>
</tr>
</tbody>
</table>

**Subpriority D: Science**

<table>
<thead>
<tr>
<th><strong>Goals to Achieve Subpriority</strong></th>
<th>All students, including all subgroups, will understand science concepts and scientific thinking.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td>NGSS-aligned science concepts will be incorporated into ELA/Literacy instruction, particularly during the nonfiction block.</td>
</tr>
<tr>
<td></td>
<td>NGSS-aligned science concepts will be incorporated into Mathematics instruction.</td>
</tr>
<tr>
<td></td>
<td>NGSS-aligned science concepts will be explicitly taught throughout the school day.</td>
</tr>
<tr>
<td></td>
<td>Teachers and school leaders will participate in NGSS trainings and workshops.</td>
</tr>
<tr>
<td></td>
<td>Teachers will utilize NGSS-aligned assessments as the standards are progressively implemented.</td>
</tr>
<tr>
<td></td>
<td>EL students will receive scaffolded language support.</td>
</tr>
<tr>
<td></td>
<td>Teachers and service providers will provide other necessary supports and interventions to struggling subgroups.</td>
</tr>
</tbody>
</table>

**Subpriority E: Arts**

<table>
<thead>
<tr>
<th><strong>Goals to Achieve Subpriority</strong></th>
<th>All students, including all subgroups, will have frequent opportunities to practice visual and performing arts both in their regular classrooms and in enrichment classes.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions to Achieve Goal</td>
<td>Offer at least two enrichment subjects to students. Enrichment teachers participate in professional development and school wide data analysis to ensure rigor and effectiveness. Incorporate visual and performing arts activities and projects into the school day.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority F: P.E.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Goals to Achieve Subpriority</td>
</tr>
<tr>
<td>Actions to Achieve Goal</td>
</tr>
</tbody>
</table>
ELEMENTS B & C: MEASURABLE STUDENTS OUTCOMES AND METHODS OF ASSESSMENT

**Governing Law:** The measurable pupil outcomes identified for use by the charter school. "Pupil outcomes," for purposes of this part, means the extent to which all pupils of the school demonstrate that they have attained the skills, knowledge, and attitudes specified as goals in the school’s educational program. Pupil outcomes shall include outcomes that address increases in pupil academic achievement both school-wide and for all groups of pupils served by the charter school, as that term is defined in subparagraph (B) of paragraph (3) of subdivision (a) of Section 47607. —California Education Code Section 47605(b)(5)(B).

**Governing Law:** The method by which pupil progress in meeting those pupil outcomes is to be measured. To the extent practicable, the method for measuring pupil outcomes for state priorities shall be consistent with the way information is reported on a school accountability report card. —California Education Code Section 47605(b)(5)(C).

**ELEMENT B: MEASURABLE OUTCOMES**

Pursuant to Education Code Section 47605(b)(5)(B), the following table describes Rocketship Fuerza's measurable outcomes and corresponding methods of assessment that align with the state priorities, and goals and actions to achieve the state priorities, as identified in Element A of this charter.

<table>
<thead>
<tr>
<th>State Priority 1 – Basic Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>The degree to which teachers are appropriately assigned (E.C. §44258.9) and fully credentialed, and every pupil has sufficient access to standards-aligned instructional materials (E.C. § 60119), and school facilities are maintained in good repair (E.C. §17002(d))</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority A: Teachers</th>
</tr>
</thead>
</table>

| Goals to Achieve Subpriority | Rocketship will identify, attract, and build the capacity of teachers, leaders, and classified staff, in order to support students and families. All students will be exposed to teachers that are innovative, culturally competent, and deliver instruction that is aligned with the Common Core State Standards. All teachers will be appropriately assigned and credentialed. |
|----------------------------|

| Measurable Outcomes | 1. 100% of teachers are appropriately assigned. 2. 100% of teachers are appropriately credentialed. |
|---------------------|

<p>| Actions to Achieve Goal | Methods of Assessment | Person(s) |</p>
<table>
<thead>
<tr>
<th>Subpriority B: Instructional Materials</th>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Rocketship students, including all subgroups, will have access to a range of current instructional strategies and CCSS-aligned materials that serve different styles, paces, and preferences.</td>
<td>100% of Rocketship students have access to up-to-date, standards-aligned instructional materials in their classrooms</td>
<td>All instructional materials prepared and purchased (i.e. scope and sequence maps, Visions of Excellence, unit plans, objective plans, daily lesson plans, modules, curricula) will be aligned to state standards as described in this charter petition. Rocketship will also allocate part of its budget for classroom libraries rich in nonfiction text and for additional classroom technology.</td>
<td>Annual review (and other periodic reviews as necessary) of standards-aligned materials by Rocketship’s Achievement Team, Schools Team, and school leaders. Annual budget review and allocation.</td>
<td>RPS Achievement Team, Schools Team, school leaders</td>
<td></td>
</tr>
</tbody>
</table>
### Goals to Achieve Subpriority

School facilities will be maintained in good repair, as defined by California Education Code §17002.

### Measurable Outcomes

Exemplary rating according to Annual Facility Inspection Reports, with no deficiencies or extreme deficiencies.

### Actions to Achieve Goal

<table>
<thead>
<tr>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maintain and update facilities as needed to provide a safe, clean learning environment.</td>
<td>RPS Facilities Team; RPS Schools Team; Principal; Business Operations Manager</td>
</tr>
<tr>
<td>Address safety hazards immediately and refer general needs items to the Board for review and prioritization.</td>
<td>RPS Facilities Team; RPS Schools Team; Principal; Business Operations Manager</td>
</tr>
</tbody>
</table>

### State Priority 2 – Implementation of Common Core State Standards

Implementation of Common Core State Standards, including how EL students will be enabled to gain academic content knowledge and English language proficiency.

### Subpriority A: CCSS Implementation

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
<th>Actions to Achieve Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Rocketship curricula will be aligned to the CCSS. The School will ensure that 100% of students, including 100% of students in all subgroups, engage in rigorous, motivating, personalized learning experiences that integrate collaboration, communication, creativity, and the use of technology.</td>
<td>1. All instructional materials in ELA/Literacy and Mathematics are aligned to CA CCSS. 2. All teachers receive at least five hours in professional development specifically on CCSS implementation.</td>
<td>Methods of Assessment</td>
</tr>
<tr>
<td>------------------------------</td>
<td>-----------------------------------------------------------</td>
<td>--------------------------</td>
</tr>
</tbody>
</table>
Rocketship’s Achievement and Schools Teams, in collaboration with School Leaders, will plan and prepare CCSS-aligned intellectual preparation and unit planning materials as described in this charter.

Rocketship’s Achievement and Schools Teams will plan and prepare professional development sessions on implementing the CA CCSS.

### Annual review (and periodic reviews as necessary) of curriculum to ensure that all instruction is standards-aligned.

Professional development calendar reflecting specific CCSS-implementation sessions.

### Subpriority B: EL Students and Academic Content Knowledge

#### Goals to Achieve Subpriority

100% of English learners will access a CCSS-aligned curriculum. Rocketship will provide specific support for struggling students in English learner and other subgroups.

#### Measurable Outcomes

1. Rocketship EL Students perform at levels of proficiency equal to or exceeding the local school district on annual CAASPP tests.
2. 100% of students, including 100% of subgroups, have access to CCSS-aligned instruction and materials.
3. 100% of teachers receive professional development on scaffolding CCSS instruction for ELs.
4. 100% of teachers receive training in best practices to improve English language proficiency.

#### Actions to Achieve Goal

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s)</th>
<th>Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Analyze data and monitor English Learner progress in academic content knowledge to provide timely interventions</td>
<td>Baseline, formative, and interim assessment data in all subjects, and Smarter Balanced assessment results; EL student cumulative folders</td>
<td>Principal; teachers</td>
<td></td>
</tr>
<tr>
<td>Require all staff (teachers and school leaders) to receive training in CCSS-aligned</td>
<td>Professional development schedules.</td>
<td>RPS Achievement Team; School Leaders</td>
<td></td>
</tr>
</tbody>
</table>
best practices in curriculum and instruction highlighting needs of all subgroups.

Train teachers in best practices to improve academic achievement among ELs, including the strategies as described in this charter.

Provide professional development for teachers and administrators on how to scaffold the CCSS for access for ELs.

Provide a broad range of high-quality, standards-aligned instructional resources that facilitate ELs’ access to core curriculum and expand their knowledge of the world. Provide curriculum and unit development aligned to both CCSS and ELD standards.

<table>
<thead>
<tr>
<th>Subpriority C: EL Students and English Language Proficiency</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td>All Rocketship students make progress to become proficient in English.</td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td><strong>Methods of Assessment</strong></td>
</tr>
<tr>
<td><strong>Person(s) Responsible</strong></td>
</tr>
<tr>
<td>Align curriculum to ELD standards.</td>
</tr>
<tr>
<td>Review of yearly, unit, and daily plans.</td>
</tr>
<tr>
<td>RPS Achievement Team; Principal; teachers</td>
</tr>
<tr>
<td>Analyze data and monitor English Learner progress in language development and provide timely interventions. Conduct ongoing assessments with special attention to fluency and correctness in reading, writing, speaking.</td>
</tr>
<tr>
<td>CELDT/ELPAC results. Results from other interim assessments as described in this charter.</td>
</tr>
<tr>
<td>RPS Achievement Team; RPS Analytics Team; School Leaders; teachers</td>
</tr>
<tr>
<td>Train teachers in best practices to improve English language proficiency among ELs. Provide professional development for</td>
</tr>
<tr>
<td>Professional development schedules</td>
</tr>
</tbody>
</table>
teachers and administrators on how to scaffold the CCSS for access for ELs. Provide training on integrated/designated ELD instruction and targeted interventions.

**State Priority 3 – Parental Involvement**

Parental involvement, including efforts to seek parent input for making decisions for schools, and how the school will promote parent participation.

**Subpriority A: Achieving/Maintaining Parental Involvement**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
</tr>
</thead>
</table>
| Parents will be an integral part of the Rocketship community and will participate in the governance and operation of the school. Parents will view Rocketship as receptive to their input and involvement. | 1. Parent service on the regional advisory board, as prescribed by this charter and Rocketship policies.  
2. Parent service on the School Site Council, as prescribed by this charter and Rocketship policies.  
3. Parent service on the English Language Advisory Council, as prescribed by this charter and Rocketship policies.  
4. Parent participation in community meetings.  
5. Parent responses on the annual Parent Survey indicate satisfaction with their relationship with Rocketship teachers, staff members, and community. |

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hold elections and other selection processes for parent service on the SSC, ELAC, and Regional Advisory Board as described in this charter.</td>
<td>Membership rosters.</td>
<td>RPS Board of Directors; RPS Growth &amp; Community Engagement Team; RPS Schools Team School Leaders</td>
</tr>
<tr>
<td>Solicit parent feedback through annual (or more frequent) Parent Surveys. Conduct regular Advisory Board, SSC, and ELAC meetings as described in this</td>
<td>Parent Surveys. Meeting minutes. Attendance rosters</td>
<td>RPS Growth &amp; Community Engagement Team; RPS Schools Team School Leaders</td>
</tr>
</tbody>
</table>
Conduct regular community meetings.

<table>
<thead>
<tr>
<th>Subpriority B: Promote Parent Participation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
</tbody>
</table>
| **Measurable Outcomes** | 1. Percentage of parents attending an average of at least one school event per month: maintain above 35%  
2. Parent satisfaction: 85%  
3. Number of community meetings each year: at least 5 meetings  
4. Number of parent conferences: at least 3  
Note: In accordance with Ed Code 47605(b) and (n), parental involvement shall not be a requirement for acceptance to, or continued enrollment at, the Charter School. |
| **Actions to Achieve Goal** | **Methods of Assessment** | **Person(s) Responsible** |
| Welcome and encourage parental volunteerism by keeping parents informed of volunteer opportunities, parent educational offerings, and informational/community meetings | Parent participation logs  
Attendance roster at school events | RPS Growth & Community Engagement Team; RPS Schools Team School Leaders |
| Provide translation services for school newsletters, parent meetings, and parent-teacher conferences as needed. | Translated documents provided to parents. | School Leaders; Office Manager |

<table>
<thead>
<tr>
<th>Subpriority C: LCAP</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
</tbody>
</table>
### Actions to Achieve Goal

<table>
<thead>
<tr>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conduct parent meetings to consult with parents (including non-Board and non-Leadership Council parent members) during the LCAP development process. Conduct parent survey to gather feedback.</td>
<td>RPS Growth &amp; Community Engagement Team; RPS Schools Team School Leaders</td>
</tr>
</tbody>
</table>

#### State Priority 4 – Student Achievement

Pupil achievement, as measured by all of the following, as applicable:

- A. California Assessment of Student Performance and Progress (CAASPP) statewide assessment
- B. The Academic Performance Index (API)
- C. Percentage of pupils who have successfully completed courses that satisfy UC/CSU entrance requirements, or career technical education
- D. Percentage of ELs who make progress toward English language proficiency as measured by the California English Language Development Test (CELDT) and/or English Language Proficiency Assessment for California (ELPAC)
- E. EL reclassification rate
- F. Percentage of pupils who have passed an AP exam with a score of 3 or higher
- G. Percentage of pupils who participate in and demonstrate college preparedness pursuant to the Early Assessment Program (E.C. §99300 et seq.) or any subsequent assessment of college preparedness

#### Subpriority A: CAASPP

**Goals to Achieve Subpriority**

Rocketship students will exceed the average performance levels of students in schools with similar demographics in the local school district on state assessments.

**Measurable Outcomes**

<table>
<thead>
<tr>
<th>CAASPP Proficiency Rates:</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018-19</td>
</tr>
<tr>
<td>ELA</td>
</tr>
<tr>
<td>CAASPP Overall</td>
</tr>
<tr>
<td>CAASPP EL</td>
</tr>
<tr>
<td>CAASPP SED</td>
</tr>
<tr>
<td>Actions to Achieve Goal</td>
</tr>
<tr>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Implementation of the CCSS aligned curriculum and instructional strategies.</td>
</tr>
<tr>
<td>Teachers will receive training on the CA Common Core Standards.</td>
</tr>
<tr>
<td>Student support structures (differentiated instruction, online learning programs, ILSs)</td>
</tr>
<tr>
<td>Curriculum designed to support ELs and other struggling subgroups.</td>
</tr>
<tr>
<td>Implementation of assessment software that mimics the online testing format and rigor of the CAASPP.</td>
</tr>
<tr>
<td>ISE services as described in this Charter.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Analyze student CAASPP test scores and other diagnostic results and adjust instruction to ensure proficiency in ELA/Literacy and Mathematics.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td><strong>Subpriority B: API</strong></td>
</tr>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td>Rocketship Fuerza will meet state requirements for academic performance school wide and for all subgroups, including Hispanic students, socioeconomically disadvantaged students, EL students, and students with disabilities.</td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
<tr>
<td>Rocketship Fuerza meets state target of the statewide measure that will be established by State Board of Education that will replace API, school wide and for all subgroups.</td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
Monitor all students to assure they are making expected progress.  
School staff members work with classroom teachers to ensure all students are receiving the necessary supports.

Continuous monitoring of student growth, including all subgroups, using regular benchmark assessments and NWEA MAP or another nationally normed formative assessments, which are aligned to CCSS.

<table>
<thead>
<tr>
<th>Subpriority C: EL Proficiency Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td>Monitor EL students to assure they are making expected progress.</td>
</tr>
<tr>
<td>Use the new CCSS ELD standards to guide instruction of EL students.</td>
</tr>
<tr>
<td>Train teachers in SDAIE and GLAD strategies.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority D: EL Reclassification Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
<tr>
<td>Actions to Achieve Goal</td>
</tr>
<tr>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Classroom teachers and ILSs provide scaffolded supports to EL students to help them to become proficient in English and to become reclassified.</td>
</tr>
<tr>
<td>Students continue to be monitored classroom teachers after becoming reclassified. Teachers give individualized instruction with focused English Language support.</td>
</tr>
<tr>
<td>Train teachers in SDAIE and GLAD strategies.</td>
</tr>
</tbody>
</table>

**State Priority 5 – Student Engagement**

Pupil engagement, as measured by all of the following, as applicable:

A. School attendance rates
B. Chronic absenteeism rates
C. Middle school dropout rates (EC §52052.1(a)(3))
D. High school dropout rates
E. High school graduation rates

**Subpriority A: Student Attendance Rates**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rocketship Fuerza will maintain a high average daily attendance rate, school wide and for all subgroups.</td>
<td>ADA rates are at least 95%, school-wide and for all subgroups.</td>
<td>Monitor attendance reports.</td>
<td>Monthly attendance reports.</td>
<td>RPS Operations Team; School Leaders; Business Operations</td>
</tr>
<tr>
<td>Educate parents and students about the importance of daily attendance.</td>
<td>Parent outreach materials.</td>
<td>Manager; Office Manager</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide a safe and engaging learning environment for all its students and families, including those of the various subgroups enrolled.</td>
<td>Satisfaction surveys. Community meetings.</td>
<td>RPS Schools Team; School Leaders</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hold conferences with parents of students who regularly miss school.</td>
<td>Parent conference records</td>
<td>Principal; teachers</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Subpriority B: Student Absenteeism**

| Goals to Achieve Subpriority | Rocketship Fuerza will have no chronic absenteeism (defined as missing 10% or more of school days) for reasons other than illness or approved leave. |
| Measurable Outcomes | Student chronic absenteeism < 10.0% |
| Actions to Achieve Goal | Methods of Assessment | Person(s) Responsible |
| Incorporate social-emotional curricula as outlined in this petition. Conduct regular community and culture-building events. | Review of instructional plans and materials. Bell schedules. | RPS Schools Team; School Leaders; Teachers |

**State Priority 6 – School Climate**

School climate, as measured by all of the following, as applicable:

A. Pupil suspension rates
B. Pupil expulsion rates
C. Other local measures, including surveys of pupils, parents, and teachers on the sense of safety and school connectedness

**Subpriority A: Pupil Suspension Rates**

<p>| Goals to Achieve Subpriority | Rocketship Fuerza will minimize pupil suspension rates by implementing various methods to help students who are struggling school wide and for all subgroups. |</p>
<table>
<thead>
<tr>
<th>Measurable Outcomes</th>
<th>Suspension rates lower than surrounding district schools with similar demographics, school-wide and for all subgroups.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td><strong>Methods of Assessment</strong></td>
</tr>
<tr>
<td>Utilize Positive Behavioral Intervention and Supports framework as outlined in this charter.</td>
<td>PBIS team meetings.</td>
</tr>
<tr>
<td>Social-emotional curriculum incorporated into instructional plans.</td>
<td>Instructional plans/bell schedule.</td>
</tr>
<tr>
<td>Tiered interventions for struggling students.</td>
<td>Collaborative meetings involving teachers, school leaders, and service providers.</td>
</tr>
<tr>
<td>Community events/parent engagement opportunities to foster a sense of belonging and dedication to the school</td>
<td>Annual School Accountability Report Card.</td>
</tr>
</tbody>
</table>

**Subpriority B: Pupil Expulsion Rates**

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Rocketship Fuerza will minimize pupil expulsion by implementing various methods to support students who are struggling.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Measurable Outcomes</strong></td>
<td>Rocketship Fuerza will maintain an annual expulsion rate of less than 1%, school-wide and for all subgroups.</td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
<td><strong>Methods of Assessment</strong></td>
</tr>
<tr>
<td>Utilize Positive Behavioral Intervention and Supports framework as outlined in this charter.</td>
<td>PBIS team meetings.</td>
</tr>
<tr>
<td>Social-emotional curricula are incorporated into instructional plans.</td>
<td>Instructional plans/bell schedule.</td>
</tr>
<tr>
<td>Tiered interventions for struggling students.</td>
<td>Collaborative meetings involving teachers, school leaders, and service providers.</td>
</tr>
<tr>
<td></td>
<td>Annual School Accountability Report Card.</td>
</tr>
</tbody>
</table>
Community events/parent engagement opportunities to foster a sense of belonging and dedication to the school

**State Priority 7 – Course Access**
The extent to which pupils have access to, and are enrolled in, a broad course of study, including programs and services developed and provided to unduplicated students (classified as EL, FRPM-eligible, or foster youth; E.C. §42238.02) and students with exceptional needs. “Broad course of study” includes the following, as applicable: Grades 1-6: English, mathematics, social sciences, science, visual and performing arts, health, physical education, and other as prescribed by the governing board. (E.C. §51210) Grades 7-12: English, social sciences, foreign language(s), physical education, science, mathematics, visual and performing arts, applied arts, and career technical education. (E.C. §51220(a)-(i))

<table>
<thead>
<tr>
<th>Goals to Achieve Priority</th>
<th>Rocketship Fuerza will provide all students, including all subgroups, access to a broad course of study.</th>
</tr>
</thead>
</table>
| Measurable Outcomes       | 1. 100% of students, including 100% of students in all subgroups, receive instruction in English, mathematics, social sciences, science, visual and performing arts, and health.  
2. 100% of students, including all subgroups, are provided PE classes 200 minutes every two weeks. |

Provide all students with instruction in English, mathematics, social sciences, science, visual and performing arts, health, and physical education (PE).  
Bell schedule.  
Review of yearly, unit, and daily lesson plans.  
RPS Schools Team; RPS Achievement Team; School Leaders

Provide teachers with professional development in elevating student achievement and engagement, cultural competency, and proficiency.  
Professional development schedules.  
RPS Achievement Team; RPS Schools Team; School Leaders

**State Priority 8 – Other Student Outcomes**
Pupil outcomes, if available, in the subject areas described above in #7, as applicable.

<table>
<thead>
<tr>
<th>Subpriority A: English</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
<tr>
<td><strong>Measurable Outcomes</strong></td>
</tr>
<tr>
<td></td>
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<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td><strong>Actions to Achieve Goal</strong></td>
</tr>
<tr>
<td>Use CCSS to guide ELA/Literacy instruction.</td>
</tr>
<tr>
<td>Utilize a variety of instructional strategies (i.e. phonics, reading comprehension instruction, guided reading, Writer’s Workshop) as described in Element A of this petition.</td>
</tr>
<tr>
<td>Provide supports to EL students, students with disabilities, and other struggling subgroups.</td>
</tr>
<tr>
<td>Systematically monitor progress of all students.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Subpriority B: Mathematics</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Goals to Achieve Subpriority</strong></td>
</tr>
</tbody>
</table>
## Measurable Outcomes

<table>
<thead>
<tr>
<th>CAASPP Proficiency Rates 2018-19 (from LCAP):</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAASPP Overall</td>
</tr>
<tr>
<td>CAASPP EL</td>
</tr>
<tr>
<td>CAASPP SED</td>
</tr>
</tbody>
</table>

## Actions to Achieve Goal

<table>
<thead>
<tr>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Review of yearly, unit, and daily lesson plans, as well as formative and interim assessment data. CAASPP.</td>
<td>RPS Schools Team; RPS Achievement Team; School Leaders; Teachers</td>
</tr>
</tbody>
</table>

## Subpriority C: Social Studies

## Goals to Achieve Subpriority

All students will develop an awareness of social studies in order to achieve civic competence—the knowledge, intellectual processes, and democratic dispositions required of students to be active and engaged participants in public life.

## Measurable Outcomes

1. School provides standards-aligned instructional materials with focus on nonfiction and vocabulary study in social studies
2. School provides standards-aligned professional development with focus nonfiction and vocabulary study in social studies
3. 100% of full-time teachers have appropriate credentials
<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social Studies will be incorporated into ELA/Literacy instruction.</td>
<td>Yearly, unit, and daily lesson plans.</td>
<td>RPS Achievement Team; School Leaders; teachers</td>
</tr>
<tr>
<td>Nonfiction block will include social studies texts.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EL students will receive scaffolded language support.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teachers and service providers will provide other necessary supports and interventions to struggling subgroups.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Subpriority D: Science

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
</tr>
</thead>
<tbody>
<tr>
<td>All students, including all subgroups, will understand science concepts and scientific thinking.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measurable Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. School provides standards-aligned instructional materials</td>
</tr>
<tr>
<td>2. School provides standards-aligned professional development</td>
</tr>
<tr>
<td>3. 100% of full-time teachers have appropriate credentials</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>NGSS-aligned science concepts will be incorporated into ELA/Literacy instruction, particularly during the nonfiction block.</td>
<td>Yearly, unit, and daily lesson plans.</td>
<td>RPS Achievement Team; School Leaders; teachers</td>
</tr>
<tr>
<td>NGSS-aligned science concepts will be incorporated into Mathematics instruction.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NGSS-aligned science concepts will be explicitly taught throughout the school day.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teachers and school leaders will participate in NGSS trainings and workshops.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NGSS-aligned unit assessments.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CST for Science for 5th grade students (as applicable under CAASPP testing requirements)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Teachers will utilize NGSS-aligned assessments as the standards are progressively implemented.

EL students will receive scaffolded language support.

Teachers and service providers will provide other necessary supports and interventions to struggling subgroups.

### Subpriority E: Arts

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
<th>Person(s) Responsible</th>
</tr>
</thead>
<tbody>
<tr>
<td>All students, including all subgroups, will have frequent opportunities to practice visual and performing arts both in their regular classrooms and in enrichment classes.</td>
<td>100% of students, including 100% of students in all subgroups, will receive at least two enrichment courses during the week.</td>
<td>RPS Achievement Team; School Leaders; teachers</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actions to Achieve Goal</th>
<th>Methods of Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Offer at least two enrichment subjects to students.</td>
<td>Yearly, unit, and daily lesson plans.</td>
</tr>
<tr>
<td>Enrichment teachers participate in professional development and school wide data analysis to ensure rigor and effectiveness.</td>
<td>Bell schedule.</td>
</tr>
<tr>
<td>Incorporate visual and performing arts activities and projects into the school day.</td>
<td></td>
</tr>
</tbody>
</table>

### Subpriority F: P.E.

<table>
<thead>
<tr>
<th>Goals to Achieve Subpriority</th>
<th>Measurable Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Students, including all subgroups, will receive physical education instruction each week.</td>
<td>100% of students attending school, including all subgroups (with the exception of students excused for medical reasons) participates in an average of 200</td>
</tr>
</tbody>
</table>
ELEMENT C: ASSESSMENT MEASURES

Rocketship’s assessment plan includes multiple measures designed to monitor student progress over time. It includes baseline, formative, interim, and summative assessments.

Baseline assessments measure basic academic skills in English Language Arts and mathematics at the beginning of a school year or the beginning of a unit of study.

Formative assessments will be frequent and will include formal and informal performance based assessments in the context of classroom activities and daily learning. These assessments will help students to better understand their strengths and areas in which they may be struggling. The information provided by the assessments will be used to guide instruction and to identify students who may need additional support and/or challenge.

Interim assessments fall between formative and summative assessments and provide standardized data that can be aggregated and analyzed. Interim assessments may predict student performance on an end-of-year summative assessment, they may provide evaluation information about the impact of a curriculum or a program, and they offer instruction information that helps diagnose student strengths and weaknesses.

Summative assessments will take place in English/Language Arts and Mathematics at the end of the year in the form of Smarter Balanced tests. Additional summative assessments will include end-of-year leveled reading assessments, cumulative math assessments, and writing checklists, which can be compared to the baseline assessments from the beginning of the year. These will be year-end leveled reading assessments, cumulative math assessments, and writing checklists.

As further described in Element above, teachers will analyze assessment results to identify student, class, and school trends, to find specific areas of instructional strength and weakness, identify students who need additional support, and ensure children are making progress towards grade-level proficiency as measured by the CCSS. Assessments will be used to inform instruction as well as to track and monitor student growth and learning.

Rocketship’s current assessments are as follows:

- **State-mandated Assessments (summative)—** Students will be expected to meet statewide standards for academic achievement. Specifically, students will take the Smarter Balanced
assessments for English Language Arts and Mathematics each spring. Additionally, the California Standards Test for science will be administered annually in the spring to students in grade five (or a new science assessment if the state chooses one). These tests will help monitor progress on grade level content and skill development, and will be reflected in meeting state and federal measurements as they evolve and replace API and AYP. The ELPAC (formerly CELDT) will be administered to English Learners annually.

- **Leveled Reading Assessments (baseline, formative, summative)**—All students in grades K-5 will be assessed regularly throughout the year using the STEP Literacy assessment.
- **Writing Assessments (baseline, formative, summative)**—A writing sample will be collected and assessed for each student several times a year. Students will be instructed on how to use writing checklists throughout the writing process. Students will use these writing checklists for self-review and self-assessment on writing projects throughout the year. Teachers will also use these checklists to assess student writing.
- **Mathematics Assessments (baseline, formative, summative)**—Throughout the year, student progress in mathematics will be measured using formal and informal formative assessments. Some of these assessments will be teacher-developed based on Common Core State Standards, and others may be taken from a math program if we choose to purchase one. Students will be assessed at the beginning of math units to identify students in need of extra challenge or support. Ongoing assessment will take the form of teacher observations, assignments, and performance-based assessments involving problem-solving. At the end of each unit, student understanding and mastery will be measured through performance tasks or formal assessments. Teachers will create these assessments or select them from curriculum resources.
- **Standardized Assessments in Language Arts & Mathematics (interim)**—In addition to leveled reading assessments and formative math assessments, students will also take NWEA MAP Interim Assessments three times per year in Reading and Math to assure they will be prepared for Smarter Balanced Assessments.
- **Science Assessments (formative, summative)**—We will assess student progress toward the Next Generation Science Standards using unit assessments from the science program we choose. Teachers will also use a variety of formal and informal assessments such as responses to academic prompts, informal checks for understanding, and assessment of presentations or projects. There will also be assessments at the end of transdisciplinary units such as project rubrics, written checklists, and/or performance tasks.
- **Social Studies Assessments (formative, summative)**—We will assess student progress toward California History–Social Science Standards using a variety of formal and informal assessments such as responses to academic prompts, informal checks for understanding, and assessment of presentations or projects.
- **Social Emotional Learning Assessments (formative, summative)**—Teachers will explore and develop tools, some of which will be aligned the RULER Approach and Kimochis curriculum, to identify and support students’ social-emotional growth.

**USE AND REPORTING OF DATA**

Rocketship will utilize Schoolzilla to track and maintain student data. Rocketship teachers will be engaged in an ongoing process of data review and evaluation in connection to student learning outcomes. They will analyze individual data to review performance for students in their classrooms and work in collaborative teams to review class performance. Teachers will identify patterns of underperformance or high performance, and identify students who are not making
adequate progress. Additionally, assessment data will be used to judge the effectiveness of curriculum units and teaching. This information will then be used to refine or change future units and instruction. Collaborative grade-level teams will use these opportunities to form questions that arise from the data, develop hypotheses around the questions, and pursue different strategies or actions to improve student outcomes. Teachers will meet to review reading, writing, and math assessments after initial assessments are done in the first month of school. CAASPP test data will also be reviewed at this time.

REPORTING TO PARENTS/GUARDIANS

Rocketship places a high value on communication between parents and teachers. We have regular parent/teacher conference periods and progress reports each year.

REPORTING TO THE AUTHORIZER AND OTHER STAKEHOLDERS

Rocketship will promptly meet all reasonable inquiries for data from the Authorizer and assure timely scheduled data reporting in compliance with the law. In accordance with Title III, Rocketship will adhere to all mandated reporting guidelines in relation to English learners, including notification to parents regarding ELPAC results and reclassification. In accordance with IDEA, Rocketship will comply with all state and federal laws regarding reporting requirements for children with IEPs.
ELEMENT D: GOVERNANCE AND PARENTAL INVOLVEMENT

“The governance structure of the school including, but not limited to, the process to be followed by the school to ensure parental involvement.”
- California Education Code Section 47605(b)(5)(D)

In accordance with Education Code section 47604, all Rocketship campuses will be operated by Rocketship Education, Inc. (RSED), a California non-profit public benefit corporation with 501(c)(3) status, doing business as Rocketship Public Schools (RPS). All staff will be employees of Rocketship. Rocketship will be governed by a Board of Directors (“the Board”) pursuant to its corporate bylaws as adopted, and as subsequently amended from time to time, which shall be consistent with this charter. (Rocketship’s Articles of Incorporation and Bylaws are attached as Appendix 10.)

Rocketship will operate autonomously from the Alum Rock Unified School District, with the exception of the supervisory oversight as required by statute and other contracted services. Pursuant to California Education Code Section 47604(c), the District shall not be liable for the debts and obligations of Rocketship, or for claims arising from the performance of acts, errors, or omissions by the charter school as long as the District has complied with all oversight responsibilities required by law.

GOVERNANCE STRUCTURE

BOARD OF DIRECTORS

Rocketship Education, d/b/a Rocketship Public Schools is a non-profit network of public elementary charter schools that has exclusive responsibility for charter schools in California and does not function as a Charter Management Organization.

Rocketship Public Schools does not operate as a parent organization and never been an entity under criticism from the Financial Crisis and Management Assistance Team (FCMAT) because of conflicts of interest, misuse of public funds, lack of transparency and in some cases outright fraud/misappropriation of funds.

As we continue to expand our network and reach nationwide, Rocketship has focused on building organizational capacity to maintain high-quality schools while also fueling growth. We have worked to develop and consistently apply functional expertise in each of the areas that comprise the complexity of school management.

Rocketship is focused on easing the administrative burden of our schools so they can focus exclusively on instruction and student achievement. We do this by centralizing a full range of school services. The Central Office Expense Allocation Fee directly supports the operations of our schools, much like a District supports the operations of the schools it supports. In addition, our Achievement Team and our Schools Team are part of Rocketship’s centralized Network Support Team. Those teams are charged with developing the instructional vision, supports, mentoring, and professional development of our school leaders and teacher across all schools.
The current support that Rocketship administration staff provides includes the following:

- High-quality support via centralized Schools Team and Achievement Team to increase student achievement (i.e. planning and designing instructional materials and resources; creating academic visions and goals; coaching of school leaders and teachers; guiding the data analysis process; facilitating professional development for teachers and school leaders)
- Talent management (i.e. recruitment; teacher and school leader pipeline development)
- Growth/policy (i.e. government relations; supervising community outreach and parent involvement)
- Finance (i.e. financial analysis and monitoring; budgeting; accounting, payroll, billing)
- Strategy (i.e. project management; cross-functional facilitation; devising systems for operational issues; overseeing network expansion)
- Facilities (i.e. site location, design, permitting, entitlements)
- Legal (i.e. compliance; completion of required filings; support with education and governance laws and policies)
- Human Resources (i.e. hiring, infrastructure, employment issues, benefits, compliance)
- Operations (i.e. coordinating with service providers; developing and managing systems)
- Communications (i.e. marketing and public relations)

These critical support services are comprehensive and support many academic and most non-academic obligations under state law, charter petitions, and memorandum of understandings established with authorizers. The staff is overseen by a Senior Leadership Team (SLT), which is a group of department leaders with deep and diverse functional expertise. For bios of our current SLT members, please see Appendix 10.

**BOARD OF DIRECTORS**

The Board is ultimately responsible for the operation and activities of each Rocketship school. Board members have a responsibility to solicit input from, and opinions of, both school staff and students' parents regarding issues of significance and to weigh the input and opinions carefully before taking action.

Rocketship’s Bylaws state that the Board must consist of at least three (3) and up to twenty-five (25) members. Board members serve for staggered terms of two years. This staggering of terms will create a natural flow for future elections and ensure that the Board does not experience full turnover at once. For bios of our current Board members, please see Appendix 10.

The Board will be comprised of the following individual officers:
- Chairman of the Board, responsible for presiding over Board meetings and performing various duties as assigned by the Board.
- Secretary, responsible for keeping account of Board minutes, Articles and Bylaws, and notice of Board and committee meetings.
- Treasurer, responsible for overseeing and validating audits, federal and state annual information return filings, and corporate filings.
The Bylaws also authorize the Board to appoint one or more Vice Presidents, one or more assistant secretaries, one or more assistant treasurers, and other officers as deemed necessary.

The Board will meet on a regular basis in accordance with the Bylaws. The Board may initiate and carry out any program or activity that is not in conflict with or inconsistent with any law and which is not in conflict with the purposes for which charter schools are established.

New directors will be elected as defined in the Bylaws. Qualifications of current and future board members include:

- Academic expertise, including subject and professional development knowledge in Literacy and Math
- Significant involvement in the communities served by Rocketship
- Operation of charter schools
- Real estate, legal, and financial expertise
- Fundraising ability

Rocketship complies with Education Code section 47604(b) that states that the “governing board of a school district that grants a charter for the establishment of a charter school formed and organized pursuant to this section shall be entitled to a single representative on the board of directors of the nonprofit public benefit corporation.

**BOARD DUTIES**

The Board will be responsible for Rocketship's operation and fiscal affairs, including but not limited to:

- Adopting policies that offer guidance and interpretation of the charter and procedures to assist the staff in facilitating the implementation of such policies.
- Setting Rocketship's enrollment and grade-level configuration;
- Approval of annual school budget, calendar, salary schedules, and fundraising plans;
- Monitor negotiation and approval of a Memorandum of Understanding (“MOU”) or other contracts with the District;
- Approval of all financial policies that set the processes and controls for contracts, expenditures, and internal controls;
- Hiring and firing of the CEO and oversight over other personnel actions
- Approval of bylaws, resolutions, and policies and procedures of school operation;
- Oversee material changes to the school charter;
- Participation as necessary in dispute resolution;
- Monitoring overall student performance;
- Evaluation of Rocketship Principals;
- Monitoring Rocketship’s performance and taking necessary action to ensure that the school remains true to its mission and charter;
- Monitoring Rocketship’s fiscal solvency;
- Participation in Rocketship’s annual independent fiscal audit;
- Participation as necessary in student expulsion matters pursuant to Rocketship policy;
- Increasing public awareness of Rocketship.
Rocketship will update the District on any changes to the Rocketship Board of Directors.

The Board may execute any powers delegated to it by law and shall discharge any duty imposed on it by law. The Board may delegate to an employee of Rocketship any of those duties with the exception of those listed in the Bylaws as non-delegable. The Board, however, retains ultimate responsibility for the performance of those powers or duties so delegated. Where the Board has formally taken action to delegate authority to staff, changes must:

- Be in writing;
- Specify the designee;
- Describe in specific terms the authority of the Board being delegated, any conditions on the delegated authority or its exercise and the beginning and ending dates of the delegation; and
- Require an affirmative vote of a majority of present Board members, with the presence of a quorum as required by the Brown Act.

The Board may utilize an Executive Committee and establish other committees as necessary to perform various governance functions. If utilized, the Executive Committee will be composed of no fewer than two members. The Executive Committee shall comply with the Brown Act and the Rocketship Conflict of Interest Code.

Moreover, Rocketship agrees to comply with the requirements of the Brown Act, the Public Records Act, Government Code Section 1090 et seq. and the Political Reform Act to the same extent as if the Charter School were a non-charter California public school district. Rocketship also has adopted the Fair Political Practices Commission Model Conflict of Interest Code, pursuant to California Code of Regulations, title 2, Section 18739, including the formal designation of reporters and reporting categories and the forms to be filed thereunder, and requires all designated Charter School employees and governing board members to comply therewith. Please see Appendix 10 for a copy of the Rocketship Conflict of Interest Code.

**BOARD MEETINGS AND TRAININGS**

The Board will meet regularly to review and act on its responsibilities. All meetings shall be held in accordance with the Brown Act, and thus be held openly and easily accessible to the public. Rocketship will establish an annual calendar listing the dates of its regular meetings and provide the locations of those meetings. Rocketship will ensure that a teleconference location, which will be manned by a Rocketship staff member, is available within the jurisdictional boundaries of the District for every meeting. The notice and agenda of each meeting will provide for public comment from each physical and teleconference location. Board teleconferencing locations are comfortably furnished, offices or school conference rooms that are wired and equipped with highly specialized two-way video communication technology. As a matter of practice, to date, Rocketship has held most of its Board meetings in San Jose, where 10 out of the 12 Rocketship-operated charter schools in operation are located. However, members of the Board and the public may choose to participate at any one of the other three (3) meeting sites located in the following authorizing districts: Franklin-McKinley School District, Redwood City Unified School District, and Mt. Diablo Unified School District (CA Department of Education).
Further, Rocketship will add an additional teleconference meeting location in the 2018-2019 school year to serve the Antioch Unified School District, as Rocketship is opening a new school in Antioch in 2018-19. Rocketship is very excited about expanding into the East Bay, and will continue to add additional teleconference meeting locations in the 2019-2020 school year to ensure access in other authorizing school districts.

The Board will also hold special meetings as necessary, including for the consideration of pupil expulsion, the development of the LCAP, and other time-sensitive issues that may need the Board’s attention outside of the regular Board meeting schedule. All special meetings will be held in accordance with the Bylaws and the Brown Act.

The Board of Directors meetings will be headed by a Board Chairman. As long as a quorum exists as defined by the Bylaws, measures voted on by the Board may be passed with a simple majority of present members as allowable under state law.

The Rocketship Board participates annually in professional training regarding topics such as board governance, compliance with the Brown Act, strategic planning, and conflicts of interest rules.

**REGIONAL ADVISORY BOARDS**

Rocketship is governed by a single Board of Directors, and we believe it is critical that strong local partnerships inform our growth and maximize our impact in each of the communities we serve. To achieve this goal, the Board of Directors will put in place an advisory board consisting of a diverse group of parents, teachers, and civic and business leaders committed to closing the achievement gap by fall 2018-2019. By implementing an East Bay Regional Advisory Board, we will ensure that Fuerza parents have representation and participation in local issues of the Board.

The Regional Advisory Board will consist of three (3) to twelve (12) members. At all times, at least 50% of the membership of the Board will be populated by parents of current Rocketship students.

In regions with fewer than six (6) schools, each school will have one representative, elected from the school’s School Site Council or equivalent body. The remainder of the Advisory Board will be made up of local civic and business leaders appointed by Rocketship Regional Director/VP. The Board of Directors will appoint an Advisory Board Chair, who will serve on the Board of Directors.

The primary responsibility of the Advisory Board is to serve as a formal structure giving voice to Rocketship student, family, and community needs. The Advisory Board may provide advice and counsel to Rocketship’s Regional Director/Vice President; provide meaningful input to the Board of Directors on topics such as plans and strategies for local growth, model improvement, and staff development; build local partnerships to enhance the quality and sustainability of Rocketship schools; and speak at local events, political forums, and site visits.

The Advisory Board will meet regularly, and members will attend certain designated Board meetings each year.

**PARENT PARTICIPATION**
We understand that a parent is a child’s first teacher and lifelong advocate. We strive to partner with parents in a variety of ways so that they can become active participants in the school and the community as they promote their children’s educations. As described in Element A above, our teachers and school leaders conduct home visits and conferences and regularly communicate with parents via emails, phone calls, and notes home.

We also provide numerous opportunities for parents to get involved in the operations and governance of the school. Parents can become members of the Advisory Board (further described above) or become involved with the School Site Council or English Language Advisory Committee (further described below).

We also encourage our families to complete at least 20 partnership hours each school year. Partnership activities vary widely and can include assisting in classrooms, translating documents, providing administrative assistance, participating in community and family meetings attending advocacy events, and assisting in special school events. As further explained in this petition, partnership hours are not required and are not a condition for admission or continued enrollment at a Rocketship school.

We also have special processes in place to involve families in the creation of the LCAP. In addition to the regular community meetings and parent meetings, Rocketship Fuerza holds meetings specifically designed to help parents understand the components of the LCAP and to discuss the best ways for the school to use LCFF funds to serve students in alignment with the state priorities. Rocketship Fuerza also has developed a survey, translated into English and Spanish, to ask parents about their preferences regarding the allocation of LCFF funds for various services and resources.

SCHOOL SITE COUNCIL

Each Rocketship school forms a School Site Council (SSC) which, in accordance with Ed Code § 52852, will be comprised of the following:

- The school Principal;
- School personnel, at least 50% of which are classroom teachers;
- Parents of students attending the school, or other community members selected by parents.

The number of parents/parent-selected community members on the SSC must be equal to the number of school personnel. The SSC will implement bylaws that describe selection and replacement procedures.

Pursuant to Ed Code § 64001, the SSC will be responsible for participating in the development of a Single School District Plan for student achievement (“the SSD Plan”).

The SSD Plan is a blueprint to improve the academic performance of all students through the coordination of all educational services at the school. The SSD Plan must address how federal funds provided to the school will be used to improve the academic performance of all students. In developing the SSD Plan, the SSC will conduct an analysis of root causes of student academic challenges and research-based instructional strategies that enhance student achievement. To set school goals, the SSC will carefully review district priorities; qualitative and quantitative student
achievement data; and other performance measures to evaluate the effectiveness of the instructional program. The SSC will review and update the SSD Plan annually. All updated SSD Plans must be approved by the Rocketship Public Schools Board of Directors. Additionally, the SSC will participate in the development and approval of the school’s Local Control and Accountability Plan (LCAP), which governs how the school will spend its state categorical funding.

Beyond fulfilling its legal obligations as described above, the SSC will seek to empower parents in the education of their children. The SSC may engage in a number of activities and initiatives, including, but not limited to:

- Engage in professional development opportunities for parents (i.e., through trainings in data analysis, budget review, curriculum and instruction, etc.).
- Review of attendance trends and policies.
- Review of English learner achievement and reclassification data.
- Review of the Parent Survey and School Needs Assessment.
- Review of the instructional model and curriculum.
- Budget and categorical funding allocation (LCFF allocation).
- Provide input on school events, school culture, and staff professional development.
- Provide input on school policies, school safety plans, and discipline procedures.
- Implement initiatives to increase parent engagement.

The SSC will hold regular meetings in accordance with its Bylaws and California law. Records of attendance and meeting minutes for each meeting will be kept at the school site.

ENGLISH LEARNER ADVISORY COMMITTEE

Under Ed Code § 52176(b), all schools with more than 20 students of limited English proficiency (“English learners,” or “ELs”) shall establish a school level advisory committee on which parents/guardians of such students constitute membership in at least the same percentage as their children represent of the total number of students in the school. Other members of the ELAC can be parents/guardians of non-EL students, school staff, and/or community members as long as the minimum percentage requirement for EL parents is maintained.

Schools may designate, for this purpose, an existing school level advisory committee (i.e. SSC), or subcommittee of such an advisory committee, if the advisory committee, or subcommittee where appropriate, meets the criteria stated above. At Rocketship, we try to have our SSC and ELAC overlap to the extent desired by the school and possible under the law.

In accordance with Ed Code §52176, the ELAC shall be responsible for advising the principal and staff on programs and services for English learners and the School Site Council on the development of the SSD and LCAP.

Additionally, the ELAC shall assist the school in the development of:

- The school’s needs assessment.
- The school’s annual language census.
- Ways to make parents aware of the importance of regular school attendance.
Along with the SSC, the ELAC will also engage in the additional functions and activities listed above.

DETERMINING SUCCESS OF PARENT INVOLVEMENT

Parent involvement is critical to the academic success of Rocketship students and the overall success of each Rocketship campus. The Board, along with Rocketship staff and school leaders, will use dashboard metrics to measure the success of parent involvement. The key goals for successful parent involvement are:

- **Enlisting parent leaders at each campus:** These individuals will help lead various activities at school as well as be key liaisons within the community to help inform other parents about Rocketship and promote grassroots, community efforts to help Rocketship eradicate the achievement gap in the community.
- **Achieving strong family attendance at school community events:** These events include community meetings, exhibition nights, and other school events. A high percentage of participation demonstrates a deep parent engagement and commitment to Rocketship Fuerza.
- **Encouraging each Rocketship Fuerza family to complete at least 20 volunteer hours at the school per year:** As described above, Rocketship Fuerza parents will be encouraged to volunteer at the schools to help tighten the link between the families and the school as well as assist Rocketship Fuerza teachers and staff with various school operations. However, in accordance with Ed Code 47605(d)(2)(B)(iv), parental involvement is NOT required for acceptance to, or continued enrollment at, any Rocketship charter school. Pursuant to Ed Code 47605(n), the Charter School will affirmatively notify current and prospective parents of this during the application and enrollment process and at the beginning of every school year.
**ELEMENT E: EMPLOYEE QUALIFICATIONS**

_Governing Law: The qualifications to be met by individuals to be employed by the school. -- California Education Code Section 47605(b)(5)(E)_

Rocketship recruits professional, effective and qualified personnel for all administrative, instructional, instructional support, and non-instructional support capacities who believe in the instructional philosophy outlined in its vision statement. In accordance with Education Code 47605(d)(1), Rocketship shall be nonsectarian in its employment practices and all other operations. Rocketship shall not discriminate against any individual (employee or student) on the basis of the characteristics listed in Education Code Section 220 (actual or perceived disability, gender, gender identity, gender expression, nationality, race or ethnicity, religion, sexual orientation, or any other characteristic that is contained in the definition of hate crimes set forth in Section 422.55 of the Penal Code or association with an individual who has any of the aforementioned characteristics).

All employees should possess the personal characteristics, knowledge base and/or relevant experiences in the responsibilities and qualifications identified in the posted job description as determined by Rocketship. These criteria are further described in the remainder of this section.

All Rocketship teachers will hold a Commission on Teacher Credentialing certificate, permit, or other document equivalent to that which a teacher in other public schools would be required to hold. Rocketship will maintain current copies of all teacher credentials, and they will be readily available for inspection and monitoring.

Rocketship Fuerza is a School of Choice and will comply with all applicable state and federal laws regarding background checks, clearance of personnel, and maintenance and disclosure of employee records. All employees are subject to state and federal employment laws.

**PRINCIPAL**

The Principal is responsible for creating a school capable of achieving the Rocketship mission and goals. This includes leading Rocketship Fuerza in all aspects of its day to day operations and working with the RPS Board of Directors, students, parents, and community members and the other governing bodies specified by local and state law.

The Principal is the instructional, cultural, managerial, and community leader of the school. The Principal sets the vision for the school and ensures that the school is a high-achieving college preparatory environment where all students finish the fifth grade at or above grade level. Additionally, the Principal directly manages, supports, and develops the Assistant Principal and the Office Manager. The Principal may serve as the manager and coach of all educators, which includes conducting observation cycles, modeling lessons, and providing support and resources aimed at increasing teacher effectiveness and leadership. The Principal is also responsible for engaging and empowering parents to become lifelong advocates for their children’s education.

**Responsibilities:**

- Foster a rigorous and college preparatory environment that ensures high levels of student
achievement annually through the relentless use of data to drive and refine instruction

- Manage, support, and develop other members of the school leadership team including the Assistant Principals and Office Manager
- Manage self and others in a manner that creates a healthy, high-achieving environment where staff feel challenged, supported, and valued and there is open communication about professional growth and future career opportunities
- Foster a school culture and environment of constant reflection and professional growth so that all staff continues to emerge as leaders within Rocketship and quickly assume leadership positions within the organization
- Foster Rocketship school culture where students, educators, and members of the school community demonstrate Rocketship's beliefs, values, and behaviors
- Create a school community that fully involves parents in student achievement through multiple outlets including home visits, regular community meetings and parent/family meetings, and also empowers them to become active advocates for their Rocketeer’s education and achievement
- Promote collaborative problem solving and open communication between educators, students, and families
- Develop classroom educator practice and leadership through direct observation, coaching, and training (4+ teachers)
- Design and lead staff meetings
- Oversee and/or contribute to the design and implementation of staff professional development and collaborative planning time
- Lead the execution of community meetings and events
- Lead and/or support other school site and network-wide initiatives as needed to foster strong school culture, academic excellence, and network growth
- Provide leadership toward, creative and positive data driven behavioral innovations and instruction for high risk students, their teachers and their families

Qualifications:
- 2+ years of experience teaching in an urban city classroom and realizing significant gains
- Strong leadership skills and personal drive
- Relentless pursuit of high expectations
- Strong organizational skills
- Passion for urban children and their families
- Ability to build partnerships with community organizations
- Strategic planning experience
- Ability to engage and empower parents and families
- Strong communication skills
- An entrepreneurial spirit and a proven track record
- Experience in building and maintaining outstanding school culture
- Results-oriented and data-driven
- Ability to develop others
- Adaptable and able to thrive in a dynamic, fast-paced environment
- Background check, TB test and fingerprinting
- BA from accredited university
- Valid teaching credential

ASSISTANT PRINCIPAL
Rocketship Fuerza has two Assistant Principals, focused full-time on the implementation of Rocketship Fuerza's academic systems and mentoring teachers to improve their effectiveness.

Reporting directly to the Principal, the Assistant Principal plays a critical role in driving academic achievement for students. The Assistant Principal ensures academic excellence by working closely with the Principal to lead and implement the instructional vision for the school. The Assistant Principal leads two primary streams of work: teacher coaching and professional development (PD). The Assistant Principal directly coaches a number of educators, which includes conducting observation cycles, modeling lessons, co-planning lessons, real-time coaching, and providing support and resources aimed at increasing teacher effectiveness and leadership. The Assistant Principal also leads the design and implementation of group teacher professional development and collaborative planning time. This individual provides staff with the appropriate resources and support to ensure that each Rocketship school's Rocketeers realize over a year's worth of progress annually.

**Responsibilities:**
- Foster a rigorous and college preparatory environment that ensures high levels of student achievement annually through the relentless use of data to drive and refine instruction
- Ensure over a year’s worth of progress for all Rocketeers annually through rigorous coaching and PD
- Drive student achievement results through regular 1:1 coaching sessions with select staff members
- Oversee the implementation of a rigorous and highly personalized curriculum in classrooms of coached educators
- Oversee and supervise the ILSs and the Learning Lab
- Promote collaborative problem solving and open communication among teaching staff members
- Ensure Rocketship school culture where students, educators, and members of the school community demonstrate Rocketship's beliefs, values, and behaviors
- Manage self and others in a manner that creates a healthy, high-achieving environment where staff feel challenged, and also fully supported/valued
- Lead and/or contribute to the design and implementation of weekly staff professional development and collaborative planning time
- Identify, celebrate, codify, and share instructional best practices across the school and network
- Implement and share educator coaching and development best practices with other members of the school leadership team
- Assist in the management of school-based Integrated Service Education (ISE) program
- Collaborate with the Education Specialist at each site to ensure that teachers are receiving the necessary support and professional development to maximize the delivery of instruction in a full-inclusion model
- Have a lasting impact on the design of network professional development resources

**Qualifications:**
- Have achieved made significant gains with their students for at least the past year or years;
- Espouse Rocketship Fuerza's culture of caring, showing concern not just for the academic, but for the emotional welfare of their students;
• Demonstrated the desire and ability to mentor young teachers. Teaching adults is different from teaching children. Mentoring requires a commitment on the part of an Assistant Principal to their Teachers and an ability to demonstrate and explain verbally their own practices;
• Ability to be a strong team player, helping to make the faculty cohesive in our goals of creating both a safe and supportive environment, and one in which students will make significant academic progress.
• 2+ years' experience teaching in an urban city classroom
• Strong time management and organizational skills
• Result-oriented and data-driven
• Relentless pursuit of high expectations
• Ability to inspire and motivate others
• Adaptable and able to thrive in a dynamic, fast-paced environment
• Ability to develop others
• Passion for urban children and their families
• Strategic planning and project management experience
• Strong verbal and written communication skills
• Deep knowledge of elementary literacy and/or math instruction
• Experience with or interest in the use of technology in promoting teacher development a plus
• Background check, TB test and fingerprinting
• BA from accredited university
• Valid Teaching Credential

CORE CLASSROOM TEACHERS

Rocketship defines core classes as English Language Arts, Mathematics, Social Studies, and Science. All other classes offered will be considered non-core classes. In passing the Charter Schools Act, it is the intent of the Legislature that charter schools be given flexibility in credentialing with regard to non-core, non-college preparatory courses.

Rocketship Fuerza shall comply with Education Code Section 47605(l), which states:

Teachers in charter schools shall be required to hold a Commission on Teacher Credentialing certificate, permit or other document equivalent to that which a teacher in other public schools would be required to hold. These documents shall be maintained on file at the charter school and shall be subject to periodic inspection by chartering authority.

All core teachers will have or be working toward CLAD certification or a CCTC recognized equivalent.

Each year, teachers will be evaluated based on their ability to make significant gains. It is expected that some teachers will be able to make significant gains in a single year; others may take two or three, and still others may not be capable. In addition to significant gains, teachers must show a strong ability to work with and mentor their peers in order to be prepared to take on the role of Assistant Principal.
Responsibilities
● A full day of teaching, primarily within the academic areas in which they focus their
teaming;
● Mentoring and instructional advice for their peers, especially other educators, to help
them develop the skills needed to progress as educators.

Qualifications:
● Demonstrated mastery of classroom skills including classroom management, planning,
assessment and instructional practice;
● Hold a valid teaching credential;
● Demonstrate the potential to make significant gains for students.
● Background check, TB test and fingerprinting required

Teachers receive competitive, performance-based salaries, which are often higher than
surrounding districts by 10% or more.

Teachers assigned to a TK classroom will have been issued at least one credential by the CTC and
shall, by August 1, 2020, have at least one of the following:
● At least 24 units in early childhood education, or child development, or both;
● As determined by the local education agency employing the teacher, professional
experience in a classroom setting with preschool-age children that is comparable to the 24
units of education described above;
● A child development permit issued by the CTC.

EDUCATION SPECIALIST

The Education Specialist is a full-time position that reports to the school Principal. The Education
Specialist will be responsible for managing the IEP caseload for Rocketship students who require
special education services as outlined in their IEPs. The role of the Education Specialist is to
improve students’ success in the basic academics (reading, language and/or math, etc.) through
implementing Rocketship approved curriculum; documenting teaching and student
progress/activities/outcomes; modeling the necessary skills to perform assignments; providing a
safe and optimal learning environment and providing feedback to students, classroom teachers,
parents and administration regarding student progress, expectations, goals, etc.

Responsibilities
● Ensure that all students realize the academic goals outlined both within their IEPs and by
Rocketship and realize at least one year’s worth of progress
● Collaborate with school personnel, parents, and other service providers for the purpose of
improving the quality of student outcomes, developing solutions and planning curriculum
● Coordinate referral and assessment procedures and facilitate the coordination of IEP team
meetings and the implementation of special education services (Speech, Occupational
therapy, etc.)
● Evaluate students’ abilities in basic academics for the purpose of development of
remediation plans and/or assessing student progress
● Provide one-to-one or small group instruction, direct services and push in or pull out
intervention as required by IEP
• Provide accommodations and/or modifications to learners with disabilities for assignments and testing as determined by the IEP team
• Draft and write professional and compliant IEPs, as well as finalize the data in SEIS
• Instruct students for the purpose of improving their success in assigned basic academic subject areas of reading, writing and/or math
• Manage student behavior for the purpose of providing a safe and optimal learning environment, develops behavior support plans as needed
• Participates in various meetings (IEP, parent conferences, in service training, staff meetings etc.)
• Provide leadership for ensuring full compliance with legal requirements as prescribed by federal law under IDEA (Individuals with Disabilities in Education Act 1997) and IDEIA (Individuals with Disabilities in Education Improvement Act, 2004) and State of California Education Code
• Collaborate with parents as educational partners and provide ability awareness as needed

Qualifications
• Embrace the mission of Rocketship Public Schools
• Thrive in a fast-paced, dynamic work environment
• Knowledge of curriculum, education code and special education law/policies
• Skills in appropriate special subject matter
• Ability to navigate school setting to support students in multiple spaces
• Skills in organization and planning in order to plan excellent instruction for students
• Thrive in an inclusive school setting, as well as an interest in participating in co-teaching and collaboration with other staff members
• Willingness to participate in ongoing professional development over the course of the school year to develop one’s practice and skills
• Abilities to stand and walk for prolonged periods
• Perform a variety of specialized and responsible tasks: maintain records, establish and maintain cooperative working relationships with students, parents, other school personnel, meet schedule and compliance deadlines
• Possession of a valid California driver’s license: willingness to provide own transportation in conduction of work assignments.
• Background check, TB test and fingerprinting required
• Valid Education Specialist Credential or enrolled in an accredited teacher preparation program working towards a credential
• Bachelor’s degree required; Advanced Degree optional

INDIVIDUALIZED LEARNING SPECIALISTS

Individualized Learning Specialists (ILSs) serve as tutors, working closely with a team of teachers to meet the needs of all students at that grade level.

Responsibilities
• Motivate students to participate in learning activities; create a positive student culture around online learning and small group tutoring; maintain high behavioral expectations for all students
• Ensure that students have access to a positive and productive learning environment by enforcing all campus safety rules and behavior expectations
• Actively “coach” students on all computer programs and ensure that the educational software used in the lab effectively meets the needs of students; perform targeted individual interventions and assist struggling students on computer programs
• Tutor small groups of students on literacy and/or math skills; use Rocketship adopted curricula to deliver lessons which align to students’ goals
• Each ILS works directly with students in group, and individual settings to execute highly structured programs or instructional review
• Interpret and manage online student data generated by multiple educational software programs; monitor student progress using Rocketship’s data management platform and promote individual and group progress within the curricula
• Communicate and collaborate with the teachers at their grade level, and school administrators; participate actively in staff development opportunities as a member of the Rocketship team
• Maintain computer equipment and accessories

Qualifications
• Commitment to Rocketship’s mission, vision, and goals
• Passion for working with children; ability to motivate and support children in reaching high levels of academic success
• Previous experience managing and/or teaching groups of elementary age students is strongly preferred
• Excellent communication and interpersonal skills, with ability to engage and work closely with a wide range of staff members
• Basic computer skills including troubleshooting and an ability to communicate about technical difficulties
• Ability to efficiently interpret, manage, and utilize multiple sets of data in order to best support students’ progress
• Ability to learn laws, rules, practices and procedures related to public education and specific to Rocketship Education
• Fluency in English
• Flexibility and a willingness to learn
• Background check, TB test and fingerprinting required

PARAPROFESSIONAL

The Rocketship Special Education Paraprofessional position is a full time position that reports to the Rocketship Fuerza Principal. The Paraprofessional will work under the supervision of a certificated ISE Teacher who will provide weekly oversight, training and direction.

Responsibilities
• Implementation and recording of data for individualized instructional programs and positive behavior support plans
• Providing individual and small group instruction for students with both special and typical learning needs in the general education environment including, but not limited to: the classroom, recess, and the lunch area

Qualifications
• A team player who is detail-oriented, resourceful and able to manage his/her responsibility
with confidence and discretion

- Interpersonal skills using tact, patience and courtesy
- Willingness to implement positive behavior support plans
- Passion for working with young children
- Experience working with young children in a school setting
- Experience working with students with disabilities (desired)
- Background check, TB test and fingerprinting required
- Copy of High School Diploma or equivalent
- Provide ONE of the following: transcripts showing at least 2 years of college coursework (48 units) or issuance of an Associate’s or Bachelor’s degree; copy of Associate’s or Bachelor’s Degree; copy of Passing Score Report for Rocketship’s approved paraprofessional assessment

OFFICE MANAGER

The Office Manager is responsible for daily operations at Rocketship Fuerza. The Office Manager reports to the Principal.

Responsibilities:
- Recording attendance
- Primary responsibility for input of Free and Reduced Lunch information into the student database
- Managing the office
- Overseeing purchases of materials
- Doing day to day bookkeeping
- Managing the schedules of the Principal
- Serving as first point of contact for Parents contacting Rocketship Fuerza.

Qualifications:
- Strong organizational skills
- Strong time management skills
- Ability to work both independently and with a team
- Fluency in Spanish is highly desirable
- Background check, TB test and fingerprinting required
- A.A. degree or equivalent work experience
- 3 plus years in administrative support position preferable
- Experience in school front office preferable
- Proficient with Microsoft Office

BUSINESS OPERATIONS MANAGER

The primary purpose of the Business Operations Manager (BOM) role is to ensure the school is safe, compliant, efficient, and financially sound. The BOM provides direct services to the school that enables instructional staff to better serve students and families. Ideal candidates will be self-motivated, flexible, and adept at managing change.

Responsibilities
- Manage food service operations, including managing staff, serving as main contact with
meal vendor, ensuring compliance, conducting local audits, and ensuring meal program financial health

- Own procurement and purchasing for the school site. Work with school to understand needs, place orders, inventory items received, handle returns/exchanges, etc.
- Hire, manage, and evaluate all hourly school support staff, including those working on lunch and arrival/dismissal
- Support the logistical, compliance, and technology side of administration of selected assessments, including NWEA MAP, CAASPP, CELDT, Physical Fitness, and Hearing & Vision testing
- Serve as main owner of school safety processes and compliance, including administering trainings, running drills, and conducting safety audits. Partner with Principal to respond to emergencies
- Manage facilities-related needs, including scheduling/meeting vendors and handling after-hours facilities emergencies
- Provide support for Principal on finance-related topics, including around budgets, cash collection, and invoice approval
- Serve as on-site HR compliance contact, including completion of new hire and benefits paperwork and collect personnel file items
- Serve as on-site IT contact, including managing IT assets, assisting staff with IT issues as able, and serving as main touch point to central IT staff
- Manage arrival and dismissal
- Manage start-of-year logistics around move-in (most relevant for new schools)
- Own free/reduced-price lunch application process, including validating forms and running income verification process
- Run weekly and monthly attendance reports, serve as main point of contact for PowerSchool administrator for needs related to compliance reporting

Qualifications

- Minimum 2 years of relevant experience, with school-based experience preferred
- Managerial experience preferred but not required
- Strong PC-based computer skills, and ability to quickly adapt to new computer programs and software
- Experience in a fast-paced, highly analytical, entrepreneurial environment – with ability and desire to help shape a new role and flexibly shift responsibilities over time as the role and department evolve
- High tolerance for ambiguity, changing work priorities and deadlines, and a willingness to take on responsibilities and to prioritize work on multiple projects
- Excellent interpersonal communication skills, including on sensitive topics
- Skill at communicating respectfully and empathetically with student families. Spanish language proficiency preferred but not required
- Team-player attitude and strong customer-service orientation
- Demonstrated ability to be detail-oriented, organized, and resourceful
- Ability to proactively manage multiple critical deadlines and quickly and confidently adapt in a fast-paced environment, independently following through on completion of tasks and responsibilities
- Skill at building strong working relationships with people in both senior- and junior-level roles, both within and beyond Rocketship
- Ability to treat sensitive issues with respect and empathy and maintain strict
confidentiality where required

- Passion for Rocketship’s mission, matched with a strong work ethic
- Belief that all students can achieve academic success
- Background check, TB test and fingerprinting required
- Bachelor’s degree required

SUPPORT STAFF

This position is responsible for ensuring that the day-to-day operations of lunch, recess, and arrival/dismissal at the school site run safely and smoothly. Furthermore, support staff members are also responsible for ensuring that students maintain appropriate behavior in all operational activities.

Responsibilities

- Arrange setup and cleanup for food items, supplies, equipment, and food preparation and serving areas
- Maintain cafeteria records and reports as required for the purpose of meeting local, state, and federal guidelines
- Supervise and monitor students during assigned recess and lunch periods
- Implement all site playground rules and safety regulations
- Report any unsafe playground conditions, including equipment, to administrators immediately
- Ensure a safe and effective arrival/dismissal for all students before and after school hours
- Utilize appropriate disciplinary procedures and techniques in accordance with the school site discipline plan
- Attend staff meetings and in-service trainings as deemed necessary for the position

Qualifications

- Commitment to Rocketship’s mission, vision, and goals
- Passion for working with children
- Previous experience managing and/or teaching groups of elementary-age students is strongly preferred
- Excellent communication and interpersonal skills, with ability to engage and work closely with a wide range of staff members
- Ability to learn laws, rules, practices and procedures related to public education and specific to Rocketship Public Schools
- Basic fluency in English
- Flexibility and a willingness to learn
- Background check, TB test and fingerprinting required
ELEMENT F: HEALTH AND SAFETY PROCEDURES

“The procedures that the school will follow to ensure the health and safety of pupils and staff. These procedures shall include the requirement that each employee of the school furnish the school with a record summary as described in Section 44237.”

-California Education Code Section 47605(b)(5)(F)

Please see Appendix 11 for a detailed description of sample Rocketship Fuerza health and safety policies on Fingerprinting and Background Checks; Tuberculin Examinations; Safe Facilities; Emergency Plans; Immunizations/Physical Exams; Communicable, Contagious, or Infectious Disease Prevention; Administration of Medications; Drug-Free Workplace; Smoke-Free Environment; First Aid CPR, and Health Screening (vision/hearing/scoliosis); and Exposure Control Plan for Blood Borne Pathogens; policies on Sexual Harassment and Complaint Procedures; and policies on the role of staff as Mandated Child Abuse Reporters. Rocketship may revise and create additional policies and procedures as the need occurs and to stay in compliance with changes to local, state and federal laws and regulations. The following provides a brief summary of relevant current Rocketship health and safety policies and procedures.

FINGERPRINTING/BACKGROUND CHECK

Rocketship requires applicants to disclose criminal or other sanctions imposed on them as a consequence of reported child abuse or other action(s) that resulted in harm to children.

Rocketship Public Schools requires fingerprinting and background checks (also known as “criminal records summaries”) for all its employees, including all employees who will work at Rocketship Fuerza, as required by state or local law prior to beginning employment at Rocketship. All prospective employees must abide by all applicable laws and agree to abide by the policies of Rocketship, including the submission of fingerprints and the approval for Rocketship or its designee to perform background checks.

The fingerprinting and background checks conducted with the Department of Justice will be required for all new employees before the beginning of each school year. These will also be required of Rocketship employees who leave Rocketship and are then rehired. This requirement is a condition of employment.

Rocketship reserves the right to require new fingerprinting and background checks for existing employees at any time. If Rocketship receives information that an employee has at any time engaged in conduct that caused or is likely to cause physical, emotional, or educational harm to children (either through their direct contact with children or otherwise), Rocketship will conduct an investigation and may require another fingerprinting and background check for that employee.

Rocketship will comply with Education Code 44830.1 with regards to hiring employees who have been convicted of a violent or serious felony.

Rocketship will comply with the requirements of Education Code 44237 that each employee of the Charter School shall furnish the school with a criminal record summary.
Rocketship will also comply with all state requirements regarding background checks on volunteers.

**ROLE OF STAFF AS MANDATED CHILD ABUSE REPORTERS**

All non-certificated and certificated staff will be mandated child abuse reporters and will follow all applicable reporting laws and the procedures described in Rocketship’s Mandated Reporter Policy, including new training requirements recently enacted pursuant to CA Ed Code 44691. Additionally, pursuant to Education Code Section 44691, all employees must provide proof of completing the required training within the first six weeks of the school year or within the first six weeks of that person’s employment.

**TB TESTING**

Rocketship will follow the requirement of Education Code Section 49406 in requiring tuberculosis testing of all employees.

**IMMUNIZATIONS**

All students enrolled and staff will be required to provide records documenting immunizations as is required at public schools pursuant to Health and Safety Code Sections 120325-120375, and Title 17, California Code of Regulations Sections 6000-6075.

**MEDICATION IN SCHOOL**

Rocketship will adhere to Education Code Sections 49423 and 49414 regarding administration of medication in school.

In accordance with California law, Rocketship Fuerza will stock emergency epinephrine auto-injectors to be used by the school nurse or trained personnel on persons suffering or reasonably believed to be suffering from an anaphylactic reaction. A school nurse or, if the school does not have a nurse, a volunteer trained in accordance with this policy, may administer an epinephrine auto-injector to a person exhibiting potentially life-threatening symptoms or anaphylaxis at school or at a school activity when a physician is not immediately available. Rocketship Fuerza will store the epinephrine auto-injectors in a secure but accessible, well-marked, unlocked location.

**VISION/HEARING/SCOLIOSIS**

Rocketship shall adhere to Education Code Section 49450 et seq. as applicable to the grade levels served.

**EMERGENCY PREPAREDNESS**

Rocketship shall adhere to an Emergency Preparedness Handbook drafted specifically to the needs of the school site. This handbook shall include but not be limited to the following responses: OSHA policy compliance, fire, flood, earthquake, terrorist threats, and hostage situations and shall
be submitted for District receipt and review. This handbook shall include an evacuation plan, and general school safety, injury and illness prevention.

**BLOOD-BORNE PATHOGENS**

Rocketship shall meet state and federal standards for dealing with blood-borne pathogens and other potentially infectious materials in the workplace. The Board has established a written “Bloodborne Pathogens” policy designed to protect employees from possible infection due to contact with blood-borne viruses, including human immunodeficiency virus (“HIV”) and hepatitis B virus (“HBV”).

Whenever exposed to blood or other body fluids through injury or accident, students and staff should follow the latest medical protocol for disinfecting procedures.

**DRUG-FREE/SMOKE-FREE ENVIRONMENT**

Rocketship shall maintain a drug, alcohol, and smoke-free environment.

**FACILITY**

All facilities utilized by Rocketship must be in compliance with either the Field Act or the California Building Standards Code in accordance with Education Code 47610. All Rocketship facilities will comply with the Americans with Disabilities Act access requirements. Rocketship maintains accessible records documenting all such compliances. Rocketship Fuerza has ensured the receipt of a Certificate of Occupancy prior to the start of school.

Rocketship complies with the requirement contained in Education Code Section 47610 by utilizing private facilities that are compliant with the California Building Standards Code. However, Rocketship reserves the right to build a facility in compliance with the Field Act or to request Field Act compliant facilities from the District in the future under Proposition 39 and its implementing regulations. Rocketship agrees to test sprinkler systems, fire extinguishers, and fire alarms annually at its facilities to ensure that they are maintained in an operable condition at all times. Rocketship shall conduct fire drills as required under Education Code Section 32001.

**COMPREHENSIVE SEXUAL HARASSMENT POLICIES AND PROCEDURES**

Rocketship is committed to providing a harassment-free environment. Furthermore, Rocketship will never discriminate against any individual on the basis of race, religion, creed, color, national origin, ancestry, age, medical condition, marital status, sexual orientation, or disability.
**ELEMENT G: MEANS TO ACHIEVE RACIAL AND ETHNIC BALANCE**

_Governing Law:_ The means by which the school will achieve a racial and ethnic balance among its pupils that is reflective of the general population residing within the territorial jurisdiction of the school district to which the charter petition is submitted. -- California Education Code Section 47605(b)(5)(G)

As further described in Element H below, Rocketship shall not discriminate against any student on the basis of race, ethnicity, or any of the characteristics listed in Education Code Section 220. Furthermore, Rocketship Fuerza shall strive to achieve a racial and ethnic balance among its students that is reflective of the general population residing within the territorial jurisdiction of the District.

The 2016-17 racial and ethnic demographic data among ARUSD students is shown below.

<table>
<thead>
<tr>
<th>% Hispanic</th>
<th>% Black/African American</th>
<th>% White</th>
<th>% Asian</th>
<th>% American Indian/Alaskan Native</th>
<th>% Filipino</th>
<th>% Pacific Islander</th>
<th>% Two+ Races</th>
</tr>
</thead>
<tbody>
<tr>
<td>78.8</td>
<td>1.3</td>
<td>1.7</td>
<td>11.5</td>
<td>0.2</td>
<td>4.6</td>
<td>0.8</td>
<td>0.9</td>
</tr>
</tbody>
</table>

_Source: CDE Dataquest_

Element A above presents the official 2016-17 Rocketship demographic data for all schools in the Rocketship Public Schools network. As further described in Element A, our demographic data demonstrates that the Rocketship network has extensive experience serving a diverse range of student backgrounds. The racial and ethnic breakdowns among students in many of our existing schools are reflective of those of the District, as further shown in Element A. As such, Rocketship is well prepared to recruit families to achieve a racial and ethnic balance that is reflective of the District.

Specifically, the means by which Rocketship will strive to achieve a racial and ethnic balance that is reflective of the District’s general population are described below. This list is not meant to be exhaustive. Any such strategies will comport with all federal and state laws and Rocketship policies prohibiting discrimination in admissions.

- Printing and distributing materials in English, Spanish, Vietnamese, and any other languages reflecting the needs of the community.
- Cultivating strategic partnerships with community organizations that serve diverse constituencies.
- Recruiting and retaining a diverse, highly-qualified recruitment and community engagement staff that is primarily comprised of individuals who reside in the local community.
- Holding focus groups with parents and community organizations in the local community.
- Employing an enrollment process that is well-publicized, scheduled, and adopted to include
a timeline that allows for a broad-based application process.

- Developing and distributing promotional and informational material that reaches out to all of the various racial and ethnic groups represented in the territorial jurisdiction of the District.
- Conducting continuous outreach activities throughout the community. These activities will be held at a wide range of times and locations to ensure that community members and Rocketship families have sufficient opportunities to attend.
- Conducting data-based research into the demographics of the District.

Rocketship shall, as part of its programmatic audit, analyze the success and/or weakness of its outreach initiatives. Rocketship shall utilize the data from the programmatic audit to make any necessary revisions to the outreach initiatives in order to correct imbalances.
Rocketship Fuerza shall strive to achieve a student population that understands and values Rocketship’s mission and vision statements and is committed to our instructional and operational philosophy.

Rocketship Fuerza shall be an open enrollment and tuition-free public, charter school and shall admit all pupils who wish to attend. No test or assessment shall be administered to students prior to acceptance and enrollment into Rocketship Fuerza. Rocketship Fuerza will comply with all laws establishing minimum and maximum age for public school attendance in charter schools. Admission, except in the case of a public random drawing, shall not be determined by the place of residence of the pupil or his or her parent or legal guardian within the state.

Pursuant to Education Code 47605(d), the school shall be nonsectarian in its programs, admission policies, employment practices, and all operations, shall not charge tuition, and shall not discriminate against any student on the basis of the characteristics listed in Education Code Section 220 (actual or perceived disability, gender, gender identity, gender expression, nationality, race or ethnicity, immigration status, religion, sexual orientation, or any other characteristic that is contained in the definition of hate crimes set forth in Section 422.55 of the Penal Code or association with an individual who has any of the aforementioned characteristics).

Pursuant to Education Code 47605(d)(2)(B)(iv), parental volunteer hours shall NOT be required as a condition for admission or continued enrollment.

APPLICATION AND REGISTRATION PROCESS

The application process begins with the completion of a student interest form, which includes basic student and family identification information for the purposes of entry into public random drawing. Applications will be accepted during a publicly advertised open application period each year for enrollment in the following school year. Following the open application period each year, applications shall be counted. Rocketship Fuerza shall admit all students who wish to attend the Charter School subject only to capacity. In the event that this occurs, Rocketship Fuerza will hold a public random drawing, further described below, to determine enrollment for the impacted grade level, with the exception of existing students (2nd year forward) who are guaranteed enrollment in the following school year.

Upon selection for admission pursuant to public random drawing, the registration process will include documentation such as the following:

- Student enrollment form which contains student name, address, and other identifying and demographic information;
- Proof of Immunization;
• Home Language Survey;
• Completion of Emergency Medical Information Form;
• Proof of minimum age requirements.

LOTTERY POLICIES AND PROCEDURES

As described above, Rocketship Fuerza will implement a public random drawing in the event that applications for enrollment exceed capacity. In accordance with Ed Code 47605(d)(2)(B), enrollment preferences in the case of a public random drawing shall be allowed in the following order of preference:

1. Siblings of currently enrolled Rocketship Fuerza students
2. Children of staff, teachers and founders of Rocketship Fuerza (not to exceed 10% of total enrollment)
3. Residents of the Alum Rock Union District
4. Other California residents

Public random drawing rules, deadlines, dates and times will be communicated on Rocketship Fuerza's website. Public notice for the date and time of the public random drawing will also be posted once the application deadline has passed. Rocketship Fuerza will also inform parents of all applicants and all interested parties of the rules to be followed during the public random drawing process via mail or email at least two weeks prior to the lottery date.

Rocketship Fuerza will likely conduct the lottery in the late winter or early spring for enrollment in fall of that year.

At the conclusion of the public random drawing, all students who were not granted admission due to capacity shall be given the option to put their name on a wait list in the order of their draw in the public random drawing. This wait list will allow students the option of enrollment in the case of an opening during the school year. Students may also apply to Rocketship after the open application period and will be placed on the waitlist on a first come-first served basis if all seats are full in a particular grade level.

In no circumstance will a wait list carry over to the following school year. Rocketship will notify all waitlist families when the next year's application becomes available. Students who remain on the waitlist at the end of a given school year will have to submit a new application for the next school year.

ELEMENT I: FINANCIAL AUDIT

_Governing Law:_ The manner in which annual, independent, financial audits shall be conducted, which shall employ generally accepted accounting principles, and the manner in which audit exceptions and deficiencies shall be resolved to the satisfaction of the chartering authority.-- California Education Code Section 47605(b)(5)(I)

The Rocketship Board has a Business Committee, which selects an independent financial auditor and oversees audit requirements.
An annual audit of Rocketship’s books and records will be conducted as required by Education Code Sections 47605(b)(5)(I) and 47605(m). Rocketship’s books and records will be kept in accordance with generally accepted accounting principles, and as required by applicable law. The audit will employ generally accepted accounting procedures. The audit shall be conducted in accordance with applicable provisions within the California Code of Regulations governing audits of charter schools as published in the State Controller’s K-12 Audit Guide.

The Business Committee will select an independent auditor through a request for proposal format. The auditor will have, at a minimum, a CPA and educational institution audit experience and will be approved by the State Controller on its published list as an educational audit provider. To the extent required under applicable federal law, the audit scope will be expanded to include items and processes specified in applicable Office of Management and Budget Circulars.

The Business Committee will review any audit exceptions or deficiencies and report to the Board with recommendations on how to resolve them. The Rocketship Business Committee will then make a recommendation to the Board on whether to approve the audit. By March 15th, the Board will submit a report to the District describing how the exceptions and deficiencies have been or will be resolved along with an anticipated timeline for the same. The Board and Principal of Rocketship Fuerza will work with the District to ensure all audit exceptions and deficiencies are resolved to the satisfaction of the District. Audit appeals or requests for summary review shall be submitted to the Education Audit Appeals Panel (“EAAP”) in accordance with applicable law.

The independent financial audit is public record to be provided to the public upon request.

**ELEMENT J: STUDENT SUSPENSION AND EXPULSION PROCEDURES**

**Governing Law:** The procedures by which pupils can be suspended or expelled from the charter school for disciplinary reasons or otherwise involuntarily removed from the charter school for any reason. These procedures, at a minimum, shall include an explanation of how the charter school will comply with federal and state constitutional procedural and substantive due process requirements that is consistent with all of the following: (i) For suspensions of fewer than 10 days, provide oral or written notice of the charges against the pupil and, if the pupil denies the charges, an explanation of the evidence that supports the charges and an opportunity for the pupil to present his or her side of the story. (ii) For suspensions of 10 days or more and all other expulsions for disciplinary reasons, both of the following: (I) Provide timely, written notice of the charges against the pupil and an explanation of the pupil’s basic rights. (II) Provide a hearing adjudicated by a neutral officer within a reasonable number of days at which the pupil has a fair opportunity to present testimony, evidence, and witnesses and confront and cross-examine adverse witnesses, and at which the pupil has the right to bring legal counsel or an advocate. (iii) Contain a clear statement that no pupil shall be involuntarily removed by the charter school for any reason unless the parent or guardian of the pupil has been provided written notice of intent to remove the pupil no less than five schooldays before the effective date of the action. The written notice shall be in the native language of the pupil or the pupil’s parent or guardian or, if the pupil is a foster child or youth or a homeless child or youth, the pupil’s educational rights holder, and shall inform him or her of the right to initiate the procedures specified in clause (ii) before the effective date of the action. If the pupil’s parent, guardian, or
When disciplinary infractions occur on campus, our Principals are expected to respond in accordance with our Student Discipline Policy, which encourages positive behavioral interventions and supports and outlines available in-school disciplinary actions. Suspension should only be considered in cases of egregious behavioral infractions – and, even then, should be viewed as a last resort—as we believe that our students are best served when they are present at school every day. Recommendations for expulsion should not be pursued except in the most extreme cases, as our policy is to do whatever it takes to serve every student who enrolls in a Rocketship school.

If a situation does arise where a Principal feels that the student should be suspended or recommended for expulsion, this policy was written to guide the process. The policy has been written in accordance with relevant federal and state laws and regulations. It addresses grounds for suspension and expulsion; suspension and expulsion procedures; the maintenance of disciplinary records; student appeal rights; rehabilitation and readmission; and special procedures for the consideration of suspension and expulsion of students with disabilities.

Note that no student shall be involuntarily removed by a Rocketship school for any reason unless the parent or guardian of the student has been provided written notice of intent to remove the student no less than five schooldays before the effective date of the action. The written notice shall be in the native language of the student or the student’s parent or guardian or, if the student is a foster child or youth or a homeless child or youth, the student’s educational rights holder, and shall inform him or her of the right to initiate the procedures specified below for suspensions, before the effective date of the action. If the student’s parent, guardian, or educational rights holder initiates the procedures specified below for suspensions, the student shall remain enrolled and shall not be removed until the School issues a final decision. As used herein, “involuntarily removed” includes disenrolled, dismissed, transferred, or terminated, but does not include suspensions or expulsions pursuant to the suspension and expulsion procedures described below.[SKB1]

I. **Grounds for Suspension and Expulsion**

A student may be disciplined, suspended or expelled for prohibited misconduct if the act is related to school activity or school attendance occurring at a Rocketship school or at any other school or a school-sponsored event at any time including but not limited to: while on school grounds; while going to or coming from school; during the lunch period, whether on or off the school campus; and during, going to, or coming from a school-sponsored activity. Students may also be subject to disciplinary action for off-campus behavior if it creates a substantial disruption to the school environment or interferes with another student’s ability to participate in the school program.
In California, in accordance with EC §48900, a student may be suspended or expelled for any of the following acts when it is determined that the student:

1. Caused, attempted to cause, or threatened to cause physical injury to another person or willfully used force of violence upon the person of another, except self-defense.
2. Possessed, sold, or otherwise furnished any firearm, knife, explosive, or other dangerous object unless, in the case of possession of any object of this type, the students had obtained written permission to possess the item from a certificated school employee, with the Principal/Administrator or designee’s concurrence.
3. Unlawfully possessed, used, sold or otherwise furnished, or was under the influence of any controlled substance, as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage, or intoxicant of any kind.
4. Unlawfully offered, arranged, or negotiated to sell any controlled substance as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage or intoxicant of any kind, and then sold, delivered or otherwise furnished to any person another liquid substance or material and represented same as controlled substance, alcoholic beverage or intoxicant.
5. Committed or attempted to commit robbery or extortion.
6. Caused or attempted to cause damage to school property or private property, which includes but is not limited to, electronic files and databases.
7. Stole or attempted to steal school property or private property, which includes but is not limited to, electronic files and databases.
8. Possessed or used tobacco or any products containing tobacco or nicotine products, including but not limited to cigars, cigarettes, miniature cigars, clove cigarettes, smokeless tobacco, snuff, chew packets and betel.
9. Committed an obscene act or engaged in habitual profanity or vulgarity.
10. Unlawfully possessed or unlawfully offered, arranged, or negotiated to sell any drug paraphernalia, as defined in Health and Safety Code § 11014.5.
11. Disrupted school activities or otherwise willfully defied the valid authority of supervisors, teachers, administrators, other school officials, or other school personnel engaged in the performance of their duties. Under Education Code § 48900(k), students in grades K-3 may not be suspended for disruption or willful defiance. No student in grades K-5 may be expelled for willful defiance.
12. Knowingly received stolen school property or private property, which includes but is not limited to, electronic files and databases.
13. Possessed an imitation firearm, i.e.: a replica of a firearm that is so substantially similar in physical properties to an existing firearm as to lead a reasonable person to conclude that the replica is a firearm.
14. Committed or attempted to commit a sexual assault as defined in Penal Code §§ 261, 266c, 286, 288, 288a or 289, or committed a sexual battery as defined in Penal Code §243.4.
15. Harassed, threatened, or intimidated a student who is a complaining witness or witness in a school disciplinary proceeding for the purpose of preventing that student from being a witness and/or retaliating against that student for being a witness.
16. Unlawfully offered, arranged to sell, negotiated to sell, or sold the prescription drug Soma.
17. Engaged in or attempted to engage in hazing of another. For the purposes of this subdivision, “hazing” means a method of initiation or preinitiation into a pupil organization or body, whether or not the organization or body is officially recognized by an educational institution, which is likely to cause serious bodily injury or personal degradation or disgrace resulting in physical or mental harm to a former, current, or prospective pupil. For purposes of this section, “hazing” does not include athletic events or school-sanctioned events.

18. Aiding or abetting as defined in Section 31 of the Penal Code, the infliction or attempted infliction of physical injury to another person may be subject to suspension, but not expulsion, pursuant to this section, except that a pupil who has been adjudged by a juvenile court to have committed, as an aider and abettor, a crime of physical violence in which the victim suffered great bodily injury or serious bodily injury shall be subject to suspension or expulsion.

19. Made terrorist threats against school officials and/or school property, which includes but is not limited to, electronic files and databases. For purposes of this section, “terroristic threat” shall include any statement, whether written or oral, by a person who willfully threatens to commit a crime which will result in death, great bodily injury to another person, or property damage in excess of $1000, with the specific intent that the statement is to be taken as a threat, even if there is no intent of actually carrying it out, which, on its face and under the circumstances in which it is made, is so unequivocal, unconditional, immediate, and specific as to convey to the person threatened, a gravity of purpose and an immediate prospect of execution of the threat, and thereby causes that person reasonably to be in sustained fear for his or her own safety or for his or her immediate family's safety, or for the protection of school property, which includes but is not limited to, electronic files and databases, or the personal property of the person threatened or his or her immediate family.

20. Committed sexual harassment, as defined in EC § 212.5. For the purposes of this section, the conduct described in § 212.5 must be considered by a reasonable person of the same gender as the victim to be sufficiently severe or pervasive to have a negative impact upon the individual's academic performance or to create an intimidating, hostile, or offensive educational environment. Under EC § 48900.2, this does not apply to students in grades K-3.

21. Caused, attempted to cause, threatened to cause, or participated in an act of hate violence, as defined in subdivision (e) of Section 233 of the Education Code. Under EC § 48900.3, this does not apply to students in grades K-3.

22. Intentionally harassed, threatened or intimidated school personnel or volunteers and/or a student or group of students to the extent of having the actual and reasonably expected effect of materially disrupting classwork, creating substantial disorder and invading the rights of either school personnel or volunteers and/or student(s) by creating an intimidating or hostile educational environment. Under EC § 48900.4, this does not apply to students in grades K-3.
23. Engaged in an act of bullying, including, but not limited to, bullying committed by means of an electronic act, as defined in subdivisions (f) and (g) of Section 32261 of the Education Code, directed specifically toward a pupil or school personnel.

Alternatives to suspension or expulsion will first be attempted with students who are truant, tardy, or otherwise absent from assigned school activities.

II. Suspension Procedures

A suspension is a temporary dismissal of a student from the regular school program and school-sponsored events for the allotted time assigned by a school administrator. Suspensions can range from one to five school days, depending on the seriousness of the violation. Students are expected to complete all work assigned while they serve their suspension. Suspensions at Rocketship will adhere to the following procedures:

Conference. When feasible, suspension may be preceded by a conference conducted by the Principal or designee with the student and his/her parent and, whenever practical, the teacher, supervisor, or school employee who referred the student to the Principal. The conference may be omitted if the Principal or designee determines that an emergency situation exists. An "emergency situation" involves a clear and present danger to the lives, safety or health of students or school personnel. If a student is suspended without this conference in an emergency situation, both the parent/guardian and student shall be given the opportunity to conference within two school days.

At the conference, the student shall be informed of the reason for the disciplinary action and the evidence against him/her and shall be given the opportunity to present his/her version and evidence in his/her defense.

Absent an emergency situation, the conference must occur before the student is sent home on suspension.

No penalties may be imposed on a student for failure of the student's parent/guardian to attend a conference with school officials. Reinstatement of the suspended student shall not be contingent upon attendance by the student's parent or guardian at the conference.

Notice to Parents/Guardians. At the time that the decision is made to suspend a student, the Principal or designee shall make a reasonable effort to contact the student's parent/guardian by telephone or in person. Whenever a student is suspended, the parent/guardian shall also be notified in writing of the suspension, the reason for the suspension, the length of the suspension, the student's right to return to school at the end of the suspension, and any conditions for that return (i.e. a return conference with the parent/guardian) and the date of return following suspension. If school officials wish to ask the parent/guardian to confer regarding matters pertinent to the suspension, the notice may request that the parent/guardian respond to such requests without delay.
A copy of this notice will also be filed in the student’s cumulative folder in the school.

**Suspension Time Limits/Recommendation for Expulsion.** Suspensions, when not including a recommendation for expulsion, shall not exceed five consecutive school days per suspension. [SKB4]

In calculating days of suspension, days served will not include days when school is not in session for students, including but not limited to school closure days, school holidays, spring break, and summer break. If the student leaves school on the day that the suspension was imposed, this day will be counted as part of the suspension if the student was denied class participation prior to 12 noon of that day. The suspension shall terminate at midnight on the day listed as the last day of the suspension.

Upon a recommendation of expulsion by the Principal or Principal’s designee, the student and the student's parent/guardian or representative will be invited to a second conference to determine if the suspension for the student should be extended pending an expulsion hearing. In such instances when the School has determined a suspension period shall be extended, such extension shall be made only after a conference is held with the pupil or the pupil’s parents, unless the pupil and the pupil’s parents fail to attend the conference.

This determination will be made by the Principal or designee upon either of the following determinations: (1) the student’s presence will be disruptive to the education process; or (2) the student poses a threat or danger to others. Upon either determination, the student’s suspension will be extended pending the results of an expulsion hearing.

Students who are suspended shall be excluded from all school and school-related activities unless otherwise agreed during the period of suspension.

### III. Authority to Expel

An expulsion is the permanent dismissal of a student from the Rocketship program. If an expulsion is approved, the parent/guardian has the responsibility to place the student in another school. As required by Education Code Section 47605(b)(5)(J)(ii), students recommended for expulsion are entitled to a hearing adjudicated by a neutral officer to determine whether the student should be expelled. The procedures herein provide for such a hearing and the notice of said hearing, as required by law.

The full authority of the Rocketship governing Board of Directors ("the Board") to hear and conduct expulsions shall be granted to the Academic Affairs Committee, a committee of the Board. The Academic Affairs Committee shall consist of three board members. The Academic Affairs Committee may expel any student found to have committed an expellable offense as listed above.

Instead of conducting the hearing itself, the Academic Affairs Committee may appoint an neutral and impartial administrative panel of three or more persons, none of whom is a member of the
Board or employed on the staff of the school in which the student is enrolled. The Academic Affairs Committee will pre-appoint a panel of at least five certificated Rocketship staff members, each from different Rocketship school sites. Should any of the persons appointed to the panel be employed by the staff of the school in which the student is enrolled, he/she will recuse him/herself from the proceedings.

IV. Expulsion Procedures

Hearing: Students recommended for expulsion are entitled to a hearing to determine whether the student should be expelled. The hearing shall be held within 20 school days after the Principal or designee determines that the student has committed an expellable offense, unless the student requests, in writing, that the hearing be postponed.

In the event an administrative panel hears the case, it will, within 10 days of the hearing, make a recommendation to the Academic Affairs Committee for a final decision whether or not to expel. The hearing shall be held in closed session unless the student makes a written request for a public hearing three days prior to the hearing.

Written notice of the hearing shall be forwarded to the student and the student's parent/guardian before the date of the hearing and at least five school days before the hearing or any effective date of any expulsion or involuntary removal. No student shall be involuntarily removed unless the parent or guardian of the student has received this notice of intent at least five days before the hearing or any effective date of expulsion or involuntary removal. Upon mailing the notice, it shall be deemed served upon the student. The notice shall include:

- The date and place of the expulsion hearing;
- A statement of the specific facts, charges and offenses upon which the proposed expulsion is based, along with a summary of the evidence against the student;
- A copy of Rocketship's disciplinary rules which relate to the alleged violation;
- Notification of the student's or parent/guardian's obligation to provide information about the student's status at the school to any other school district or school to which the student seeks enrollment;
- The opportunity for the student or the student's parent/guardian to appear in person or to employ and be represented by counsel or a non-attorney advisor;
- The right to inspect and obtain copies of all documents to be used at the hearing;
- The opportunity to confront and question all witnesses who testify at the hearing;
- The opportunity to question all evidence presented and to present oral and documentary evidence on the student's behalf including witnesses;
- The written notice shall be in the native language of the pupil or the pupil's parent or guardian, and shall inform him or her of the right to initiate the procedures specified above, before the effective date of the involuntary removal.
- The student shall remain enrolled and shall not be removed until the charter school issues a final decision. For purposes of this policy, “involuntarily removed” includes disenrolled, dismissed, transferred, or terminated, but does not include suspension.
V. **Written Notice to Expel**
The Principal or designee, following a decision of the Board to expel, shall send written notice of the decision to expel, including the Board's adopted findings of fact, to the student or parent/guardian. This notice shall also include the following:

- notice of the specific offense committed by the student and
- notice of the student's or parent/guardian's obligation to inform any new district in which the student seeks to enroll of the student's status with Rocketship.

VI. **Right to Appeal**
The student/family shall have the right to appeal the decision to expel the student from Rocketship directly to the Academic Affairs Committee. If the Academic Affairs Committee made the final decision on the expulsion, the appeal shall go directly to the Executive Committee of the Board. The request to appeal must be made in writing and shall be submitted to the Academic Affairs Committee or Executive Committee within five business days of being made aware of the decision to expel the student. The appeal shall be heard by the Academic Affairs Committee or Executive Committee within 15 days of receipt of the appeal.

VII. **Expelled Students/Alternative Education**
With the exception of students with disabilities under IDEA, students who are expelled shall be responsible for seeking alternative education programs including, but not limited to, programs within their school district of residence.

VIII. **Rehabilitation and Readmission**
At the time of the expulsion order, students who are expelled shall be given a rehabilitation plan, to be developed by the Academic Affairs Committee in conjunction with Rocketship staff, which may include, but is not limited to, periodic review as well as assessment at the time of review for readmission. The rehabilitation plan should include a date not later than one year from the date of expulsion when the student may be reviewed for readmission to a Rocketship school.

The decision to readmit a pupil who has been expelled from a Rocketship school shall be in the sole discretion of the Board following a meeting with the Principal and the pupil and guardian or representative to determine whether the pupil has successfully completed the rehabilitation plan and to determine whether the pupil poses a threat to others or will be disruptive to the school environment. The Principal shall make a recommendation to the Board following the meeting regarding his or her determination. The pupil's readmission is also contingent upon RSED's capacity at the time the student seeks readmission.

The decision to admit a pupil who has previously been expelled from another school, school district or charter school shall be in the discretion of the Principal following a meeting with the pupil and guardian or representative to determine whether the pupil poses a threat to others or will be disruptive to the school environment. Where applicable, the Principal may also consider
whether the pupil has completed any rehabilitation plan or other improvement measures prescribed by the pupil's previous school. The Principal shall make a recommendation following the meeting regarding his or her determination. The pupil's admission is also contingent upon RSED's capacity at the time the student seeks admission.

IX. Special Procedures for the Consideration of Suspension and Expulsion of Students with Disabilities

Services During Suspension

Students suspended for more than 10 school days in a school year shall continue to receive services so as to enable the student to continue to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the child's IEP; and receive, as appropriate, a functional behavioral assessment or functional analysis, and behavioral intervention services and modifications, that are designed to address the behavior violation so that it does not recur. These services may be provided in an interim alternative educational setting.

Procedural Safeguards/Manifestation Determination

Within 10 school days of any decision to change the placement of a child with a disability because of a violation of a code of student conduct, a manifestation determination shall take place. “Change of Placement” includes a recommendation for expulsion or a cumulative removal of more than 10 school days in a school year.

If Rocketship, the parent, and relevant members of the IEP Team make the determination that the conduct was a manifestation of the child's disability, the IEP Team will (a) conduct a functional behavioral assessment or a functional analysis assessment, and implement a behavioral intervention plan for such child, provided that the school had not conducted such assessment prior to such determination before the behavior that resulted in a change in placement; (b) if a behavioral intervention plan has been developed, review the behavioral intervention plan if the child already has such a behavioral intervention plan, and modify it, as necessary, to address the behavior; and (c) return the child to the placement from which the child was removed, unless the parent and the school agree to a change of placement as part of the modification of the behavioral intervention plan.

If the school, the parent, and relevant members of the IEP team determine that the behavior was not a manifestation of the student's disability and that the conduct in question was not a result of the failure to implement the IEP, then the school may apply the relevant disciplinary procedures to children with disabilities in the same manner and for the same duration as the procedures would be applied to students without disabilities.

Due Process Appeals
The parent of a child with a disability who disagrees with any decision regarding placement, or the manifestation determination, or the school believes that maintaining the current placement of the child is substantially likely to result in injury to the child or to others, may request an expedited administrative hearing through the Special Education Unit of the Office of Administrative Hearings.

When an appeal relating to the placement of the student or the manifestation determination has been requested by either the parent or the school, the student shall remain in the interim alternative educational setting pending the decision of the hearing officer or until the expiration of the 45 day time period provided for in an interim alternative educational setting, whichever occurs first, unless the parent and the school agree otherwise.

In accordance with 20 U.S.C. Section 1415(k)(3), if a parent/guardian disagrees with any decision regarding placement, or the manifestation determination, or if the School believes that maintaining the current placement of the child is substantially likely to result in injury to the child or to others, the parent/guardian or School may request a hearing.

In such an appeal, a hearing officer may: (1) return a child with a disability to the placement from which the child was removed; or (2) order a change in placement of a child with a disability to an appropriate interim alternative educational setting for not more than 45 school days if the hearing officer determines that maintaining the current placement of such child is substantially likely to result in injury to the child or to others.

Special Circumstances

Rocketship personnel may consider any unique circumstances on a case-by-case basis when determining whether to order a change in placement for a child with a disability who violates a code of student conduct. The Principal or designee may remove a student to an interim alternative educational setting for not more than 45 days without regard to whether the behavior is determined to be a manifestation of the student’s disability in cases where a student: a) carries or possesses a weapon, as defined in 18 USC 930, to or at school, on school premises, or to or at a school function; (b) knowingly possesses or uses illegal drugs, or sells or solicits the sale of a controlled substance, while at school, on school premises, or at a school function; or (c) has inflicted serious bodily injury, as defined by 20 USC 1415(k)(7)(D), upon a person while at school, on school premises, or at a school function.

Interim Alternative Educational Setting

The student’s interim alternative educational setting shall be determined by the student’s IEP team.

Procedures for Students Not Yet Eligible for Special Education Services
A student who has not been identified as an individual with disabilities pursuant to IDEA and who has violated the Rocketship’s behavioral policies may assert the procedural safeguards granted under this administrative regulation only if Rocketship had knowledge that the student was disabled before the behavior occurred.

Rocketship shall be deemed to have knowledge that the student had a disability if one of the following conditions exists prior to the behavior at issue:

- The parent of the child expressed concern in writing to supervisory or administrative personnel of Rocketship, or a teacher of the child, that the child is in need of special education and related services;
- The parent of the child requested an evaluation of the child pursuant to §§ 300.300 through 300.311; or
- The teacher of the child, or other Rocketship personnel, expressed specific concerns about a pattern of behavior demonstrated by the child directly to the director of special education of the agency or to other supervisory personnel of the agency.

If the school knew or should have known the student had a disability under any of the three circumstances described above, the student may assert any of the disciplinary protections available to IDEA-eligible children with disabilities.

If the school had no basis for knowledge of the student’s disability, it shall proceed with the proposed discipline. The school shall conduct an expedited evaluation if requested by the parents; however the student shall remain in the education placement determined by Rocketship pending the results of the evaluation.

Rocketship shall not be deemed to have knowledge of that the student had a disability if the parent has not allowed an evaluation, refused services, or if the student has been evaluated and determined to not be eligible.
Element K: Staff Retirement System

**Governing Law:** The manner by which staff members of the charter schools will be covered by the State Teachers’ Retirement System, the Public Employees’ Retirement System, or federal social security. -- *California Education Code Section 47605(b)(5)(K)*

All full-time employees of Rocketship will participate in a qualified retirement plan. All full-time employees will be offered a 403(b) program with a 3% match from Rocketship. Full-time certificated teachers may also participate in the State Teachers’ Retirement System (“STRS”), and all part-time employees and full-time non-certificated employees will participate in the federal social security system. Rocketship employees may have access to additional Rocketship-sponsored retirement plans according to policies developed by the Board of Directors.
ELEMENT L: ATTENDANCE ALTERNATIVES

_Governing Law:_ The public school attendance alternatives for pupils residing within the district who choose not to attend charter school. -- Education Code Section 47605(b)(5)(L)

No student may be required to attend Rocketship Fuerza Community Prep. Students who reside within the Alum Rock Unified School District may attend other district schools or pursue an intra- or inter-district transfer in accordance with existing District enrollment and transfer policies.

Parents and guardians of each student enrolled in Rocketship Fuerza will be informed on admissions forms that the students have no right to admission in a particular school of any local education agency as a consequence of enrollment in the Charter School, except to the extent that such a right is extended by the local education agency.
**ELEMENT M: DESCRIPTION OF EMPLOYEE RIGHTS**

*Governing Law:* A description of the rights of any employee of the school district upon leaving the employment of the school district to work in a charter school, and of any rights of return to the school district after employment at a charter school.-- Education Code Section 47605(b)(5)(M)

No public school district employee shall be required to work at Rocketship Fuerza Community Prep. Employees of the school district who choose to leave the employment of school district to work at Rocketship Fuerza will have no automatic rights of return to the school district after employment by Rocketship unless specifically granted by the school district through a leave of absence or other agreement. Rocketship employees shall have any right upon leaving the school district to work at Rocketship Fuerza that the school district may specify, any rights of return to employment in a school district after employment at Rocketship that the school district may specify, and any other rights upon leaving employment to work at Rocketship that the school district determines to be reasonable and not in conflict with any law.

All employees of Rocketship Fuerza will be considered the exclusive employees of Rocketship Public Schools and not of the school district, unless otherwise mutually agreed in writing. Sick or vacation leave or years of service credit at the school district or any school district will not be transferred to Rocketship Public Schools. Employment by Rocketship Public Schools provides no rights of employment at any other entity, including any rights in the case of closure of Rocketship Fuerza.
**ELEMENT N: DISPUTE RESOLUTION PROCESS**

*Governing Law: The procedures to be followed by the charter school and the entity granting the charter to resolve disputes relating to provisions of the charter.*

- *California Education Code Section 47605(b)(5)(N)*

The intent of our dispute resolution process is to (1) resolve disputes within the Charter School pursuant to the Charter School’s policies, (2) minimize the oversight burden on the authorizer, and (3) ensure a fair and timely resolution to disputes.

The following process is proposed by Rocketship Fuerza to meet the requirements of Education Code Section 47605(b)(5)(N) with the understanding that Rocketship Fuerza may present revisions for District consideration and approval either as part of the MOU or as a revision to this charter.

The staff and governing board members of Rocketship and the District agree to attempt to resolve all disputes regarding this charter pursuant to the terms of this section. All parties shall refrain from public commentary regarding any disputes until the matter has progressed through the dispute resolution process unless otherwise required by law.

All internal Rocketship disputes will be handled internally and will be governed by Rocketship’s adopted policies.

In the event of a dispute between Rocketship Fuerza and the authorizer, the staff and Board members of Rocketship and the authorizer agree to first frame the issue in written format (“dispute statement”) and refer the issue to the Superintendent of the School District and the Principal of Rocketship Fuerza or designees. In the event that the authorizer believes that the dispute relates to an issue that could lead to revocation of the charter under Education Code Section 47607, Rocketship Fuerza requests that this be specifically noted in the written dispute statement, but is aware that the authorizer is not legally bound to do so. Nothing in this section is intended to impair the authority or ability of the authorizer to revoke the charter in accordance with the procedures detailed in Education Code Section 47607.

The Principal and Superintendent shall informally meet and confer in a timely fashion (no later than 10 school days from receipt of the dispute statement) to attempt to resolve the dispute. In the event that this informal meeting fails to resolve the dispute, both parties shall identify two members from their respective Boards who shall jointly meet with the Superintendent of the District and the Principal(s) of Rocketship Fuerza or designees and attempt to resolve the dispute. The joint meeting shall be held within 15 school days from the informal meeting.

If this joint meeting fails to resolve the dispute, the Superintendent and Principal or designees shall jointly identify a neutral, third party mediator. The format of the mediation session shall be developed jointly by the Superintendent and the Principal(s) or designees. Mediation shall be held within 30 school days of the joint meeting. All dates or procedures within this section can be amended by written mutual agreement or necessity due to mediator scheduling. Each party shall bear its own costs of dispute resolution with the cost of the mediator being split equally amongst
the Parties. If mediation fails, either Party will have been deemed to have exhausted the administrative remedies within this charter and may pursue any alternative legal options for resolution.

**ELEMENT O: CLOSURE OF THE SCHOOL**

*Governing Law:* A description of the procedures to be used if the charter school closes. The procedures shall ensure a final audit of the school to determine the disposition of all assets and liabilities of the charter school, including plans for disposing of any net assets and for the maintenance and transfer of public records. --Education Code Section 47605(b)(5)(O)

The following procedures shall apply in the event Rocketship Fuerza Community Prep closes. The following procedures apply regardless of the reason for closure.

Closure of Rocketship Fuerza shall be documented by official action of the Rocketship Board. The action shall identify the reason for closure. The official action will also identify an entity and person or persons responsible for closure-related activities.

The Rocketship Board will promptly notify parents and students of Rocketship Fuerza, the District, Rocketship Fuerza's SELPA, the retirement systems in which Rocketship Fuerza's employees participate (e.g., Public Employees' Retirement System, State Teachers' Retirement System, and federal social security), and the California Department of Education of the closure as well as the effective date of the closure. This notice will also include the name(s) of and contact information for the person(s) to whom reasonable inquiries may be made regarding the closure; the students' school districts of residence; and the manner in which parents (guardians) may obtain copies of student records, including specific information on completed courses and credits that meet graduation requirements.

The Board will ensure that the notification to the parents and students of Rocketship Fuerza of the closure provides information to assist parents and students in locating suitable alternative programs. This notice will be provided promptly following the Board's decision to close Rocketship Fuerza.

The Rocketship Board will also develop a list of students in each grade level and the classes they have completed, together with information on the students' districts of residence, which they will provide to the entity responsible for closure-related activities. As allowable by the District, Rocketship Fuerza, shall transfer all appropriate student records to the District and shall otherwise assist students in transferring to their next school. If the District will not store student records, Rocketship Fuerza will discuss an alternative arrangement with the District and shall provide a copy for parents/guardians of the student record of their child prior to closure. All transfers of student records shall be made in compliance with the Family Educational Rights and Privacy Act ("FERPA"), 20 U.S.C. § 1232g.

All state assessment results, special education records, and personnel records will be transferred to and maintained by the entity responsible for closure-related activities in accordance with applicable law.
As soon as is reasonably practical, Rocketship shall prepare final financial records. Rocketship shall also have a State Controller-approved firm complete an independent audit within six months after closure. Rocketship shall pay for the final audit. The audit shall be prepared by a qualified Certified Public Accountant selected by Rocketship Fuerza and shall be provided to the District promptly upon completion. The final audit will include an accounting of all financial assets, including cash and accounts receivable and an inventory of property, equipment, and other items of material value, an accounting of the liabilities, including accounts payable and any reduction in apportionments as a result of audit findings or other investigations, loans, and unpaid staff compensation, and an assessment of the disposition of any restricted funds received by or due to Rocketship Fuerza.

Rocketship will complete and file any annual reports required pursuant to Education Code section 47604.33.

On closure of Rocketship Fuerza, all net assets of Rocketship Fuerza, including but not limited to all leaseholds, tangible and intangible personal property and all ADA apportionments and other revenues generated by students attending the Charter School, remain the sole property of Rocketship and upon dissolution of the corporation, shall be distributed in accordance with the Articles of Incorporation and applicable law upon dissolution. Any district property will be promptly returned upon Rocketship Fuerza closure to the district. The distribution shall include return of any grant funds and restricted categorical funds to their source in accordance with the terms of the grant or state and federal law, as appropriate, which may include submission of final expenditure reports for entitlement grants and the filing of any required Final Expenditure Reports and Final Performance Reports, as well as the return of any donated materials and property in accordance with any conditions established when the donation of such materials or property was accepted.

On closure, Rocketship Fuerza shall remain responsible for satisfaction of all liabilities arising from the operation of Rocketship Fuerza. Rocketship Fuerza will utilize reserve funds to undertake any expenses associated with the closure procedures identified above.
BUSINESS OPERATIONS

Governing Law: The petitioner or petitioners shall also be required to provide financial statements that include a proposed first year operational budget, including startup costs, and cash flow and financial projections for the first three years of operation. -- Education Code Section 47605(g)

BUDGETS AND CASH FLOW

Attached, as Appendix 1, please find the following documents:

- A projected multi-year budget;
- Cash flow and financial projections;
- A narrative describing the above.

These documents are based upon the best data available to the Petitioners at this time. Since this charter is being submitted to the District, the District will owe in-lieu property tax payments to the Charter School pursuant to Education Code 47635, which provides, in relevant part:

1) The sponsoring local educational agency shall transfer funding in lieu of property taxes to the charter school in monthly installments, by no later than the 15th of each month.
   a) For the months of August to February, inclusive, a charter school's funding in lieu of property taxes shall be computed based on the amount of property taxes received by the sponsoring local educational agency during the preceding fiscal year, as reported to the Superintendent for purposes of the second principal apportionment. A sponsoring local educational agency shall transfer to the charter school the charter school's estimated annual entitlement to funding in lieu of property taxes as follows:
      i) Six percent in August.
      ii) Twelve percent in September.
      iii) Eight percent each month in October, November, December, January, and February.
   iv) For the months of March to June, inclusive, a charter school's funding in lieu of property taxes shall be computed based on the amount of property taxes estimated to be received by the sponsoring local educational agency during the fiscal year, as reported to the Superintendent for purposes of the first principal apportionment. A sponsoring local educational agency shall transfer to each of its charter schools an amount equal to one-sixth of the difference between the school's estimated annual entitlement to funding in lieu of property taxes and the amounts provided pursuant to paragraph (1). An additional one-sixth of this difference shall be included in the amount transferred in the month of March.
   v) For the month of July, a charter school's funding in lieu of property taxes shall be computed based on the amount of property taxes estimated to be received by the sponsoring local educational agency during the prior fiscal year, as reported to the Superintendent for purposes of the second principal apportionment. A sponsoring local educational agency shall transfer to each of its charter schools an amount equal to the remaining difference between the school's estimated annual entitlement to funding in lieu of property taxes and the amounts provided pursuant to paragraph (1).
lieu of property taxes and the amounts provided pursuant to paragraphs (1) and (2).

vi) Final adjustments to the amount of funding in lieu of property taxes allocated to a charter school shall be made in February, in conjunction with the final reconciliation of annual apportionments to schools.

vii) Subdivision (a) and paragraphs (1) to (4), inclusive, do not apply for pupils who reside in, and are otherwise eligible to attend a school in, a basic aid school district, but who attend a charter school in a non-basic aid school district. With regard to these pupils, the sponsoring basic aid school district shall transfer to the charter school an amount of funds equivalent to the local control funding formula grant pursuant to Section 42238.02, as implemented by Section 42238.03, earned through average daily attendance by the charter school for each pupil's attendance, not to exceed the average property tax share per unit of average daily attendance for pupils residing and attending in the basic aid school district. The transfer of funds shall be made in not fewer than two installments at the request of the charter school, the first occurring not later than February 1 and the second not later than June 1 of each school year. Payments shall reflect the average daily attendance certified for the time periods of the first and second principal apportionments, respectively. The Superintendent may not apportion any funds for the attendance of pupils described in this subdivision unless the amount transferred by the basic aid district is less than the local control funding formula grant pursuant to Section 42238.02, as implemented by Section 42238.03, earned by the charter school, in which event the Superintendent shall apportion the difference to the charter school from state funds.

The attached budget assumes that these payments will be made timely by the District as required by Education Code 47635 and 42238.02. The program outlined in the petition is predicated, among other things, on the District meeting its obligation to provide in-lieu property tax payments in a timely fashion and the State of California maintaining at least the funding rates per pupil contained in the 2013-14 fiscal year budget.

FINANCIAL REPORTING

Rocketship shall provide reports as required by Education Code Section 47604.33 as follows, and shall provide additional fiscal reports as requested by the District:

- By July 1, a preliminary budget for the current fiscal year.
- By July 1, an annual update required pursuant to Education Code Section 47606.5.
- By December 15, an interim financial report for the current fiscal year reflecting changes through October 31. Additionally, on December 15, a copy of the Charter School’s annual, independent financial audit report for the preceding fiscal year shall be delivered to the District, the County Office of Education, State Controller, and State Department of Education.
- By March 15, a second interim financial report for the current fiscal year reflecting changes through January 31.
By September 15, a final unaudited report for the full prior year. The report submitted to the District shall include an annual statement of all the Charter School’s receipts and expenditures for the preceding fiscal year.

- All attendance reports: 20 day, P-1, P-2 and annual.
- All additional reporting as agreed to, in writing, as part of an MOU between the District and Rocketship.

**INSURANCE**

Rocketship shall acquire and finance general liability, workers compensation, and other necessary insurance of the types and in the amounts required for an enterprise of similar purpose and circumstance. The District shall be named as an additional insured on all policies of the Charter School.

**ADMINISTRATIVE SERVICES**

*Governing Law: The manner in which administrative services of the school are to be provided.*  
*Education Code Section 47605(g)*

Administrative services will be managed in-house and contracted with appropriately qualified and/or credentialed (as necessary) outside providers to address all administrative services. Please see above Element D for the role of Rocketship Public Schools as the predominate provider of administrative services. We do not anticipate purchasing any services from the District, but we will fairly evaluate any offer of services from the District against any other offers for similar services from third party providers. Administrative services which we have experienced to be required for Rocketship include but are not limited to the following:

- Accounting and payroll management
- Cash flow management
- Contracts with charter authorizers
- Real estate financial management
- Securing and managing loans
- Federal grant writing and reporting
- Creation of the student management system used to keep student’s daily, periodic, and annual academic results
- Human Resources
- Provide support on academic data analysis as necessary
- Develop best practices for school safety and other school procedures
- Provide ongoing consulting for the management of the Learning Lab
- Teacher recruiting

The Rocketship teams responsible for the above services will be staffed by industry experts who have experience providing services to existing Rocketship schools.

Selection of contractors includes a rigorous screening process. In the case where a contractor is paid for by federal funds, we follow all necessary federal compliance guidelines.
Governing Law: The governing board shall require that the petitioner or petitioners provide information regarding...the facilities to be utilized by the school. -- California Education Code Section 47605(g).

Location. Rocketship Fuerza is located at 70 S. Jackson Ave. San Jose, CA 95116.

Projected Cost, Type, and Financing Plan. Rocketship conducts a rigorous facilities acquisition process to open its campuses. Historically, this process has resulted in working with Launchpad Development Company (Launchpad) to acquire the land and build Rocketship’s schools.

Each of the facilities housing Rocketship’s California schools was completed on-time and on budget, resulted in an average facility cost of ~18% of revenues for the school network for 2014/15 (Bay Area Region), prior to any lease-aid reimbursements available under California Proposition 39.

Rocketship budgets Facility Expenses based on lease payments determined by its real estate development partner based on the cost of each project. The Facility Expense is finalized and agreed to by both parties prior to project financing, and memorialized by an industry-standard lease document. The Facility Expense is comprised of normal and customary components of market rents including; project costs, property management fees, taxes, insurance, and reserves for replacement of capital items. This methodology results in market based rents for Rocketship.

Launchpad’s financing plan for the development of the new schools and sites is one of three main options listed below. With these financing options, Launchpad has been able to complete all of its projects for Rocketship on time and within the approved project budget.

- New Market Tax Credits
- Tax Exempt Bond financing
- Bridge financing during the development and construction periods that will be taken out by tax exempt bond financing once the project is complete and has opened.

Launchpad successfully financed four of the ten permanent Rocketship campuses in the Bay Area with New Market Tax Credits, five projects by issuing long term tax exempt bonds, and one project through short term private financing that was ultimately refinanced with bonds. These transactions have resulted in the increased interest of local and national lenders as well as capital markets investors, providing confidence in the ability to finance the construction of new Rocketship schools moving forward.

Access to local school bond and/or parcel tax proceeds would have a clear financial benefit for charter school operators and we hope collective efforts in the future will produce these results. Currently, we know of no school bond or parcel tax proceeds available for capital projects for charter schools. It is our understanding that Prop 1D and Prop 55 state bond monies set aside for charter school capital projects are currently fully allocated and there are numerous charter schools that have been allocated funds but have yet to identify and implement development and financing plans in order to receive the benefit of the funds allocated. Launchpad and Rocketship periodically and strategically maintain working relationships with CSFA; the entity overseeing the
award of and distribution of state bond monies, and the investment bankers that assist CSFA with this distribution and awarding of Prop. 1D and Prop. 55 funds.

In February of 2014, Launchpad financed the debt associated with the Fuerza campus at a favorable interest rate in a public offering of private activity bonds purchased by multiple investors. The bonds will mature in 2044, and Rocketship and Launchpad have entered into a long term lease for use of the campus for the duration of the bonds. The transaction is subject to a February 2013 Ground Lease with a local private property owner, with a lease term that exceeds the duration of the bonds.

**IMPACT ON THE DISTRICT**

_Governing Law:_ Potential civil liability effects, if any, upon the school, any school district where the charter school may operate and upon the school district -- Education Code Section 47605(g).

Rocketship Fuerza Community Prep is operated by Rocketship, a California nonprofit public benefit corporation. This corporation is organized and operated exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code and California Revenue and Taxation Code Section 23701d. The specific purposes for which the corporation is organized are for the operation of public charter schools for educational services in accordance with the Education Code Section 47600, _et seq._

Pursuant to Education Code Section 47604(c), an entity that grants a charter to a charter school operated by or as a non-profit public benefit corporation shall not be liable for the debts or obligations of the charter school or for claims arising from the performance of acts, errors or omissions by the Charter School if the authority has complied with all oversight responsibilities required by law. The Rocketship Public Schools Articles of Incorporation and Bylaws are attached as Appendix 11. Rocketship shall work diligently to assist the District in meeting any and all oversight obligations under the law, including monthly meetings, reporting, or other requested protocol to ensure the District shall not be liable for the operation of Rocketship Fuerza Community Prep.

Further, Rocketship and the District shall enter into a memorandum of understanding or contract which shall provide for indemnification of the District by Rocketship. Insurance amounts will be determined by recommendation of the insurance company for schools of similar size, location, and type of program. The District shall be named an additional insured on the general liability insurance of Rocketship Fuerza Community Prep.

The corporate bylaws of Rocketship Public Schools and each of its schools shall provide for indemnification of the Rocketship Public Schools and Rocketship Public Schools Board of Directors, officers, agents, and employees, and Rocketship Public Schools and Rocketship Board will purchase general liability insurance, Directors and Officers insurance, and fidelity bonding to secure against financial risks.

Rocketship Public Schools and the Rocketship Public Schools Board of Directors will institute appropriate risk management practices, including screening of employees, establishing codes of conduct for students, staff, and participating families, and procedures governing financial
transactions and dispute resolution.
CONCLUSION

By approving this charter, the Santa Clara County Office of Education will be fulfilling the intent of the Charter Schools Act of 1992 to improve student learning; increase learning opportunities for all students, with special emphasis on expanded learning opportunities for all students who are identified as academically low-achieving; create new professional opportunities for teachers; provide parents and students with expanded choices in education; and be following the directive of law to encourage the creation of Charter Schools. The Petitioners are eager to work independently but cooperatively with the District to set the gold standard for charter schools. To this end, the Petitioners pledge to work cooperatively with the District to answer any concerns over this document and to present the District with the strongest possible proposal for renewal of a charter for a five-year term to begin July 1, 2019. In order to comply with rigorous District charter approval requirements, the Petitioners have attached a comprehensive series of detailed appendices. These appendices, with the exception of the Budget included as Appendix 1, are not intended to be incorporated by reference into the petition, but are provided to ensure that the District has a full and accurate understanding of the scope of the charter proposal and the means by which the Petitioners intend to achieve the academic results outlined in the charter. Appendix 1 is fully incorporated into this Petition.

Pursuant to Education Code section 47605(b) the chartering authority should be guided by the intent of the legislature that charter schools are and should become an integral part of the California educational system and that the establishment of charter schools should be encouraged. The governing board of the school district shall grant a charter for the operation of the school if it is satisfied the granting of the charter is consistent with sound educational practice. The governing board of a school district shall not deny a petition for the renewal of a charter school unless it makes written factual findings, specific to the particular petition, setting forth facts to support a finding that the charter petition’s educational program is unsound, or the charter petitioners are unlikely to succeed, or the charter fails to include the appropriate number of signatures or affirmations, or the charter does not include a reasonably comprehensive description of all of the required elements.

Should this renewal be granted, the petitioner will provide a written notice of the approval and a copy of the petition to the California Department of Education and the California State Board of Education.

Should this petition not be renewed, the Petitioners will file an appeal with the California State Board of Education pursuant to Education Code Section 47605(j)(1), which provides that the county board must then “review the petition pursuant to subdivision (b)” of Section 47605.
Rocketship Fuerza Community Prep

Summary of Major Changes in Renewal Petition

Submitted to the Santa Clara County Office of Education
August 31, 2018
INTRODUCTION

In May 2014, the Santa Clara County Board of Education approved a charter, on appeal from a denial by the Alum Rock Union School District, for Rocketship Education to open a ninth charter school in San Jose, CA. The charter was granted for a five-year term that began in July 2014.

Over the past five years, as a response to outstanding student achievement in our schools and increasingly high demand from families in our communities, the Rocketship network has continued to grow. Today, Rocketship Public Schools is a national network of charter schools that includes thirteen campuses in the Bay Area; two campuses in Nashville, TN; two campuses in Milwaukee, WI; and two campuses in our Washington, D.C.

Rocketship has been, and continues to be, committed to finding ways to maximize student achievement and capitalize on efficiencies across our network as we pursue our vision of rethinking elementary school from the ground up. Naturally, some components of our educational, operational, and financial programming have undergone revisions since Fuerza was opened in 2014. We have made adjustments to our model in response to changes in legal landscapes, advances in technology, shifts in statewide and national educational standards, and the growth of our network in the Bay Area and across the country.

The following memo outlines the major changes in our renewal petition as compared to the original petition that was submitted in 2014.

SUMMARY OF CHANGES IN LAW

Pursuant to 5 C.C.R. 119665, this memo also highlights how Rocketship has met all new charter school requirements that have been enacted into law since Fuerza’s charter was originally granted. Such changes include (but are not limited to) the following:

- Revisions to admissions process and preferences (Element H), pursuant to AB 1360, including assurances that the Charter School will not mandate parent volunteer hours.
- Revisions to suspension and expulsion procedures (Element J), pursuant to AB 1360, to provide further description of how the Charter School will comply with all federal and state due process requirements.

FORMATTING/STRUCTURAL CHANGES

The most immediately obvious change in our renewal petition is the structure and format. In an effort to more closely track California Education Code 47605(b), we have organized our petition into fifteen different sections, titled Elements A-O, which are presented in the order in which they appear in the Code. We also note that at the time of our original submission, the Ed Code contained sixteen, rather than fifteen, required descriptive elements. One of these elements - an assurance that the Charter School will be deemed the exclusive public employer of the Charter School employees - has been moved from a descriptive element under 47605(b)(5) to a standalone reason for denial under 47605(b)(6). This sixth reason for denial now appears in our Affirmations and Assurances section of the petition as Assurance #2.

INTRODUCTION

*Original Petition (“OP”) pp. 7-26*
Renewal Petition ("RP") pp. 9-20

The introduction to the original petition included a brief history of Rocketship, some highlights of our educational model, bios of our founding team and board members, and achievement data from all existing Rocketship schools and surrounding district and State schools. We note that in 2017, we filed a “doing business as” registration and our network is now Rocketship Education, doing business as Rocketship Public Schools.

The introduction to our renewal petition leads with the current legal criteria for charter renewals in California and a presentation of Fuerza’s student achievement data that demonstrates how we meet these criteria. Our renewal petition still includes a brief history of Rocketship, updated to describe the expansion that has occurred in the past five years. We have moved all descriptions of our educational model into Element A, further described below, in an effort to make the petition more readable and aligned to the structure of EC 47605(b). The description of Rocketship’s current board members and leadership team now appear in our section on governance. Our Introduction now focuses on Fuerza to give our authorizer the chance to become familiar with the school’s culture and community involvement.

ELEMENT A: EDUCATIONAL MODEL

Mission and Vision Statements

*OP p. 26*

*RP p. 22*

Our mission and vision statements remain the same.

Target Population

*OP p. 26*

*RP p. 23*

Our targeted school population remains virtually unchanged. We continue to serve students who are or may be at risk of achieving below basic proficiency on state exams. Most of our students are still Socioeconomically Disadvantaged. We have updated this section to include data on demographic breakdowns at Fuerza over the past five years.

We have also updated this section to include Fuerza’s enrollment figures over the past five years. As stated in our original petition, Fuerza continues to enroll students from Transitional Kindergarten (TK)- 5.

Educational Philosophy

*OP pp. 29-20*

*RP pp. 26-27*

As described in our first renewal petition we continue to believe that an educated person in the 21st century should possess a variety of academic and critical life skills that will enable him/her to develop into a self-motivated, competent, lifelong learner. In our renewal petition, we have organized these skills into a slightly more condensed paragraph form rather than a long bulleted list, and we have elected to provide more details on such skills in subsections B-I of our revised petition. Nonetheless, our core beliefs remain the same.
We have also updated this section to include our three foundational pillars of excellence, which we believe are critical to enabling our students to become meaningful participants in the world around them. These pillars were developed over the past two years in an attempt to codify our beliefs as we continue to expand our network.

In our renewal petition, we have also changed the format of our description of how we believe learning best occurs. All of our beliefs now correspond to a subsection contained within Element A, which we “preview” all of these beliefs as a bulleted list.

- Standards-aligned curriculum (Reading/ELA, Mathematics, Science and Social Studies, Arts and Enrichment)
- Social Emotional Development
- Personalized Instruction
- Calendar and Instructional Minutes
- Data-Driven Instruction Professional Development and Talent Management

In contrast, our original petition laid out our beliefs as lengthier descriptions of various components of our educational model. The notes below describe the alignment between the information in the original vs. the renewal petitions and any relevant changes.

- Learning best occurs when there is a school wide expectation of high achievement: We continue to embrace this belief. Our revised expectations and goals for achievement can be found in our descriptions of goals and measurable outcomes in State Priorities 2, 4, and 8, described in Elements B & C.
- Learning best occurs when teachers are subject matter specialists: We continue to have our teachers specialize in core content areas.
- Learning best occurs when teachers are highly motivated: We continue to believe that teachers need a career path that recognizes and rewards their success with more responsibility and greater compensation.
- Learning best occurs in a culture of caring: We continue to believe that teacher specialization will allow us to care for our students more effectively and help teachers detect academic and emotional problems earlier and more efficiently.
- Learning best occurs when the curriculum is individualized: We continue to use the Response to Intervention framework, including its tiered support. We also continue to use the Learning Lab as a space to target individualized interventions. We have, however, evolved our personalized instruction model to ensure that we are meeting the unique needs of all students in real-time. This includes the use of more sophisticated and adaptable online learning technology for all students in the Learning Lab and classrooms.
- Learning best occurs when students have extra time to practice: We continue to maintain a computer space within the Learning Lab for students to engage in customized, targeted practice. As described above, many of the programs that students utilize in the Learning Lab space have evolved, as would be expected in the era of rapid advanced in education technology.
- Learning best occurs in a well-run school. Rocketship Public Schools continues to provide a variety of centralized services to each of our campuses. We have continued to expand and evolve these services to accommodate a growing number of schools and to incorporate efficiencies that we learn along the way.

Standards-Aligned Curriculum

RP p. 28
Our renewal petition contains a description of the general evolution of our instructional model, largely in response to the State’s adoption of the CA Common Core State Standards (CCSS). Rocketship has always strived to align our instruction with state standards, but we have made some improvements to our model to ensure that we respond quickly and effectively to the increased rigor of the new CA CCSS. One significant improvement we have made is the creation of a centralized Achievement Team that is tasked with developing an intellectual preparation process for teachers to plan and execute the CA CCSS in their instruction.

**ELA/Literacy**  
*OP pp. 34-43*  
*RP pp. 29-32*

In our renewal petition, we begin with a description of how we have developed our instruction to align with the various strands contained in the CA CCSS for ELA/Literacy. The components of our literacy instruction remain largely the same, but we have organized the descriptions in a slightly different way. Our original petition states that we split our literacy blocks into whole-group instruction, small-group guided reading instruction, and writing, with some additional focus on phonics, phonemic awareness, and reading comprehension, depending on the grade level. In our renewal petition, we explicitly break down our literacy instruction into four concrete components: the building blocks of literacy (i.e. phonics, phonemic awareness), reading comprehension skills, guided reading, and writing. These revisions were primarily to paint a clearer picture of the instruction that occurs during our literacy block.

We have had some changes to the curricula and assessments that we use for ELA/Literacy. Open Court is now one of several curricular resources that we use during our Literacy block (rather than the exclusive curriculum). Our Achievement Team compiles and creates additional curriculum resources for teachers to teach the CA CCSS. Our original petition states that we use the DRA to assess reading comprehension. We now use the STEP Assessment, which is more comprehensive and covers more phonemic awareness and reading comprehension skills to provide teachers with more actionable data. We continue to use the Northwest Regional Educational Laboratories Six Trait Writing Model, though we no longer use the materials as a standalone curriculum. Rather, we integrate this model into a broader set of writing instructional materials. We continue to use Lucy Calkins Units of Study.

Our original petition states that our Humanities block will include an Extended Analysis Project for fifth grade students. Some of our fifth grade classrooms continue to do this, but the Extended Analysis Project is no longer a formal component of our ELA/Literacy instruction.

**Mathematics**  
*OP p. 43*  
*RP pp. 32-40*

In our renewal petition, we begin with a description of how we have developed our instruction to align with the practice and content standards of the CA CCSS for Mathematics. We have also fleshed out our description of the components of our mathematics instruction to paint a clearer picture of the types of instruction that occurs in our classrooms; for example, the use of “activator” lessons, different mathematical strategies for comprehension and computation, and processing activities.
Our original petition identifies several mathematics curriculum and assessment tools that we no longer use as “formal” curricula (i.e. Harcourt, John Van de Valle Elementary and Middle School Mathematics, Math Their Way, Math a Way of Thinking, Marilyn Burns, Cathy Fosnot). Rather, these resources are incorporated into our instructional materials as planning resources. Additionally, one of our primary curricular resources is now Singapore Math, which is more rigorous and aligned to Common Core.

**Integrated Content Instruction**  
*OP pp. 50-51*  
*RP pp. 38-39*

Our original petition describes our use of the Understanding by Design (UbD) approach through which we built thematic units integrated with state standards across content areas. We remain committed to integrating our content instruction as a way for students to appreciate the overlap among various topics and develop a more robust and authentic understanding of the world around them. However, we no longer tie ourselves to the UbD approach. Instead, our renewal petition describes how we integrate content instruction through the explicit teaching of skills, general content exposure, explicit vocabulary instruction, and projects and investigations.

**Science and Social Studies**  
*OP p. 45*  
*RP p. 39*

In our renewal petition, we describe how our science instruction has evolved as we implement the new Next Generation Science Standards. As described above, our science instruction is no longer centered around UbD.

We continue to embed social studies instruction into literacy. Our renewal petition provides more details on the types of content that we teach during Social Studies and how we align our instruction to state standards.

**Arts and Enrichment**  
*OP p.46*  
*RP pp. 40-41*

We continue to strive to align our arts curricula to the California Visual Performing Arts framework. The renewal petition provides more details on the specific enrichment programs offered at Fuerza, including Physical Education.

**Social Emotional Learning**  
*RP pp. 41-43*

Our renewal petition includes a new section on social emotional learning initiatives at Rocketship. We have worked to evolve our social emotional programming over the past few years in an effort to further our students’ critical life skills.

**Personalized Instruction**  
*RP pp. 44-45*
Our renewal petition includes a more detailed section on Rocketship’s personalized instruction approach. This section also describes some of the current online technologies that we use today in response to major developments in education technology over the past five years. Although we have always had a Learning Lab in our school, our renewal petition provides more illustration of the learning that occurs in this space.

**Calendar and Instructional Minutes**  
*OP p.64*  
*RP pp. 45-47*

We continue to offer extended school hours; a calendar that will match or exceed the number of instructional days required under state law; and the number of instructional minutes required under state law. Our renewal petition includes an updated our chart of instructional minutes to show a more detailed breakdown. Our school day also now includes slightly more instructional minutes. The renewal petition also includes the current Fuerza bell schedule rather than generic Rocketship sample. The renewal petition also includes more details on our rotational model.

**Data-Driven Instruction**  
*OP p. 52*  
*RP pp. 47-50*

Our instructional program continues to be profoundly data-driven. The Assessment Wall has been replaced with online tracking tools like Schoolzilla and Illuminate. in response to advances in technology. Schoolzilla and Illuminate effectively serve the same purpose as the Assessment Wall. We also continue to use data to track and monitor student progress and identify those at risk of achieving below grade level. Our renewal petition provides more details on additional data analysis initiatives and tools that we have implemented. We no longer have the position of Academic Dean, but we have carved out professional development responsibilities for our Principals and Assistant Principals.

**Response to Intervention**  
*OP p. 56*  
*RP p. 50*

We continue to use Response to Intervention (RtI) as an instructional and intervention model designed to identify and support students at risk of achieving below grade level. Our renewal petition is restructured to clarify the seven components of this RtI model, but the components themselves remain the same. Our renewal petition also identifies some of the current evidence-based interventions that we use through RtI, which were not in use at the time of submission of the original petition, such as SIPPS, HELPS fluency routines, Sound Partner and CICO. Additionally, as noted above in the ELA/Literacy section, we use assessments other than the DRA (i.e. the STEP reading assessment) to track student growth.

**Academically High-Achieving Students**  
*RP p. 53*

Our renewal petition includes an entire subsection dedicated to providing more details on how we serve academically high-achieving students as a “special population.” Our model does not include a
gifted/talented program, but we systematically identify and monitor high-achieving students and serve them through differentiated and focused instruction as well as daily enrichment in school and at home.

**Integrated Special Education**  
*OP pp. 77-100*  
*RP pp. 54-66*

We have changed the format of this section of our petition to make it clearer and more readable. However, the basic structure of our special education program remains similar. Our renewal petition includes more details on components of the special education eligibility determination, such as the student huddle process, the Student Study Team, and the tiered academic interventions. Our renewal petition also includes more details on the Section 504 process. Additionally, our renewal petition provides a more comprehensive description of the special education services that we offer to our students, including our system for contracting with outside providers. Finally, this section now reflects Fuerza’s membership in the El Dorado County SELPA.

**English Learners**  
*OP p. 77-100*  
*RP pp. 66-71*

Our process for identifying and assessing English learners (ELs) remains largely the same, but we have updated our reclassification policy. Our current policy provides more details on the specific criteria we use for reclassification. We have also adjusted the eligibility requirements for reclassification and identified specific assessments to be used to evaluate student performance. We continue to utilize our reclassification policy in compliance with California laws.

We have also adjusted our English language instruction in response to the CA ELD standards. Our original petition describes a program where students are “immersed” in English. Our renewal petition clarifies that our classrooms will provide both integrated and designated instruction. Our renewal petition also provides more clarity on the type of instruction, anchored in the various components of the CA ELD standards, that students will receive. Our renewal petition also clarifies some of the instructional strategies (i.e. GLAD, SDAIE) that teachers will employ.

**Teacher Recruitment and Professional Development**  
*OP pp. 66-77*  
*RP pp. 71-75*

Our renewal petition provides more details on the recruitment process. We continue to offer both summer and yearly professional development, but we have increased the number of hours from at least 150 to at least 200 annually. Also, we no longer employ the Professional Growth Plan as the sole professional development tool. Rather, Rocketship now has a centralized Talent Management team that has created a comprehensive system for performance management and evaluation. The new system includes a more objective teacher rubric and also several other performance metrics (i.e. parent engagement, exhibition of Rocketship core characteristics) that we use to evaluate teachers. Our renewal petition also includes descriptions for several new leadership opportunities that our Talent Management team has created over the past several years, such as Rising Teachers and Grade Level Leads.
ELEMENTS B-C: Annual Goals and Actions in the State Priorities; Measurable Student Outcomes (B); and Methods of Assessment (C)

*OP pp. 110-123*
*RP pp. 86-107*

The annual goals and measurable outcomes have been updated to reflect the goals identified in the school’s most recent LCAP. The methods of assessment have also been updated to reflect changes to the State assessment system as well as internal Rocketship practices.

ELEMENT D: GOVERNANCE

*OP pp. 127-133*
*RP pp. 107-115*

Our renewal petition includes more comprehensive descriptions of the centralized management services provided by Rocketship Public Schools, which include new roles dedicated to ensuring high-quality instruction and school leadership.

The primary qualifications for and responsibilities of board members remain the same. Our renewal petition provides further detail on how our board meetings comply with the Brown Act, including our use of telephonic location options. Our renewal petition also includes more details on the responsibilities and composition of our Advisory Board, which has undergone some adjustments in response to Rocketship’s expansion throughout the Bay Area and the country.

Our renewal petition also includes a subsection dedicated to describing parent participation. We have included more details on our School Site Council and ELAC. Pursuant to AB 1360, which went into effect on January 1, 2018, we have also clarified that we do not require parental involvement for acceptance to, or continued enrollment at, the Charter School and that we will affirmatively notify current and prospective parents of this.

ELEMENT E: EMPLOYEE QUALIFICATIONS

*OP pp. 133-145*
*RP pp. 115-125*

Our renewal petition includes descriptions of the roles of Education Specialist, Individualized Learning Specialist, Paraprofessional, Business Operations Manager, Enrichment Coordinator, and support staff. Most of these roles existed at the time of our original submission, but we wanted to include descriptions of more of our employment positions to paint a more comprehensive picture of school operations. We also include changes to credentialing requirements with the move from NCLB to ESSA.

ELEMENT F: HEALTH AND SAFETY PROCEDURES

*OP pp. 145-147*
*RP pp. 125-128*

Several of our health and safety procedures have undergone revisions in response to changes in the relevant legal landscapes.

We have updated our policy on fingerprinting/background checks to include additional requirements for employees who are charged or convicted of crimes to remain in compliance with EC 44939.5.
We have updated our policy on the role of staff as mandated child abuse reporters to include new mandatory trainings pursuant to Assembly Bill (AB) 1432, which became effective on January 1, 2015.

We have updated our policy on drug-free workplace to address consequences for employees who have a positive drug test.

We have also updated our medication administration policy to require our schools to stock auto-injector EpiPens pursuant to EC 49414.

We have also updated our description of facilities to expand our compliance assurances.

**ELEMENT H: ADMISSIONS REQUIREMENTS**

*OP p. 148*  
*RP pp. 130-131*

Our admissions requirements and procedures remain the same. Pursuant to AB 1360, which went into effect in January 1, 2018, we have ensured that our petition contains a reasonably comprehensive description of our admissions process (rather than merely our proposed admissions requirements). Furthermore, in accordance with AB 1360, we have ensured that our admissions preferences:

- are consistent with federal law, the California Constitution, and Section 200 of the Education Code, which prohibits discrimination;
- do not result in limiting enrollment access for students with disabilities, academically low-achieving students, English learners, neglected or delinquent students, homeless students, economically disadvantaged students, foster youth, and must not limit enrollment based on nationality, race, ethnicity, or sexual orientation; and
do not require mandatory parental volunteer hours for continued enrollment in the Charter School, consistent with the requires of Education Code Section 49011.

**ELEMENT J: SUSPENSION AND EXPULSION PROCEDURES**

*OP pp. 151-152*  
*RP pp. 132-143*

To more closely comply with the requirements of EC 47605(b)(5)(1), our renewal petition provides many more details on our suspension and expulsion procedures, including considerations for students with disabilities. We have also slightly revised our list of suspendable/expellable offenses in accordance with changes to Ed Code 48900 et seq. We have also ensured that, pursuant to AB 1360, our petition includes the following:

- A description of how the Charter School complies with federal and state constitutional due process requirements. This includes:
  - For suspensions of fewer than 10 days, the charter school must provide oral or written notice of the charges against the student. If the student denies the charges, the school must provide explanation of the evidence that supports the charges, and an opportunity for the student to present his or her side of the story.
  - For expulsions or suspensions of 10 days or more, the school must provide timely, written notice of the charges against the student and an explanation of the student's
basic rights. Within a reasonable number of days, the school must also hold a hearing adjudicated by a neutral officer, at which the student has a fair opportunity to present testimony, evidence, and witnesses, to confront and cross-examine adverse witnesses, and at which the student has the right to bring legal counsel or an advocate.

- A clear statement that no student will be involuntarily disenrolled, dismissed, or transferred by the charter school for any reason, unless the parent or guardian has been provided written notice at least five school days before the effective date of the removal.
- For all involuntary removals, including expulsions and dismissals for non-disciplinary reasons, parents must be informed of their right to a hearing before the effective date of the removal. If the student’s parent or guardian initiates the hearing procedures, the student must remain enrolled until the charter school issues a final decision.

BUSINESS OPERATIONS

*OP p. 96
*RP pp. 144-150

As required by Ed Code 47605(g), we have updated our budget and financial projections, which are included as Appendix 1. Our renewal petition also includes updated information on the location and financing of Fuerza’s building.
Appendix BO-1: Budget Narrative & Cash Flow Statement

The Rocketship Fuerza Community Prep Charter Renewal (“RFZ”) financial statements include a five year pro-forma annual budget and monthly cash flow statement including 2018-19 to 2022-23.

Students: Enrollment, Demographics and Average Daily Attendance

Revenues for RFZ will depend on the number of students enrolled and their demographics. Based on historical data this budget assumes 57 percent English Learners (EL), 81.6 percent Free and Reduced Lunch (FRL), and an unduplicated population of 87.7 percent. The Average Daily Attendance (ADA) is the aggregate attendance of a school during a reporting period divided by the number of days school is in session during this period. ADA is used to calculate many of the revenue sources. For conservatism, we assume ADA percentage of 94 percent. Projecting more conservative assumptions prepares the school for financial situations of any potential economic downturn in the future.

The following table shows our projected enrollment and ADA.

Table A: Enrollment & ADA

<table>
<thead>
<tr>
<th></th>
<th>2018-19 Year 5</th>
<th>2019-20 Year 6</th>
<th>2020-21 Year 7</th>
<th>2021-22 Year 8</th>
<th>2022-23 Year 9</th>
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<td><strong>Enrollment &amp; ADA</strong></td>
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<tr>
<td><strong>Projected Enrollment by Grade</strong></td>
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<td>Transitional Kindergarten</td>
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<td>Kindergarten</td>
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<td>1st Grade</td>
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<td>2nd Grade</td>
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<td>3rd Grade</td>
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<td>4th Grade</td>
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<td>5th Grade</td>
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<td><strong>Total</strong></td>
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<td><strong>621</strong></td>
<td><strong>621</strong></td>
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<td><strong>Average Daily Attendance</strong></td>
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<td>ADA %</td>
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<tr>
<td><strong>Total</strong></td>
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<td><strong>584</strong></td>
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Enrollment patterns and attrition rates are monitored very closely when creating enrollment targets for Rocketship schools each year. Higher attrition rates are often experienced in the 5th grade, due to students moving onto middle school charters that start with 5th grade. Rocketship encourages this choice for their families. As a result, our schools can experience up to 70 percent attrition in higher grades due to students exercising choice with their middle school options.

Revenues
Factoring in all revenues at the school, per-pupil funding is approximately $13K per ADA at RFZ. State revenue streams provide the largest source of funding, constituting 91 percent of charter school funding in California. All revenues are monitored throughout the year as the funding estimates are refined and recalculated.

**Table B: Summary of Projected Revenues**

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<tr>
<td><strong>Summary of Revenue Programs (Thousands)</strong></td>
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<tr>
<td>State Revenue</td>
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<td>Federal Revenue</td>
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<td>% of State Revenues</td>
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<td>Revenues per ADA</td>
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**State Revenues**

State Revenues are estimated based on specific programs as identified below, with the majority of this funding dependent upon the annual state budget and the school’s student population.

**Table C: Projected State Revenues**

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<td>LCFF - State Aide</td>
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<td>$508</td>
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<td>One-time Funding</td>
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<td>$6,954</td>
<td>$6,951</td>
<td>$6,953</td>
<td>$6,952</td>
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</table>

**Principal Apportionment**

The LCFF FCMA calculator is used to determine the LCFF projections for each year of the pro-forma. Per the California Department of Finance (DOF) guidance, the 2018-19 LCFF estimate assumes a 100 percent gap closure in 2018-19 through 2022-23. For conservatism, no COLA increases are assumed in 2019-20 and beyond. Projecting more conservative revenue assumptions prepares the schools financial situation for any potential economic downturn in the future.
The supplemental and concentration grant components of LCFF are calculated based on the unduplicated population of the school and district the school is located. Rocketship Fuerza Community Prep is located in the Alum Rock Union Elementary School District, which has an unduplicated percentage of 87.7 percent. Therefore, RFZ will qualify for concentration grant funding.

Based on historical disbursements, these projections assume only 33 percent of the principal apportionment to be disbursed through property taxes, and 2 percent via the Education Protection Account.

**Lottery & Mandate Block Grant**

Lottery funding is based upon recent estimates provided by School Services of California. We project a total per student allocation of approximately $181 per year. Funding is based on annual ADA. Lottery funds are mainly allocated for general purpose use with slightly over 20 percent of the funds restricted for instructional materials. Projections for the Mandate Block Grant are assumed at $16 per ADA.

**Facilities Reimbursement (SB 740)**

The California School Finance Authority administers a program to assist with facilities rent and lease expenditures for charter schools that meet certain eligibility criteria. In 2018-19, eligible charter schools may receive reimbursement for facilities rent and lease costs in an amount of up to $1,147 per unit of classroom-based average daily attendance (ADA), not to exceed 75 percent of their total annual facilities rent and lease costs. If there are insufficient funds to reimburse all eligible charter schools at the maximum level, the funding provided to each school will be reduced on a pro-rata basis. For conservatism, Rocketship has assumed $870 per ADA for SB740 revenue projections for 2018-19 and future years.

To be eligible for reimbursement, a charter school site must be geographically located within the attendance area of a public elementary school in which at least 55 percent of the pupil enrollment is eligible for free or reduced-price meals, or serving a pupil population that meets or exceeds 55 percent eligibility for free and reduced-price meals. RFZ has far exceeded this percentage each year and thus qualified and received this funding in the past.

**ASES & One-Time Funding**

RFZ has partnered with YMCA to run an after school program. This program has been funded by the After School Education and Safety Program for the last several years, and is assumed to continue to fund this program in the future.

**Special Education**

Rocketship provides special education services for all schools in its network, and works with the El Dorado County Special Education Local Planning Area (SELPA) to ensure resources are allocated across all schools to ensure compliant, efficient and effective delivery of services. RFZ’s state special education funding includes general state aid of $514 per ADA. In addition to state special education funding, the revenue projection for RFZ also assumes $125 per ADA of federal special education funding. Federal funding is based off of previous year’s ADA.
Federal Revenues

As a direct-funded charter school, RFZ will apply for federal funds directly through the Consolidated Application process. Federal revenues are estimated based on specific programs identified below.

Table D: Projected Federal Revenues

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<tr>
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<tr>
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<td>Year 6</td>
<td>Year 7</td>
<td>Year 8</td>
<td>Year 9</td>
</tr>
<tr>
<td><strong>Federal Revenues</strong></td>
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<td>$168</td>
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<td>Title II</td>
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<td>Total Federal Revenue</td>
<td>$636</td>
<td>$636</td>
<td>$636</td>
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</table>

Free and Reduced-Price Meal Eligibility

The federally funded National School Lunch Program provides free and reduced-price meals for lunch and breakfast to eligible students, based on parent per guardian income levels. RFZ provides universal breakfast to all its students. For this budget, we assume that 81.6 percent of our students will be eligible to receive federal funding reimbursements per meal per day for lunch meals. For operational purposes, we project that 70 percent of all students receive lunch, based on historical percentages. Additional receipts from paid student meals are included in the budget as local revenue. Food expenses for this program are shown below; serving staff expenses are included in classified salaries and benefits.

Title I, II, & III

Title I funding is used to improve the academic achievement of economically disadvantaged students. The funding is calculated based on the number of students qualifying for free and/or reduced meals. We conservatively project $352 per identified pupil. Title II funding is used to improve the quality of teaching and principal leadership. These projections assume $3,800 per school each year. Finally, Title III funding is used for language instruction for limited English proficient and immigrant students. With a large portion of English Language Learner (ELL) students Title III funding is based off $99 per ELL ADA.

Expenditures

The projected expenditures through 2022-2023 are shown below and are followed by a summary of assumptions for some of the larger expenses.
### Table E: Summary of Projected Expenses

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<thead>
<tr>
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</thead>
<tbody>
<tr>
<td><strong>Summary of Projected Expenses (Thousands)</strong></td>
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<tr>
<td>Certificated Salaries</td>
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<td>Classified Salaries</td>
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<td>$665</td>
<td>$670</td>
<td>$675</td>
<td>$681</td>
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<tr>
<td>Employee Benefits</td>
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<td>$686</td>
<td>$705</td>
<td>$710</td>
<td>$715</td>
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<td><strong>Total Compensation</strong></td>
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<td>$3,214</td>
<td>$3,284</td>
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<tr>
<td>Books &amp; Supplies</td>
<td>$304</td>
<td>$306</td>
<td>$306</td>
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<tr>
<td>Food Service</td>
<td>$409</td>
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<td>Other Operating Expenses</td>
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<td>Interest &amp; Capital Outlay</td>
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<td>$2</td>
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<td><strong>Total Non-Comp</strong></td>
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<td>$4,107</td>
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<td>$4,112</td>
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<tr>
<td><strong>Total Expenses</strong></td>
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<td>$7,317</td>
<td>$7,391</td>
<td>$7,422</td>
<td>$7,451</td>
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</table>

### Salaries and Employee Benefits

Total compensation costs (salary and benefits) remain relatively constant at approximately 50 percent of total expenditures over the four years. “Compensation” includes the salary costs of all staff, including those who work full-time and part-time. Compensation also incorporates all staff benefits including social security, state teachers’ retirement, Medicare, and workers’ compensation.

RFZ’s teacher staffing levels are based upon enrollment projections. In a traditional elementary school, if a teacher’s homeroom class is receiving services from another teacher, the homeroom teacher does not instruct another class of students. Because RFZ teachers engage in a teaming approach they are able to teach more than one class of students each day. In addition, our students spend a portion of their day in the learning lab in large groups. By using a teaming approach in conjunction with the learning lab, Rocketship is able to have an overall school-wide ratio of certificated teachers to students at approximately 36:1, while maintaining an actual classroom ratio of certificated teachers to students at approximately 28:1.

As explained in the “Instructional Minutes Section” of Element A, Rocketship’s unique rotational model and approach to instruction, which includes students spending a portion of their day in the Learning Lab, allows for students to receive instruction in core academic subjects at student per teacher ratios of about 28:1. Using our Kindergarten class, we can explain how those ratios are achieved. Table A of the Financial Narrative (Appendix BO-1) shows we will enroll 112 Kindergarten students in 2019-20. At any given time throughout the day, 28 of those students will be in the Learning Lab receiving additional practice in Math and Literacy at their current level of instruction through online learning, active reading, tutoring, and enrichment. Appendix BO-1, Table G, shows that we will hire a total of three certificated Kindergarten teachers in 2019-20. The remaining 84 students will be split between those three teachers, receiving instruction in core academic subjects. This results in a classroom student per teacher ratio of 28:1.

In order to further understand the instructional and student: teacher ratios in the classroom (and overall), it is helpful to better understand the bell schedule and overall enrollment at RFZ. Initially, one...
may assume that with 112 students in a grade level (Appendix BO-1, Table A) and three credentialed teachers (Appendix BO-1, Table I) that the ratio is 37:1 or more during instructional time; however, that is incorrect. To understand the correct ratio of instruction, it is helpful to further focus on this one specific grade level.

As described above, in Kindergarten in 2019-20, RFZ plans to enroll 112 students. This grade level will then be divided into four homerooms or cohorts of students (112 per 4=28). These cohorts of students will then rotate to their separate classes and remain with the same homeroom of 28 students throughout the entire day. The first cohort of students will begin their day in their humanities class with their homeroom and are provided instruction at a 28:1 ratio with a credentialed teacher. This cohort of Rocketeers spends approximately 170 minutes in this classroom every day. At the same time, the second cohort of Rocketeers is also receiving instruction from a second credentialed teacher in a separate humanities classroom, again at a 28:1 ratio, and similar to the first cohort of students, they will spend 170 minutes in this classroom. The third cohort of students is in the Math/Science class with the third credentialed teacher (Appendix BO-1, Table G) within this grade level, again at a 28:1 ratio. This cohort of students will spend 85 minutes in this class. The final cohort of students are in the Learning Lab at a 28:1 ratio as well with an Individualized Learning Specialist, a highly qualified tutor, that guides this class through online learning, tutoring, active reading.

After 85 minutes, the third and fourth cohort of kindergarten students will then switch classroom spaces (third homeroom of students goes to the Learning Lab with the ILS and fourth homeroom of students goes to Math/Science). Again, they will remain in their same homerooms and maintain the ratio of 28:1. This completes the first half of the school day for these students.

After completing these classes and 170 minutes of instruction, the entire grade level will then rotate. After this total of 170 minutes across the grade level, the first and second cohorts will then remain in their homerooms, but each will move to either Math/Science and the other cohort will go to Learning Lab for their separate 85 minute blocks. The third and fourth homeroom classes will at that same time then rotate to their humanities classes and spend 170 minutes in these spaces with the same credentialed teachers that instructed the first and second homerooms of students at the beginning of the day.

It is through this ‘rotational model’ that RFZ will be able to ensure that student: teacher ratios remain at a level of 28:1 throughout the school day as well as ensuring that students, teachers, and families are able to build deep relationships and learning communities by remaining with the same homeroom of students throughout the day and year.

The Rocketship instructional model employs a mix of qualified instructional staff including teachers and tutors (Individualized Learning Specialists or “ILS”) in each school. All of these positions are engaged in full-time student instruction, some providing group instruction in the classroom, and others providing personalized instruction, with students rotating to different subject areas during the day. This unique structure means that while instruction is being delivered, there are never more than 30 students working with a certificated teacher. As students matriculate to older grades RFZ allows for some natural attrition to bring these class sizes down to around 28:1. In terms of ratios, as shown below in Table F, the ratio of instructional staff to students is approximately 22:1. Also shown is a table showing planned staffing level across the school, along with a table following that provides detailed staffing projections on a grade-by-grade level.
Table F: Teacher - Instructional Staff Ratio

<table>
<thead>
<tr>
<th></th>
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<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Year 5</td>
<td>Year 6</td>
<td>Year 7</td>
<td>Year 8</td>
<td>Year 9</td>
</tr>
<tr>
<td><strong>Total Enrollment</strong></td>
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<td><strong>Tutors</strong></td>
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<td>2</td>
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<tr>
<td><strong>Para-Professionals</strong></td>
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<td>1</td>
<td>1</td>
<td>1</td>
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<td>26</td>
<td>26</td>
<td>26</td>
<td>26</td>
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<tr>
<td><strong>Student : Instructional Staff Ratio</strong></td>
<td>24</td>
<td>24</td>
<td>24</td>
<td>24</td>
<td>24</td>
</tr>
</tbody>
</table>

Teachers at RFZ will be supported by a Principal and two Assistant Principals. Additionally, RFZ will have support staff to assist with operations and personalized learning within the Learning Lab. Special Education staffing is staffed based on the school's special education population. As of 2018-19 at RFZ we have approximately 6 percent of the student that qualifies for special education.

The staffing tables associated with our financial projections are shown below:
Table G: Staffing Model

<table>
<thead>
<tr>
<th>Year 5</th>
<th>Year 6</th>
<th>Year 7</th>
<th>Year 8</th>
<th>Year 9</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Staffing Model</strong></td>
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</tr>
<tr>
<td><strong>Instructional Staff</strong></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teachers</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Transitional Kindergarten</td>
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<td>1.0</td>
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</tr>
<tr>
<td>Kindergarten</td>
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</tr>
<tr>
<td>1st Grade</td>
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<td>3.0</td>
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</tr>
<tr>
<td>2nd Grade</td>
<td>3.0</td>
<td>3.0</td>
<td>3.0</td>
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</tr>
<tr>
<td>3rd Grade</td>
<td>3.0</td>
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<td>3.0</td>
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<tr>
<td>4th Grade</td>
<td>3.0</td>
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<tr>
<td>5th Grade</td>
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<tr>
<td>Special Education Specialists</td>
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<tr>
<td>Tutors/Para-Professionals</td>
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</tr>
<tr>
<td>Transitional Kindergarten</td>
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<tr>
<td>1st Grade</td>
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<tr>
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<tr>
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<tr>
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<tr>
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The average salary & wage structure for key staff positions are listed in Table H.

### Table H: Average Budgeted Salary by Position

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<tr>
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<th>2018-19 Year 5</th>
<th>2019-20 Year 6</th>
<th>2020-21 Year 7</th>
<th>2021-22 Year 8</th>
<th>2022-23 Year 9</th>
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</thead>
<tbody>
<tr>
<td><strong>Average Budgeted Salary by Position</strong></td>
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<td></td>
</tr>
<tr>
<td><strong>Instructional Positions</strong></td>
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<tr>
<td>Teachers (incl. Special Ed Teachers)</td>
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<td>$68,933</td>
<td>$69,623</td>
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<td><strong>Non-Instructional Positions</strong></td>
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<td>$99,970</td>
<td>$100,969</td>
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<td>Office Manager</td>
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<td>$85,850</td>
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</tbody>
</table>

### Table I: Employee Benefits

<table>
<thead>
<tr>
<th></th>
<th>2018-19 Year 5</th>
<th>2019-20 Year 6</th>
<th>2020-21 Year 7</th>
<th>2021-22 Year 8</th>
<th>2022-23 Year 9</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Employee Benefits</strong> (Thousands)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medical / Dental / Vision</td>
<td>$241</td>
<td>$241</td>
<td>$241</td>
<td>$242</td>
<td>$242</td>
</tr>
<tr>
<td>Workers Compensation</td>
<td>$38</td>
<td>$38</td>
<td>$38</td>
<td>$39</td>
<td>$39</td>
</tr>
<tr>
<td>Social Security / FICS / Medicare</td>
<td>$76</td>
<td>$77</td>
<td>$78</td>
<td>$79</td>
<td>$79</td>
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<tr>
<td>STRS Retirement</td>
<td>$245</td>
<td>$276</td>
<td>$293</td>
<td>$296</td>
<td>$299</td>
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<tr>
<td>403(b) Match</td>
<td>$22</td>
<td>$22</td>
<td>$22</td>
<td>$22</td>
<td>$22</td>
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<tr>
<td>PTO Payout</td>
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<td>$33</td>
<td>$33</td>
<td>$33</td>
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<td><strong>Total Benefits</strong></td>
<td><strong>$653</strong></td>
<td><strong>$686</strong></td>
<td><strong>$705</strong></td>
<td><strong>$710</strong></td>
<td><strong>$715</strong></td>
</tr>
</tbody>
</table>

The above table lists the total projected annual employer costs for all employee benefits, on a year-by-year basis. RFZ’s employees participate in some combination of State Teachers’ Retirement, Social Security, Medicare, and workers’ compensation depending on position. For full-time certificated employee who participate in the State Teachers’ Retirement System (and not in the Federal Social Security system), the employer contribution is expected to increase to 19.1 percent starting by 2020-21. Other employee benefits include health care insurance to employees who are scheduled to work at least 30 hours per week.
Many of the core programming cost projections are based upon a per pupil allotment, such as food, instructional supplies, textbooks, and some assessments. With technology an innovative component of our school model, schools spend approximately $40K on online-learning curricula each year for use in the learning labs. Chromebooks are projected based on new student enrollment and pre-determined life-cycle for existing equipment. The budget assumes a 2.5:1 student to Chromebook ratio, with a 3 year-life.

Table J: Books, Supplies, and Food

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td><strong>Books &amp; Supplies</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Curriculum</td>
<td>$87</td>
<td>$87</td>
<td>$87</td>
<td>$87</td>
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<tr>
<td>Instructional Supplies</td>
<td>$84</td>
<td>$84</td>
<td>$84</td>
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<tr>
<td>Non-Instructional Supplies</td>
<td>$37</td>
<td>$37</td>
<td>$37</td>
<td>$37</td>
<td>$37</td>
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<tr>
<td>Software Programs (Admin &amp; Assessment)</td>
<td>$31</td>
<td>$31</td>
<td>$31</td>
<td>$31</td>
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<tr>
<td>Student Computer Equipment</td>
<td>$33</td>
<td>$35</td>
<td>$35</td>
<td>$35</td>
<td>$35</td>
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<tr>
<td>Other Non-Cap. Equipment &amp; Furniture</td>
<td>$31</td>
<td>$31</td>
<td>$31</td>
<td>$31</td>
<td>$31</td>
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<tr>
<td><strong>Total Books &amp; Supplies</strong></td>
<td>$304</td>
<td>$306</td>
<td>$306</td>
<td>$306</td>
<td>$306</td>
</tr>
<tr>
<td><strong>Food Service</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Student Food Services</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
</tr>
<tr>
<td><strong>Total Food Service</strong></td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
</tr>
</tbody>
</table>

Many of the core programming cost projections are based upon a per pupil allotment, such as food, instructional supplies, textbooks, and some assessments. With technology an innovative component of our school model, schools spend approximately $40K on online-learning curricula each year for use in the learning labs. Chromebooks are projected based on new student enrollment and pre-determined life-cycle for existing equipment. The budget assumes a 2.5:1 student to Chromebook ratio, with a 3 year-life.

Table K: Other Discretionary Expenses

<table>
<thead>
<tr>
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<tr>
<td><strong>Other Operating Expenses</strong></td>
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<tr>
<td>Travel &amp; Conferences</td>
<td>$13</td>
<td>$13</td>
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<td>$13</td>
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<td>Dues &amp; Memberships</td>
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<td>$5</td>
<td>$5</td>
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<td>Communications</td>
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<td>$43</td>
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<td>Insurance</td>
<td>$16</td>
<td>$16</td>
<td>$16</td>
<td>$16</td>
<td>$16</td>
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<tr>
<td>Utilities</td>
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<td>$76</td>
<td>$76</td>
<td>$76</td>
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<tr>
<td>Copier Leases</td>
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<td>$51</td>
<td>$51</td>
<td>$51</td>
<td>$51</td>
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<td>Facility Maintenance &amp; Repairs</td>
<td>$58</td>
<td>$61</td>
<td>$62</td>
<td>$63</td>
<td>$65</td>
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<tr>
<td>Facility Lease Expense</td>
<td>$1,452</td>
<td>$1,452</td>
<td>$1,452</td>
<td>$1,452</td>
<td>$1,452</td>
</tr>
<tr>
<td><strong>Total Other Operating Expenses</strong></td>
<td>$1,710</td>
<td>$1,717</td>
<td>$1,718</td>
<td>$1,719</td>
<td>$1,721</td>
</tr>
</tbody>
</table>
Facility Lease Expense

Traditional public schools have a significant cost advantage for facilities costs when compared to charter schools for several reasons: (a) much of the facility costs in existing traditional public schools are based on schools that were built many years ago when costs for land and buildings were significantly less than the cost of land acquisition and construction that Rocketship schools are forced to pay; (b) Rocketship is obligated to finance its own buildings and land and is not permitted to access low-cost state financing like traditional public schools nor to access additional sources like parcel taxes to offset costs; and (c) most of Rocketship’s schools are built in high-density areas rather than on land that was previously used for other, less congested (e.g. agricultural) purposes.

Rocketship’s lease expense line item is determined based on a number of facility-related components including:

- Debt service, covering land acquisition and construction
- Ground leases, when required
- Taxes & insurance
- Maintenance and cap-ex reserves
- Property management fees

The financial projections for RFZ include a lease cost of approximately $945K. SB740 is a restricted revenue source and only used to cover RFZ’s lease expense. As shown in Table L this revenue stream relieves approximately 35 percent of this cost for the school.

Table L: SB740 Impact

<table>
<thead>
<tr>
<th></th>
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<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SB740 Impact (Thousands)</strong></td>
<td></td>
<td></td>
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<tr>
<td>SB740 Revenue</td>
<td>$508</td>
<td>$508</td>
<td>$508</td>
<td>$508</td>
<td>$508</td>
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<tr>
<td>Lease Expense</td>
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<td>$1,452</td>
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<tr>
<td><strong>SB740 Relief</strong></td>
<td>35%</td>
<td>35%</td>
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<td>Actual Lease Burden to School</td>
<td>$945</td>
<td>$945</td>
<td>$945</td>
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</table>

Expenses in this next section are primarily based on preliminary negotiations with prospective service providers or based on historical amounts at RFZ. We make note of items below as needed to explain our budgeting assumptions.
Table M: Professional Services

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Year 5</td>
<td>Year 6</td>
<td>Year 7</td>
<td>Year 8</td>
<td>Year 9</td>
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<td>Professional Development</td>
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<td>SPED Consultants</td>
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<td>$46</td>
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<td>Other Consultants</td>
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<td>IT Support</td>
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<td>Custodial Services</td>
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<td>$65</td>
<td>$65</td>
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<td>Health &amp; Testing</td>
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<td>After School Program</td>
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<td>$150</td>
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<td>Substitutes</td>
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<tr>
<td>Field Trips</td>
<td>$39</td>
<td>$39</td>
<td>$39</td>
<td>$39</td>
<td>$39</td>
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<tr>
<td>Other Services</td>
<td>$28</td>
<td>$28</td>
<td>$28</td>
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<tr>
<td>Authorizer Oversight</td>
<td>$60</td>
<td>$60</td>
<td>$60</td>
<td>$60</td>
<td>$60</td>
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<tr>
<td>SPED Admin Fee</td>
<td>$15</td>
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<tr>
<td>Central Office Allocation Fee</td>
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<tr>
<td>Total Professional Services</td>
<td>$1,665</td>
<td>$1,670</td>
<td>$1,672</td>
<td>$1,674</td>
<td>$1,675</td>
</tr>
</tbody>
</table>

**Professional Development**

Professional development includes both certification costs and costs for other professional development of administrators, teachers, and staff.

**SPED Consultants**

Special Education Consultant costs include outsourced service provider costs. Rocketship also employs multiple psychologists, speech language pathologists and occupational therapists at the regional level to serve their Bay Area schools.

**After School Program**

The After School Program and Safety Grant covers the majority of RFZ’s After School Program. YMCA covers the remaining cost of the program.

**Substitute Teacher Costs**

Teacher substitute provisions are included for both projected sick and personal leave as well as for professional staff development leave. Ten days per year per teacher FTE are projected for all forms of leave. For each day of leave per teacher FTE, $250 has been assumed for teacher substitute provisions. Projections estimate substitutes will be needed for 60 percent of paid time off. Estimates are based off of historical experience of Rocketship’s existing schools. Included in this line-item are proctoring costs for CELDT testing, based on historical data at RFZ.

**Field Trips**

Schools are budgeted $5,500 per grade for field trips; these costs have been projected based on historical experience at RFZ.
Other Services

The budget line item for “Other Services” includes the following external expenses: audit fees, and security services.

Authorizer Oversight & SELPA Admin Fee

Authorizer oversight is the fee charged by each charter authorizer. We assume 1 percent of principal apportionment revenue is budgeted for all our Rocketship schools in the Bay Area. As a member of the El Dorado County SELPA, RFZ is charged a 4 percent fee of special education revenue.

Central Office Expense Allocation Fee

Rocketship’s model is highly centralized. Many services that benefit the school, and that are typically provided directly at the school in a traditional public school environment, are provided by Rocketship’s central office. This structure allows school leaders to focus their time and energy on instruction, student achievement and student/parent engagement. By centralizing certain services we are able to provide more efficient and effective delivery of various support services. These centralized services include, but are not limited to:

Programmatic Services:
- Curriculum & Assessment
- Instructional Leadership
- Recruitment
- Lottery Management
- Student Data Analysis
- Parent & Community Engagement

Operational Services:
- Payroll
- Accounting & Financial Reporting
- Procurement
- Human Resources
- Legal Support
- IT Support
- Operational Policy Support
- Authorizer Relations

To cover the cost of these services listed above, schools are charged 15 percent revenue which is transferred to the central office (Note some reimbursed revenues are not included, i.e. lunch revenues).

Other Outgo

RFZ completed the repayment of their CSFA Revolving Loan in February 2018. RFZ will invest in capital upgrades and investments in each year, thereafter. The proposed budget for these capital upgrades is assumed at approximately $100K-$200K annually to invest in facility upgrades, technology updates and other capital improvements. The amount and project is decided with the work of a committee along with the principal. Should other needs arise at RFZ this budget may be shifted to address new initiatives.
The engagement through our LCAP process will help to identify such initiatives and/or facility improvements.

Ending Cash Balance

RFZ will maintain a healthy cash reserve over the next five years. The projected reserves will be monitored and adjusted and refined as decisions and updates are provided relative to state funding amounts as well as when refinements or updates are made to the projected spending plan for the school.

Table N: Statement of Activities & Cash Reserve Balance

<table>
<thead>
<tr>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td><strong>Statement of Activities (Thousands)</strong></td>
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<tr>
<td><strong>Revenues</strong></td>
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<tr>
<td>State Revenue</td>
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<td>$6,954</td>
<td>$6,951</td>
<td>$6,953</td>
<td>$6,952</td>
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<tr>
<td>Federal Revenue</td>
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<td>$636</td>
<td>$636</td>
<td>$636</td>
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<tr>
<td>Local Revenue</td>
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<td>$11</td>
<td>$11</td>
<td>$11</td>
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<tr>
<td>Grants &amp; Fundraising</td>
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<td>$38</td>
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<td><strong>Total Revenues</strong></td>
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<td><strong>Expenses</strong></td>
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<td>Certificated Salaries</td>
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<td>Classified Salaries</td>
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<td>$670</td>
<td>$675</td>
<td>$681</td>
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<tr>
<td>Employee Benefits</td>
<td>$653</td>
<td>$686</td>
<td>$705</td>
<td>$710</td>
<td>$715</td>
</tr>
<tr>
<td>Books &amp; Supplies</td>
<td>$304</td>
<td>$306</td>
<td>$306</td>
<td>$306</td>
<td>$306</td>
</tr>
<tr>
<td>Food Service</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
<td>$409</td>
</tr>
<tr>
<td>Other Operating Expenses</td>
<td>$1,710</td>
<td>$1,717</td>
<td>$1,718</td>
<td>$1,719</td>
<td>$1,721</td>
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<td>Professional Services</td>
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<td>$1,670</td>
<td>$1,672</td>
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<td>$1,675</td>
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<td>Interest &amp; Capital Outlay</td>
<td>$3</td>
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<td>$2</td>
<td>$2</td>
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<td><strong>Total Expenses</strong></td>
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<td>$7,317</td>
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<td><strong>Increase/Decrease of Net Assets</strong></td>
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<td>$321</td>
<td>$244</td>
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<td>$185</td>
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<td>Beginning Balance</td>
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<td>$2,141</td>
<td>$2,191</td>
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<tr>
<td>Ending Balance</td>
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<td>$2,141</td>
<td>$2,191</td>
<td>$2,314</td>
<td>$2,404</td>
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<td><strong>Reserve Balance (% of Expenditures)</strong></td>
<td>27.8%</td>
<td>29.3%</td>
<td>29.6%</td>
<td>31.2%</td>
<td>32.3%</td>
</tr>
</tbody>
</table>

Cash Flow

Revenues

Since revenues are disbursed from multiple sources at different times, projecting cash flow is a top priority for all Rocketship schools. The greatest cash flow challenge is predicting the flow of federal and state revenue sources. California law identifies the percentage of a school’s general purpose funds to be
paid at specified dates. The California Department of Education (CDE) disburses state aide on a 5-9-9 schedule.

**Principal Apportionment**

The principal apportionment comes from a combination of three sources: In Lieu Property Taxes, State Aid, and Education Protection Account. The district where the school is located pays the Charter School an In Lieu Property Tax amount per (ADA). State Aid is due from the state on or before the end of the month and is paid monthly from July to June on a 5-5-9 schedule. The Economic Protection Account flows quarterly in September, December, March and June. In Lieu Property Tax is disbursed monthly starting in August.

**California Lottery**

State Lottery payments, paid according to the prior year’s ADA, are projected to be disbursed in January (25 percent), March (25 percent) and October (50 percent).

**Title Funding**

Title payments are projected to be paid in January (25 percent) and April (75 percent).

**Free and Reduced Meals Program**

Payments are made after RFZ files a reimbursement claim. Claims are generally filed monthly and the payment schedule is generally within 45 to 60 days after the claim is filed. This means that revenue for Free and Reduced Meals generally lag expenses by a month.

**Loan Proceeds and Repayment of Debt Principal**

RFZ completed the repayment of their $62.5K CSFA Revolving Loan in February 2018.

**Expenditure**

The most significant areas of RFZ’s cost structure are primarily staff compensation and facilities related expenses, which are generally paid evenly throughout the year. The vast majority of the curriculum and supply costs are front-loaded to the beginning of the school year.
### Cash Flow Summary

<table>
<thead>
<tr>
<th>Year</th>
<th>Jul</th>
<th>Aug</th>
<th>Sep</th>
<th>Oct</th>
<th>Nov</th>
<th>Dec</th>
<th>Jan</th>
<th>Feb</th>
<th>Mar</th>
<th>Apr</th>
<th>May</th>
<th>Jun</th>
<th>Total</th>
</tr>
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<tbody>
<tr>
<td>2018-19</td>
<td>1,592,621</td>
<td>1,648,080</td>
<td>1,587,393</td>
<td>1,524,067</td>
<td>1,718,772</td>
<td>1,778,419</td>
<td>1,774,407</td>
<td>2,072,254</td>
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<td>2,062,735</td>
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<td>2019-20</td>
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<td>1,661,758</td>
<td>1,806,095</td>
<td>1,846,376</td>
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<td>1,713,704</td>
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<td>2,484,799</td>
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<td>2020-21</td>
<td>2,141,201</td>
<td>2,248,777</td>
<td>1,961,140</td>
<td>1,919,635</td>
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## 2018-2019 Cash Flow

### Total Allocation

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<td>1,815,555</td>
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<td>2,109,189</td>
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<td>2,099,671</td>
<td>2,390,361</td>
<td>2,356,946</td>
</tr>
</tbody>
</table>

### REVENUES

#### State Programs
- **CSGB - Base**: 4,075,158
- **In Lieu of Property Taxes**: 1,812,662
- **Prop 3D EPA**: 116,748
- **Mandate Block Grant**: 9,270
- **California Lottery**: 105,657

#### Other State Programs
- **State Lunch Reimbursements**: 38,982
- **After School Education & Safety Program Grant**: 112,500
- **Common Core & Prop 39**: -
- **SB740 Facilities Subsidy**: 507,854
- **State SPEED**: 147,365

#### Federal Programs
- **Title I**: 167,669
- **Title II**: 3,800
- **Title III**: 32,940
- **IDEA**: 73,125
- **National School Lunch Program**: 358,720

#### Local Programs
- **Local Food Service Revenue**: 10,978

#### Fundraising & Grants
- **Fundraising**: -

#### Total Local Programs
- **Local Programs**: 10,978

#### PY Receivables
- **PY Receivables**: 485,318

#### TOTAL REVENUES
- **Total Revenues**: 8,058,747

### EXPENSES

#### Certified Salaries
- **Certified Salaries**: 1,835,513

#### Classified Salaries
- **Classified Salaries**: 660,546

#### Employee Benefits
- **Employee Benefits**: 653,188

#### Books & Supplies
- **Books & Supplies**: 303,910

#### Food Service
- **Food Service**: 408,680

#### Other Operating Services
- **Other Operating Services**: 1,710,325

#### Professional Services
- **Professional Services**: 1,664,807

#### Interest
- **Interest**: 500

#### Other Disbursements/Non Expenditures
- **Other Disbursements/Non Expenditures**: (573,616)

#### PY Payables
- **PY Payables**: 324,178

#### TOTAL EXPENSES
- **Total Expenses**: 7,561,649

### OTHER DISBURSEMENTS/FINANCING SOURCES

#### CSFA - Revolving Loan
- **CSFA - Revolving Loan**: -

#### CSGB - Start-up Loan
- **CSGB - Start-up Loan**: -

#### Facility Fee Adjustment
- **Facility Fee Adjustment**: 40,919

#### RSED Financing
- **RSED Financing**: -

#### Capital Improvements
- **Capital Improvements**: 100,000

#### TOTAL OTHER DISBURSEMENTS & FINANCING SOURCES
- **Total Other Disbursements & Financing**: -

### Cumulative Cash Position
- **Cumulative Cash Position**: 1,620,792

---

Rocketship Fuerza Community Prep Renewal  Page 17 of 21
## 2019-2020 Cash Flow

<table>
<thead>
<tr>
<th></th>
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### REVENUES

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<th>State Programs</th>
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<td>CGPB - Base</td>
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<td>In Lieu of Property Taxes</td>
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<td>Prop 30 EPA</td>
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<td>Mandate Block Grant</td>
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<td>California Lottery</td>
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**Total State Programs** | 6,138,951 |

<table>
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<tr>
<td>State Lunch Reimbursements</td>
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<tr>
<td>After School Education &amp; Safety Program Grant</td>
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<tr>
<td>Common Core &amp; Prop 39</td>
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<tr>
<td>SB740 Facilities Subsidy</td>
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<td>State SPED</td>
<td>155,774</td>
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**Total Other State Programs** | 815,110 |

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<td>Title I</td>
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<td>Title II</td>
<td>3,800</td>
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<td>Title III</td>
<td>32,940</td>
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<td>IDEA</td>
<td>72,968</td>
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<td>National School Lunch Program</td>
<td>358,720</td>
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**Total Federal Programs** | 636,097 |

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<th>Local Programs</th>
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<td>Local Food Service Revenue</td>
<td>10,978</td>
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**Total Local Programs** | 10,978 |

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**Total Local Programs** | - |

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### EXPENSES

- **Certificated Salaries**: 1,862,988
- **Classified Salaries**: 665,473
- **Employee Benefits**: 685,564
- **Books & Supplies**: 305,590
- **Food Service**: 408,680
- **Other Operating Services**: 1,716,858
- **Professional Services**: 1,669,676
- **Interest**: -
- **Other Disbursements/Non Expenditures**: -

**Total EXPENSES**: 7,373,512

### OTHER DISBURSEMENTS/FINANCING SOURCES

- **CSFA - Revolving Loan**: -
- **CGSF - Start-up Loan**: -
- **Facility Fee Adjustment**: 41,068
- **RSED Financing**: -
- **Capital Improvements**: 200,000

**Total Other Disbursement & Financing**: 241,068

**Cumulative Cash Position**: 2,081,410
2020-21 Cash Flow
Total Allocation
2020-21
Beginning Cash Balance
2,178,137
REVENUES
State Programs
CSGPB - Base
In Lieu of Property Taxes
Prop 30 EPA
Mandate Block Grant
California Lottery
Total State Programs

4,082,359
1,812,662
116,748
9,340
105,657
6,126,767

Jul
2020
2,178,137

Aug
2020
2,285,714

Sep
2020
1,998,076

Oct
2020
1,956,771

Nov
2020
2,077,955

Dec
2020
2,113,061

Jan
2021
2,083,640

Feb
2021
2,356,475

Mar
2021
2,297,867

Apr
2021
2,296,571

May
2021
2,617,615

Jun
2021
2,559,007

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204,118

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9,340
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3,543

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28,125

3,543

35,435
112,500

Other State Programs
State Lunch Reimbursements
After School Education & Safety Program Grant
Common Core & Prop 39
SB740 Facilities Subsidy
State SPED
Total Other State Programs

38,982
112,500
507,854
164,410
823,746

Federal Programs
Title I
Title II
Title III
IDEA
National School Lunch Program
Total Federal Programs

167,669
3,800
32,940
72,968
358,720
636,097

-

10,978
10,978

Local Programs
Local Food Service Revenue
Total Local Programs
Fundraising & Grants
Fundraising
Total Local Programs
PY Receivables
TOTAL REVENUES
EXPENSES
Certificated Salaries
Classified Salaries
Employee Benefits
Books & Supplies
Food Service
Other Operating Services
Professional Services
Interest
Other Disbursements/Non Expenditures
PY Payables
TOTAL EXPENSES
OTHER DISBURSEMENTS/FINANCING SOURCES
CSFA - Revolving Loan
CSGF - Start-up Loan
Facility Fee Adjustment
RSED Financing
Capital Improvements
Total Other Disbursement & Financing
Cumulative Cash Position

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Total
2020-21

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Total Expense

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142,674

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750,205
333,707

664,128
157,368

599,600
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901,857
208,143

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217,037

750,525
217,391 7,597,866
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670,449
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305,590
408,680
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41,068
200,000
241,068

Rocketship Fuerza Community Prep Renewal Page 19 of 21

41,068
(200,000)
41,068


## 2021-2022 Cash Flow

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### REVENUES

#### State Programs

- **CSF/PB - Base**
  - 4,082,359
- **In Lieu of Property Taxes**
  - 1,812,662
- **Prop 30 EDA**
  - 116,748
- **Mandate Block Grant**
  - 9,340
- **California Lottery**
  - 105,657

#### Other DISBURSEMENTS/FINANCING SOURCES

- **Py Receivables**
  - 357,073

#### EXPENSES

- **Certificated Salaries**
  - 1,926,382
- **Classified Salaries**
  - 675,474
- **Employee Benefits**
  - 708,833
- **Books & Supplies**
  - 430,590
- **Food Services**
  - 408,680
- **Other Operating Services**
  - 1,719,363
- **Professional Services**
  - 1,673,937
- **Interest**
  - 
- **Other Disbursements/Non Expenditures**
  - 

#### PY PAYABLES

- 60,241

### OTHER DISBURSEMENTS/FINANCING SOURCES

- **CIFSP - Revolving Loan**
  - 
- **CIFSP - Start-up Loan**
  - 
- **Facility Fee Adjustment**
  - 41,068
- **RISED Financing**
  - 
- **Capital Improvements**
  - 100,000

#### Total Other Disbursements & Financing

- 141,068

### Cumulative Cash Position

- 2,295,508

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Rocketship Fuerza Community Prep Renewal  Page 20 of 21
## 2022-2023 Cash Flow

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<td><strong>Beginning Cash Balance</strong></td>
<td>2,351,343</td>
<td>2,351,343</td>
<td>2,418,576</td>
<td>2,092,696</td>
<td>2,063,205</td>
<td>2,196,204</td>
<td>2,243,216</td>
<td>2,225,520</td>
<td>2,510,170</td>
<td>2,463,377</td>
<td>2,473,895</td>
<td>2,806,754</td>
<td>2,759,961</td>
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</table>

### REVENUES

#### State Programs
- **CSGPP - Base**: 4,082,359
- **In Lieu of Property Taxes**: 1,812,662
- **Prop 30 EPA**: 116,748
- **Mandate Block Grant**: 9,340
- **California Lottery**: 105,657

#### Total State Programs: 6,126,767

#### Other State Programs
- **State Lunch Reimbursements**: 38,982
- **After School Education & Safety Program Grant**: 112,500
- **Common Core & Prop 39**: 507,854
- **SB740 Facilities Subsidy**: 165,882

#### Total Other State Programs: 825,218

#### Federal Programs
- **Title I**: 167,669
- **Title II**: 3,800
- **Title III**: 32,940
- **IDEA**: 72,968
- **National School Lunch Program**: 358,720

#### Total Federal Programs: 636,097

#### Local Programs
- **Local Food Service Revenue**: 10,978

#### Total Local Programs: 10,978

### Fundraising & Grants
- **Fundraising**: -

#### Total Local Programs: -

### PY Receivables
- **750,405**: 570,613

### TOTAL REVENUES
- **8,349,464**: 763,025

### EXPENSES
- **Certificated Salaries**: 1,944,005
- **Classified Salaries**: 680,550
- **Employee Benefits**: 714,748
- **Books & Supplies**: 305,590
- **Food Service**: 408,680
- **Other Operating Services**: 1,720,654
- **Professional Services**: 1,674,735
- **Interest**: -
- **Other Disbursements/Non Expenditures**: -

### PY Payables
- **62,157**: 62,157

### TOTAL EXPENSES
- **7,511,050**: 669,214

### OTHER DISBURSEMENTS/FINANCING SOURCES
- **CSFA - Revolving Loan**: -
- **CSGF - Start-up Loan**: -
- **Facility Fee Adjustment**: 41,068
- **RSED Financing**: -
- **Capital Improvements**: 100,000

### Total Other Disbursement & Financing: 141,068

### Cumulative Cash Position: 2,418,576
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<th>Thematic Units - Humanities</th>
<th>Unit 1</th>
<th>Unit 2</th>
<th>Unit 3</th>
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<td>21 + 2 ASSMT</td>
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<td>(K-G2) 20 + 2 ASSMT</td>
<td>(K-G2) 22 + 2 ASSMT</td>
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<td><strong>August</strong></td>
<td>Fiction</td>
<td>October</td>
<td>November</td>
<td>December</td>
<td>January</td>
<td>March</td>
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<td><strong>Thematic Unit</strong></td>
<td>Realistic Fiction</td>
<td>Mystery</td>
<td>Fables/Myths</td>
<td>Fiction</td>
<td>Informational Text</td>
<td>Fiction</td>
<td>Poetry (national poetry month)</td>
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<td><strong>Essential Questions</strong></td>
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<td><strong>TK - Essential Questions</strong></td>
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<tr>
<td>What makes me feel good at school?</td>
<td>Why do readers ask questions?</td>
<td>What makes folktales interesting?</td>
<td>Why is it important to learn from the past?</td>
<td>Why are some people famous?</td>
<td>How do we use what we know about nature when listening to poems?</td>
<td>Why do people move?</td>
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<tr>
<td><strong>TK - Writing</strong></td>
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<tr>
<td>Narrative - Launching the Writing Workshop (K Calkins Unit 1)</td>
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<td>Narrative - Launching the Writing Workshop (K Calkins Unit 1)</td>
<td>Informational - How-To-Books (K Calkins Unit 1)</td>
<td>Informational - How-To-Books (K Calkins Unit 5)</td>
<td>Informational - How-To-Books (K Calkins Unit 3)</td>
<td>Informational - How-To-Books (K Calkins Unit 3)</td>
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<tr>
<td>All About Me! 1: Listening and Telling Stories About Ourselves (Storybooks)</td>
<td>Great Readers Ask Thoughtful Questions (Fiction)</td>
<td>Animals, Plants and the Enviroment (Folktales, Nonfiction)</td>
<td>Stories from the Past (Fairytales, Nonfiction)</td>
<td>Famous People from the Past and Present (Biography, Nonfiction)</td>
<td>Describing Nature Through Words (Poetry, Nonfiction)</td>
<td>Moving from Place to Place (Fiction, Nonfiction)</td>
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<tr>
<td><strong>TK - Writing</strong></td>
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<td>Narrative - Launching the Writing Workshop (K Calkins Unit 1)</td>
<td>Informational - How-To-Books (K Calkins Unit 1)</td>
<td>Informational - How-To-Books (K Calkins Unit 3)</td>
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<td><strong>TK - RC</strong></td>
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<tr>
<td>Welcome to My Community (Storybooks)</td>
<td>Great Readers Ask Thoughtful Questions (Storybooks &amp; Nonfiction)</td>
<td>Animals and Plants in Folktales and Nonfiction (Folktales &amp; Nonfiction)</td>
<td>Stories from the Past (Fairytales &amp; Nonfiction)</td>
<td>Heroines and Heroes from America and around the World (Biography)</td>
<td>The Words and the Ideas of Seasons and Weather (Poetry &amp; Nonfiction)</td>
<td>Comparing Characters and What They Want and Need (Chapter Books, Realistic Fiction, Nonfiction)</td>
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<td><strong>K - Essential Questions</strong></td>
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<td>What makes me feel good at school?</td>
<td>Why do readers ask questions?</td>
<td>What makes some animals play folktales?</td>
<td>Why is it important to learn from the past?</td>
<td>What makes them heros and heroes?</td>
<td>How can we use text clues and schema to help us visualize?</td>
<td>Why is it important to learn from other people's experiences and adventures?</td>
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<td><strong>K - RC</strong></td>
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<tr>
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<td>Narrative - Writing for Readers (K Calkins Unit 2)</td>
<td>Informational - How-To-Books (K Calkins Unit 3)</td>
<td>Opinion - Persuasive Writing of All Kinds (K Calkins Unit 4)</td>
<td>All About Books (Kinder Calkins If-Then)</td>
<td>Writing Songs and Poetry (Kinder Calkins If-Then)</td>
<td>Informational - Nonfiction Chapter Books (G1 Calkins Unit 2)</td>
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<tr>
<td><strong>K - Writing</strong></td>
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<tr>
<td>What makes someone a good citizen?</td>
<td>How do animals survive and grow?</td>
<td>Why did people from different cultures tell stories?</td>
<td>How are families alike and different?</td>
<td>How does listening to poetry help us become better writers?</td>
<td>How do we in a community fulfill their needs and wants?</td>
<td>Why do the need to know about the Solar system and planets?</td>
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<td><strong>G1 - Essential Questions</strong></td>
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<tr>
<td>Stories of Citizenship and our Community (Storybook, Nonfiction)</td>
<td>How Aquatic Animals Survive and Grow (Stories &amp; Nonfiction)</td>
<td>Stories of Different Cultures (Fables, Folktales &amp; Nonfiction)</td>
<td>Geography Affects the Way People Live (Folktales &amp; Nonfiction)</td>
<td>Light &amp; Sound All Around (Poetry &amp; Nonfiction)</td>
<td>Goods &amp; Services that My Community Provides (Realistic Fiction &amp; Nonfiction)</td>
<td>Exploring A New Frontier (Chapter Book, Biography &amp; Nonfiction)</td>
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<td><strong>G1 - RC</strong></td>
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<tr>
<td>Narrative - Small Moments: Writing with Focus, Detail, and Dialogue (G1 Calkins Unit 1)</td>
<td>Informational - How-To-Books (G1 Calkins Unit 3)</td>
<td>Informational - Nonfiction Chapter Books (G1 Calkins Unit 2)</td>
<td>Opinion - Writing Reviews (G1 Calkins Unit 3)</td>
<td>Writing Songs and Poetry (G1 Calkins If-Then)</td>
<td>Narrative - From Scenes to Series: Writing Fiction (G1 Calkins Unit 4)</td>
<td>Narrative - From Scenes to Series: Writing Fiction (G1 Calkins Unit 4)</td>
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<tr>
<td>What makes someone a good citizen?</td>
<td>How do authors use settings and symbols to tell a story?</td>
<td>How do scarcity and wants affect the way we make choices?</td>
<td>Why do plants and animals need different environments to survive?</td>
<td>Why do authors create their own version of the same story?</td>
<td>What clues from the past help us understand our Earth in the past and today?</td>
<td>What makes someone heroic?</td>
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<td><strong>G2 - Essential Questions</strong></td>
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<td>What clues from the past help us understand our Earth in the past and today?</td>
<td>Why do people move?</td>
<td>Why do people move?</td>
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<td><strong>G2 - RC</strong></td>
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<td>Informational - How-To-Books (G1 Calkins Unit 3)</td>
<td>Informational - Nonfiction Chapter Books (G1 Calkins Unit 2)</td>
<td>Opinion - Writing Reviews (G1 Calkins Unit 3)</td>
<td>Writing Songs and Poetry (G1 Calkins If-Then)</td>
<td>Narrative - From Scenes to Series: Writing Fiction (G1 Calkins Unit 4)</td>
<td>Narrative - From Scenes to Series: Writing Fiction (G1 Calkins Unit 4)</td>
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<td>Thematic Units - 17.18 Humanities</td>
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<td>(K-G2) 22 + 2 ASSMT</td>
<td>(K-G2) 20 + 2 ASSMT</td>
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**G2 - RC**

- **Our Core Values - Narrative & Nonfiction**
  - Water, Weather, and Plants in Stories (Folktales, Realistic Fiction & Nonfiction)
  - Recognizing Wants and Needs in Stories (Fables, Folktales, & Nonfiction)
  - Interdependent Relationships in Ecosystems (Poetry & Nonfiction)

- **Stories from around the World - Fantasy, Fairy Tale, Nonfiction**
  - Earth’s Surface (Fantasy, Mystery, Nonfiction)
  - Going Back in Time (Biography, Historical Fiction, Nonfiction)

**G2 - Writing**

- How can I read to build background knowledge?
- How do changes in habitat affect organisms?
- How do readers use poetry and realistic fiction to think about life?
- Are heroes of myths, legends, and tall tales held to the same standard as those we classify as heroes in present day?
- Why do human rights need to be protected?
- What impact can citizens have on their government?

**G3 - Essential Questions**

- **Stories from the Americas - Realistic Fiction, Narrative Nonfiction, Nonfiction**
  - How Animals Adapt and Survive (Fable, Folktales & Nonfiction)
  - Learning about Ourselves through Poetry & Realistic Fiction (Poetry, Realistic Fiction & Chapter Books)
  - Heroes and Heroines of Myths, Legends, and Everyday Life (Myths, Legends, Tall Tales, Nonfiction)
  - Citizenship and Government (Historical Fiction, Narrative Nonfiction, Speech, Nonfiction)

**G3 - RC**

- Narrative - Small Moments: Writing with Focus, Detail, and Dialogue (G1 Calkins Unit 1)
  - *Students will take diagnostic writing assessment on informational writing.*
- Narrative - Lessons from the Masters: Improving Narrative Writing (G2 Calkins Unit 1)
  - *Students will take diagnostic writing assessment on informational writing.*
- Informational - Nonfiction Chapter Books (G1 Calkins Unit 2)
  - Narrative - Poetry: Big Thoughts in Small Packages (G2 Calkins Unit 4)
  - *Students are assessed on opinion writing.*
- Opinion - Writing about Reading - Letter Writing (G2 Calkins Unit 3)
- Informational - Lab Reports and Science Books (G2 Calkins Unit 2)
- Informational - Lab Reports and Science Books (G2 Calkins Unit 2)

**G3 - Writing**

- How does the perspective of the storyteller impact the reader or listener's understanding of the event (for example, a historical event)?
- What is a topic that have you become interested in that surprised you? How did you feel before reading about it and why? What caused the change?
- What is one lesson that can be learned from history?
- What is the best way to reduce the negative impacts that natural Earth processes have on humans?
- Are our genetics or our environment more influential in developing us into who we are?
- What are you willing to do to preserve or protect the things you value most?

**G4 - Essential Questions**

- **Making Meaning from Text (historical fiction, historical nonfiction, and tall tales)**
  - Exploring New Genres and Topics
  - Strength and Challenge
  - Beneath the Surface
  - Values and Empathy

**G4 - RC**

- G3 Unit 1 Narrative - Crafting True Stories
  - "The Art of Information Writing"
- G3 Unit 2 Informational - "Bringing History to Life"
- G4 Unit 3 - Information - "Changing the World"
- G3 Unit 3 Opinion - "Boxes and Bullets: Personal and Persuasive Essays"
- G4 Unit 2 Opinion - "Boxes and Bullets: Personal and Persuasive Essays"
- Post-Test Option: G4 Unit 1 Narrative - The Arc of Story

**G4 - Writing**

- Why is it important to reflect on the historical past and your personal past?
- Think about why your favorite book is your favorite. What choices did the author make to make the topic or plot appeal to you?
- What is one lesson that everyone should learn from history?
- Would you rather things stayed the same for years, or they changed everyday?
- Is struggle necessary for progress?

**G5 - Essential Questions**

- **Crafting True Stories (G3 Calkins Unit 1)**
  - Narrative - Crafting True Nonfiction, Nonfiction)
  - Informational - The Art of Information Writing (G3 Calkins Unit 2)
  - Opinion - Changing the World: Persuasive Speeches, Petitions, and Editorials (G3 Calkins Unit 3)
  - Informational - Lab Reports and Science Books (G2 Calkins Unit 2)
  - Informational - Lab Reports and Science Books (G2 Calkins Unit 2)
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<th># of Days</th>
<th>Unit 1</th>
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<th>Unit 6 / TPU</th>
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<td>(K-G2) 22 + 2 ASSMT</td>
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<tr>
<td><strong>G5 - RC</strong></td>
<td><strong>Learning from the Past (Short Stories, Folktales, and Historical Nonfiction)</strong></td>
<td><strong>Exploring New Genres and Topics</strong></td>
<td><strong>Understanding the Great Depression</strong></td>
<td><strong>Forces of Nature</strong></td>
<td><strong>Struggle</strong></td>
<td><strong>Post-Test Option: G5 Unit 1 Narrative - Narrative Craft</strong></td>
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<td><strong>G5 - Writing</strong></td>
<td><strong>G3 Unit 1 Narrative - Crafting True Stories</strong></td>
<td><strong>G3 Unit 2 Informational - &quot;The Art of Information Writing&quot;</strong></td>
<td><strong>G5 Unit 2 - Information &quot;The Lens of History: Research Reports&quot;</strong></td>
<td><strong>G3 Unit 3 Opinion - &quot;Changing the World&quot;</strong></td>
<td><strong>G5 Unit 4 Argument - &quot;The Research-Based Argument Essay&quot;</strong></td>
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<td>Kinder K5</td>
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<th>Standards</th>
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<td>Math Unit 1 Counting &amp; Numbers to 10</td>
<td>27</td>
<td>21 Lessons 5 Flex Days 1 Assess</td>
<td>PK.CC.1 - 4</td>
<td>The focus of this unit is on practicing rote counting and understanding numbers to 10. Students learn how to write them, spell them, and count quantities within that amount.</td>
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<tr>
<td>Math Unit 2 Patterns &amp; Sorting</td>
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<td>11 Lessons 3 Flex Days 1 Assess</td>
<td>PK.CC.6</td>
<td>Students build mathematical reasoning by recognizing and extending simple patterns. They also practice ordering language (first, last, next, before, after) with patterns. Students also practice sorting, as well as identifying which object does/doesn’t belong with a given set of objects.</td>
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<tr>
<td>Math Unit 3 Comparing Numbers</td>
<td>19</td>
<td>14 Lessons 4 Flex Days 1 Assess</td>
<td>PK.CC.5</td>
<td>Students work on comparing groups of objects by matching and counting. They also explore the idea of one more and one less.</td>
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<tr>
<td>Science Unit 1 Pushes and Pulls</td>
<td>13</td>
<td>Part 1: 7 lessons Part 2: 7 lessons</td>
<td>K.PS2-1 K.PS2-2</td>
<td>Students will think about the guiding question “How can you move something heavy?” by observing the effect of pushing and pulling motions on different objects. Students will also explore speed and direction with the guiding question “What happens when two toy cars collide?”</td>
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<tr>
<td>Flex Days</td>
<td>8</td>
<td>Varies</td>
<td></td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Math Unit 4 Beginning Geometry</td>
<td>25</td>
<td>19 Lessons 5 Flex Days 1 Assess</td>
<td>PK.G.1 - 5</td>
<td>Students work on identifying, building, drawing and composing 2-D and 3-D shapes.</td>
</tr>
<tr>
<td>Math Unit 5 Beginning Measurement</td>
<td>24</td>
<td>16 Lessons 4 Flex Days 1 Assess</td>
<td>PK.MD.1 - 2</td>
<td>This unit focuses on describing the position of objects as well as describing their measurable attributes (size, length, height, weight, color, etc).</td>
</tr>
<tr>
<td>Math Unit 6 Representing Data</td>
<td>17</td>
<td>13 Lessons 3 Flex Days 1 Assess</td>
<td>PK.MD.2</td>
<td>Students work on collecting &amp; representing data using tables, tally marks and graphs. Students practice describing their data using comparison language.</td>
</tr>
<tr>
<td>Testing</td>
<td>10</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2 Energy from the Sun</td>
<td>14</td>
<td>Scope 1: 10 lessons</td>
<td>K.PS3-1 K.PS3-2</td>
<td>Students will explore the guiding question “Why do we use umbrellas at the beach” to understand the effects of the sun on our daily lives.</td>
</tr>
<tr>
<td>Close Week</td>
<td>3</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Breakdown</td>
<td>Standards</td>
<td>Summary</td>
</tr>
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</tr>
<tr>
<td>Launch Days</td>
<td>8</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1 Numbers to 20</td>
<td>26</td>
<td>20 Lessons 5 Flex Days 1 Assess</td>
<td>K.CC.1 - 5</td>
<td>Students practice counting and understanding numbers to 20 during this first unit. They work on how to write numbers, spell them in word form, and count quantities within that amount.</td>
</tr>
<tr>
<td>Math Unit 2 Matching &amp; Sorting Shapes</td>
<td>21</td>
<td>15 Lessons 5 Flex Days 1 Assess</td>
<td>K.CC.5 K.G.1 - 4 K.MD.3</td>
<td>Students work on understanding 2-D &amp; 3-D shapes, practicing identifying, describing, building, and drawing them. They also begin work with sorting and matching, using their new understanding of shapes and attributes to sort items into categories. Counting work continues as they are asked to count the amount in each category after sorting.</td>
</tr>
<tr>
<td>Math Unit 3 Composing &amp; Decomposing Shapes</td>
<td>14</td>
<td>10 Lessons 3 Flex Days 1 Assess</td>
<td>K.G.5 - 6 1.G.3</td>
<td>Students continue working with shape by decomposing and composing them. Additional geometry work is also covered such as beginning to explore equal shares (halves and fourths), and lines of symmetry.</td>
</tr>
<tr>
<td>Science Unit 1 Materials and Motion: Part 1</td>
<td>14</td>
<td>Inv. 1: 7 Lessons 2 Flex Days</td>
<td>K.PS2-1 K.PS2-2 K.PS3-1 K.PS3-2</td>
<td>Students work with five different wood samples to observe their properties. Students observe and compare the properties of ten kinds of paper and go on a hunt for matching samples.</td>
</tr>
<tr>
<td>Mid-Year Flex Days</td>
<td>8</td>
<td>Varies</td>
<td></td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Math Unit 4 Comparing, Adding &amp; Subtracting Numbers to 20</td>
<td>23</td>
<td>18 Lessons 4 Flex Days 1 Assess</td>
<td>K.CC.6 - 7 K.OA.1 - 5 K.NBT.1</td>
<td>Examination of numbers to 20 continues, with students comparing sets and numbers to 20. They also work on composing and decomposing numbers, finding pairs to 10 as well as representing teens numbers as a ten and loose ones. Students start number stories to contextualize part-whole relationships and join &amp; separate scenarios.</td>
</tr>
<tr>
<td>Math Unit 5 Measurement &amp; Data</td>
<td>25</td>
<td>19 Lessons 5 Flex Days 1 Assess</td>
<td>K.MD.1 - 3 1.MD.4</td>
<td>Students practice describing objects by measurable attributes (big, tall, short, etc) and comparing different objects by attribute. Sorting work continues, making categories by different attributes of objects, and sorting categories by count. Students also work with data, representing and interpreting basic sets of data in bar graphs and pictographs</td>
</tr>
<tr>
<td>Math Unit 6 Working with Money &amp; Telling Time</td>
<td>15</td>
<td>11 Lessons 3 Flex Days 1 Assess</td>
<td>1.MD.3 2.MD.8</td>
<td>Students work with coins, learning the names and values of each and using skip counting skills to determine the value of basic collections. Students end the unit by learning how to tell time to the hour and half hour.</td>
</tr>
<tr>
<td>EOY Flex Days &amp; Testing</td>
<td>10</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2 Materials and Motion: Part 2</td>
<td>14</td>
<td>Inv. 3: 6 Lessons Inv. 4: 4 Lesson 4 Flex Days</td>
<td>K.PS2-1 K.PS2-2 K.PS3-1 K.PS3-2</td>
<td>Students observe and compare the properties of ten kinds of fabric and search for different ways fabrics are used. Students investigate the strength of pushes and pulls needed to move objects.</td>
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<tr>
<td>Close Days</td>
<td>3</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
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<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Breakdown</td>
<td>Standards</td>
<td>Summary</td>
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<tr>
<td>Launch Days</td>
<td>8</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1 Addition &amp; Subtraction Strategies to 20</td>
<td>19</td>
<td>14 Lessons</td>
<td>1.OA.3</td>
<td>Students build fluency with addition &amp; subtraction within 20, focusing on making 10s and building automaticity with addition &amp; subtraction within 10. Strategies include counting on and counting back, decomposing and renaming numbers, and finding benchmark pairs.</td>
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<tr>
<td></td>
<td></td>
<td>4 Flex Days</td>
<td>1.OA.5 - 8</td>
<td></td>
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<td></td>
<td>1 Assess</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Math Unit 2 Place Value &amp; Comparing Numbers</td>
<td>23</td>
<td>17 Lessons</td>
<td>1.NBT.1 - 3</td>
<td>Students focus on place value and composing and decomposing numbers, building deep fluency with idea of a ten unit. They also use place value to compare numbers.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5 Flex Days</td>
<td>1.OA.6</td>
<td></td>
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<td></td>
<td></td>
<td>1 Assess</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Math Unit 3 Addition &amp; Subtraction within 50</td>
<td>24</td>
<td>18 Lessons</td>
<td>1.OA.3 -4</td>
<td>Students focus on the relationship between addition and subtraction, properties of operations and place value with numbers within 50.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5 Flex Days</td>
<td>1.OA.8</td>
<td></td>
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<td></td>
<td></td>
<td>1 Assess</td>
<td>1.NBT.4</td>
<td></td>
</tr>
<tr>
<td>Mid-Year Flex Days</td>
<td>8</td>
<td></td>
<td></td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Science Unit 1 Air and Weather: Part 1</td>
<td>14</td>
<td>Inv. 1: 7 Lessons</td>
<td>1.ESS1-1 &amp; 2</td>
<td>Students explore the phenomenon that air is matter and can push objects around. Students observe phenomena in the sky—weather and clouds, the Sun, and the Moon. They observe and record how the objects move, looking for patterns.</td>
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<td>Inv. 2: 7 Lessons</td>
<td>K.ESS2-1</td>
<td></td>
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<td></td>
<td>K-ESS3-3</td>
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<td></td>
<td>2.PS1-1</td>
<td></td>
</tr>
<tr>
<td>Math Unit 4 Length &amp; Data</td>
<td>18</td>
<td>14 Lessons</td>
<td>1.OA.4</td>
<td>Students practice length measurement and comparing lengths. They create bar graphs and ask/answer questions about data. The connection is built between more than/less than statements about length and data to addition and subtraction situations.</td>
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<td></td>
<td></td>
<td>3 Flex Days</td>
<td>1.NBT.3</td>
<td></td>
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<td></td>
<td></td>
<td>1 Assess</td>
<td>1.MD.1 - 2</td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td>1.MD.4</td>
<td></td>
</tr>
<tr>
<td>Math Unit 5 Addition &amp; Subtraction within 100</td>
<td>19</td>
<td>14 Lessons</td>
<td>1.OA.3</td>
<td>Students continue practice with addition and subtraction strategies with larger numbers. Strategies center around use of models and drawings, properties of operations, and the inverse relationship between addition and subtraction.</td>
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<tr>
<td></td>
<td></td>
<td>4 Flex Days</td>
<td>1.OA.8</td>
<td></td>
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<td></td>
<td></td>
<td>1 Assess</td>
<td>1.NBT.2</td>
<td></td>
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<td></td>
<td>1.NBT.4 - 6</td>
<td></td>
</tr>
<tr>
<td>Math Unit 6 Shapes &amp; Time</td>
<td>20</td>
<td>15 Lessons</td>
<td>1.MD.3</td>
<td>Students work with defining attributes and composite shapes. They also explore equal shares of shapes and build connections with telling time to the hour and half hour.</td>
</tr>
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<td></td>
<td></td>
<td>4 Flex Days</td>
<td>1.G.1 - 3</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>1 Assess</td>
<td></td>
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<tr>
<td>EOY Flex days &amp; Testing</td>
<td>10</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2 Air and Weather: Part 2</td>
<td>14</td>
<td>Inv. 3: 7 Lessons</td>
<td>1.ESS1-1</td>
<td>Students investigate the phenomenon of air in motion. Students look for patterns in phenomena they observe over time—Moon phase, amount of daylight, and weather conditions.</td>
</tr>
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<td>Inv.4: 7 Lessons</td>
<td>1.ESS1-2</td>
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<td>K.ESS2-1</td>
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<td>K-ESS3-3</td>
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<td>2.PS1-1</td>
<td></td>
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<tr>
<td>Close Days</td>
<td>3</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Day Breakdown</td>
<td>Standards</td>
<td>Summary</td>
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</tr>
<tr>
<td>Launch Days</td>
<td>8</td>
<td></td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1 Place Value</td>
<td>23</td>
<td>18 Lessons 4 Flex Days 1 Assess</td>
<td>2.NBT.1 - 4 2.NBT.8 2.MD.8</td>
<td>Students focus on place value and composing and decomposing numbers, building deep fluency with idea of a hundred unit. Students begin working with money, including pennies, dimes, and dollars, to complement place value and bundling. They also practice skip counting, mentally finding 10 or 100 more/less than a number based on place value strategies.</td>
</tr>
<tr>
<td>Math Unit 2 Addition &amp; Subtraction within 200</td>
<td>21</td>
<td>16 Lessons 4 Flex Days 1 Assess</td>
<td>2.NBT.5, 7, 9 2.MD.2 2.MD.6</td>
<td>Students work on building addition and subtraction strategies with 100s, 10s and 1s units, focusing on composing and decomposing a single hundred. They also use the number line to represent sums and differences.</td>
</tr>
<tr>
<td>Math Unit 3 Addition &amp; Subtraction within 1,000</td>
<td>22</td>
<td>16 Lessons 5 Flex Days 1 Assess</td>
<td>2.NBT.1 2.NBT.5 - 7 2.NBT.9 2.MD.8</td>
<td>Students extend addition &amp; subtraction strategies to larger numbers, applying computation strategies to work with money. Complexity of working with money increases as other coins are introduced.</td>
</tr>
<tr>
<td>Mid-Year Flex Days</td>
<td>8</td>
<td>Varies</td>
<td>n/a</td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Science Unit 1 Pebbles, Sand, and Silt: Part 1</td>
<td>14</td>
<td>Inv. 1: 7 Lessons Inv. 2: 7 Lessons</td>
<td>2ESS1-1 2.ESS2-1 - 2 2PS1-2</td>
<td>Students are introduced to the phenomenon that rocks are not all the same. Students investigate a mixture of different-sized river rocks as a phenomenon.</td>
</tr>
<tr>
<td>Math Unit 4 Measurement</td>
<td>20</td>
<td>16 Lessons 3 Flex Days 1 Assess</td>
<td>2.MD.1 - 4 2.MD.6 2.MD.9- 10</td>
<td>Students measure lengths of objects, compare lengths, and make a line plot representing measurements. Students continue work with bar graphs, connecting them to length measurement.</td>
</tr>
<tr>
<td>Math Unit 5 Time &amp; Equal Shares</td>
<td>19</td>
<td>14 Lessons 4 Flex Days 1 Assess</td>
<td>2.MD.7 2.G.1 &amp; 3</td>
<td>Students work to understand equal shares and partitioning shapes, extending this to telling time - recognizing an analog clock as a circle that has been partitioned into equal slices (halves for half hours, quarters for quarter hours, etc.).</td>
</tr>
<tr>
<td>Math Unit 6 Multiplication Foundations</td>
<td>18</td>
<td>13 Lessons 4 Flex Days 1 Assess</td>
<td>2.OA.3 - 4 2.G.2</td>
<td>Exploring repeated addition, even and odd numbers, and arrays in preparation for multiplication.</td>
</tr>
<tr>
<td>EOY Flex Days &amp; Testing</td>
<td>10</td>
<td></td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2 Pebbles, Sand, and Silt: Part 2</td>
<td>14</td>
<td>Inv. 3: 7 Lessons Inv. 4: 7 Lessons</td>
<td>2ESS1-1 2.ESS2-1 - 3 2PS1-2</td>
<td>Students learn how people use earth materials to construct objects. Students first investigate a common phenomenon on the surface of Earth—soil.</td>
</tr>
<tr>
<td>Close Days</td>
<td>3</td>
<td></td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Day Breakdown</td>
<td>Standard(s)</td>
<td>Summary</td>
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<tr>
<td>Launch Days</td>
<td>5</td>
<td></td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1: Place Value,</td>
<td>22</td>
<td>16 Lessons 5 Flex</td>
<td>3.NBT.1 - 2</td>
<td>Students use place value understandings from grade 2 to explore rounding, as well as to build additional fluency with addition &amp; subtraction. Elapsed time is covered as a natural extension of adding/subtracting on the number line. Addition and subtraction patterns are covered as well.</td>
</tr>
<tr>
<td>Addition &amp; Subtraction</td>
<td></td>
<td>Days 1 Assess</td>
<td>3.MD.1</td>
<td></td>
</tr>
<tr>
<td>Math Unit 2: Multiplication</td>
<td>19</td>
<td>14 Lessons 4 Flex</td>
<td>3.OA.1 - 2 3.OA.4</td>
<td>This unit focuses on foundational understandings of multiplication &amp; division and on the relationship between the two: recognizing situations, modeling with manipulatives, and fact families.</td>
</tr>
<tr>
<td>Division Part 1</td>
<td></td>
<td>Days 1 Assess</td>
<td>3.OA.6 - 7</td>
<td></td>
</tr>
<tr>
<td>Math Unit 3: Multiplication</td>
<td>18</td>
<td>13 Lessons 4 Flex</td>
<td>3.OA.4 - 6 3.OA.7 &amp; 9</td>
<td>Students focus on building multiplication &amp; division strategies and properties, along with exploring multiplication &amp; division patterns. Scaled pictographs and bar graphs are covered as well, as they rely on multiplication &amp; division to interpret.</td>
</tr>
<tr>
<td>Division Part 2</td>
<td></td>
<td>Days 1 Assess</td>
<td>3.MD.3 3.NBT.3</td>
<td></td>
</tr>
<tr>
<td>Mid-Year Flex Days</td>
<td>5</td>
<td>Varies</td>
<td></td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Science Unit 1: Motion</td>
<td>13</td>
<td>Inv. 1: 7 Lessons</td>
<td>3.PS2-1 - 4</td>
<td>Students explore phenomena that can affect the motion of masses—the forces of magnetism and gravity.</td>
</tr>
<tr>
<td>and Matter: Part 1</td>
<td></td>
<td>Inv. 2: 7 Lessons</td>
<td></td>
<td>Students use variety of systems as phenomena to explore patterns of motion.</td>
</tr>
<tr>
<td>Math Unit 4: Area &amp; Perimeter</td>
<td>19</td>
<td>14 Lessons 4 Flex</td>
<td>3.OA.4 - 5 3.MD.5 -</td>
<td>Students explore area and perimeter concepts, as well as attributes of shapes, which they use to solve area and perimeter problems.</td>
</tr>
<tr>
<td>Perimeter</td>
<td></td>
<td>Days 1 Assess</td>
<td>8 3.G.1</td>
<td></td>
</tr>
<tr>
<td>Math Unit 5: Fractions as</td>
<td>17</td>
<td>13 Lessons 3 Flex</td>
<td>3.NF.1 - 3 3.MD.5</td>
<td>Students work to understand fractions as part of or all of a whole, making use of both shape models and quantities on a number line. Students make use of benchmark fractions to estimate size as well as to assist in comparisons. All fractions used in this unit are less than or equal to one whole.</td>
</tr>
<tr>
<td>Numbers</td>
<td></td>
<td>Days 1 Assess</td>
<td>2 3.G.2</td>
<td></td>
</tr>
<tr>
<td>Math Unit 6: Fraction</td>
<td>20</td>
<td>15 Lessons 4 Flex</td>
<td>3.NF.1 - 3 3.MD.4</td>
<td>Students focus on the idea of equivalence and equivalent fractions, learn about fractions greater than one whole, and represent measurement data using line plots. Comparison work continues, including mixed numbers and improper fractions, both representing fractions greater than one.</td>
</tr>
<tr>
<td>Equivalency</td>
<td></td>
<td>Days 1 Assess</td>
<td>3.G.2</td>
<td></td>
</tr>
<tr>
<td>EOY Review</td>
<td>15</td>
<td>Varies</td>
<td></td>
<td>Opportunity to review and prepare for EOY state assessments.</td>
</tr>
<tr>
<td>EOY Flex days &amp; Testing</td>
<td>10</td>
<td>Varies</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2: Motion</td>
<td>14</td>
<td>Inv. 3: 7 Lessons</td>
<td>3.PS2-1 - 4</td>
<td>Students tackle an engineering design challenge in incremental steps. Students extend grade two experiences with matter by using tools to quantify data to develop evidence for the phenomenon of conservation of mass.</td>
</tr>
<tr>
<td>and Matter: Part 2</td>
<td></td>
<td>Inv. 4: 7 Lessons</td>
<td></td>
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</tr>
<tr>
<td>Close Days</td>
<td>3</td>
<td>Varies</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Breakdown</td>
<td>Standards</td>
<td>Summary</td>
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<tr>
<td>Launch Days</td>
<td>5</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1: Place Value, Addition &amp; Subtraction</td>
<td>17</td>
<td>12 Lessons 4 Flex Days 1 Assess</td>
<td>4.NBT.1 - 4 4.MD.1 4.MD.3</td>
<td>Students extend their understanding of place value to numbers within 1,000,000, as well as explore rounding to any place. They anchor their place value understandings around the relationship to the number 10, and master the addition &amp; subtraction algorithm based on the base-10 system. Students also work on converting units of metric measurement as an application of their base-10 understanding.</td>
</tr>
<tr>
<td>Math Unit 2: Multiplication &amp; Division</td>
<td>22</td>
<td>17 Lessons 4 Flex Days 1 Assess</td>
<td>4.OA.1 4.NBT.5-6 4.OA.4-5 4.MD.1 4.MD.3</td>
<td>Students explore multiplication as comparison, as well as continue building procedural fluency with multi-digit multiplication &amp; division. Students work with factors and multiples, as well as prime &amp; composite numbers. Students use multiplication &amp; division to solve a variety of measurement problems, including conversion of standard units of measurement, and area &amp; perimeter problems.</td>
</tr>
<tr>
<td>Math Unit 3: Fraction Equivalency</td>
<td>16</td>
<td>11 Lessons 4 Flex Days 1 Assess</td>
<td>4.NF.1 - 2 4.MD.2 - 4</td>
<td>Using their understanding of fraction equivalency, students begin to add fractions, as well as multiply fractions by whole numbers, which they understand as repeated addition of fractional parts. Students also make line plots with fractional scales.</td>
</tr>
<tr>
<td>Mid-Year Flex Days</td>
<td>5</td>
<td>Varies</td>
<td>n/a</td>
<td>Opportunity for re-teaching and/or extension.</td>
</tr>
<tr>
<td>Science Unit 1 Soils, Rocks, and Landforms: Part 1</td>
<td>13</td>
<td>Inv. 1: 7 Lessons Inv. 2: 7 Lessons</td>
<td>4.ESS1-1 4.ESS2-1-2 4.ESS3-1-2</td>
<td>Students engage firsthand with the phenomenon of soils. They investigate properties of soil by comparing four different soils. Students engage with the phenomena of erosion and deposition of weathered earth material by flowing water. They use stream-table models to observe that water moves earth materials from one location to another.</td>
</tr>
<tr>
<td>Math Unit 4: Fraction Operations</td>
<td>21</td>
<td>16 Lessons 4 Flex Days 1 Assess</td>
<td>4.NF.3-4 4.MD.2 - 4</td>
<td>Using their understanding of fraction equivalency, students begin to add fractions, as well as multiply fractions by whole numbers, which they understand as repeated addition of fractional parts. Students also make line plots with fractional scales</td>
</tr>
<tr>
<td>Math Unit 5: Decimal Fractions</td>
<td>16</td>
<td>12 Lessons 3 Flex Days 1 Assess</td>
<td>4.NF.5 - 7 4.MD.2-3</td>
<td>Students explore decimal fractions as a special type of fraction, learning about decimal notation, and performing operations and comparisons with decimal fractions.</td>
</tr>
<tr>
<td>Math Unit 6: Lines &amp; Angles</td>
<td>19</td>
<td>14 Lessons 4 Flex Days 1 Assess</td>
<td>4.MD.5 - 7 4.G.1 - 3</td>
<td>Students explore a variety of geometry and measurement concepts including, perpendicular and parallel lines, types of triangles, lines of symmetry and angle measurement.</td>
</tr>
<tr>
<td>EOY Review</td>
<td>15</td>
<td>Varies</td>
<td>n/a</td>
<td>Opportunity to review and prepare for EOY state assessments.</td>
</tr>
<tr>
<td>EOY Flex Days &amp; Testing</td>
<td>10</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Science Unit 2 Soils, Rocks, and Landforms: Part 2</td>
<td>14</td>
<td>Inv. 3: 7 Lessons Inv. 4: 7 Lessons</td>
<td>4.ESS1-1 4.ESS2-1-2 4.ESS3-1-2</td>
<td>Students engage with the phenomena of Earth’s mountains. They are introduced to the study of topography by building a model of the mountain landform. Students engage with the phenomena of natural resources and how they are used.</td>
</tr>
<tr>
<td>Close Days</td>
<td>3</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Unit # &amp; Title</td>
<td>Days</td>
<td>Breakdown</td>
<td>Standards</td>
<td>Summary</td>
</tr>
<tr>
<td>---------------</td>
<td>------</td>
<td>-----------</td>
<td>-----------</td>
<td>---------</td>
</tr>
<tr>
<td>Launch Days</td>
<td>5</td>
<td>n/a</td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>Math Unit 1:</td>
<td>19</td>
<td>14 Lessons</td>
<td>5.NBT.1 - 4</td>
<td>Students deepen their grasp of our number system by increasing their knowledge of place value relationships. Students use exponents to represent powers of 10 and learn metric conversions in connection with place value relationships. Students also read, write, compare, round, add and subtract decimals to the thousandths place.</td>
</tr>
<tr>
<td>Decimal Place</td>
<td>4 Flex Days</td>
<td></td>
<td>5.NBT.7</td>
<td></td>
</tr>
<tr>
<td>Math Unit 2:</td>
<td>22</td>
<td>16 Lessons</td>
<td>5.OA.1 - 2</td>
<td>Students will master the formal algorithm for multiplication and work with larger numbers in division. Multiplication and division of decimals is also practiced. Students will write and interpret numerical expressions, including grouping symbols, and work with word problems that focus on US customary/standard units, recognizing and emphasizing conversion factors other than 10.</td>
</tr>
<tr>
<td>Multiplication &amp; Division</td>
<td>5 Flex Days</td>
<td></td>
<td>5.NBT.5 - 7</td>
<td></td>
</tr>
<tr>
<td>Math Unit 4:</td>
<td>18</td>
<td>13 Lessons</td>
<td>5.NF.1 - 2</td>
<td>Students formalize strategies for adding and subtracting fractions with unlike denominators. Students build and interpret line plots using fractional scales.</td>
</tr>
<tr>
<td>Addition &amp; Subtraction of Fractions</td>
<td>4 Flex Days</td>
<td></td>
<td>5.MD.2</td>
<td></td>
</tr>
<tr>
<td>Math Unit 3:</td>
<td>21</td>
<td>16 Lessons</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Multiplication &amp; Division</td>
<td>4 Flex Days</td>
<td></td>
<td>5.MD.3 - 5</td>
<td></td>
</tr>
<tr>
<td>Math Unit 5:</td>
<td>16</td>
<td>12 Lessons</td>
<td></td>
<td>Volume is the focus of this unit, building on students’ understanding of multiplication, division and area. Students build proficiency with name properties of shapes, allowing them to accurately place quadrilaterals in a hierarchy.</td>
</tr>
<tr>
<td>Volume &amp; Shapes</td>
<td>3 Flex Days</td>
<td></td>
<td>5.G.3 - 4</td>
<td></td>
</tr>
<tr>
<td>Math Unit 6:</td>
<td>15</td>
<td>11 Lessons</td>
<td></td>
<td>Students prepare for algebraic work by exploring patterns and relationships between numeric terms. Students also learn how to graphically represent relationships between numbers on the coordinate plane.</td>
</tr>
<tr>
<td>The Coordinate Plane</td>
<td>3 Flex Days</td>
<td></td>
<td>5.NF.5 - 6</td>
<td></td>
</tr>
<tr>
<td>EOY Review</td>
<td>15</td>
<td>Varies</td>
<td></td>
<td>Opportunity to review and prepare for EOY state assessments.</td>
</tr>
<tr>
<td>EOY Flex Days</td>
<td>10</td>
<td>n/a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&amp; Testing</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Science Unit 2</td>
<td>14</td>
<td>7 Lessons</td>
<td>5.ESS1 - 1</td>
<td>Students investigate the phenomenon that air surrounds us—Earth’s atmosphere.</td>
</tr>
<tr>
<td>Earth and Sun:</td>
<td>5 Lessons</td>
<td></td>
<td>5.ESS2 - 1</td>
<td>Students investigate the phenomenon of energy transfer on Earth.</td>
</tr>
<tr>
<td>Part 2</td>
<td></td>
<td></td>
<td>5.ESS3 - 1</td>
<td>Students turn to the phenomenon of water on Earth.</td>
</tr>
<tr>
<td>Close Days</td>
<td>3</td>
<td>n/a</td>
<td></td>
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</tr>
</tbody>
</table>
Meta-Moment Guided Notes

Day 1

What is a meta-moment?

________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________

Step 1: Something Happens

I feel **upset** when...

________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________

Picture

I feel **upset** when...
**Step 2: Sense**

Imagine you are in that situation right now.

<table>
<thead>
<tr>
<th>What are you thinking?</th>
<th>What is your body doing?</th>
<th>How are you showing your feelings (words, volume, face, etc.)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>______________________</td>
<td>______________________</td>
<td>_________________________________________________________</td>
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<td>_________________________________________________________</td>
</tr>
</tbody>
</table>

**Picture:**

| Picture: | Picture: | Picture: |
Day 2

Step 3: Stop

Why does breathing help us stop?

What I look like after I stop & breathe:

Days 3-4

Step 4: See Your Best Self

“Best self” means ____________________________

Class Brainstorm: What words describe someone who is being their best self in our class? What actions does that person take?
<table>
<thead>
<tr>
<th>Character traits that define our class best self</th>
<th>Actions that define our class best self</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Character traits that define my best self:</th>
<th>Actions that define my best self:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Step 5: Strategize

<table>
<thead>
<tr>
<th>Strategy</th>
<th>How it Works</th>
<th>Picture</th>
</tr>
</thead>
<tbody>
<tr>
<td>Add a comment box and plan your exemplars.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The strategy that will work best for my meta-moment is:
Step 5: Succeed

What will the outcome be if I use the strategy I chose?

________________________________________________________________________________________________
________________________________________________________________________________________________
________________________________________________________________________________________________

Picture:
<table>
<thead>
<tr>
<th>1/12</th>
<th>1/14</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RWBAT:</strong> recognize mad feelings and practice cooling down strategies</td>
<td><strong>RWBAT:</strong> review talking vs. fighting voice/face and practice being assertive to show that they mean it</td>
</tr>
<tr>
<td>Kotowaza: It's okay to be mad, but it's not okay to be mean</td>
<td>Kotowaza: It's okay to be mad, but it's not okay to be mean</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1/19</th>
<th>1/21</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RWBAT:</strong> choose helping words instead of fighting words</td>
<td><strong>RWBAT:</strong> apologize for and redo communication mistakes</td>
</tr>
<tr>
<td>Kotowaza: It's okay to be mad, but it's not okay to be mean</td>
<td>Kotowaza: It's okay to be mad, but it's not okay to be mean</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1/26</th>
<th>1/28</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RWBAT:</strong> Demonstrate how to use positive self-talk to calm mad feelings</td>
<td><strong>RWBAT:</strong> say or do something kind when someone else is mad</td>
</tr>
<tr>
<td>Kotowaza: It’s okay to be mad, but it’s not okay to be mean</td>
<td>Kotowaza: It's okay to be mad, but it's not okay to be mean</td>
</tr>
</tbody>
</table>
Tuesday - 1/12
**RWBAT:** recognize mad feelings and practice cooling down strategies

Kotowaza: It’s okay to be mad, but it’s not okay to be mean

1. Sitting in a circle, place Cloud in the center with Mad tucked inside. Invite a student to reveal the feeling tucked inside. Raise your hand if you ever feel mad. Everyone has mad feelings now and then, and it’s okay to be mad - even really really mad. But it is never okay to be mean with your face, voice, words or actions.
2. Show me what you look like when you’re mad. What makes you feel mad?
3. What happens inside your body when you feel mad? When I feel mad……
4. What are think you say or do when you’re mad?
5. What happens when you let your body to the wrong things like yell, grab, or say hurtful words?
6. Pass around the mad feeling. When students get the feeling they say - “It helps me when I am mad if I…… (take a breath, think before I speak, say I feel mad, walk away)

Other calm down strategies:
Tell students of some other calming strategies:
- count to 10
- take 3 deep breaths, close my eyes
- relax the body
- hug a kimochi
- visualize a peaceful place
- go to a calm place
- go to kimochi corner
- squeeze something
- mountain breathe
- squeeze lemon

Thursday - 1/14
**RWBAT:** review talking vs. fighting voice/ face and practice being assertive to show that they mean it

Kotowaza: It’s okay to be mad, but it’s not okay to be mean

1. Can you remind me the difference between a talking / fighting voice and face
2. Have you ever used a calm but strong talking face and voice and found that friends did not respect your words or listen to you. For example you ask a classmate nicely to stop tapping their pencil and they don’t stop? This is when it’s time to turn up the seriousness, not the meanness in order to be heard. The best way to do this is with our face and our voice.
3. Demonstrate how to widen eye to look serious and like you mean it - have students imitate
4. Demonstrate on Could how to tap shoulder, call name, and use a slow rate of speech, volume, and serious voice. - “Cloud (pause) please stop tapping your pencil
5. Using Cloud demonstrate and then take turns turning up the seriousness when someone doesn’t listen
First Attempt: Gentle shoulder tap, call person's name, pause and say when you need
Second Attempt: I asked you to stop nicely
Third Attempt: I asked you twice nicely to stop. Am i going to have to get the teacher?

**Tuesday 1/19**

*RWBAT: choose helping words instead of fighting words*

1. When you’re mad, it’s important to be careful about the words you choose to use. There’s a big difference between helping words and fighting words.
2. On the board, create a T chart with kid’s ideas

<table>
<thead>
<tr>
<th>Fighting Words</th>
<th>Helping Words</th>
</tr>
</thead>
<tbody>
<tr>
<td>You cheated!</td>
<td>The rule is…</td>
</tr>
<tr>
<td>Move!</td>
<td>Can you please give me more space? Thanks!</td>
</tr>
<tr>
<td>Liar!</td>
<td>That’s not how I heard it</td>
</tr>
<tr>
<td>That’s not fair!</td>
<td>It’s more fun when everyone plays fair</td>
</tr>
<tr>
<td>Tattletale!</td>
<td>I wish you would come to me before you go to the teacher</td>
</tr>
<tr>
<td>You’re not my friend anymore</td>
<td>I am really mad at you.</td>
</tr>
</tbody>
</table>

3. Then pose a few scenarios to the class. Have students first act out what NOT to do and then what to do
   Ex scenarios: your friend keeps tapping their pencil, your friend is on the wrong computer program, your friend says I’m gonna tell the teacher, your friend stand right in front of you when you wanted to be the line leader your partner tells you the wrong answer

**Thursday - 1/21**

*RWBAT: apologize for and redo communication mistakes*

1. Even though we have already learned so many skills for handling mad feelings, there might be times when we slip up or make a mistake in a mad moment. Everyone makes mistakes, and mistakes can be fixed! So now we are going to practice how to catch ourselves, quickly take responsibility for or own our mistake, and redo the moment!
2. Pretend Cloud took your pencil without asking. Yell at him in a mean way. “Hey! Why did you steal my pencil? You thief! Then start over by quickly owning the moment and redoing it. Oops I am sorry I yelled. That’s my pencil. May I please have it back?
3. When you redo a moment, you may still be mad, but instead of snapping, you’ll choose a more positive way to use your face, voice, or words to express your mad feelings
4. Put students in pairs to practice redoing a hurtful moment.
5. For example, if you pushed you would quickly say “I’m sorry I pushed you. I hope you can forgive me. I’m just so mad because…..”
6. Together as a class decide on what to say when you see a students who needs to redo
   Ex: You can be mad but……., can you try that again?
Tuesday - 1/26
RWBAT: Demonstrate how to use positive self-talk to calm mad feelings

1. Raise your hand if you can remember a time when you were really mad. Keep your hand up if you still feel mad about it. Ask students who put their hands down, why they don’t feel mad anymore
2. You’re not mad anymore because you’ve bounced back instead of getting stuck in your mad feelings!
3. One way we can help ourselves bounce back is with SELF - TALK
4. SELF - TALK: is what we say to ourselves in our heads
5. negative self talk - sounds like “I can’t do it, or no one likes me”
6. positive self talk - is a very important skill

Write on board: What are some positive things we can say to yourself when you feel mad?

- I have been made before and I got through it
- It’s okay, everyone makes mistakes
- I can work this out
- Feelings come and go

Have students practice getting mad face/ body → followed by positive self talk examples!!

Thursday 1/28
RWBAT: say or do something kind when someone else is mad

1. What can you do if you see someone is upset? Write responses on board
2. Let’s practice kindness when Cloud is mad. Demonstrate how to move toward Cloud in a kind, caring way and ask “What is wrong?”
3. Have students practice with their partner
4. Sometimes people don’t want help when they are mad. Sometimes they just want to be alone. Raise your hand if you like to be alone when you are mad. It’s okay to feel this way. What do we need to remember when we ask our friends for alone time? ( use talking voice or face)
5. Demonstrate what it looks and sounds liek to respond unkindly when Cloud offers comfort and support ( “Leave me alone!”)
Kimochis

Theoretical Background and Conceptual Framework:
Summary of Research Supporting The Kimochis Educator’s Tool Kit

The Kimochis Educator’s Tool Kit is a universal, school-based, social and emotional learning program designed to give children the knowledge, skills and attitudes they need to recognize and manage their emotions, demonstrate caring and concern for others, establish positive relationships, make responsible decisions, and handle challenging situations constructively. These skills have been identified by leading researchers in the field of social and emotional learning as necessary for school success, academic achievement, positive social relationships and the development of emotional competence. The Kimochis curriculum incorporates innovative, fun and exciting lessons and activities that were developed to teach children how to manage challenging social situations with skill, character and confidence. This overview summarizes the research that supports the design and lesson components of the Kimochis program.

Research Findings Related to the Overall Benefits of Social and Emotional Learning

Early Childhood Years:
• Effective interventions that build social, emotional and behavioral skills at a young age can have a positive effect on how children are able to problem-solve and interact with their peers later in life (National Institute for Early Education Research, 2007).
• A convincing body of evidence has been accumulated to indicate that unless children achieve minimal social competence by about the age of 6 years, they have a high probability of being at risk for social-emotional difficulties as adults (Ladd, 2000; Parker & Asher, 1987).
• Strong evidence links social-emotional health in the early childhood years (birth to 6) to:
  o Subsequent school success and health in preteen/teen years
  o Long term health and well being in adulthood
  o Promotion of resilience
  o Prevention of later mental health problems (National Center for Children in Poverty, 2009)
• Research suggests that a child’s long-term social and emotional adaptation, academic and cognitive development, and citizenship are enhanced by frequent opportunities to strengthen social competence during early childhood (Hartup & Moore, 1990; Ladd & Profilet, 1996; McClellan & Kinsey, 1999).
• Research underscores the fact that promoting young children’s social-emotional competencies significantly enhances school readiness and success (Denham & Weissberg, 2004; Freedman, 2003).

Elementary School:
• Results from three large-scale reviews of research on the impact of social and emotional learning by the Collaborative for Academic, Social and Emotional Learning (CASEL) in 2008 found that SEL programs yielded positive benefits including:

Page 1
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- 23% improvement in social and emotional skills
- 9% improvement in attitudes about self, others and school
- 9% improvement in school and classroom behavior
- 10% decrease in emotional distress, such as anxiety and depression
- 11% increase in achievement test scores (Payton, et al. 2008)

• Extensive developmental research indicates that effective mastery of social-emotional competence is associated with greater well-being and better school performance, whereas the failure to achieve competence in these areas can lead to a variety of personal, social, and academic difficulties (Eisenberg, 2006; Guerra & Bradshaw, 2008).

• Social and emotional learning has a positive effect on academic performance, including improved skills and grades in math, language arts, and social studies, and better problem-solving and planning skills, and subject mastery (Durlak & Weissberg, 2005; Elias et al., 1997; Greenberg et al., 2003; Hawkins, 1999; Wilson et al., 2001; Zins & Elias, 2006; Zins et al., 2004).

• “Mental health is a critical component of children’s learning and general health. Fostering social and emotional health in children as a part of healthy child development must therefore be a national priority.” (U.S. Public Health Service, 2000, p. 3).

Research Findings Related to the Development of the Kimochis® Curriculum

The Kimochis® curriculum is based on sound theories of child development and social-emotional learning. Scientific, empirically-based research studies were referred to while developing the Kimochis® lessons to ensure that concepts and approaches that have proven to have beneficial effects on the development of social-emotional skills in children were included. A number of theoretical models and conceptual paradigms were studied, including, theories of Emotional Intelligence (Goleman, 1995; Bar-On, 2000), Social-Information Processing Model (Crick & Dodge, 1994), Social Cognitive Theory (Bandura, 1989) and Cognitive Behavioral Therapy (Kendall, 2005).

In addition, research completed by leading experts in the field of Social and Emotional Learning (SEL) was reviewed. Maurice Elias, a renowned SEL researcher, and his colleagues define SEL as “the process of acquiring core competencies to recognize and manage emotions, set and achieve positive goals, appreciate the perspectives of others, establish and maintain positive relationships, make responsible decisions, and handle interpersonal situations constructively” (1997). The goals of an SEL program are to foster the development of five interrelated sets of cognitive, affective, and behavioral competencies: self-awareness, self-management, social awareness, relationship skills, and responsible decision-making (Collaborative for Academic, Social and Emotional Learning, CASEL, 2003). These five core competencies provide children a foundation for better adjustment and academic achievement as shown by more positive social behaviors, fewer conduct problems, less emotional distress, and improved test scores and grades (Greenberg et al., 2003). As children master these competencies, they can connect with their own beliefs and values, develop concern for others, make good decisions, and take responsibility for their choices and behaviors. Accordingly, Kimochis® lessons were developed around these five core competencies. The Kimochis® lessons and objectives for Early Childhood and Elementary Age students are outlined on pages 7 and 8.
Research Findings Related to the 
Five Core Competencies and the Kimochis® Lessons

Self-Awareness

Self-awareness is the ability to recognize and name your own emotions. Self-awareness also involves the ability to understand your values and needs, as well as your strengths and limitations. This awareness of self is crucial to early school success. When a child has an awareness of his/her own emotions, s/he can learn to regulate or modulate them, an essential factor that influences getting along with peers and coping in a school environment. Research by Marsh and colleagues (Marsh, Craven and Debus, 1998; Marsh, Ellis and Craven, 2002) has shown that four-year-olds have an understanding of their psychological selves and of their feelings and intentions. As self understanding develops, it guides moral development and also sets the stage for self control and self regulation. Young children who can identify emotions in themselves are more likely to have success when they transition into kindergarten (Eisenberg and Fabes, 1992). As a child’s self-awareness develops, they can label their own emotions and identify the emotions of others. As Daniel Goleman states in his influential book, Emotional Intelligence, “Self-awareness, recognizing a feeling as it happens, is the keystone of emotional intelligence. The ability to monitor feelings from moment to moment is also crucial to psychological insight and self-understanding. People with greater certainty about their feelings are better pilots of their lives (Goleman, 1995, p. 43).”

The Kimochis® lessons teach children to identify the nonverbal components (tone of voice, facial expressions, body language) of feelings. Children practice naming situations or experiences that often cause a specific feeling or feelings. Children learn to understand that feelings are messy and that we might have several feelings that occur at the same time! Lessons focus on building emotional literacy, the ability to identify, understand, and respond to emotions in oneself and others in a healthy manner (Joseph, 2003). When children know a wide range of emotion words (beyond happy, mad, sad), it is easier for them to understand their emotional experiences and to communicate with others about their feelings. Children are introduced to the concept of how to redo a social mistake, the first step of which requires an awareness of actually making a mistake. They practice how to own up and come clean as ways to make amends for mistakes. Children also learn that they need to be aware of how they are coming across to others in their nonverbal and verbal communication. Activities focus on heightening awareness of these concepts. Educators are encouraged to guide and prompt children to pay attention to their communication and emotions in social interactions throughout the school day.

Self-Management

Self-management is the ability to regulate emotions and behaviors so that goals are achieved. It also involves persevering with difficult tasks and in complex social interactions. Self-management is a complicated, developmental process for young children (Kopp and Wyer, 1994). It requires children to remember and generalize what they have been taught by caregivers, to initiate changes in their behavior, and to constantly monitor their behavior in varying situations. These foundational self-management skills are emerging during the preschool years as the brain develops (Shonkoff &Phillips, 2000). Development in self-management can be seen in the difference between the impulsivity of a toddler and the deliberate
behavior of a four year-old entering kindergarten in the fall. The relevancy of self-management skills to school success is obvious. When children can control impulses and cope with strong feelings in emotionally charged situations, they will be more successful in school (Raver & Knitzer, 2002). In fact, some studies that have shown that certain aspects of self-regulation predict children’s reading and math achievement in the early primary grades (Alexander, Entwisle & Dauber, 1993; Howse, 2003). Additionally, the ability to effectively manage emotions contributes to less aggression and fewer problems with substance abuse (Brady et al., 1998; Vitaro, 1998). Children with poor regulation skills are likely to have conflict-based relationships with their teachers and peers, which can lead to school problems and possible school dropout (Bandera, 2003). When educators are asked to identify areas of critical importance with regard to school success, they often name competence in cooperation and self-control as highly significant (Lane, Pierson, & Givner, 2003). There is some evidence that emotion regulation is a better predictor of school readiness than IQ (Blair & Razza, 2007). Children can learn strategies to manage their emotions and cope with stressful situations. Research suggests that teaching children strategies such as thinking calming thoughts, deep breathing, doing a calming activity and reframing stressful situations by focusing on positive promotes effective management of feelings such as anger (Nelson and Finch, 2000) and impatience (Metcalf and Mischel, 1999; Eisenberg, Cumberland, and Spinrad, 1998).

The Kimochis® curriculum emphasizes the importance of teaching children to handle positive (happiness, pride) and negative (mad, frustrated, disappointed) emotions in ways that are productive and socially appropriate. The focus is on helping both educators and children understand that feelings fuel behavior (Feeling-Behavior Link). Lessons teach strategies such as taking Cool Down breaths, repeating positive self-talk strategies, and reframing upsetting situations in a more positive light. Children learn to regulate their tone of voice, facial expressions, body language, actions and word choice. Lessons help children to recognize how difficult it is to use emotion-management strategies when feelings are high. So, children are given opportunities to practice these strategies “out of the moment” when they can rely on logical reasoning and adult prompting to manage emotions (Metcalf and Mischel, 1999). Role-plays, puppet enactments and games give children practice in predictable social situations. Educators are provided ideas on how to prompt children to use their emotional regulation strategies when needed in social settings.

Social Awareness

Social awareness is the ability to understand what others are feeling and to be able to take their perspective. This is often described as “theory of mind.” Researchers also talk about social awareness as the development of empathy, which is the response we have when we are able to recognize and understand another’s emotions. Preschoolers who are more socially and emotionally perceptive have greater success in their relationships with peers and adults (Denham, 2003). Young children who are adept at understanding other’s feelings tend to have more academic success at the primary level (Izard, 2002; Dowsett & Huston, 2005). Preschoolers progress through a period of development that helps them to understand that people’s intentions, desires, feelings, thoughts and beliefs are motivators of behavior. As their ability to identify emotions in others increases, they are able to explain the causes of emotions and their consequences in developmentally more complex ways (Denham, 2006; Lagattuta & Thompson, 2006). Empathy plays an important role in relationship to academic and emotional success. Kaukiainen (1999) found that children who had good perspective-taking skills were less likely to be physically, verbally and
emotionally aggressive toward their peers. Other researchers have found that empathic children support their peers more frequently, are better liked and have higher academic achievement (Litvack-Miller, McDougall, & Romney, 1997; Izard, Fine, Schultz, Mostow, & Ackerman, 2001).

The Kimochis® program helps children to be aware of others' emotions and intentions by teaching them simple observation and communication strategies. Young children learn the importance of getting the attention of a peer or an adult in a way that feels good to all. Children learn to use people's names, gain eye contact before speaking and to use a gentle tap (communication tap) on the shoulder. These communication tools send the message that the communication intent is positive and that everyone is prepared for an interaction. Social awareness is learning how to pay attention to what others are doing and feeling. Most children have a desire to be kind and compassionate when they notice others are feeling left out or sad, but they may not know what words to say or actions to take. Kimochis® lesson teach children strategies on how to actively include others and be kind to partners even if that partner may not be their first choice. Through repeated practice in role plays outside of emotional moments, children can learn how to coordinate their own desires, needs, and interests with those of others.

**Relationship Skills**

To be successful in school, children need to be able to form positive social relationships, work cooperatively in teams and deal effectively with conflict. Research suggests that children can develop positive peer relationships, acceptance and friendships when taught social skills through intentional instruction, practice opportunities, and guidance in teachable moments (Dunn & McGuire, 1992). Children who learn social-emotional skills early in life are more self-confident, trusting, empathic, intellectually inquisitive, competent in using language to communicate, and capable of relating well to others (Cohen, Onunaku, Clothier, & Poppe, 2005). When young children are provided practical social-emotional strategies and modeling by adults, they can develop the ability to initiate and join groups of peers, to cooperatively and spontaneously share with others, to communicate in ways that others understand, and to use strategies (i.e., turn-taking) to avoid conflict (Howes, 1987, 1988; Vandell, Nenide & Van Winkle, 2006). Children who enjoy positive relationships with peers experience higher levels of emotional well-being; and have self-beliefs that are stronger and more adaptive than children without positive peer relationships. They also tend to be engaged in and even excel at academic tasks more than those who have peer relationship problems (Rubin, Bukowski, & Parker, 2006; Wentzel, 2005). Students who have established friendships with classmates are more likely to enjoy a relatively safe school environment and are less likely to be the targets of peer-directed violence and harassment than their counterparts without friends (Schwartz et al., 2000). When children can use effective social problem solving skills, they develop an ability to cope with stress (Dubow & Tisak, 1989; Elias & Clabby, 1988), handle interpersonal situations (Elias & Clabby, 1988), experience more positive social adjustment, improve academically, and show improvements in behavior (Dubow & Tisak, 1989; Gootman, 2001; Nelson et al, 1996).

The development of relationship skills is at the heart of the Kimochis® Way! When children have positive relationships they are happier, healthier and more productive. The combination of modeling (teacher, puppet, and peer), practice, coaching, and positive reinforcement is an established best practice to teach
social behaviors to children (Elliot and Gresham, 1993). The Kimochis® curriculum provides educators a number of activities and lessons that focus on building the interpersonal skills of children of all ages. Younger children will need intentional instruction and guidance in sharing and taking turns. By using the Kimochis® characters as puppets, young children can learn the communication scripts needed to solve commonly-occurring social problems in preschool (i.e., hitting, grabbing, yelling). Lessons for older children focus on implementing role plays that give children practice in using important skills such as joining groups, apologizing sincerely, forgiving in compassionate and caring ways and standing up for yourself and others. Ideas are provided for additional activities such as reading related children’s books, engaging in art activities, asking older children to journal as ways to extend the learning beyond the Kimochis® lessons. Letters and activity pages are available to send home to parents so they can understand the skills and common language practiced in the Kimochis® lessons and the social-emotional learning can be extended into the home setting.

**Responsible Decision Making**

All educators and parents strive to teach children how to make responsible decisions. Children can learn to make ethical and constructive choices about their personal and social behavior. Focus in the classroom and school community needs to be placed on problem solving, reflection, perceptive thinking, self-direction, and motivation-skills that will contribute to life-long success (Adams and Hamm 1994). Research shows that students need effective problem-solving skills when making decisions about social situations (Denham & Almeida, 1987). Children also need to know how to make good choices about their own behavior in the classroom and at school. A number of research teams have found that individual differences in children's cooperation capacities are directly associated with children's academic achievement in the early primary grades (Alexander, Entwisle, Dauber 1993; McClelland, Morrison, Holmes 2000). Children can practice making responsible social and behavioral decisions appropriate to their age level and can learn how to make choices that are respectful, realistic and responsible. They also need to think about how their actions will affect themselves and others, what their options actually are and what the outcome of their chosen path is likely to be.

The Kimochis® lessons provide structured opportunities for skill instruction and practice in the areas of self-awareness, self-management, social awareness and relationship skills. Intentional teaching combined with adult prompting, positive reinforcement, peer-to-peer monitoring and student monitoring promotes the use of the learned skills throughout the school day and in settings outside of the school community. This instruction, practice and generalization build the foundation for children to become skilled at social problem-solving and responsible decision making. As children master the skills in the Kimochis® lessons, they are on their way to knowing how to conduct themselves with personal, moral and emotional responsibility.
## Kimochis® Educator's Tool Kit: Curriculum Lessons

<table>
<thead>
<tr>
<th>CASEL Core Competencies*</th>
<th>Early Childhood Lesson objectives</th>
<th>Elementary Age Lesson objectives</th>
</tr>
</thead>
<tbody>
<tr>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
</tr>
<tr>
<td><strong>Self-Awareness</strong></td>
<td><strong>Identify &amp; name feelings</strong></td>
<td><strong>Identify &amp; name feelings</strong></td>
</tr>
<tr>
<td>Recognize &amp; name emotions</td>
<td><strong>Show an understanding of different facial expressions</strong></td>
<td><strong>Show an understanding of different facial expressions</strong></td>
</tr>
<tr>
<td>Understand reasons &amp; circumstances for feelings</td>
<td><strong>Describe social situations that can create a feeling</strong></td>
<td><strong>Relate to Kimochis® characters’ personalities</strong></td>
</tr>
<tr>
<td>Know needs &amp; values</td>
<td><strong>Relate to Kimochis® characters’ personalities</strong></td>
<td><strong>Describe social situations that can create certain feelings</strong></td>
</tr>
<tr>
<td>Describe interests &amp; values</td>
<td><strong>Identify the difference between a taking voice/face/body &amp; a fighting voice/face/body</strong></td>
<td><strong>Identify the difference between a taking voice/face/body &amp; a fighting voice/face/body</strong></td>
</tr>
<tr>
<td>Accurately assess strengths &amp; challenges</td>
<td><strong>Identify the difference between helping and hurtful words</strong></td>
<td><strong>Identify the difference between helping &amp; hurtful words</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Show an understanding of how to act at silly and serious times</strong></td>
<td><strong>Identify when a redo is needed</strong></td>
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<tr>
<td></td>
<td><strong>Identify how to make a safe choices when curious</strong></td>
<td><strong>Recognize social cues &amp; be sure that silliness is fun for everyone</strong></td>
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</table>

### Self-Management

<table>
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<tr>
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<tbody>
<tr>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
</tr>
<tr>
<td><strong>Manage stress &amp; control impulses</strong></td>
<td><strong>Use a taking voice/face/body</strong></td>
<td><strong>Demonstrate talking tone of voice, face &amp; body (positive nonverbal communication)</strong></td>
</tr>
<tr>
<td>Verbalize &amp; cope appropriately with challenging emotions</td>
<td><strong>Demonstrate how to use Cool Down strategies to express upset feelings in a positive way</strong></td>
<td><strong>Demonstrate Cool Down strategies to cope with upset feelings</strong></td>
</tr>
<tr>
<td>Persevere in overcoming obstacles</td>
<td><strong>Use self-soothing strategies to comfort self when sad</strong></td>
<td><strong>Show how to use a talking hand &amp; Stop hands to resolve conflicts</strong></td>
</tr>
<tr>
<td>Set &amp; monitor progress toward the achievement of personal &amp; academic goals</td>
<td><strong>Use self-regulation tools to manage scared feelings</strong></td>
<td><strong>Use positive self-talk scripts to move through upset feelings</strong></td>
</tr>
<tr>
<td>Modify performance based on feedback</td>
<td><strong>Demonstrate words &amp; actions to use when others hit, push, yell</strong></td>
<td><strong>Cope with statements that are Big mean things that aren’t true</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate how to use Stop hands to resolve conflicts</strong></td>
<td><strong>Show how to stay focused &amp; not get distracted by others</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate how to label hurtful words with “Ouch”</strong></td>
<td><strong>Use positive self-talk to try new things</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate how to manage silliness in a safe &amp; friendly way</strong></td>
<td><strong>Redo a hurtful social moment</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Redo cranky moments</strong></td>
<td><strong>Show how to express happy, excited, silly, &amp; curious feelings with safe &amp; wise choices</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Take back bossy talk</strong></td>
<td><strong>Show how to give/receive a knowing look to help peers redo hurtful moments</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate how to accept a compliment in a positive way</strong></td>
<td><strong>Identify ways to warn others when upset</strong></td>
</tr>
</tbody>
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<tr>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
<td>Children will be able to:</td>
</tr>
<tr>
<td><strong>Social Awareness</strong></td>
<td>• Demonstrate how to get a person's attention in an appropriate way</td>
<td>• Demonstrate how to get a person's attention in an appropriate way</td>
</tr>
<tr>
<td>• Understand others' perspectives, feelings &amp; points of view</td>
<td>• Demonstrate how to use a talking hand to resolve conflicts</td>
<td>• Include others who are feeling left out</td>
</tr>
<tr>
<td>• Show empathy &amp; sensitivity to others' feelings</td>
<td>• Use words &amp; actions when peers cut in line, get in your way or invade your space</td>
<td>• Demonstrate how to accept &amp; work with a partner kindly &amp; respectfully</td>
</tr>
<tr>
<td>• Recognize &amp; appreciate individual &amp; group similarities &amp; differences</td>
<td>• Respect others' personal &amp; space boundaries</td>
<td>• Show an understanding about the concept of first impressions</td>
</tr>
<tr>
<td>• Show respect to others</td>
<td>• Demonstrate caring actions toward peers who are feeling sad</td>
<td>• Show a positive response when peers brag</td>
</tr>
<tr>
<td></td>
<td>• Offer encouragement to peers who are discouraged</td>
<td>• Demonstrate how to avoid taking peers' negative words personally</td>
</tr>
<tr>
<td></td>
<td>• Demonstrate how to give compliments to peers</td>
<td>• Respect others' personal &amp; space boundaries</td>
</tr>
<tr>
<td></td>
<td><strong>Relationship Skills</strong></td>
<td><strong>Demonstrate how to use greetings &amp; Friendly Signals to connect with others</strong></td>
</tr>
<tr>
<td>• Establish &amp; maintain healthy, rewarding relationships based on cooperation</td>
<td>• Demonstrate saying hello &amp; giving Friendly Signals to connect with others</td>
<td>• Demonstrate positive ways to get included in play &amp; conversation</td>
</tr>
<tr>
<td>• Show sensitivity to social-emotional cues</td>
<td>• Demonstrate sharing &amp; turn-taking</td>
<td>• Set boundaries when peers are too silly</td>
</tr>
<tr>
<td>• Prevent, manage, &amp; resolve interpersonal conflicts</td>
<td>• Demonstrate how to get included when left out</td>
<td>• Apologize with sincerity &amp; truthfulness</td>
</tr>
<tr>
<td>• Communicate clearly</td>
<td>• Include peers who are left out</td>
<td>• Forgive others who make hurtful mistakes</td>
</tr>
<tr>
<td>• Engage others in social situations</td>
<td>• Offer comfort to peers who are sad</td>
<td>• Let others try again</td>
</tr>
<tr>
<td>• Seek &amp; provide help when needed</td>
<td>• Use a communication tool to set limits when others are cranky or bossy</td>
<td>• Listen to why your words &amp;/or actions can create upset feelings</td>
</tr>
<tr>
<td><strong>Responsible Decision-Making</strong></td>
<td><strong>Identify strategies to stay safe when trying new things</strong></td>
<td><strong>Use communication tools to set limits when others are upset</strong></td>
</tr>
<tr>
<td>• Analyze &amp; identify problems</td>
<td>• Demonstrate how to tell the truth</td>
<td>• Demonstrate how to act in kind &amp; caring ways when others are upset</td>
</tr>
<tr>
<td>• Use social decision-making skills</td>
<td>• Name how to solve problems through curiosity</td>
<td>• Identify when &amp; how to get adult help</td>
</tr>
<tr>
<td>• Respond constructively to interpersonal obstacles</td>
<td><strong>Stand up for what is right</strong></td>
<td><strong>Connect with another's pride positively</strong></td>
</tr>
<tr>
<td>• Conduct self with moral &amp; personal responsibility</td>
<td><strong>Stand up for self &amp; others</strong></td>
<td><strong>Demonstrate how to bounce back when obstacles arise</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate how to do the right thing when others do the wrong thing</strong></td>
<td><strong>Assume the best in social interactions</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Demonstrate hope &amp; activate optimism that things will work out</strong></td>
<td><strong>Identify when &amp; how to get adult help</strong></td>
</tr>
</tbody>
</table>

*Collaborative for Social and Emotional Learning has identified five core competencies that are learned through social and emotional interventions, all crucial to life, learning and work (CASEL, 2003)
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National Center for Children in Poverty (2002). *Promoting the emotional well-being of children and families: Ready to enter—What research tells policymakers about strategies to promote social and emotional school readiness among three and four-year-old children*, Policy Brief #3.


The Interaction Effects of Program Training, Dosage, and Implementation Quality on Targeted Student Outcomes for The RULER Approach to Social and Emotional Learning

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Yale University

Abstract: This study examined how training, dosage, and implementation quality of a social and emotional learning program, The RULER Approach, were related to students’ social and emotional competencies. There were no main effects for any of the variables on student outcomes, but students had more positive outcomes when their teachers (a) attended more trainings and taught more lessons, and (b) were classified as either moderate- or high-quality program implementers. Student outcomes were more negative when their teachers were classified as low-quality implementers who also attended more trainings and taught more lessons. Post hoc analyses revealed that low-quality implementers felt less efficacious about their overall teaching than high-quality implementers. The discussion focuses on the importance of assessing the interaction of training and implementation variables when examining the effect of social and emotional learning programs.

School programs that aim either to prevent maladaptive behaviors (August, Bloonquist, Lee, Realmuto, & Hektner, 2006; Conduct Problems Research Group, 2011) or to promote positive development among youth (Dimitrovich, Cortes, & Greenberg, 2007; Jones, Brown, & Aber, 2011) have been flourishing across the United States. These programs generally fall under the umbrella term, social and emotional learning (SEL), which refers to the process of acquiring the skills of self- and social awareness, emotion regulation, responsible decision making, problem solving, and relationship management (Zins, Weissberg, Wang, & Walberg, 2004). Accordingly, SEL programs are designed both to enhance these skills and create an emotionally supportive climate to increase the likelihood of school engagement, attendance, and academic success. The effects of these programs on youth outcomes have been positive (Durlak, Weissberg, Dymnicki, Taylor, & Schellinger, 2011), but most evaluations did not include training or implementation data (Gottfredson & Gottfredson, 2002; Lewis, Battistich, & Schaps, 1990; Tanyu, 2007). The variables surrounding implementation need to be assessed both in research and in practice to better understand the effectiveness of programs in achieving their intended goals (Dane & Schneider, 1998;...
Durlak & DuPre, 2008; Sanetti & Kratochwill, 2009). The relative importance of the quantity of teacher training, the dosage, or number of lessons students receive, and the quality of implementation, including teacher attitudes toward programming and their delivery style, are of particular interest in SEL programming.

In this study, we examined the extent to which these training and implementation variables for an SEL program, The RULER Approach (www.therulerapproach.org), were related to targeted social and emotional outcomes for students during the program's first year of implementation. We begin with a short overview of literature on program implementation followed by a description of SEL programs. We then highlight the important role that teachers play as implementers of SEL programs.

Implementing SEL Programs

Programs introduced into social settings like schools are not always implemented with fidelity (Fixsen, Blase, Naoom, & Wallace, 2009). This makes formative evaluations or the study of the processes underlying program implementation critical. Implementation occurs in six stages (Fixsen, Naoom, Blase, & Wallace, 2007). In the exploration stage, schools consider which program to adopt by examining feasibility and fit. In the installation stage, key stakeholders decide that the program will be implemented and plan for its proper execution. In the initial implementation stage, staff members are hired; participants are recruited; organizational supports are in place; and because all stakeholders are new to the program, problem solving and troubleshooting are frequent. In the full implementation stage, the program is fully integrated, with program processes and procedures part of the regular routine. Once the program has been implemented effectively, improvements are generally tested in the innovation stage. Sustaining the program both through continuous staff development and funding support comprise the sustainability stage.

Program implementation is rarely a perfect process, and a growing body of research shows that the effectiveness of school-based prevention programs is limited by the extent that they are implemented as intended (Dusenbury, Brannigan, Falco, & Hansen, 2003). Schools have wide-ranging priorities, policies, and politics that may interfere with how a program is delivered (e.g., Fagan & Mihalic, 2003; Gager & Elias, 1997). The evidence of SEL program effectiveness is growing; for example, a meta-analysis of over 200 studies shows that SEL programs have the intended positive effect on students' academic performance and their social and emotional skills (Durlak et al., 2011). Thus, schools will be implementing SEL programs in increasing numbers with varying levels of fidelity. Central to the understanding of how these programs are implemented is the role of teachers, who are the primary deliverers or “intervention drivers” (cf. Fixsen et al., 2009) of SEL programs.

Assessing Training and Implementation

Training

Training is the knowledge acquisition component of an SEL program and is the main avenue by which programs are introduced and implemented in schools. Training, which may include both workshops and coaching, is the vehicle by which teachers acquire background information, theory, and philosophy of the SEL program. Program information generally is introduced in initial trainings; then, follow-up coaching develops teacher’s implementation skills more fully (Fixsen et al., 2009; Sanetti & Kratochwill, 2009; Strother, 1989). In their review, Joyce and Showers (2002) revealed that when training was combined with coaching, 95% of teachers acquired knowledge and developed skills for applying that knowledge in the classroom. In the absence of coaching, only 5% of teachers applied the skills in the classroom.

Dosage

Dosage refers to the number of lessons that teachers implement for students to receive in the classroom. There is some evidence that
higher doses of program instruction produce more optimal results in certain intervention contexts (e.g., Connell, Turner, & Mason, 1985). For example, the number of lessons taught significantly affected students’ healthy eating in one intervention (Story et al., 2000) and students’ perceptions of healthy sexual behaviors in another (James, Reddy, Ruiter, McCauley, & van den Borne, 2006). However, an investigation of one school-based alcohol abuse prevention program revealed that dosage (e.g., teacher reports of the number of class periods used to teach program materials) was not systematically related to reductions in drinking behavior (Hopkins, Mauss, Kearney, & Weisheit, 1988). Among SEL programs, where a primary goal is to improve students’ social and emotional skills and engagement in learning, the number of SEL lessons delivered was related to slower growth in negative student outcomes (Aber, Jones, Brown, Chaudry, & Samples, 1998) and fewer unexcused absences (an indicator of engagement) among girls but not among boys (Moskowitz, Schaps, & Malvin, 1982). In sum, these findings suggest that higher dosage may lead to better outcomes.

**Implementation Quality**

Implementation quality refers to the manner in which a program is being executed (Dane & Schneider, 1998). As the deliverers of SEL programs, teachers’ style of delivery is as important as the content (Jennings & Greenberg, 2009). Teachers’ delivery styles and attitudes toward the program need to be congruent with the program. For example, SEL lessons often involve sharing personal experiences and being sensitive to students’ needs. If teachers lack buy-in and motivation to engage with students openly, there may be dissonance between them and the SEL lesson. In this section, we discuss two components of quality that are critical to SEL programming, in particular: (a) delivery, which refers to quality of program execution or teaching effectiveness, and (b) attitudes, which refer to program buy-in or openness to programming.

Delivery style is vital to SEL programs because they require teachers to deliver the lessons in an effective manner, consistent with the program’s philosophy and goals (see Fiksen et al., 2009; Waltz, Addis, Koerner, & Jacobson, 1993). For example, the teacher’s display of certain emotions is important for many SEL lessons (Brackett et al., 2009; Elbertson, Brackett, & Weissberg, 2009). If a teacher cannot model the social and emotional skills a program is designed to target, that teacher will likely be less effective in imparting these skills to students. In general, teachers’ beliefs about their teaching efficacy also influence their delivery of instructional programming (Han & Weiss, 2005).

Related to delivery style are teacher attitudes toward SEL programming, which also are critical to a program’s success (see August et al., 2006). One study showed that within the context of a smoking prevention program, classrooms with teachers who had higher ratings on both positive attitudes (toward the program and their students) and preparedness had students with greater knowledge of and better decision-making skills about smoking (Botvin, Dusenbury, Baker, & James-Ortiz, 1989). Resistance to adopting SEL programs is common among teachers within the context of SEL. Some teachers are skeptical of the effect of SEL programs (Elias, Bruene-Butler, Blum, & Schuyler, 2000). They may be uncertain about the relative importance of SEL compared to other curricular efforts (Buchanan, Gouldner, Tran, & Merrell, 2009). Issues of accountability, such as those stemming from the No Child Left Behind Act (2001), also place tremendous pressure on teachers and schools to ensure their students perform well academically. As a result, teachers may be conflicted about the time they allocate for teaching core curricula versus SEL, both of which require dedication and constant practice.

Program quality in terms of delivery style alone is incomplete. It is unlikely that teachers will deliver SEL lessons with high quality if they are resistant to the program. To illustrate, teachers have varying levels of comfort with and commitment to incorporating
SEL lessons into academic curricula (Brackett, Reyes, Rivers, Elbertson, & Salovey, 2011), which play into how lessons are taught. Likewise, SEL programs are designed to create emotionally supportive climates for learning (Jennings & Greenberg, 2009); teachers with negative attitudes toward programming may undermine this program objective, rendering the program ineffective (Greenberg, Domitrovich, Graczyk, & Zins, 2005). Even if a teacher is implementing a program according to protocol, as judged by a trained observer, the attitude she or he has is integral to implementation quality.

**Gaps in the SEL Literature: The Effect of Training and Implementation**

The interaction of training and implementation variables with SEL program outcomes has yet to be studied extensively. For example, a teacher may receive a great amount of training and deliver the recommended number of lessons, but do so with a poor attitude or unsatisfactorily. Moreover, a teacher may be highly competent when delivering the program, yet do so infrequently (cf. Gresham, 2009; Waltz et al., 1993). Most SEL program evaluations have not adequately assessed the relative effect of each of these variables on student outcomes. Past research mostly describes how the programs were implemented (Kallestad & Olweus, 2003; Penuel, Fishman, Yamaguchi, & Gallagher, 2007; Ransford, Greenberg, Domitrovich, Small, & Jacobson, 2009; Stead, Stradling, Macneil, Mackintosh, & Minty, 2007; Story et al., 2000), yet few published studies report which variables predict program outcomes, as might be outlined in a theory-of-change model (Rossi, Freeman, & Lipsey, 1999). Moreover, although a few studies examined training and implementation variables simultaneously (for a review see Dusenbury et al., 2003), their interactive effect on outcomes was not analyzed. In one study, the number of program lessons taught and the quality of program delivery independently predicted more positive teacher and observer ratings of student outcomes, but interactive effects were not examined (Conduct Problems Research Group, 1999). The dearth of such studies makes it difficult to determine the critical ingredients of an intervention. For example, which affects student outcomes more: the amount of SEL program training a teacher receives, the number of SEL lessons he or she delivers, the quality with which those lessons are implemented, or some combination of the three?

**Assessing Training and Implementation of SEL Programs**

One challenge in assessing variables surrounding implementation is in their operationalization. In general, implementation quality is more difficult to operationalize than training or dosage, which can be quantified (Mowbray, Holter, Teague, & Bybee, 2003). To illustrate, training information can be obtained from attendance records or sign-up sheets at trainings, and dosage can be defined as teacher reports of lessons taught. Quality indicators, however, are more difficult to obtain. Indeed, in a review of over 500 studies from 1976 to 2006 that assessed implementation of prevention and health promotion programs for children and adolescents, assessments of quality rarely were included. When quality was assessed, it was defined and measured in various, often unsystematic ways (Durlak & DuPre, 2008).

How should implementation quality be assessed? Having teachers rate the quality of their delivery of lessons introduces potential biases as teachers tend to overestimate their levels of implementation (Sanetti & Kratochwill, 2009), which often are higher than ratings by trained observers (Lane, Kalberg, Bruhn, Mahoney, & Driscoll, 2008). Similarly, when trained observers rate teacher quality (e.g., Kam, Greenberg, & Walls, 2003), they may lack thorough knowledge of both the program and the teachers to make accurate assessments. According to Waltz and colleagues (1993), assessors of quality should be "sufficiently experienced and sophisticated to understand the implications of the contextual variables described in the [program] manual" (p. 628). Program coaches, who are trained as
experts in the program, may be the most knowledgeable judges of implementation quality because their interactions with teachers are more frequent and more personal (e.g., they have discussed with teachers their apprehensions and helped them to devise strategies to overcome them).

The Present Study

The present study extends previous research by examining associations and interaction effects of training, dosage, and implementation quality on intended student outcomes of social and emotional competence during the initial implementation phase (Fixsen et al., 2007), i.e., within the first year of adopting an SEL program. This study focuses on The RULER Approach (Brackett et al., 2011), which is grounded in a theoretical model that posits that acquiring the knowledge and skills associated with recognizing, understanding, labeling, expressing, and regulating emotion (i.e., the RULER skills) is critical to positive youth development (Brackett et al., 2009; Rivers & Brackett, 2011). RULER is an SEL program endorsed by the Collaborative for Academic, Social and Emotional Learning (www.casel.org), an organization comprised of distinguished educators and researchers that provides national leadership on SEL. The positive effects of RULER on both social and emotional competencies and classroom climate are reported elsewhere (Brackett, Rivers, Reyes, & Salovey, 2010; Rivers, Brackett, Reyes, Elbertson, & Salovey, 2011).

In the present investigation, we hypothesized that training, dosage, and implementation quality (i.e., delivery and attitudes), and their interaction, would relate positively to student social and emotional competencies. Training was assessed with attendance records at training sessions; dosage included number of program lessons delivered; and implementation quality was measured by observer (coaches') ratings of both teacher attitudes toward programming and their delivery of the program. Student outcomes were obtained from student self-reports, performance assessments, and report cards. Data were analyzed using a multilevel approach owing to their nested nature (Raudenbush & Bryk, 2002).

Method

Participants

Participants included sixth-grade students ($n = 812$) and their teachers ($n = 28$) from 28 elementary schools in a large, urban Catholic school district located in the northeastern United States. The schools were part of a randomized controlled trial (RCT) and the participating students and teachers were in schools assigned to use RULER (i.e., the program group). The full sample participating in the RCT consisted of 64 schools with 32 schools assigned randomly to the program group and 32 assigned randomly to the control group. (Note: Neither the individual participants nor the individual classrooms were assigned to groups. Schools were assigned randomly to either the program or control groups. Participating classrooms, teachers, and students were within these schools.) Four schools closed (two control and two program schools) during the course of the project. There were no differences in the demographic characteristics of the schools, teachers, or students between schools assigned to each group, except that the schools in the control group had larger enrollment numbers than those in the program group, $t(62) = 2.82, p = .006$. The current study focused exclusively on participants in the program group in the RCT for whom we had baseline data, which yielded 28 teachers and 812 students. We did not include participants in the control group.

On average, schools included 70% ($SD = 33\%$) minority students (range = 5%–100%), and 24% ($SD = 33\%$) of students received free or reduced-price lunch. Schools ranged in size from 178 to 656 students ($M = 293.0, SD = 103.3$) with a student–teacher ratio ranging from about 11:1 to 25:1 ($M = 17.9, SD = 3.4$). Participating schools varied in how they structured the school day for their sixth-grade students, such that at some schools, students received instruction from a single teacher for the entire day, and at others, students rotated through two or more
teachers throughout the day. The percentage of students in a school performing below average was based on the percentage of students with Levels 1 or 2 scores on the TerraNova Achievement Test (CTB/McGraw-Hill, 2002), which ranged from 8% to 86% (M = 32.7%, SD = 17.5%) in reading and from 0% to 67% (M = 22.5%, SD = 16.5%) in math.

Teachers were 84.4% female and identified themselves as 81.1% White/Caucasian, 9.1% Hispanic, and 9.1% Black/African American. These demographics resemble the racial and ethnic breakdown provided in 2010 U.S. census data: 72.4% White/Caucasian, 16.3% Hispanic, and 12.6% Black/African American (U.S. Census Bureau, 2011). Most of the teachers had either received their bachelor's degrees and/or were working toward a master's degree (59.1%), and 31.8% had earned their master's degree or doctorates (9.1% missing these data). On average, teachers had been teaching for 13.1 years (SD = 10.6), with an average of 10.3 years (SD = 9.4) at their current school.

According to school records, students (48.6% female) were 27.0% White/Caucasian, 30.4% Black/African American, 22.0% Hispanic, 7.5% Asian/Pacific Islander, 3.7% multiracial, and 0.1% other race not mentioned (9.0% missing data). The composition of the student sample in this study was roughly similar to the racial and ethnic composition of the study's locale, although Caucasian students were underrepresented: 47.5% White/Caucasian, 28.4% Black/African American, 27.0% Hispanic, 11.1% Asian/Pacific Islander, and 4.9% multiracial (U.S. Census Bureau, n.d.).

Design and Procedure

RULER targets all students and is designed to be implemented throughout a school district. This study focuses on the training and implementation of RULER within the program group at the end of the first year of programming. This study is embedded into a large RCT in which program schools participated in training and used RULER for 2 years before schools in the control condition received the program.

The present study was divided into three waves of data collection: Wave 1 (March 2008) occurred prior to random assignment to condition and served as a baseline. Wave 2 occurred in the fall (September 2008) of the first programming year, as the program was being introduced; and Wave 3 occurred at the end of the first programming year (April 2009). Each wave of data collection lasted eight weeks. Students completed surveys and a performance test of emotion skills at each wave. Report cards were collected at Wave 3, the end of the first year of implementation, and contained data across all waves.

Curriculum Model and Implementation

RULER is grounded in research showing that a core set of emotion skills, recognizing, understanding, labeling, expressing, and regulating emotion, is essential to positive youth development (Brackett, Rivers et al., 2010; Salovey & Mayer, 1990). First, adult stakeholders (i.e., superintendents, school leaders, teachers, and staff) attend two full-day (6 hr per day) trainings on the role of emotion skills in school success, the theory underlying RULER, and on how to foster an emotionally supportive learning environment through the teaching and personal use of program Anchor tools, including the Charter (a collaborative mission statement for the learning environment) and the Mood Meter (a tool for plotting emotions and mood states), among other tools (Brackett, Caruso, & Patti, 2008; Brackett, Caruso, & Sier, 2008). Teachers then attend a second training, which is one full day focusing on the instruction of the Feeling Words Curriculum (Brackett et al., 2011), a literacy-based SEL program that provides teachers with programmatic units that infuse into and complement existing curriculum, including English language arts. The Feeling Words Curriculum helps children to develop emotion skills through an in-depth exploration of terms like commitment, elation, and empathy. These "feeling word units" are the vehicles by which children learn to identify, evaluate, and under-
stand their own and others’ thoughts, feelings, and behavior, understand the emotions and points of view of characters in stories, and develop strategies to manage emotions in real-life situations. In the training, teachers learn how to use the curricular units in alignment with their English language arts teaching. Each unit, which focuses on one feeling word, is comprised of five 10- to 20-min lessons. Teachers teach one unit, with its five lessons, across a 2-week period. For instance, for the unit on alienation, three lessons may be completed during the first week and the remaining two the second week (see Brackett et al., 2011, for a review of the units).

The implementation process involves support through coaching. Each teacher works with a certified coach who visits the classroom, models lessons, reviews lesson plans, provides constructive feedback, and offers solutions and resources to help the teacher deliver quality lessons.

In September of the first year of implementation, English language arts teachers in program schools attended the first 2-day training on using emotional literacy and the Anchor tools to enhance the learning environment. Approximately 1 month later, teachers attended the second full-day training on the Feeling Words Curriculum. Of the two available trainings sessions offered, teachers attended an average of 1.87 sessions (SD = 0.87). Teachers in program schools then were paired with a certified RULER coach with whom they met for 45 min after a lesson was observed. Teachers received up to five coaching sessions, with an average of 4.02 sessions (SD = 0.92).

In this study, five female coaches each worked with teachers in up to eight schools. Coaches underwent intensive training with the developers of RULER programming before working in schools. A senior RULER trainer supervised all coaches throughout the duration of the project through regular meetings conducted in person and on the phone, as well as through routine reviews of all written documentation about the coaching sessions (e.g., observation checklists and notes). Each week, coaches submitted to the head coach the written documentation completed during and after each coaching session and classroom observation.

Teachers were asked to cover between 10 and 12 word units per year. Throughout the program year, teachers taught, on average, 7.20 word units (SD = 2.60, range 0–12 units), which yielded approximately 35 discrete emotional literacy lessons (i.e., 7 units × 5 lessons).

Measures

Training. Training was measured by the number of training and coaching sessions teachers attended, as obtained from training attendance records. The maximum training value was 7, including two trainings and five coaching sessions.

Dosage. Dosage was assessed by the number of lessons taught (lessons), as obtained from teacher reports, at the end of the first year of programming (Wave 3). The maximum number of lessons a teacher could teach was 60 (12 units with 5 lessons in each).

Implementation quality. To measure implementation quality, each of the five coaches rated (both at the beginning and end of the school year; i.e., Waves 2 and 3) the extent to which teachers (a) demonstrated buy-in or an open attitude toward the program (1 = very resistant, 5 = very open) and (b) delivered RULER lessons with high quality (1 = needs a lot of improvement, 5 = excellent). During each coaching session, coaches reviewed forms that teachers completed for each feeling word unit. At Wave 2, coaches had met with teachers for at least two of the five coaching sessions to assess quality delivery. By Wave 3, the remaining coaching sessions (up to three) were completed. The correlations between openness to programming and delivery at the beginning and end of the year (Waves 2 and 3) were r values (26) = 0.63, and 0.62, p values < .001, respectively.

Because the measure of implementation quality incorporated two items assessed across two time points, a parsimonious measure of
quality was created by subjecting the indicators (i.e., openness and delivery) to cluster analysis to test whether distinct profiles of program quality existed. To select the optimal number of clusters, we first subjected the variables to an agglomerative hierarchical clustering procedure and then inspected the hierarchical tree diagram (Everitt, Landau, & Leese, 2001). A three-cluster solution proved to be optimal. The centroids from the hierarchical solution were entered as initial cluster centers in the final k-means iterative procedure. The three clusters that emerged were labeled: low-quality implementers (i.e., teachers who were initially very resistant to the program and delivered it poorly but became open to the program by the end of the school year; n = 7), moderate-quality implementers (i.e., teachers who were moderate in their attitudes toward the program and in their delivery of the program from beginning to end; n = 12), and high-quality implementers (i.e., teachers who were consistently open to and delivered the program very well from beginning to end; n = 9). There was no evidence to support a profile of teachers who were resistant to programming but high in delivery, nor was there evidence to support a profile of teachers who were open to programming but low in delivery. Table 1 summarizes the means and standard deviations for each cluster.

Social and emotional competence. Multiple methods were used to assess students’ social and emotional competence. Table 2 summarizes the means, standard deviations, reliabilities, and intercorrelations among these variables at Wave 3.

First, students’ report cards contained three items that reflected social competence (i.e., respects the rights of others, interacts appropriately, and complies with school policies) using a scale where 1 = unsatisfactory, 2 = needs improvement, 3 = satisfactory, 4 = good, and 5 = excellent. (Grades in these three areas were not necessarily given by the English language arts teachers [those who conducted the RULER lessons], depending on the structure of the students’ school day and whether they were instructed by multiple teachers.) A composite score was created for the three items by adding the scores.

Social problem-solving skills were assessed with the Conflict Resolution Skill subscale of the Elementary Student Questionnaire of the Child Development Project (Developmental Studies Center, 2000). This eight-item scale presents students with four peer-conflict scenarios (two items per scenario). For each item, students selected one response from a multiple-choice list. Higher scores reflected the selection of more collaborative and compromise-centered responses to conflict, whereas lower scores reflected more aggressive or evasive responses to conflict. Students receiving a school-based program aimed at promoting their social, ethical, and intellectual

Table 1
Assessing Implementation Quality: Teacher Quality Clusters at the Beginning and End of the Year (Waves 2 and 3)

<table>
<thead>
<tr>
<th>Cluster</th>
<th>Wave 2</th>
<th>Wave 3</th>
<th>Wave 2</th>
<th>Wave 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>1.79 (0.92)</td>
<td>3.33 (0.75)</td>
<td>1.17 (0.39)</td>
<td>2.58 (0.79)</td>
</tr>
<tr>
<td>Moderate</td>
<td>2.67 (0.82)</td>
<td>3.64 (0.70)</td>
<td>2.67 (0.49)</td>
<td>3.89 (0.58)</td>
</tr>
<tr>
<td>High</td>
<td>4.07 (0.80)</td>
<td>4.87 (0.23)</td>
<td>3.87 (0.74)</td>
<td>4.67 (0.49)</td>
</tr>
</tbody>
</table>

Notes. Based on the nature of cluster analysis, all clusters are significantly different from each other on all criterion variables.
Table 2
Intercorrelations, Means, Standard Deviations, and Reliability Coefficients of Students' End-of-Year (Wave 3) Social and Emotional Competencies (N = 812)

<table>
<thead>
<tr>
<th></th>
<th>1</th>
<th>2</th>
<th>3</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Emotional Literacy</td>
<td>-</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Social Problem Solving</td>
<td>.28</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>3. Social Competence</td>
<td>.24</td>
<td>.32</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>M</td>
<td>SD</td>
<td>Range</td>
</tr>
<tr>
<td>105.52</td>
<td>2.71</td>
<td>1.00-4.50</td>
<td>1.00-5.00</td>
</tr>
<tr>
<td>12.84</td>
<td>.97</td>
<td>.87</td>
<td>.79</td>
</tr>
</tbody>
</table>

Note: All variables are significant at p < .001.

devolution had higher scores than a control group of students on this scale (Schaps, Bat-tistich, & Solomon, 2004).

Emotional literacy was measured with the Strategic Emotional Intelligence component of the Mayer-Salovey-Caruso Emotional Intelligence Test—Youth Version (MSCEIT-YV; Mayer, Salovey, & Caruso, in press), which is appropriate for children between 11 and 17 years old. The test assesses the extent to which respondents understand emotional information and use that information for planning and self-management. Scores are calculated by combining two subtest scores: emotion understanding and emotion regulation. There are 23 multiple-choice items on the understanding subtest, which assesses the ability to identify both the definitions and causes of emotions. The regulation branch asks respondents to evaluate the effectiveness of several actions in making an individual feel a certain way. Respondents indicate the extent to which the chosen action would help the target character achieve a specified goal using a 5-point scale (1 = not at all helpful, 5 = very helpful). This section describes six situations, each of which has three alternatives, for a total of 18 items. Performance on the test is calculated by veridical scoring, which is described extensively in the technical manual (Mayer, Caruso, & Salovey, 2005). To explain briefly: emotion experts consulted the empirical literature to determine independently the best responses to each test item and then agreed on the best responses. Scores on the MSCEIT-YV are interpreted similarly to IQ scores with a mean of 100 and standard deviation of 15. Higher performance scores on understanding and regulation correlate positively with psychosocial functioning (Rivers, Brackett, & Salovey, 2008) and with standardized achievement test scores in reading (Peters, Kranzler, & Rossen, 2009).

Teaching efficacy. Teaching efficacy was assessed with the five-item Adaptive Efficacy Scale (Search Institute, 2006), which measures teachers’ beliefs in their ability to modify their teaching methods, when needed, to have a positive effect on students. Teachers rated the extent to which they agreed or disagreed with each statement (e.g., “When a student has trouble learning something new, I try a new strategy”; “I am certain that I am making a positive difference in the lives of students”) using a 5-point Likert scale (1 = strongly disagree; 5 = strongly agree). Cronbach’s α values were .75 and .78 for beginning- and end-of-year teaching efficacy, respectively.

Analytic Strategy

The main and interaction effects of training, dosage, and implementation quality
on students’ year-end social and emotional competencies were examined, controlling for student demographics and baseline scores.

**Missing data.** Of the 812 students, 173 had missing data, leaving 639 students with any data on the social problem-solving skills and social competence indicators. Missing data were treated with multiple imputation procedures in NORM (Schafer, 2000), which created five complete data files. Multilevel analyses were conducted for each of the five imputed data files and coefficients. Standard errors resulting from each analysis were averaged to provide estimates of the associations among our variables of interest (Schafer, 1999). Furthermore, return rates were lower for the MSCEIT-YV than the other assessments. Of 812 students, 425 had no MSCEIT data at either Waves 2 or 3, leaving only 387 students with MSCEIT data from Wave 1 and either Wave 2 or 3. Our imputations were based on data from these waves for these 387 students. The lower return rates for the MSCEIT probably could be attributed to the fact that teachers (and not the research team) administered this test. Separate imputations were conducted for emotional literacy scores because of low return rates. Comparable results were obtained from both complete and imputed data sets.

**Primary analyses.** Because of the nested design, we analyzed data using hierarchical linear modeling with full-information maximum-likelihood estimation with separate models for each student-level outcome. We nested students (Level 1) within teachers (Level 2) because we were interested in teachers’ implementation of RULER. A three-level hierarchical model (students nested in teachers nested in schools) was unnecessary because there was a 1:1 correspondence between teachers and schools. To analyze the effect of training and implementation variables on our target outcomes, we ran two models: a main effects model and an interaction effects (Training × Dosage × Implementation Quality) model. The first model examined the direct relationships between training, dosage, and quality with student outcomes (Model 1). The second model tested interaction or moderation effects, crossing training, dosage, and implementation quality indicators (Model 2).

To determine whether Model 2 contributed incrementally to the explanation of the outcome variable, we examined the change in $R^2$ by testing the change in $\chi^2$.

Finally, we calculated effect sizes using the formula:

$$\delta = \frac{\gamma}{\sqrt{\tau_{00} + \sigma^2}}$$

where $\gamma$ is the association between the predictor and outcome variables, and the denominator is the $SD$ of the outcome variable, where $\tau_{00}$ and $\sigma^2$ are the between- and within-groups variances, respectively, from the unconditional model. Interpretation of $\delta$ is similar to Cohen’s (1988) $d$: 0.2 is small, 0.5 is moderate, and 0.8 is large.

**Results**

There were no main effects of training, dosage, or implementation quality on the student outcome variables at the end of the year, after controlling for baseline status (Model 1); however, numerous interaction effects were detected (Model 2), as Table 3 shows. Because quality indicators were coded as dummy variables, we chose the reference variable to be low-quality implementers. All analyses, therefore, are in comparison to this group. Moreover, all student outcomes pertain to year-end status (Wave 3) after controlling for baseline (Wave 1).

Among high-quality implementers, those who taught more feeling word units had students with higher scores on all three student outcomes: social competence ($t = 3.83$, effect size [ES] = 0.23), social problem solving ($t = 5.96$, ES = 0.19), and emotional literacy ($t = 5.47$, ES = 0.16). High-quality implementers who attended more training also had students who scored higher on the measures of social problem solving ($t = 2.58$, ES = 0.28), emotional literacy ($t = 1.82$, ES = 0.34), and social competence ($t = 1.78$, ES = 0.24);
Table 3
Training, Dosage, and Implementation Quality: Main and Interaction Effects on Year-End Student Outcomes (Wave 3)

<table>
<thead>
<tr>
<th></th>
<th>Students' Social and Emotional Competence Year-End Scores</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Emotional Literacy (n = 387)</td>
<td>Social Problem-Solving Skills (n = 812)</td>
<td>Social Competence (n = 812)</td>
</tr>
<tr>
<td>Model 1: Main Effects</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ICC%</td>
<td>8.62</td>
<td>11.25</td>
<td>35.86</td>
</tr>
<tr>
<td>Intercept</td>
<td>107.19 (2.06)***</td>
<td>2.81 (0.18)***</td>
<td>4.60 (0.19)***</td>
</tr>
<tr>
<td>Level 1 (Student)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black</td>
<td>0.42 (1.19)</td>
<td>-0.24 (0.14)</td>
<td>-0.11 (0.09)</td>
</tr>
<tr>
<td>Hispanic</td>
<td>0.36 (1.45)</td>
<td>-0.19 (0.13)</td>
<td>-0.12 (0.06)</td>
</tr>
<tr>
<td>Asian</td>
<td>3.27 (2.27)</td>
<td>0.04 (0.16)</td>
<td>-0.04 (0.09)</td>
</tr>
<tr>
<td>Other race</td>
<td>-3.21 (5.83)</td>
<td>0.17 (0.28)</td>
<td>0.32 (0.11)**</td>
</tr>
<tr>
<td>Male</td>
<td>-2.04 (1.06)</td>
<td>-0.08 (0.08)</td>
<td>-0.23 (0.07)**</td>
</tr>
<tr>
<td>Baseline scoreb</td>
<td>0.62 (0.05)***</td>
<td>0.52 (0.04)***</td>
<td>0.46 (0.06)***</td>
</tr>
<tr>
<td>Level 2 (Teachers)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Training</td>
<td>-0.65 (1.28)</td>
<td>0.03 (0.06)</td>
<td>0.09 (0.07)</td>
</tr>
<tr>
<td>Dosage</td>
<td>-0.54 (0.48)</td>
<td>-0.01 (0.02)</td>
<td>0.01 (0.03)</td>
</tr>
<tr>
<td>Implementation Qualityc</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moderate</td>
<td>0.69 (2.50)</td>
<td>0.05 (0.18)</td>
<td>-0.36 (0.23)</td>
</tr>
<tr>
<td>High</td>
<td>0.78 (2.70)</td>
<td>0.14 (0.16)</td>
<td>-0.34 (0.20)</td>
</tr>
<tr>
<td>Model 2: Interaction Effectsd</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intercept</td>
<td>102.71 (1.62)***</td>
<td>2.69 (0.07)***</td>
<td>4.48 (0.10)***</td>
</tr>
<tr>
<td>Training × Low</td>
<td>-7.01 (1.47)***</td>
<td>-0.25 (0.07)**</td>
<td>-0.07 (0.11)</td>
</tr>
<tr>
<td>Training × Moderate</td>
<td>8.35 (2.50)**</td>
<td>0.18 (0.15)</td>
<td>-0.04 (0.25)</td>
</tr>
<tr>
<td>Training × High</td>
<td>4.24 (2.33)</td>
<td>0.27 (0.15)**</td>
<td>0.21 (0.12)</td>
</tr>
<tr>
<td>Dosage × Low</td>
<td>-1.37 (0.25)*****</td>
<td>-0.13 (0.02)**</td>
<td>-0.18 (0.04)**</td>
</tr>
<tr>
<td>Dosage × Moderate</td>
<td>-0.27 (0.41)</td>
<td>0.11 (0.04)**</td>
<td>0.26 (0.05)***</td>
</tr>
<tr>
<td>Dosage × High</td>
<td>2.03 (0.37)*****</td>
<td>0.19 (0.03)***</td>
<td>0.20 (0.05)**</td>
</tr>
<tr>
<td>Model 1 R²</td>
<td>39.83</td>
<td>50.66</td>
<td>46.72</td>
</tr>
<tr>
<td>Model 2 R²</td>
<td>87.00</td>
<td>86.01</td>
<td>69.75</td>
</tr>
<tr>
<td>Δχ²(4)</td>
<td>16.20**</td>
<td>14.93**</td>
<td>12.91*</td>
</tr>
</tbody>
</table>

Note. Estimated means (standard errors) reported.
* ICC = Intraclass correlation coefficient; b Baseline (Wave 1) score of corresponding outcome variable assessed; c Low is the reference group; d Truncated output.
* p < .05, ** p < .01, *** p < .001.

however, the latter two findings did not reach conventional levels of statistical significance (p < .10).

Among moderate-quality implementers, those who attended more training had students with higher emotional literacy scores (t = 3.34, ES = 0.68). Moderate-quality implementers who taught more feeling word units also had students with higher scores on both the social competence (t = 4.86, ES = 0.29) and social problem-solving (t = 3.11, ES = 0.12) assessments.

A different pattern was found for teachers classified as low-quality implementers. Teachers in this cluster who attended more training had students with lower scores on
both the social problem-solving assessment ($t = -3.47, ES = 0.25$) and emotional literacy test ($t = -4.78, ES = 0.57$). Moreover, low-quality implementers who taught more feeling word units had students with lower scores on all outcomes: social competence ($t = -4.65, ES = 0.20$), social problem solving ($t = -6.03, ES = 0.13$), and emotional literacy ($t = -5.46, ES = 0.11$).

To investigate possible explanations for the disparate findings among low-, moderate-, and high-quality implementers, we ran post hoc analyses to examine whether differences in teaching efficacy existed among teachers in each cluster. The means for low-, moderate-, and high-quality implementers in teaching efficacy at Wave 3 were as follows: 3.84 ($SD = 0.22$), 4.38 ($SD = 0.34$), and 4.49 ($SD = 0.54$), respectively. Differences among the teacher clusters were significant, $F(2, 20) = 4.13, p = .034$. Bonferroni-corrected post hoc analyses revealed low-quality implementers scored lower in teaching efficacy than high-quality implementers ($p = .037$).

In summary, there were no main effects of training, dosage, or implementation quality on student outcomes. However, several interaction effects emerged, such that student outcomes were affected by a combination of the number of trainings teachers attended and of lessons they taught and the quality with which these teachers implemented the program.

**Discussion**

Although SEL programs have positively affected key developmental outcomes among youth (Durlak et al., 2011), the majority of past investigations did not address the relative importance of training and implementation variables on targeted program outcomes. In this study, we examined whether the amount of training teachers received, the number of lessons students received, and the quality of delivery for one SEL program, RULER, were associated with students' social and emotional competencies. Similar to others' investigations (Hopkins et al., 1988; Kum et al., 2003), we found no main effects for our indicators of training and implementation on expected outcomes. However, we did find numerous significant interactions. Higher attendance at trainings and coaching sessions for moderate- and high-quality implementers, but not low-quality implementers, resulted in students with higher scores on indices of social problem-solving skills and emotional literacy. For moderate- and high-quality implementers but not for low-quality implementers, teaching more lessons also resulted in better student outcomes.

The unfavorable effects of more training among low-quality implementers may be partly explained by teaching efficacy. Post hoc analyses revealed that low-quality implementers were less efficacious about their general teaching practices than high-quality implementers. Low-quality implementers may not have been prepared to deliver SEL lessons without first becoming more confident in their general teaching practices (cf. Buchanan et al., 2009). These findings add to the growing research base on factors that may contribute to effective SEL programming (Collaborative for Academic, Social, and Emotional Learning, 2003; Gager & Elias, 1997; Lewis et al., 1990).

Analyzing training as the number of training and coaching sessions attended and dosage as the number of program lessons taught (i.e., feeling word units) was highly informative. For example, we found that among moderate- and high-quality implementers, but not low-quality implementers, the number of feeling word units taught had more significant and positive associations with student outcomes than the number of trainings attended, suggesting that active implementation may be more important than mere attendance at training sessions. Certainly, professional development is critical to learning the instructional strategies of RULER or any SEL program, but it may not be sufficient for affecting outcomes. What appeared to matter more was how training and coaching sessions were actualized in the classroom (i.e., through quality instruction). Assessing quality in terms of both attitudes and delivery, which have been associated positively in other investigations (Botvin et al., 1989), sheds light on how
teachers implement the program with varying levels of openness and skill.

Implications for Teacher Training and Professional Development

When new programs are introduced in schools during the installation and initial implementation stages, there usually exists a high degree of variability in terms of buy-in or openness to programming (Fixsen et al., 2007). Implementing SEL programs can be difficult for teachers who are balancing their time between meeting traditional academic requirements and the new demands of SEL programs. Indeed, asking teachers to integrate SEL into their already busy schedules can be physically, mentally, and emotionally taxing (Ransford et al., 2009). Our findings revealed that having teachers with low levels of openness (program buy-in) and delivery, but who either attended more trainings (including coaching sessions) or conducted more program lessons, resulted in lower levels of positive social and emotional outcomes among students. One strategy for addressing this may be for schools and SEL program providers to focus training efforts during initial implementation on teachers with an open attitude toward programming. Once these teachers have been trained and the program is moving toward full implementation, teachers who report high resistance to programming can begin their training, as concerted efforts are made by program providers and school administrators to increase their buy-in to the program.

There are various reasons that teachers may be resistant and lack buy-in to SEL programs. Effective programming approaches will acknowledge these attitudes, devote attention toward addressing them, and incorporate critical feedback from resistant teachers into program content and instructional strategies (Greenberg et al., 2005). Moreover, additional program-related information, support, and resources could be offered to target resistant teachers. For instance, these teachers could be provided with: (1) more empirical rationale for and real-life examples of the program’s positive effect on students; (2) emphasis on the match between program goals and the schools’ or districts’ goals, values, policies, and philosophies; (3) additional instructional support from their principals or from program coaches to improve their program-specific or general teaching efficacy, if necessary; and (4) connections with teachers who have experienced success with the program, in particular those who were resistant at first themselves and whose attitudes toward programming were transformed. Until initially resistant teachers are more supportive of the program, they should be advised to conduct fewer lessons, with close monitoring and support from a coach.

Although RULER, like many SEL programs, is designed to integrate into existing school curricula, without quality training and ongoing support, its sustainability will likely be at risk (Fagan & Mihalic, 2003; Gager & Elias, 1997; Gottfredson & Gottfredson, 2002). In the past, many schools have applied the “train-and-hope” model (Stokes & Baer, 1977) to teacher professional development; some schools rely solely on the purchase of “kits” that require no additional training. Teaching SEL effectively requires ongoing training, coaching, and monitoring, each of which is critical to successful implementation (Fagan & Mihalic, 2003; Fixsen et al., 2009; Fixsen, Naoom, Blase, Friedman, & Wallace, 2005; Lewis et al., 1990). Coaching, for instance, provides the opportunity to give teachers immediate feedback on all aspects of program delivery (Strother, 1989). Because many schools employ school psychologists, counselors, and social workers who often are asked to coordinate SEL initiatives or cofacilitate the teaching of SEL, our findings have many implications for these stakeholders who play a key consultative role to SEL program providers, school administrators, and teachers.

Strengths, Limitations, and Future Directions

A primary strength of this study was the multimethod assessment of constructs. Training and implementation variables were assessed with self-reports, attendance records,
and ratings from coaches. Student outcomes were assessed with self-ratings, teacher ratings, and a performance assessment tool. The differential interaction effects found between training, dosage, and implementation quality on student outcomes highlight the intricacies of identifying the key ingredients of effective SEL programming.

One area of future research is how to balance capitalizing on available, existing school data with the need to collect additional data. For instance, in the current study, the social competence items from the report card were selected because they were ratings with which teachers were already familiar and which could be gathered for all students across schools without missing data. However, we acknowledge that this measure is not ideal. For one, we do not know the factors that teachers used to assign scores to each student. In the case of preexisting implementation data that schools have on file, missing data often are an issue. The problem here is determining whether implementation data are missing systematically or at random. To illustrate: (1) are program noncompliers more likely to have missing data than program compliers, or (2) are program noncompliers just as likely to have missing data as compliers? How then can researchers obtain the most essential data available from teachers (or even schools) who may be resistant to programming, data collection, or both? Archival records such as attendance sheets, lesson plans, report cards, and classroom observations are important in order to obtain as much complete data as possible. The drawback with working with these types of archival data are that they usually are not standardized and likely are influenced by the perceptions and biases of the staff recording the information. Implementation data are particularly difficult to assess as implementation processes vary considerably. Different schools implement programs at different rates and in different ways. Future research could compare the use of various forms of archival data with that of more standardized assessments in order to identify best practices for collecting data related to implementation and related outcomes.

Another area ripe for investigation is the assessment of coaching quality and style. Although this study employed coaches’ ratings of teacher implementation quality, it did not employ systematic assessments of the quality or style of each coach or the potential biases of their observational ratings, which are not unlikely, given they are invested in the positive outcomes of their efforts and have frequent personal interactions with the teachers they rated. Even though coaches received extensive training and were monitored closely, assessing their implementation of the coaching protocol and the objectiveness of their observational assessments is important for future research. The quality of coaching that a teacher receives could affect that teacher’s attitudes and approaches to implementation. Similarly, the biases in the coach’s observations could influence how the teacher is categorized with regard to implementation quality. Although an investigation of these phenomena was beyond the scope of the current project, it would be a valuable contribution to future implementation research.

Examining teacher learning outcomes achieved during training and coaching sessions also may be important for determining the key ingredients to effective interventions. It is likely that the quality of teacher trainings as well as the differential effect of the same training on individual teacher learning would influence student outcomes. Thus, future research and practice should include some measure of what skills and knowledge teachers gleaned from training and coaching.

The role of teachers’ social and emotional competencies in the successful delivery of SEL lessons also was not studied, but offers another area for future investigation. It is likely that these competencies are associated with multiple facets of program implementation, including attitudes and delivery (Brackett et al., 2009; Durlak & DuPre, 2008). For example, once specific competencies are identified to be associated with high-quality implementation, the teaching of such competencies could be integrated into teacher training. Such competencies also may serve as moderators of implementation quality on
student outcomes, or as mediators such that an SEL program may shift the skill set of teachers, making them more effective in the classroom.

Finally, this study focused exclusively on participants assigned to the program group in the RCT; we did not include participants from the control group. Ideally, implementation is analyzed systematically in both program and control groups. For this particular program, the inclusion of a control group would facilitate the building of an evidence base for establishing the effectiveness of the RULER intervention. In general, the inclusion of a control group would allow for a more advanced understanding of the true effect of SEL training and its implementation on student outcomes (see Cordray, 2000). One way to account for this variation is to create implementation measures that capture the essential elements of both SEL programs and related, standard teaching practices, to administer them to both conditions, and then to use these data as potential moderating variables in analyses (O’Donnell & Lynch, 2008). This approach, however, would require careful monitoring of both the program and control conditions, which is an added research cost.

Conclusion

Teachers play an important role in SEL programming, as they are the intermediaries between students and the program. The adoption of SEL programs can be met with either enthusiasm or resistance among teachers. The components of SEL programming framework used in this study, which was composed of training, dosage, and implementation quality (attitudes and delivery), proved useful in evaluating the success of RULER, one of many promising SEL programs. Our findings suggest that more delivery of SEL lessons is not sufficient for cultivating benefits for students. Lessons must be taught frequently and delivered with quality. Further research is warranted on the many facets of program implementation and their associations with the effectiveness of SEL programs.

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## Behavior & Habits

**Rocketeer Habits**

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<th>Rating</th>
<th>Notes</th>
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<tbody>
<tr>
<td>Your Rocketeer is respectful of others in all spaces and at all times. This includes their actions and their language.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
</tr>
<tr>
<td>Your Rocketeer completes learning tasks independently and with attention to detail.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
</tr>
<tr>
<td>Your Rocketeer shows persistence on challenging learning tasks and with projects or texts that require sustained focus.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
</tr>
<tr>
<td>Your Rocketeer works well with partners or peers and contributes to the learning of everyone.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
</tr>
<tr>
<td>Your Rocketeer asks for help when they need it.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
</tr>
<tr>
<td>Your Rocketeer is responsible with their learning materials and keeps themselves organized, including in dress code.</td>
<td>![Thumbs Up]</td>
<td>![Thumbs Down]</td>
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</tbody>
</table>

**Key**

- Truly excellent! They have shown to be exemplars in this.
- They are meeting expectations, but can continue to improve at this.
- This requires improvement. They have not yet built this important habit.

**Plan**

What needs to happen at school and at home to ensure that your Rocketeer continues to grow academically?

### At School, Home, or Both?

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<tr>
<th>Area to Focus On</th>
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This section should be filled out together with one of your Rocketeer’s teachers.
## Comportamiento y Hábitos

### Hábitos de los Rocketeers

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<th>Hábito</th>
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<tbody>
<tr>
<td>Su Rocketeer es respetuoso de otras personas en todos los espacios y en todo momento. Esto incluye sus acciones y su lenguaje.</td>
<td>Thumbs Up</td>
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<tr>
<td>Su Rocketeer completa las actividades de aprendizaje independientemente y con atención a los detalles.</td>
<td>Thumbs Up</td>
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<tr>
<td>Su Rocketeer muestra persistencia en desafiantes actividades de aprendizaje y con proyectos o textos que requieren un enfoque sostenido.</td>
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<tr>
<td>Su Rocketeer funciona bien con compañeros y contribuye al aprendizaje de todos.</td>
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<tr>
<td>Su Rocketeer pide ayuda cuando lo necesitan.</td>
<td>Thumbs Up</td>
<td>Thumbs Up</td>
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<tr>
<td>Su Rocketeer es responsable con sus materiales de aprendizaje y se mantiene organizado, incluso en el código de vestimenta.</td>
<td>Thumbs Up</td>
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### Leyenda

- ¡Excelente! Han demostrado ser ejemplares en esto.
- Están cumpliendo con las expectativas, pero pueden seguir mejorando en esto.
- Esto requiere mejora. Aún no han desarrollado este importante hábito.

### Plan

¿Que debe ocurrir en la escuela y en la casa para garantizar que su Rocketeer continue creciendo academicamente?

<table>
<thead>
<tr>
<th>¿En la casa, la escuela, o los dos?</th>
<th>Área para Enfocarse</th>
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Esta sección debe completarse junto con uno de los maestros de su Rocketeer.
Humanities Data

NWEA
MAP Growth or CPAA

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RIT Score Reference Chart

<table>
<thead>
<tr>
<th>Grade</th>
<th>Sept</th>
<th>Jan</th>
<th>May</th>
</tr>
</thead>
<tbody>
<tr>
<td>TK</td>
<td>No RIT scores provided – see percentiles above</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kinder</td>
<td>141</td>
<td>151</td>
<td>158</td>
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<tr>
<td>1st</td>
<td>161</td>
<td>171</td>
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<tr>
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<td>175</td>
<td>184</td>
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<tr>
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<td>188</td>
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<tr>
<td>5th</td>
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<td>210</td>
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*RIT scores are not provided on the CPAA assessment for TK and K4 students. Use percentile scores to evaluate achievement/growth.

STEP Level Reference Chart

<table>
<thead>
<tr>
<th>Grade</th>
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<th>Oct</th>
<th>Dec</th>
<th>Mar</th>
<th>June</th>
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<tr>
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<td>1</td>
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<tr>
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Unit Assessments

<table>
<thead>
<tr>
<th>Unit 1</th>
<th>Unit 2</th>
<th>Unit 3</th>
<th>Unit 4</th>
<th>Unit 5</th>
<th>Unit 6**</th>
<th>Unit 7**</th>
</tr>
</thead>
</table>

**Units 6 & 7 are TK-2 only due to CCSS state testing**
### RIT Score Reference Chart

<table>
<thead>
<tr>
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<th>Jan</th>
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<tbody>
<tr>
<td>TK</td>
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<td>Kinder</td>
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<tr>
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<td>5th</td>
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*RIT scores are not provided on the CPAA assessment for TK and K4 students. Use percentile scores to evaluate achievement/growth.

### Unit Assessments

#### MATH

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<thead>
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<table>
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<th>Unit 6</th>
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<tbody>
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#### SCIENCE

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<th>Sci Unit 3</th>
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### Cumulative Assessments

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<th>Round 4**</th>
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Part 1: Grade Level Content

Part 2: Counting & Fact Fluency

**Round 4 is TK-2 only due to CCSS state testing

### Parent Partnership

#### Parent Partnership Hours

<table>
<thead>
<tr>
<th># of Hours</th>
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<th>Feb</th>
<th>May</th>
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### Attendance

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<th>Feb</th>
<th>May</th>
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<tr>
<td># of Tardies</td>
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### Signatures

Parent / Guardian

Teacher
**Datos de Humanidades**

### NWEA

MAP Growth o CPAA

<table>
<thead>
<tr>
<th>Puntos RIT</th>
<th>Previo</th>
<th>Sept</th>
<th>Enero</th>
<th>Mayo</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Percentil</td>
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### STEP

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<th>Enero</th>
<th>Fin de Ano</th>
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<td>5°</td>
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</table>

*Los puntos de RIT son solamente por los estudiantes en los grados de Kinder a 5°. Por los estudiantes en TK/K4, necesita utilizar el percentil encima.*

### Exámenes de Unidad

<table>
<thead>
<tr>
<th>Unidad 1</th>
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<th>Unidad 3</th>
<th>Unidad 4</th>
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<th>Unidad 7</th>
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## Datos de Matemáticas

#### NWEA

MAP Growth o CPAA

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#### Exámenes de Unidad

**MATEMÀTICAS**

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**CIENCIAS**

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#### Evaluaciones Acumulativas

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<th>Ronda 2</th>
<th>Ronda 3</th>
<th>Ronda 4*</th>
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<tbody>
<tr>
<td>Parte 1</td>
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<tr>
<td>Parte 2: Fluidez</td>
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**Nota: Ronda 4 = solamente estudiantes de TK-2° grado**

#### Padres y Tutores

**Horas Voluntarias**

<table>
<thead>
<tr>
<th></th>
<th>Nov</th>
<th>Feb</th>
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**Asistencia**

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<tr>
<td># Retrasos</td>
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**Firmas**

Padre / Tutor Legal

Maestro
**Week X - Week Y**

**STEP CYCLE 2 ACTION PLAN**

**Humanities + Teacher Name + Link to T Prework**

### EOY Goals

<table>
<thead>
<tr>
<th>% on GL on STEP</th>
<th>% @/Above 67% on NWEA</th>
<th>% @/Above on IAB</th>
<th>Teacher Goal for Cycle 2</th>
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</thead>
<tbody>
<tr>
<td>EOY:</td>
<td>EOY:</td>
<td>EOY:</td>
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</tr>
<tr>
<td>Reality:</td>
<td>Reality:</td>
<td>Reality:</td>
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<tr>
<td>How close/ far?</td>
<td>How close/ far?</td>
<td>How close/ far?</td>
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</table>

### Phonics/ HFW Data Analysis

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<tr>
<th></th>
<th>Cohort A</th>
<th>Cohort B</th>
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<tbody>
<tr>
<td>Phonics % on target (pull from LNS and spelling data)</td>
<td></td>
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</tr>
<tr>
<td>Lowest performing phonics concepts</td>
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<tr>
<td>% on track for HFW</td>
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<tr>
<td>% off track for HFW</td>
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</table>

<table>
<thead>
<tr>
<th>Names of Ss above GL</th>
<th>Names of Ss on GL</th>
<th>Names of Ss 2-3 STEP levels behind + HFW List #</th>
<th>Names of Ss 3+ STEP levels behind + HFW List #</th>
</tr>
</thead>
</table>
**SCHOOL LEADER PRE-WORK**

**STEP Cycle 2 Action Plan**

<table>
<thead>
<tr>
<th>Key Skills needed for this group to advance</th>
<th>Key Skills needed for this group to advance</th>
<th>Key Skills needed for this group to advance</th>
<th>Key Skills needed for this group to advance</th>
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<tbody>
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<td>Phonics Reteach Ideas</td>
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**Phonics + GR Reteach Plan / Option 2**

<table>
<thead>
<tr>
<th>WHAT</th>
<th>KEY BLs</th>
<th>Link Texts + ACs</th>
<th>WHEN</th>
</tr>
</thead>
<tbody>
<tr>
<td>What standards/objectives are priorities for review?</td>
<td>Link ACs, videos, texts, relevant materials/ resources</td>
<td>Math: Flexible Grouping Block (for whole group) ELA: Thursday LB</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Which week/day?</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Color-code the date of the reassessment of the standard(s) /objectives.</td>
</tr>
<tr>
<td></td>
<td></td>
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<td>Link the quiz.</td>
</tr>
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<td>Phonics Concept</td>
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<tr>
<td>Phonics Concept</td>
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<tr>
<td>GR Group 1 Week 2</td>
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## Teacher Pre-work

### Overarching Trends

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<th>Week #</th>
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<tr>
<td></td>
<td>T skill:</td>
</tr>
<tr>
<td></td>
<td>Coach How:</td>
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</table>

<table>
<thead>
<tr>
<th>Week #</th>
<th>Student Outcome:</th>
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<tbody>
<tr>
<td></td>
<td>T skill:</td>
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<tr>
<td></td>
<td>Coach How:</td>
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</table>

<table>
<thead>
<tr>
<th>Week #</th>
<th>Student Outcome:</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>T skill:</td>
</tr>
<tr>
<td></td>
<td>Coach How:</td>
</tr>
<tr>
<td>Week #</td>
<td>Student Outcome:</td>
</tr>
<tr>
<td>--------</td>
<td>------------------</td>
</tr>
<tr>
<td></td>
<td>T skill:</td>
</tr>
<tr>
<td></td>
<td>Coach How:</td>
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<table>
<thead>
<tr>
<th>Week #</th>
<th>Student Outcome:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>T skill:</td>
</tr>
<tr>
<td></td>
<td>Coach How:</td>
</tr>
</tbody>
</table>
Welcome to the Rocketship SIP Playbook! This handbook is a tool meant to codify and streamline our vision for SIP as well as those resources developed to support SIP. Please reach out the your ISE NeST team with any questions or concerns.

- **SIP Overview**

- **Pillar I- Accessible Instruction**
  - Embedded Instruction
  - Hierarchy of Access Planning Framework
  - Independent Workstations
  - Core Content Connectors
  - Alternate Achievement Standards
  - Thematic Unit Planning
  - Peer Supports
  - Assistive Technology

- **Pillar II- Collaborative Practice**
  - Collaboration between General Education and SIP Teams
  - Collaboration between SIP teachers and Paraprofessionals
  - Collaboration between SIP teachers and Families

- **Pillar III- Data-Based Decision Making**

- **Pillar IV- Evidence-Based Practices**
  - Response-Prompting Systems
  - Reinforcers
  - Visual Supports
  - Video Modeling
  - Social Stories
Sensory Supports
○ Evidence-Based Replacement Curriculum

● Pillar V- Functional Learning and Life Skills Training
  ○ Self-Care Skills
  ○ Language/Communication
  ○ Social Skills
  ○ Community-Based Instruction

SIP OVERVIEW

Rocketship Public Schools is committed to making our schools a viable option for all students and families, including students with disabilities. As our population of students with more significant disabilities has increased over the last several years, the Integrated Special Education team has been hard at work developing innovative systems for supporting these students. One of the structures within Rocketship that supports this program is our Specialized Inclusion Program, which is housed at select Rocketship sites. However, there are also students with moderate learning needs who attend non-SIP Rocketship schools. This playbook serves as a collection of frameworks and strategies that we have found to be most helpful in supporting our students with more moderate to severe learning and behavioral needs and is a resource for both SIP and non-SIP educators working with this population.

OUR VISION

Rocketship’s Specialized Inclusion Program (SIP) aims to provide students a quality inclusive educational experience, based on the belief in every person’s inherent right to fully participate in society. Our model implies acceptance of differences and makes it possible for students who have moderate to severe disabilities to get a quality education alongside their typical peers. Our mission includes integrating SIP students into general education classes to the greatest extent possible, determined by their Least Restrictive Environment. We achieve this by utilizing evidence based practices to direct students’ attainment of academic and functional skills, while facilitating their social and emotional development. We collaborate with stakeholders to support student success and generalization of skills. Program decisions are driven by current research and student data. Our goal is to prepare students to function as independently as possible, while advocating for them to become lifelong learners.

The foundation of the SIP program is grounded in five SIP pillars. 1. Accessible Instruction 2. Collaborative Practice 3. Data-Based Decision Making 4. Evidence-Based Best Practices 5. Functional Based Learning and Life Skills Training. Each pillar is uniquely defined to meet the needs of students with moderate to severe disabilities. While many of these pillars embody our
overall ISE program, program components are specifically defined for the distinct needs of our SIP population.

**Pillar I: Accessible Instruction**

With an inclusive approach to special education services and programming, Rocketeers with unique learning and behavioral needs have the opportunity to learn, alongside typically-developing peers. In order to ensure that all Rocketeers realize ambitious academic gains, it is our responsibility to ensure that instruction is accessible to all. The key levers that we pull in order to increase the accessibility of instruction are outlined below:

**Embedded Instruction**

Embedded instruction is an instructional approach that teaches students with significant disabilities targeted skills in natural settings. With Rocketship inclusion model, The General Education setting is our targeted natural setting. Embedded Instruction promotes learning and independence in everyday activities, routines, and transitions. The idea is to meet students needs without changing their environment. This is done by providing students opportunities to learn and practice important skills in meaningful contexts. Identifying learning outcomes for students in the general setting and identifying opportunities to provide instruction during on-going routines, are critical components of embedded instruction. A detailed guide on Embedded instruction can be found [here](#).

**Hierarchy of Access Planning Guide**

The Hierarchy of Access Planning Framework is a planning guide used to facilitate the development of modifications for SIP students. This planning framework guides team members through a series of questions to determine how students should access their school environment. At the bottom of the hierarchy you will find the SIP Space. The function of the SIP space is unique to Rocketship and plays a central role in differentiating between the Mild/Mod and Mod/Severe programs. An overview of the SIP space can be found [here](#).

Included in the Hierarchy of Access planning guide is an Independent Workstation System (generally a series of drawers or bins) that contain tasks (academic, fine motor, adaptive, etc.) that can be completed independently by the student. The first drawer or tub will contain a less-preferred task and will progress to a highly preferred task in the last drawer or tub, thus encouraging the student to complete a less preferred task in order to get access to a more highly preferred task. There are four components to this organizational system:

1. Physical Organization: Clear consistent boundaries for specific activities
2. Visual Schedules: to accommodate difficulties of when and what activity will take place.
3. Task Organization: presentation provides the student with visually clear information on what is expected and how much is expected to be done.

**Universal Design for Learning (UDL)**

Realizing accessible instruction for SIP students will require all instructional staff to have a working knowledge of the UDL framework, and apply that framework to instructional planning and execution. We believe that UDL will enhance access to differentiated instruction for SIP students, ultimately increasing student achievement and accessibility.

**Core Content Connectors and Dynamic Learning Maps**

Students with significant cognitive disabilities will require substantial supports and accommodations in order to have meaningful access to common core standards in both instruction and assessment, based on their communication and academic needs. Core Content Connectors and Dynamic Learning Maps identify the most salient grade-level, core academic content in ELA and Mathematics found in both the Common Core State Standards and the Learning Progression Frameworks. CCC’s illustrate necessary knowledge and skills in order to reach learning targets. Core Content Connectors focus on the core content, knowledge and skills needed at each grade to promote success at the next, and identify priorities in each content area to guide instruction for SIP students.

**Goalbook Pathways**

Goalbook Pathways is another useful tool in planning to make CCSS accessible to students with significant learning needs. Teachers can browse CCSS by grade level and view a pathway of understanding needed to master the standard, along with embedded UDL strategies and formative assessment tools.

**Alternate Achievement Standards**

Alternate Achievement Standards (also known as Functional Academic Standards) are key common core aligned standards for students with severe disabilities. The primary difference between Core Content Connectors and the Alternate Achievement Standards is that the Alternate Achievement Standards stress the academic skills necessary to participate in everyday life. The focus of the alternative achievements standards include math, reading, language arts, local geography, and current events, as well as how these skills apply to community.
**ABLLS** are Alternate Achievement Standards that act as a roadmap for developing instructional plans for students with significant disabilities who are functioning at the pre-academic level. It looks at fundamental skills in a hierarchical sense and breaks them down into their essential components. The ABLLS framework helps facilitate the development of specific interventions.

**Thematic Unit Planning**

Thematic units utilize a single topic, theme, or overarching activity to bridge student learning across all academic, adaptive, and functional areas. They can be designed around a single activity, such as going to the store, or around a theme, such as “outer space.” Thematic units can last several days or weeks, and support generalization and application of skills. Our Tier 3 Unique Learning Systems Curriculum is designed around this evidence-based best practice instructional strategy. Archived ULS thematic unit materials and guides can be found here.

**Peer Supports**

The general education classroom can be a fast-paced confusing experience for SIP students. However, Peer-to-Peer Supports can facilitate some of the difficulties associated with this experience. One of the primary benefits of inclusion for students with significant disabilities is increased social development and engagement with peers. In order for both students with disabilities and typically developing peers to benefit from these social interactions, special and general education teachers must thoughtfully structure opportunities for meaningful social interactions.

SIP teams at Rocketship have found Peer Buddies to be an effective framework for providing both social and academic support to students with disabilities. A peer buddy is a typically-developing peer who is trained to provide support (such as prompting or redirection) to a student with a disability in the general education classroom. An effective peer buddy program benefits both the student with a disability and the typically-developing peer. Teams can use this structure to roll-out a peer buddy system, including peer buddy applications.

**Assistive Technology**

Assistive technology is any kind of technology that can be used to enhance accessibility for a person with a disability. Often, for students with disabilities, accomplishing daily tasks such as talking with friends, going to school, or participating in recreational activities is a challenge. Assistive Technology (AT) devices are tools to help to overcome those challenges and enable people living with disabilities to enhance their quality of life and lead more independent lives. See this IRIS training module for a general overview of Assistive Technology, as well as ideas...
for effectively incorporating AT into the classroom. Also view recommended learning apps for the iPad [here](#).

**This wiki page** describes seven stages of learner, ranging from Stage 1 where a learner is just beginning to use a device to control a computer, to Stage 7 where a learner is able to independently write using a computer. Apps are recommended for each stage of assistive technology user. View additional recommended iPad learning apps [here](#).

### Chromebook Modifications

There are a number of basic modifications that can be made to our Chromebooks in order to support students with visual disabilities or fine motor delays. These include:

- **Enlarging the mouse**
- **Slowing mouse movement**
- **Allowing Page Zoom**
- **Add text-to-speech functionality**

### Pillar II: Collaborative Practice

**Collaboration between General Education and SIP Teams**

The foundation for meaningful inclusion for all students with disabilities is successful collaboration between general education and special education team members. Prior to the start of the school year, SIP team members must proactively establish a collaboration schedule with general education teachers who will be working with the students on their caseload. The [Model of Support Summary](#) is a key lever in determining the scope of your collaborative relationship. We recommend that special education staff kick off collaborative relationships with school leaders and classroom teachers at the beginning of the school year with a formal “norm-setting” conversation. A general guideline for this conversation can be found [here](#), and can be adapted to meet the needs of each team and student. It will also be helpful to clarify the [Roles and Responsibilities](#) that General Education Teachers may have when working with SIP students.

**Ongoing Collaborative Structures**

There is no set frequency for formal collaboration throughout the school year, but SIP teams should plan to formally collaborate with general education teachers to support students with moderate-severe learning needs at least one time weekly. For Rocketship schools that have a formal Common Planning Time (CPT) structure for grade level collaboration, SIP specialists are encouraged to capitalize on this time for collaboration with grade level teams. Topics for
discussion during co-planning sessions will depend on the time of year and needs of individual students, but could include:

- Embedded Instruction
- Aligning on upcoming instructional topics/units of study
- Problem-solving challenging behaviors
- Preparing for upcoming IEP meetings
- Co-analyzing formal or informal assessment data
- Disability Awareness Lessons
- Facilitating the relationship between GE teachers and Paraprofessionals.
- Peer to Peer Supports

These CPT notes here can be modified to meet the needs of individual school teams and students. It is also important to include general education teachers in the process of creating SIP student schedule and/or providing input and feedback.

**Co-Teaching**

At Rocketship, co-teaching involves two equally-qualified individuals who may or may not have the same area of expertise jointly delivering instruction to a group of students. Co-teaching is played out in many inclusion classrooms at Rocketship where a General Education teacher and a Special Education teacher share responsibility for classroom management and instruction. These professionals work with a group of students in a common space toward shared goals. Co-teaching can be very successful and improve overall student outcomes if executed properly and if strategic planning occurs. It is important to remember the key components to a successful co-teaching relationship: co-planning, co-teaching and co-assessing. Successful Rocketship co-teachers have formalized meeting and co-planning structures, a classroom culture around inclusiveness, opportunities for teacher skill modeling and matching and ongoing data collection. See the Co-Teaching Vision of Excellence and Co-Teaching Playbook for more specific guidance around co-teaching practices and collaboration. Additional Co-teaching materials can be found in here.

**Collaboration between SIP teachers and Families:**

As the school year begins, there is no more important task than identifying how communication will occur between home and school. In many ways, systems of communication can set the stage for how relationships unfold over the course of the school year. Establishing a trusting relationship is critical. When a student has a disability that affects their ability to communicate, parents are often in the dark about what has happened during their child’s school day. This lack of knowledge about successes, challenges, curriculum, and even simple happenings can create
tension between families and teachers. Effective communication may require multiple modes and methods, and teachers should choose methods that best suit their needs and the needs of the families that they serve. Depending on the severity of a student's disability, we recommend that you establish daily communication routines. For students with more moderate needs, we recommended providing families with weekly updates. Here are a few example models and methods of home school communication.

Collaboration between SIP teachers and Paraprofessionals

The role of Paraprofessionals is very important in helping us achieve Rocketship vision. Paraprofessionals play an important role in providing student support. SIP specialists should plan to meet with paraprofessionals on a weekly basis to discuss weekly lesson plans, data, and to thought partner through any areas of concern.

It is important to note that a clear delineation of roles of the teacher and the paraprofessional is an important element of a successful program. The ISE teacher must function in a leadership role. Identification of teacher and paraprofessional roles insures adherence to ethical and legal requirements and serves as a guide in supervision.

Pillar III: Data-Based Decision Making

SIP teams are expected to utilize consistent and reliable means to monitor student progress and root program and instructional decisions based on student data. On a regular basis, SIP specialists will engage in a structured, data-based decision making process. Data Tracking processes for our SIP population and assessment tools can be found here.

SIP team members will share in data tracking responsibilities, depending on who is supporting a student at any given time. Data should be reviewed on an ongoing basis and discussed during structured collaboration meetings and check-in’s. On going data should be collected on the following:

- IEP Goals
- Curriculum Based Assessments and Curriculum Based Measurements
- Embedded Instruction/ Inclusion plans
- Unit and Plans and Weekly Lesson Plans
- Targeted Adaptive and Functional skills (i.e. use of AAC devices, toileting)
Pillar IV. Evidence Based Practices

Evidence Based Practices (EBP) for Autism and other disabilities can be utilized in the general education classroom and SIP space to support access, facilitation of IEP goal growth, and social interactions. Foci Evidence Based Practices are outlined below:

**Response-Prompting Systems:**

Response-Prompting Systems are a critical component of SIP lesson plan structures and should be utilized when teaching a student any skill. Response prompts are actions performed by any instructor working with a student. Most students with developmental disabilities will need additional assistance from staff to learn new skills. A variety of response prompting strategies should be used, including providing physical assistance, picture prompts, modeling, and verbal directions. After the student begins to acquire a skill, staff members should plan how to fade out assistance strategies so that students can gain independence. Response Prompt procedures for students with severe disabilities can be found [here](#).

**Reinforcers:**

When initially teaching a skill, instructors should reinforce every correct response. Reinforcement should include praise, but for some students or some skills it may also include tangibles. When providing students with reinforcement, the reinforcement should be descriptive of the correct response. Explicitly narrate why the student received the reinforcer. When doing so, the student is more likely to make the connection between the response and instructor approval. It is essential for instructors to identify the feedback they are going to provide during instruction.

All reinforcement, including praise statements will need to be faded so that students learn to complete tasks without instructor attention. This fading should occur using a variable schedule or a fixed duration. Over teaching sessions, the fading schedule is lengthened (e.g., after every fifth response; after every tenth response). Once praise is faded to a lean interval, the student is more likely to maintain the responses.

Reinforcement schedules are embedded in Tier 3 curriculums and are expected to be outlined in Unit and Lesson plans.

**Visual Supports:**

Visual supports are Evidence Based Practices that serve two main purposes. Visual supports
aide in facilitating communication and aide in facilitating student understanding. They help everyone communicate better with the student, and they help the student communicate better with others. Below we have derived a list of highest leverage visual supports to aide in SIP student achievement.

**Visual Schedules:** Between the executive function deficits (short term memory, attention, sequencing, etc.) and the language and social challenges of students with multiple disabilities, keeping pace with the environment becomes extremely challenging. Strict routines provide some order to chaos a student with autism or Intellectual Disabilities experiences. Predictability will reduce student anxiety. Organizers and schedules can help reduce anxiety and increase focus. Provide students a schedule of daily activities. Depending on the needs of the student, this can be photos, symbols or written information on what is happening, in what order and any changes to the regular routine (for example a substitute teacher, assembly, field trip or fire drill).

**Adaptive Literacy:** Visual Supports will increase students participation and interaction with text. Utilizing an organized collection of words and symbols prominently displayed within the environment will assist students in referencing, reading, and learning new vocabulary. Utilizing Adapted books provides a visual format for representing all or part of book text. Adaptive text resources can be found [here](#).

**Environmental Language Supports:** can also support language development in both the SIP room and the general education environment. Consider including the following supports in both spaces (and see this post from The Autism Helper for lots of great examples of environmental language supports)

**Video Modeling:**

Video Modeling is an evidence-based technique for teaching students behavior expectations and routines. In video modeling, a student or staff member models a routine or expected behavior. After a student watches the model demonstrate the skill/skills, the student begins to imitate skills from the video. Ideally the student begins to generalize or utilize that skill in his or her normal environment. This requires practice in the environment. Modelmekids and wondergrovekids are great resources for Video modeling.

**Social Stories:**

Social stories or narratives are an evidence based strategy for teaching routines or behavior expectations to students with moderate to severe learning needs. A social story describes a situation, skill, or concept in terms of relevant social cues, perspectives, and common responses in a specifically defined style and format. The goal of a Social Story is to share
accurate social information in a patient and reassuring manner that is easily understood by its audience. Carol Gray is the guru of social stories, and her resources can be found at http://carolgraysocialstories.com/.

**Sensory Supports**

Students with moderate to severe needs often require additional sensory supports to access their classroom and academic settings. These supports could be as simple as a quiet fidget tool, scheduling sensory movement breaks throughout the student’s day, or following a sensory diet. Sensory input can be calming or alerting to a student, and sensory supports should be provided with this in mind. Calming inputs include movements that are provided with deep, firm pressure (i.e. hugs, squishes) or input provided in a linear motion (i.e. rocking chair). Alerting inputs include movements that are quick and light (i.e. tickling, unexpected touch), or input that is rotational (i.e. spinning). Be sure to reach out to your Occupational Therapist for student specific recommendations.

**Evidence-Based Replacement Curriculum**

Rocketship has several supplemental curricular resources to support students with moderate to severe learning needs.

**Early Literacy Skills Builder (ELSB)** is an intensive intervention program that incorporates systematic instruction to teach both print and phonemic awareness. ELSB is a multi-year program with seven distinct levels and ongoing assessments so students progress at their own pace. It incorporates scripted lessons, least-prompt strategies, teachable objectives, built-in lesson repetition, and ongoing assessments. All students begin at Level 1. Instruction is one-on-one or in small groups. Teach scripted lessons daily in two 30-minute sessions. On the completion of each level, formal assessments are given. ELSB should be done in small groups. It should be implemented by any trained professional. Additional materials can be viewed here.

**Essential Elements**

<table>
<thead>
<tr>
<th>Lesson Components</th>
<th>Materials</th>
<th>Curriculum Starting Points</th>
<th>Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>● Phonemic Awareness</td>
<td>● Implementation Guide</td>
<td>● Students start at lesson 1</td>
<td>● Built-in mastery assessments</td>
</tr>
<tr>
<td>● Phonics</td>
<td>● Teacher’s Manual</td>
<td></td>
<td>● Performance observations</td>
</tr>
<tr>
<td>● Comprehension</td>
<td>● Student Material Books</td>
<td></td>
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<tr>
<td>● Student Assessment</td>
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Building with Stories complements Early Literacy Skills Builder and is designed to focus on **vocabulary** and **comprehension** development. The program is centered on a research-based ten-step framework designed to foster vocabulary understanding, print awareness, listening comprehension, communication independence, and word knowledge. It includes 10 award-winning story books, manipulatives, and scripted lessons within a teacher’s manual. Building with Stories should be taught in a small group setting 3-5 times weekly in 30 minute sessions. (See [this PPT](#) for an overview of using Building with Stories to encourage communication). Additional materials can be viewed [here](#).

**Essential Elements**

<table>
<thead>
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<th>Curriculum Starting Points</th>
<th>Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vocabulary, Print awareness, Listening comprehension, Word knowledge</td>
<td>Storybooks, Story-related manipulatives, Repeating storyline stickers, Student materials book, Teacher’s manual, CD with printable PDFs</td>
<td>Teacher determined</td>
<td>Informal performance observation, Built-in mastery assessments (checklists)</td>
</tr>
</tbody>
</table>

**Sound Partners** is an explicit, balanced, phonics-based tutoring program that provides individual instruction in early reading skills. Using lessons specifically designed for tutors, paraprofessionals, and assistants, this research-based solution:

- Improves phonemic awareness, decoding, word identification, and spelling skills
- Provides kindergarten instruction in phonological skills (syllable segmenting) and initial sound identification, and scaffolded practice in phoneme segmenting
- Includes application of word-reading skills through storybook reading practice

Additional Sound Partners materials can be viewed here.

Supplemental Curriculum/Materials:

**Unique Learning System** is an online, interactive, standards-based curriculum specifically designed for students with special needs. Subscribers download and interact with monthly, instructional, thematic units of study. Each unit contains special education lesson plans and interactive materials teachers can implement into classroom learning activities. All materials are created using SymbolStix symbols. The unit lesson plans define three levels of differentiated tasks which accommodate the diversity of learners with significant disabilities.

**Touch Math** is a multisensory math program that makes critical math concepts appealing and accessible for students who struggle to understand grade-level content. It is specially designed for students who struggle with computation and with memorizing math facts.

**Essential Elements**

<table>
<thead>
<tr>
<th>Lesson Components</th>
<th>Materials</th>
<th>Curriculum Starting Points</th>
<th>Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction/Warm Up/Review</td>
<td>Touch point poster</td>
<td>Students can start at any point in the curriculum. Starting point is based on assessment data and mastered concepts.</td>
<td></td>
</tr>
<tr>
<td>Counting</td>
<td>Implementation Materials (PDF)</td>
<td></td>
<td>Built in mastery quizzes and performance observations</td>
</tr>
<tr>
<td>Addition and Subtraction</td>
<td>Manipulatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Work Problems</td>
<td>Attendance/ Lesson Completion Logs</td>
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<td></td>
</tr>
<tr>
<td>Skip Counting</td>
<td>Progress Reports (for teachers and/or parents)</td>
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<tr>
<td>Multiplication and Division</td>
<td>Implementation Fidelity Checklist</td>
<td></td>
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<tr>
<td>Time</td>
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<tr>
<td>Money</td>
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<tr>
<td>Fractions</td>
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<tr>
<td>Closing/Final</td>
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You can find all of our Touch Math materials, including the curricula and training materials, on Box.

**Calendar Math** is not a curriculum but rather a series of math routines around the calendar which help students explore a range of math concepts (counting, patterns, time, sequencing,
etc.) in a meaningful, interactive way. See [this website](#) for a list of helpful calendar math resources. Calendar math materials can be inexpensively purchased from most teacher supply stores, including [Lakeshore](#). Additional calendar math materials can be viewed [here](#).

**Pillar V: Functional Learning and Life Skills Training**

Functional learning and life skills training involves teaching skills important to SIP student’s independent functioning. These skills include but are not limited to skills in the following domain areas: self-care, mobility, language/communication, and socialization. Generally, goals and objects in these skill areas are identified on the student's IEP. For maximum generalization all of these goals should be addressed daily. These skills can be incorporated into the typical classroom day with a little ingenuity and imagination.

Finding the connection between functional learning skills and general curriculum creates a great pathway to provide accessible instruction to students with moderate to severe disabilities. They key is not lessen the value of the general education experience or detracting from its academic benefit.

**Self-Care Skills**

Often, SIP students will need to develop independent skills related to toileting, dressing, or eating. Daily routines provide excellent opportunities for teaching these skills. For example, opportunities for teaching dressing and grooming skills occur several times daily. When students come into the room, they need to unzip their coats, take them off, and hang them up. This is a perfect chance to work on zipping, buttoning, and snapping. Every time the students go outside is also another wonderful time to work on these skills. Self-Care Skills can be easily incorporated into Embedded Instruction Lesson plans.

**Language/Communication**

Communication should be a skill embedded throughout the student's entire day. It is the thread connecting all aspects of the student's educational experience from academics to friendships. Simple requests to conversational skills can be woven into students daily routine. Some students will require [Augmentative and Alternative Communication tools](#) and environmental language supports are essential tools to help our students understand their environments and express themselves.

**Social Skills**

Remember that SIP students will require help learning social skills. Skills that frequently need to be addressed include appropriate forms of interaction with peers, initiating and maintaining
conversations, greetings and departures, eye contact, and skills related to cooperation. Many students often have difficulty discriminating the verbal and nonverbal cues that accompany social interactions. Evidence Based Practices of Video Modeling and Social Stories are great ways to incorporate social skill learning opportunities.

**Community Based Instruction**

One of the important outcomes of education is to have all students able to function in the community and become successfully employed. The Individual with Disabilities Education Improvement Act (IDEA, 2004) was created “to ensure that all children with disabilities have available to them a free appropriate public education that emphasizes special education and related series designed to meet their unique needs and prepare them for further education, employment, and independent living”.

The primary components of Rocketship Community Based Instruction include 1) Getting around in the community 2) Consumer Skills for the Community 3) Developing Independence and Responsibility 4) Community Participation.

**Getting Around in the Community**- Pedestrian skills and travel skills are essential to participation in the community and in the workplace among students with disabilities. Given effective training in both, many students with disabilities will exhibit appropriate pedestrian and travel skills. A number of pedestrian and transportation skills are included below:

<table>
<thead>
<tr>
<th>Pedestrian Skills</th>
<th>Transportation Skills</th>
</tr>
</thead>
<tbody>
<tr>
<td>● Responding to Signs</td>
<td>● Safe passenger behavior</td>
</tr>
<tr>
<td>● Crossing Streets and parking Lots</td>
<td>● Identifying appropriate bus</td>
</tr>
<tr>
<td>● Identifying intersections</td>
<td>● Waiting at the appropriate place</td>
</tr>
<tr>
<td>● Using Traffic Signals</td>
<td>● Reading bus timetable</td>
</tr>
</tbody>
</table>

**Consumer Skills for the Community**- To be able to participate in a variety of community activities, SIP students must be able to complete a number of tasks associated with their role as consumers. Consumer skills are important for students with disabilities to learn, both in the classroom and in the community. Consumers skills are important to students’ independence as well as their self-determination. By teaching consumer skills, teachers of students with severe disabilities empower students to experience greater autonomy.

<table>
<thead>
<tr>
<th>Counting Money</th>
<th>Locating Items</th>
</tr>
</thead>
<tbody>
<tr>
<td>● Determining cost of items desired</td>
<td>● Expressing preference</td>
</tr>
<tr>
<td>● Using coins and bills for purchases in community</td>
<td>● Choosing where to go</td>
</tr>
<tr>
<td></td>
<td>● Waiting in the checkout line</td>
</tr>
</tbody>
</table>
- Waiting for change
- Selecting Items for purchase

| • Comparing prices among similar items | • Adding to identify sum of prices of purchases. |

**Independence and Responsibility**- A variety of common tasks take place on a daily basis that will promote student independence and responsibility. Classroom jobs for example, provide students with informal responsibilities and work-related experiences. Students can take turns with their peers completing classroom jobs such as handing out papers, turning off the lights, sorting class materials, etc. Jobs can even be created specifically to work on a desired skill for a particular student's. All students have a need to begin to develop skills that will enable them to function as independently as possible. When fostering independent skills be mindful of over assisting students. Give them plenty of opportunities to create authentic work and opportunities to make their own choices Allow students to make mistakes and take risks.

**Community Participation**- Community participation is an important consideration in designing plans for recreation activities. To teach leisure and participation skills, Instructors may need to target instruction toward how to choose an activity, plan an outing, and perform the skills for the activity. Some activities require advanced planning. Students may also learn to plan an outing by selecting the activity, schilling on the caldera, and following a list of preparation items for activity (e.g arranging transportation, purchasing tickets.) Finally students may need instruction in how to perform the activity itself. For example, students may need systemic injection to learn to play soccer for participation in the Special Olympics.
Intro to UDL
Achievement for All
By the end of this session, teachers will…

• **articulate** the three areas of Universal Design for Learning (UDL) and **hypothesize** their application to planning and execution.

• **identify** barriers and pathways to address barriers for one case study student.
UD...What?

- Approach to curriculum
  - Planning and execution

- Mitigate barriers to maximize learning
  - Strength-based approach
Where did universal design start?
Universa

Curriculum that can be accessed and used by everyone.
If you design for those in the margins, your design benefits everyone.
Learning

Learning is not one thing. We need a curriculum that engages the three networks.
UDL Networks

**AFFECTIVE NETWORKS:**
**THE WHY OF LEARNING**
Engagement
For purposeful, motivated learners, stimulate interest and motivation for learning.

**RECOGNITION NETWORKS:**
**THE WHAT OF LEARNING**
Representation
For resourceful, knowledgeable learners, present information and content in different ways.

**STRATEGIC NETWORKS:**
**THE HOW OF LEARNING**
Action & Expression
For strategic, goal-directed learners, differentiate the ways that students can express what they know.
Recognition → Representation

• Comprehension
• Perception
• Language Symbols
Strategic → Representation

- Physical Action
- Expression and Communication
- Executive Functioning
Affective → Engagement

- Recruiting Interest
- Sustaining Effort and Persistence
- Self-Regulation
UDL thinking

What do we know about learners & context?

What are we here to do?

Identify potential barriers to learning

Identify possible supports

Use UDL to plan learning

Teach, evaluate, revise

Materials, Methods, Assessment
Barriers: Materials

- Manipulatives
- Music/Sound
- Computer
- Paper/Pencil
- Video
- Text
- Images
Barriers: Methods

- Seat Work
- Locations
- Groupings
- Written Presentation
- Lecture
- Reading
- Group Work
Barriers: Assessment

- Matching
- Fill in the Blank
- Mult. Choice
- Essays
- Oral Presentations
- Powerpoint
Gallery Walk

What barriers might students face in accessing materials, methods and assessments? Jot down your ideas on a post it.
Case Study

• Meet your students!
  - Strengths and interests
  - Potential barriers

• Strategies for...
  - Representation
  - Expression
  - Engagement
SWBAT write a paragraph describing the life cycle of a butterfly using domain-specific vocabulary.

<table>
<thead>
<tr>
<th>UDL Principle</th>
<th>Key Question or Guideline</th>
<th>Lesson Component</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Representation</strong></td>
<td>How is the information in the learning activity presented to the student?</td>
<td>Students read a short article about a butterfly’s life cycle.</td>
</tr>
<tr>
<td><strong>Action + Expression</strong></td>
<td>How will the student participate and demonstrate mastery in the learning activity?</td>
<td>Students write a paragraph that describes each stage in the metamorphosis cycle.</td>
</tr>
<tr>
<td><strong>Engagement</strong></td>
<td>How will students be motivated and sustain interest in the learning activity?</td>
<td>Students think-pair-share about an experience from their own lives.</td>
</tr>
</tbody>
</table>
UDL Principle: **Representation**

**UDL Guidelines** (barriers that might prevent students from learning):

1. **Perception**
   - Student may lack background knowledge

2. **Language, Expressions, and Symbols**
   - Print is too small for student to read
   - Print from photocopier is too light for student(s) to read

3. **Comprehension**
   - Student is unable to decode the text
   - Student may have difficulty retaining information if the text is too long
UDL Principle: **Representation**

**UDL Guidelines** (barriers that might prevent students from learning):
1. Perception
2. Language, Expressions, and Symbols
3. Comprehension

**POSSIBLE BARRIERS TO COMPREHENSION**
- Student is unable to decode the text
- Student may have difficulty retaining information if the text is too long

Checkpoints that specifically target barriers to comprehension:

3.1 Activate or supply background knowledge
3.2 Highlight patterns, critical features, big ideas, and relationships
3.3 Guide information processing, visualization, and manipulation
3.4 Maximize transfer and generalization
<table>
<thead>
<tr>
<th>Checkpoint</th>
<th>In order to...</th>
<th>The teacher could...</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1</td>
<td>activate or supply background knowledge</td>
<td>review key vocabulary with illustrations before students engage with the text.</td>
</tr>
<tr>
<td>3.2</td>
<td>highlight patterns, critical features, big ideas, and relationships</td>
<td>chunk the text so each section corresponds to each stage of metamorphosis.</td>
</tr>
<tr>
<td>3.3</td>
<td>guide information processing</td>
<td>pair a graphic organizer with the text, providing a structured method for note-taking while students read.</td>
</tr>
</tbody>
</table>
Case Study

- With alike teachers...
  - Select a student profile
  - Read LP with student needs in mind
  - Brainstorm enhancements using UDL strategies handout

- Strategies for...
  - Representation
  - Expression
  - Engagement
Case Study Presentations
### Coming Up...

#### At your site...
- Co-planning with a UDL focus
- Observations focused on UDL elements

#### In the network...
- Quarterly PD
  - Work analysis
  - Co-planning
  - What else?
CHILD FIND POLICY
All Regions

This policy applies to all Rocketship Education schools in all regions. This policy was written in compliance with relevant state and authorizer requirements in those regions.

Rocketship Education provides a free, appropriate public education to students with disabilities according to state and federal mandates. To be eligible for special education services, the child must be of school age, need specially designed instruction, and meet eligibility criteria for one or more of the following disabilities as set forth in the Individuals with Disabilities Education Improvement Act (the federal law which outlines legal responsibilities related to special education):

- Autistic-like Behaviors
- Blindness/Visual Impairment
- Deaf Blindness
- Deafness/Hearing Impairment
- Emotional Disturbance
- Intellectual Disability
- Multiple Disabilities
- Orthopedic Impairment
- Other Health Impairment
- Physical Disability
- Specific Learning Disability
- Speech and Language Impairment
- Traumatic Brain Injury

Rocketship has systems in place that assist the school in determining whether a student may have a disability. These include a specific “Child Find” form that is completed by parents upon enrollment in a Rocketship school. This also includes a Student Study Team (SST), or pre-referral, process in which school teams analyze data to identify students who are struggling academically, socially, or behaviorally and develop interventions to support the student. Rocketship has additional evidence-based interventions that are made available to students who require it; these include both differentiated instruction in the classroom and supplemental interventions in the learning lab and classroom. School teams monitor the progress of every child who receives intervention services in order to be able to identify any student who is not responding to interventions. Students who don’t respond adequately to pre-referral interventions are referred for a more intensive level of support, which may include a formal evaluation to determine if the student is eligible to receive special education services as a child with a disability.

If you have a concern regarding your child’s academic or social functioning, contact his or her classroom teacher, or a school leader at your school site.

Revised 6/1/17
Collaborative Practice Playbook

Rocketship Public Schools
2017-2018

At Rocketship, we believe that co-teaching and collaborative practice is the key to student success for all students, not just those with exceptional needs. This handbook is a tool meant to codify and streamline both our vision for co-teaching and collaborative practice as well as those resources developed to support this practice. Please reach out the your ISE NeST team with any questions or concerns.

1. **Where Should I Start?**
   a. Determining Co-Taught Classrooms
   b. Building a Relationship With Your Co-Teacher

2. **Co-Plan**
   a. Background
   b. Meeting Structures and Expectations
   c. The Weekly Meeting: Overview and Sample Agenda
   d. What Does a Co-Taught Lesson Plan Look Like?

3. **Co-Teach**
   a. General Models of Co-Teaching
   b. Implementation of Recommended Models
   c. Co-Teaching in the ELA Block

4. **Co-Assess**
   a. Teachers
   b. Students

5. **School Leaders and Co-Teaching**

6. **Resources**
Where Should I Start?

Determining Co-Taught Classrooms

At Rocketship, we believe that co-teaching is one way to increase access to the general education curriculum for all students. However, not every classroom will have a co-teaching model. Some considerations include:

- Co-taught classrooms/cohorts are recommended to have at least two students with IEP goals in the subject area in the cohort.
- Co-taught classrooms have data to support two teachers in the space.
- Co-taught classrooms should consider the schedule creation guidelines and ensure that co-teaching is happening during the highest lift instructional blocks, such as guided reading and phonics for ELA and CGI for math.
- Co-teachers should share several common beliefs that constitute a philosophy or a system of principles that guide their practice.
- The professional relationship should be built on parity, communication, respect, and trust.
- Co-teachers should have experience with RS curriculum, expectations and scheduling before beginning their co-teaching journey.
- Co-teachers should be willing to make a commitment to building and maintaining their professional relationship
- ISE Specialists should ensure that at least 33% of services are delivered in a high-lift co-taught setting

Building a Relationship With Your Co-Teacher

1. Get to Know One Another Personally and Professionally

The first step in building any relationship is to get to one another on a personal and a professional level. After all you will be working together for the entire year. When the two of you have built a comfortable relationship and rapport, students will feel even more comfortable with having the two of you co-teaching in a classroom. Students can sense tension as well as harmony within the learning environment. A positive relationship will help minimize misunderstandings and motivate you to resolve problems before they escalate.

2. Identify One Another’s Teaching Styles

Are you a hands-on teacher who loves doing experiments and using manipulatives, never to open a textbook, while your co-teacher needs to use the textbooks first and then supplement with experiments and manipulatives? How do you manage behaviors? What are your discipline styles? Instructional and discipline styles are just two factors you need to examine so that you can combine the best of both of your styles to create a cohesive classroom. You need to find a balance that makes everyone comfortable. When you plan lessons together, you can use your two styles to complement one another and thus enhance the lessons and the delivery of instruction. You create a cohesive classroom with consistent expectations when both of you are on the same page with instruction and discipline styles.
3. Discuss strengths and weaknesses

How can you utilize each instructor’s strengths and weaknesses? A good way to do this is to have each of you make a list of strengths, weaknesses, likes, and dislikes. Then take the lists and compare them and highlight the strengths that are dominant for one teacher and allow that person to be the lead teacher in those areas. By using these strengths, you can differentiate your instruction to meet the needs of a larger group more frequently within the classroom as well as allowing for individualized instruction.

4. Discuss Individualized Education Plans and general education goals

The education of students with IEPs belongs to both educators, so the general educator must be informed about the IEP for each child. It is important to discuss the modifications and accommodations as well as the goals and objectives to ensure student success in the classroom. The special and regular education teacher can then work together in meeting the student’s goals and ensuring adequate progress. In the same way, the general education teacher should discuss with the special education teacher his or her goals for the regular students, as the regular education students belong to the special education teacher as well. Both educators should be addressing the goals, objectives, and mandatory curriculum for that grade level.

5. Formulate a plan of action and act as a unified team.

You have to make decisions constantly throughout the year, so it’s necessary to develop a plan of action in the beginning of the year. Consider the following items in your plan of action: Scheduling, Expected classroom behavior, Classroom procedures, such as classwork and homework policies, turning in work, Consequences of not following rules and procedure, Grading and Assessments, Communication between home and school

6. Take risks and grow.

A wonderful outcome of co-teaching is that it allows you to take risks, learn from each other, and grow as professionals. Co-teaching provides a safety net when you take risks in your instruction. When you try something new and it doesn’t work, you have another teacher in the room who can step in with another technique or lesson that works, or point out the area of difficulty, or assist in redirecting the lesson. When you are the only teacher in the room and a lesson bombs, you often have to stop and move on and then analyze later why the lesson fell apart -- without the assistance of someone else in the room observing the lesson.

For a guide to building the co-teaching relationship please reference:

- Collaborative Practice Meetings Structures and Agendas
- Co-Teaching Roll Out Plan
- Co-Teaching Survey
- Classroom Expectations
- Co-Teaching Routines & Procedures
- Sample CPT Agendas
- Co-Teaching Videos
- Co-Teaching 1.0 PD
- Co-Teaching 2.0 PD
Co-Plan

Background: Co-planning is a crucial step in the co-teaching and collaborative practice model. Schedule meeting time into daily schedule to review each other's plans, figure out how they will co-implement the lessons to maximize learning, and give each other feedback. However, most teachers express concern about the time needed to form collaborative working relationships with their colleagues, particularly for activities such as co-teaching. They also worry about setting realistic expectations regarding time for collaboration. Although there is no secret to enable you to make more minutes in the day, these are some of the ways to make best use of the time available:

1. Use minimum days to schedule collaboration and planning time
2. Schedule time with your co-teacher and coach during CPT
3. Can divide work appropriately to be completed independently using Google Docs or other available technology
4. Plan a working lunch
5. Meet before or after school

Meeting Structures and Expectations

<table>
<thead>
<tr>
<th>Meeting Structure</th>
<th>Expectations</th>
</tr>
</thead>
</table>
| BOY Setting Expectations Meetings (Part 1: Coaches; Part 2: Coaches and Co-Teachers) Establishing Partnerships | Before School Year Begins:  
- Coach establishes expectations for communication, planning and initial implementation.  
- Coach(es) collectively establish a long-term plan for maximizing instruction using two teachers that considers both range and cluster of students’ needs as well as teachers’ current performance.  
- Coach & Principal schedule initial co-observation (at least 1-2x/month).  
- Coach(es) execute effective initial expectations meeting with co-teachers.  
- Coach(es) communicate(s) co-teacher expectations around communication, planning, and initial implementation after communicating teachers’ strengths and areas of growth.  
- Coach(es) communicate(s) co-teacher LTP for maximizing instruction using two teachers. |
| Weekly Meeting (CPT) Maintaining and developing the partnership & instruction | Weekly:  
- Co-teachers give each other feedback and review week’s data and plan ways to respond.  
- Co-teachers review upcoming week’s plans and establish tweaks to the flow of block based on the demands of the lesson/text and student needs both academically and behaviorally.  
- Co-teachers ensure both teachers know what they are doing each day. |

Sample Collaborative Practice Meeting Calendar

| Round Zero (PD Weeks prior to School Start) | -BOY Setting Expectations Meetings Part 1  
-BOY Setting Expectations Meeting Part 2 |
### Ongoing (Weekly)
- Weekly Meeting (includes data analysis)
- Co-Planning
- Co-Teaching

### Ongoing (Monthly +)
- Data analysis for co-taught classrooms
- Schedule and grouping adjustment based on data analysis findings
- Review of incoming IEPs and/or new additions to the ISE caseload

## The Weekly Meeting

A weekly meeting agenda should include the following components:

- **Follow-up on last week's next steps**
- **Reactive:** Look at previous week's data (quizzes, exit tickets, homework turn in rates, classwork) to identify scholars that are struggling. Decide on next steps for these scholars (i.e. re-teaching, parent phone call, after school tutoring session, incentive chart, study guide, more/different accommodations).
- **Proactive:** Review each other's lesson plans (or lesson plan components) for the upcoming week. Plan how each teacher will be used to maximize learning for the entire class period and determine who will accomplish which tasks.
- **Feedback:** Give each other instructional and management/interpersonal feedback (2x2).

### Instructional Example

<table>
<thead>
<tr>
<th>Instructional Example</th>
<th>Management/Interpersonal</th>
</tr>
</thead>
<tbody>
<tr>
<td>When you say a scholar's name and then pose the question, Scholars X and Y don't listen to the question because they know they won't be responsible for the answer. Can you ask the question, pause, then call on a student?</td>
<td>When you tell Scholar X she can go to the BR after I told her no, it undermines my authority.</td>
</tr>
</tbody>
</table>

- **Logistical:** Look at the upcoming calendar to make sure each co-teacher knows how to plan for the following week and what to bring to the next co-teaching meeting.

  **Be sure to take notes and send them to both grade level and ISE coach!**

### Process for Scheduling Weekly Meeting Time:

1. Find at least 40 min. during the school day, once a week, when co-teachers are not teaching. For example, teachers could meet during CPT or before/after school.
2. Indicate on the schedule WHEN co-teachers should meet.
3. Determine WHO will hold co-teachers accountable for meeting (observe the meetings sporadically and review notes).
Weekly Meeting Sample Agenda

Time: Weekly During CPT
Participants: Both Teachers, ISE Program Specialist (Optional), Coaches (Optional)

<table>
<thead>
<tr>
<th>Pre-Work</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>General Educator</strong></td>
</tr>
<tr>
<td>● Prepare high level plans for week and email to Special Educator at least 48 hours prior to the meeting</td>
</tr>
<tr>
<td>● Review previous week’s data</td>
</tr>
<tr>
<td>● Prepare 1x1 feedback</td>
</tr>
<tr>
<td><strong>Special Educator</strong></td>
</tr>
<tr>
<td>● Read high-level plans for the week</td>
</tr>
<tr>
<td>● Review previous week’s data</td>
</tr>
<tr>
<td>● Prepare 1x1 feedback</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Aim of the Meeting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Give each other feedback</td>
</tr>
<tr>
<td>Review week’s data and plan ways to respond</td>
</tr>
<tr>
<td>Review upcoming week’s plans</td>
</tr>
<tr>
<td>Ensure both teachers know what they’re doing each day</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Guiding Questions</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. What does our most recent academic and behavioral data indicate and how can leverage our “flow of the block” to close these gaps?</td>
</tr>
<tr>
<td>2. What do we need to coordinate (be on the same page about) to implement the basic “flow of the day”?</td>
</tr>
<tr>
<td>3. Who will prepare which materials? (Are we clear on follow-up/Next Steps?)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Agenda</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Opening</strong></td>
</tr>
<tr>
<td>● Hello!</td>
</tr>
<tr>
<td>● Decide on note-taker and time-keeper</td>
</tr>
<tr>
<td><strong>1x1 Feedback</strong></td>
</tr>
<tr>
<td>● 1 thing to keep doing</td>
</tr>
<tr>
<td>● 1 thing to change</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ISE Teacher</th>
<th>GE Teacher</th>
</tr>
</thead>
<tbody>
<tr>
<td>KEEP</td>
<td>KEEP</td>
</tr>
<tr>
<td>CHANGE</td>
<td>CHANGE</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Looking Back</th>
</tr>
</thead>
<tbody>
<tr>
<td>● Respond to trends from previous week’s data (exit tickets, quizzes, behavioral consequences/trends)</td>
</tr>
<tr>
<td>● Which students struggled academically?</td>
</tr>
<tr>
<td>● How can we maximize our “flow of the block” to prevent these scholars from struggling this coming week?</td>
</tr>
<tr>
<td>● Which students struggled behaviorally?</td>
</tr>
<tr>
<td>● How can we leverage our “flow of the block” to support these scholars in managing their behavior?</td>
</tr>
</tbody>
</table>
Looking Forward

- Review upcoming plans
- Walk through each day’s plans
- Ask and Answer clarifying questions
- Ensure both teachers know exactly what they’re doing at each part in the lesson and who is preparing which materials

Next Steps (5 min)

- Email meeting notes to each other and cc coaches.
- Prepare/plan for all accommodations

What Does a Co-Taught Lesson Plan Look Like?

We believe that co-planning can take many different forms at Rocketship, leading to many different types of co-planned lessons. These lesson samples are meant to provide an overview of the co-planning thought process. This list will be added to as examples of exemplary co-taught lessons at Rocketship begin to roll in.

- Co-Taught Phonics Lesson Plan Template
- Co-Planning Weeklong Overview
- ISE SAI Unit Plan Template

For more resources related to co-planning, please reference:

- STEP Collaborative Planning Tool
- Collaborative Practice Meeting Structures & Agenda
- Sample CPT Agendas
- Co-Planning Folder
- Co-Teaching Videos
- Co-Teaching 1.0 PD
- Co-Teaching 2.0 PD
- Co-Teaching 3.0 PD

Co-Teach

There are six different approaches to co-teaching. The approaches that a school site decides to use depend on the individual needs of both the staff and the students. School team members should consider these needs when determining which approaches to adopt. The ISE department highly recommends using the Alternative or Station Teaching methods.
One of the advantages in co-teaching is that more detailed observation of students engaged in the learning process can occur. With this approach, for example, co-teachers can decide in advance what types of specific observational information to gather during instruction and can agree on a system for gathering the data. Afterward, the teachers should analyze the information together.

In a second approach to co-teaching, one person would keep primary responsibility for teaching while the other professional circulated through the room providing unobtrusive assistance to students as needed.

On occasion, student learning would be greatly facilitated if they just had more supervision by the teacher or more opportunity to respond. In parallel teaching, the teachers are both teaching the same information, but they divide the class group and do so simultaneously.

In this co-teaching approach, teachers divide content and students. Each teacher then teachers the content to one group and subsequently repeats the instruction for the other group. If appropriate, a third "station" could require that students work independently.

In most class groups, occasions arise in which several students need specialized attention. In alternative teaching, one teacher takes responsibility for the large group while the other works with a smaller group.

In team teaching, both teachers are delivering the same instruction at the same time. Some teachers

<table>
<thead>
<tr>
<th>Model</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>One Teach, One Observe</strong></td>
<td>One of the advantages in co-teaching is that more detailed observation of students engaged in the learning process can occur. With this approach, for example, co-teachers can decide in advance what types of specific observational information to gather during instruction and can agree on a system for gathering the data. Afterward, the teachers should analyze the information together.</td>
</tr>
<tr>
<td><strong>One Teach, One Drift</strong></td>
<td>In a second approach to co-teaching, one person would keep primary responsibility for teaching while the other professional circulated through the room providing unobtrusive assistance to students as needed.</td>
</tr>
<tr>
<td><strong>Parallel Teaching</strong></td>
<td>On occasion, student learning would be greatly facilitated if they just had more supervision by the teacher or more opportunity to respond. In parallel teaching, the teachers are both teaching the same information, but they divide the class group and do so simultaneously.</td>
</tr>
<tr>
<td><strong>Station Teaching</strong></td>
<td>In this co-teaching approach, teachers divide content and students. Each teacher then teachers the content to one group and subsequently repeats the instruction for the other group. If appropriate, a third &quot;station&quot; could require that students work independently.</td>
</tr>
<tr>
<td><strong>Alternative Teaching</strong></td>
<td>In most class groups, occasions arise in which several students need specialized attention. In alternative teaching, one teacher takes responsibility for the large group while the other works with a smaller group.</td>
</tr>
<tr>
<td><strong>Team Teaching</strong></td>
<td>In team teaching, both teachers are delivering the same instruction at the same time. Some teachers</td>
</tr>
</tbody>
</table>
referred to this as having “one brain in two bodies.” Others call it “tag team teaching.” Most co-teachers consider this approach the most complex but satisfying way to co-teach, but the approach that is most dependent on teachers’ styles.

### Implementation of Recommended Models

#### Station Teaching

In station teaching, teachers divide content and students. Students rotate from one teacher to another and also to an independent station so that each teacher repeats instruction three times and each student accesses both teachers and the independent station. If appropriate, the third station could be set up to require that students work in pairs instead of independently. Please note that station teaching does not replace the general education teacher’s role; it is a supplement. For example, if students receive guided reading instruction from the ISE specialist, they should also receive guided reading instruction from their GE teacher.

<table>
<thead>
<tr>
<th>When to Use</th>
<th>Planning</th>
<th>Applications</th>
<th>Benefits</th>
<th>Challenges</th>
</tr>
</thead>
<tbody>
<tr>
<td>When content is complex but not hierarchical</td>
<td>Medium</td>
<td>Guided Reading Corrective Instruction</td>
<td>Defined roles “Fit in” extra content Peer modeling Varied activities Opportunity for differentiated content in homogenous skill groups</td>
<td>Management Student confusion Independent station</td>
</tr>
<tr>
<td>In lessons in which part of planned instruction is review</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>When several topics comprise instruction</td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Alternative Teaching
In most class groups, situations arise in which a small group needs to work with one teacher (can be either ISE or classroom teacher) while the larger group works with the other teacher (can be either ISE or classroom teacher). In alternative teaching, the large group completes the planned lesson while the small group either completes the same lesson taught at a different level or for a different purpose. This arrangement might take an entire class period, or it might be used for just a few minutes at the beginning or end of a lesson.

<table>
<thead>
<tr>
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<th>Benefits</th>
<th>Challenges</th>
</tr>
</thead>
<tbody>
<tr>
<td>In situations where students' mastery of concepts taught or about to be taught varies tremendously</td>
<td>High</td>
<td>The large group completes a practice exercise related to the concepts just taught; the small group receives additional direct instruction</td>
<td>Defined roles; Differentiation; Remediation/Enrichment; Behavior Management</td>
<td>Don't pigeonhole</td>
</tr>
<tr>
<td>When extremely high levels of mastery are expected for all students</td>
<td></td>
<td>The large group checks homework; the small group is pre-taught vocabulary related to the day's lesson</td>
<td></td>
<td>May reduce exposure to GE curriculum</td>
</tr>
<tr>
<td>When enrichment is desired</td>
<td></td>
<td>The large group is working on projects in small groups; the small group is being assessed. All students will be assessed across two days.</td>
<td></td>
<td>Inclusion efficacy</td>
</tr>
<tr>
<td>When some students are working in a parallel curriculum</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Co-Teaching in the ELA Block**

In 17-18 at Rocketship, our collaborative practice initiative focuses primarily around co-teaching in ELA for a myriad of reasons. With that in mind, the following is meant to provide a summary of each ELA component along with suggested styles and examples of co-teaching for each. Please note that this list is not exhaustive, but rather a starting point for our creative and skilled teachers!
Phonics Block
The purpose of the Phonics block is to provide fundamental reading skills and instruction to groups of students in lower elementary. Phonics is a great opportunity to provide instruction in a co-taught setting to differentiate instruction.

Alternative Teaching
Teacher A could teach a larger group while Teacher B leads a smaller phonics group.

Station Teaching
While Teacher A is leading a phonics group, Teacher B could lead a differentiated phonics group.

Reading Comprehension: Narrative/Non-Fiction
The purpose of Reading Comprehension is to give students practice with a new reading skill or to reinforce what was previously taught during the Read Aloud block. During Reading Comprehension, the teacher models the reading skill, and Rocketeers practice the reading skill together. After group practice, Rocketeers will practice the skill independently.

Team Teaching
Teacher A could read/think aloud as Teacher B is illustrating an input chart.

Parallel Teaching
Teachers could split the class in half and deliver the same lesson but to a smaller group size.

Guided Reading
Guided Reading is a component where flexible, small group sessions take place in order to target the aspect that Rocketeers need support with.

Alternative Teaching
While students are in work stations, Teacher A could pull a group of students for a double dose of guided reading. Please note that all students should have guided reading with the GE teacher.

Station Teaching
While Teacher A is leading a group, Teacher B could lead a double dose guided reading group.

Literacy Work Station Time
While students are working with small guided reading and skills-based groups with their teacher, all other students will be working independently or in small groups in literacy work stations. Literacy work stations should not only give the teacher an opportunity to meet with differentiated groups, but should also provide every student with meaningful learning opportunities that allow for them to engage deeper in previously taught content, receive differentiated instruction, and interact with multiple forms of practice-based activities.

Station Teaching
While Teacher A is leading a guided reading group, Teacher B could lead a double dose guided reading group. Teacher B could also support in small group skill building.

Alternative Teaching
While students are in work stations, Teacher A could pull a group of students for a double dose of guided reading.

Co-Teaching in the Math Block
Just because our collaborative practice initiative focuses primarily around co-teaching in ELA, math can also be a great opportunity for co-teaching depending on student need. With that in mind, the following is meant to provide a summary of each math structure along with suggested styles and examples of co-teaching for each. Please note that this list is not exhaustive, but rather a starting point for our creative and skilled teachers!

<table>
<thead>
<tr>
<th>Math Block Structure</th>
<th>Co-Teaching Applications</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Structure #1: Rotational Teaching</strong></td>
<td><strong>Station Teaching:</strong> Co-teachers should analyze data to determine which students would benefit from additional teacher-led instruction either in remediation, pre-teaching, or extension. These students should then rotate to the second teacher-led station with the ISE</td>
</tr>
<tr>
<td>Rotational Teaching has students working in three different groups, homogeneously grouped based on their academic data. Students participating in the Core Lesson work with the teacher, while their</td>
<td></td>
</tr>
</tbody>
</table>

- **Structure #1: Rotational Teaching**
  - Rotational Teaching has students working in three different groups, homogeneously grouped based on their academic data. Students participating in the Core Lesson work with the teacher, while their

  - **Station Teaching:** Co-teachers should analyze data to determine which students would benefit from additional teacher-led instruction either in remediation, pre-teaching, or extension. These students should then rotate to the second teacher-led station with the ISE.
classmates either receive personalized instruction from OLP's or engage in centers work. Student groups then rotate every 17 minutes to participate in the next learning station. Preferred for TK-2.

Structure #2: Gradual Release from Whole Group
Gradual Release from Whole Group has all students begin with participating in the Core Lesson in a whole group setting. As the lesson progresses however, students who demonstrate the ability to work with a skill independently are released through the teacher’s process of "affirmative checking," and are able to move into the independent practice portion of the lesson sooner. Students who need more teacher support in the Core Lesson stay with the teacher until they too demonstrate a readiness to work independently. After 15 minutes, all students are either working independently on the independent work or are in a teacher-supported Small Group Instruction (SGI) session. Students who finish the independent work move straightaway into centers activities or OLP’s, while students who were formerly in SGI with the teacher are gradually released to the independent work. Preferred for grades 2-5 on key lesson days.

Team Teaching → Alternative Teaching: Teachers start together team teaching the core lesson. The ISE specialist participates in "affirmative checking" so that both teachers know which skills need to be reinforced. Then, the ISE specialist can provide additional support through alternative teaching during SGI. This allows SGI group sizes to be smaller and further targeted to student need.
- Example: Six students require extended practice with the day’s core lesson. Based on affirmative checking during the core lesson, four of them struggle primarily with math vocabulary, whereas two struggle with calculation. The general education teacher and ISE specialist can split this group of students into smaller groups based on need.

Structure #3: Half & Half
Half & Half Teaching has students in two groups, with roughly half the class present with the teacher for the Core Lesson, while the other half of the class participates in either centers or OLP’s. At the end of the given amount of time, students rotate to the next activity, similar to Option 1. During the last 15 minutes, all of the class is working independent

Station Teaching: The general education teacher and ISE specialist could collaborate to increase the amount of small groups that are pulled during the last 15 minutes of the block for corrective instruction. A second option is for ISE specialists to add a rotation during the rotations or core lesson instruction (choosing a flex lesson)
- There are five students who require remediation on a supporting lesson day. The ISE specialist
from the teacher, allowing the teacher to pull small groups for corrective instruction. Preferred for grades 2-5 on supporting lesson/flex days.

meets with these students after they meet with their GE teacher to review concepts learned in a multi-modal approach before sending them to work on OLPs.

Alternative Teaching: The general education teacher and ISE specialist could split the teacher-led group in half and differentiate instruction in each group based on need.
- A third grade cohort has demonstrated need solving word problems involving fractions, but half the student’s struggle with the calculation and half struggle with deciphering the word problem. The ISE specialist teaches the flex lesson around calculation whereas the general education teacher teaches the group who struggle to decipher word problems.

Parallel Teaching: The general education teacher and ISE specialist could split the teacher-led group in half to further reduce group size if needed.
- A second grade cohort includes students with behavioral needs, including two students with behavior intervention plans who benefit from small group instruction. The ISE specialist and general education teacher split the teacher-led group in half and teach the same lesson, thus decreasing the student:teacher ratio.

For more resources related to co-teaching, please reference:
- Co-Teaching Videos
- Co-Teaching 1.0 PD
- Co-Teaching 2.0 PD
- Co-Teaching 3.0 PD

Co-Assess

We are data driven at Rocketship. We know that careful analysis of results both in the moment and ongoing leads to great results. In a co-taught classroom, co-assessing comes in two forms: assessing each other and our scholars.
Co-Assess: Teachers

At Rocketship, we strongly believe that it is important to assess, observe and evaluate all of our educational practices. With co-teaching assessments, we can use evaluations to determine the effectiveness on student outcomes. Personal evaluations should be done on a weekly basis, examining goals, objectives, roles and responsibilities. Teacher co-assessment will take place during weekly planning meetings are co-teachers give each other feedback in a 2x2 format. When school leadership is observing co-teaching in action, one should be looking for the following:

- Evidence that each teacher’s role is clearly identified throughout the lesson
- Evidence that the special educator is identified as a teacher with equal instructional responsibilities
- Evidence of co-planning
- Evidence of an effective lesson
- Evidence of research-based effective and systematic instructional strategies, both academic and behavioral
- Evidence of opportunities to learn, including accessibility strategies and accommodations, where needed, for students with disabilities
- Evidence that all students are actively engaged by asking and answering questions
- Evidence of progress monitoring
- Evidence of effective classroom management
- Evidence that the appropriate academic standards are being taught

When thinking about teacher co-assessment, it can also be helpful to leverage the co-teaching rubric, broken into four different levels of co-teacher proficiency in the following categories:

<table>
<thead>
<tr>
<th>Category</th>
<th>Level Four Looks Like...</th>
</tr>
</thead>
</table>
| Communication and United Front  |  ● Models effective communication styles for students including: effective ways to listen, communicate, problem solve, and negotiate  
    |  ● Use of non-verbal communication, including use of signals and cueing to communicate in the moment around scholar learning and management  
    |  ● Issues of concern (noticed inaccuracies in lesson delivery or behavior oversight) are always addressed respectfully  
    |  ● Use of humor in communication feels natural and effective  
    |  ● Always uses "plural language" ("we")  
    |  ● Effective use of mini conferences to strategically adjust mid-lesson resulting in increased scholar learning |
| Physical Presence               |  ● Teachers' fluid movement is natural and strategically reaches all scholars to support them both academically and behaviorally  
    |  ● Student desks are moved regularly and strategically for grouping purposes  
    |  ● Co-teachers circulate to all scholars to assess 100% of scholarly work.  
    |  ● Both co-teachers equally interact with scholars to support scholar learning |
| Effective Lesson Delivery       |  ● Both teachers actively participate in the presentation of the lesson, provide instruction, and effectively uses agreed upon instructional strategies that demonstrate a deep understanding and passion for the content  
    |  ● Both teachers field questions and discuss concerns with scholars equally  
    |  ● Flexible small group instruction are the predominate configurations used for instruction  
    |  ● When appropriate, teachers consistently use differentiated entry points resulting in a significant increase in scholar learning  
    |  ● Teachers always are nimble enough to change course during instruction to meet struggling learners' needs |
Classroom Management/Engagement
- Teachers use the least invasive strategies with all students in the class
- All scholars align their behavior to the high expectations
- Both teachers are supporting Tier 1-3 behavior intervention including and not limited to behavior data collection so that there is no negative impact on learning
- Grouping configurations are used daily

Specially Designed Instruction and Planning
- Plans are always made that outline both teachers roles in the classroom
- There is always interaction between co-teachers regarding modification to curriculum before the lesson
- Teachers always pre-determine when and what data will be collected
- Teachers always pre-plan how they will make mid-class instructional shifts based on data to respond to misunderstandings
- Both co-teachers are deeply familiar with the content or methodology used in the lesson and can scaffold content and break it down with ease for all scholars to reach rigorous outcomes
- Accommodations and modifications utilized for all scholars who need them.
- Learning styles and multiple intelligences are regularly considered in the lessons' learning strategies both in lesson strategy and choice of how to demonstrate mastery

Assessment of Classroom Learning
- Instructional data is always collected, analyzed, discussed and reflected in flexible groups and differentiated class activities to CFU and constantly measure progress towards mastery
- Data consistently is collected by both teachers throughout class
- Collected data is always jointly analyzed, graphed and tracked and made transparent to scholars by co-teachers
- When appropriate, scholars are assessed in differentiated ways
- Assessment results consistently shows positive results for students who historically have performed at a different range
- Assessments results consistently shows outperformance of non-co-taught classes

Co-Assess: Students
We know that data must drive our instruction, and this is especially true in co-taught classrooms. Use this DDI protocol to group and plan for student needs. Feel free to adjust this template or use another form of data analysis as needed. This STEP workbook can be helpful for analyzing and planning guided reading groups.

Co-Teaching Strategies per Student Performance
It's not enough to simply have the data: we must also align student needs with strategies. Use the following strategies tool to plan specific styles of teaching and methods for those styles to each group of students in a co-taught classroom.

<table>
<thead>
<tr>
<th>High Performing Students</th>
<th>Mid-High Performing Students</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Alternative teaching:</strong></td>
<td><strong>Parallel teaching:</strong></td>
</tr>
<tr>
<td>- Ask kids to read portions of text at home, and during AIR, one teacher pulls to push discussion.</td>
<td>- Split the class during discussion heavy lessons and ensure your mid-highs are called on frequently to articulate ideas.</td>
</tr>
<tr>
<td>- Have scholars attempt TDQ before whole class discussion, and then debrief ideas and revise work.</td>
<td>- Pull a smaller group with a large number of mid-highs on a heavy drafting day to receive more frequent and targeted feedback.</td>
</tr>
</tbody>
</table>
### Supplementary teaching:
- Give kids extra credit - more rigorous assignments or additional texts that are related to the unit.

### Team teaching:
- One teacher in the pair clearly identifies the 4-5 “mid-high” scholars they will give more consistent feedback to, listen to turn and talks to push ideas, conduct whisper conferences during AIR/whole group, etc.

### Mid-Low Performing Students

**Parallel teaching:**
- Split the class during discussion heavy lessons and ensure your mid-lows are called on frequently to articulate ideas.
- Pull a smaller group with a large number of mid-lows on a heavy drafting day to receive more frequent and targeted feedback.

**Team teaching:**
- One teacher in the pair clearly identifies the 4-5 “mid-low” scholars they will give more consistent feedback to, listen to turn and talks to push ideas, conduct whisper conferences during AIR/whole group, etc.

### Low Performing Students

**Alternative teaching:**
- One teacher should pull that subset of scholars immediately (skipping the Do Now) and use the same read aloud text. The teacher should either zoom in on a piece of the read aloud book that will be confusing to the scholars OR scaffold the same questions and allow more think time.
- Pull a small group during the do-now to read a quick summary of what they will read about for the day so they can follow the general flow of the text.

**Team teaching:**
- Use both teachers to monitor strategic partner reading (a stronger reader reads aloud and models strong fluency)

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For additional resources related to co-assessment, please reference:
- Sample Co-Assess Checklist
- DDI for the Co-Taught Classroom
- Co-Teaching Rubric
- Tools for Coaching ISE (Includes Observation Tools)
- STEP Workbook for ISE

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### School Leaders and Co-Teaching

As with all pieces of a successful Rocketship school, school leadership must both be invested in co-teaching and they must provide the support and leadership to ensure its success. Below, find a few ideas of how school leaders can support co-teaching and collaborative practice at their site.

#### Selecting Co-Teachers
- Allow team/self selection of grade/subject if possible.
- May want to start with ISE and Regular Education co-teaching relationships first, they are often built in for student IEP support.

#### Create a Collaborative Culture
• Clearly communicate expectations for collaboration.
• Make faculty aware that co-teaching leads to a significant increase in in-class support.
• Assign ISE specialists to grade levels to more quickly build communication, collaboration and coordination.
• Provide a regularly scheduled time for co-teaching planning.

**Face-to-Face Planning Time**
• Make common planning time a priority for teachers who are co-teaching.
• Provide professional development for effective use of time in classrooms, planning and creation of team norms around co-teaching.
• Attend planning meetings as needed
• Review planning meeting notes
• Facilitate CPT with co-teachers in partnership with grade level coach

**In Class Support**
• Assists in building and sustaining trust amongst co-teachers.
• Face to face planning time.
• Set mutual goals and defining roles.
• Monitor and celebrate accomplishments.

**Observing and Assessing Co-Teaching**
• Use Co-Teaching Rubric
• Have teachers self assess using the Co-Teaching Rubric
• Use tools during scheduled walkthroughs
• Debrief with teachers on a regular basis
• Revisit teachers' goals related to co-teaching
• Use the Co-Teaching Observation Checklist regularly
• Give feedback and regularly monitor next steps

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**Resource List**

**General**
• [Co-Teaching Year at a Glance](#)

**Co-Plan**
• [Collaborative Practice Meetings Structures and Agendas](#)
• [Co-Teaching Roll Out Plan](#)
• [Classroom Expectations](#)
• [Co-Teaching Routines & Procedures](#)
• [Co-Teacher Survey](#)
• [Sample CPT Agendas](#)
• [Lesson Example: Lesson Overview](#)
• [Co-Teaching Lesson Plan Sample Template](#)
• [Co-Teaching Lesson Plan Checklist](#)
• [Co-Planning Weeklong Overview](#)
- Co-Planning Folder
- ISE Lesson/Unit Plan Template
- STEP Workbook for ISE

Co-Teach
- Key Indicators of Excellence
- Co-Teaching Videos
- Co-Teaching PD

Co-Assess
- Sample Co-Assess Checklist
- DBI for the Co-Taught Classroom
- Co-Teaching Rubric
- Tools for Coaching ISE (Includes Observation Tools)
- Co-Teaching Observation Checklist
- STEP Workbook for ISE
Educational Related Mental Health Services (ERMHS) Referral, Assessment, and Service Delivery
Department of Integrated Special Education, Rocketship Education (California Schools)

What are ERMHS?

Educationally Related Mental Health Services (ERMHS) are special education related services. Like any other related service, they are provided to students with IEPs who require them in order to access and benefit from their educational programs. Specifically, ERMHS services support students who display mental health and/or social-emotional needs that have a significant and adverse impact on educational performance.

How do I know if a student should be referred for an ERMHS evaluation?

Students can only be referred for an ERMHS evaluation if they already have an IEP (general education students with mental health concerns should be referred to the SST process and/or the general counseling program at the school). The following indicators might suggest to the IEP team that an ERMHS referral is warranted:

- The student is exhibiting maladaptive or atypical behaviors (e.g. self-harm or frequent talk of self-harm, physically aggressive behaviors, etc.) that are negatively impacting educational performance
- A parent or doctor provides information indicating that the student has a mental health disorder
- The student has a significant change in behavior which results in a negative impact to educational performance

Note that ERMHS services are not tied to any one eligibility, but in almost all cases, students with an eligibility of Emotional Disturbance should have ERMHS services as a component of their IEPs.

How do I refer a student for an ERMHS assessment?

All ERMHS referrals at Rocketship will go through the school’s assigned school psychologist. Teachers should not reach out directly to our Seneca ERMHS providers to refer a student for an ERMHS assessment. If a case manager suspects a student may require an ERMHS assessment, they should schedule a time to check-in with the school psychologist to discuss the presenting concerns, including:

- Presenting behaviors
- Previously implemented interventions and effectiveness
- Overall academic and/or educational impact of behaviors

If it is determined that an ERMHS assessment is warranted, the school psychologist will:

- Prepare an assessment plan and prior written notice
- Reach out to Lilly Green (Seneca Director of School Partnerships, lilly_green@senecacenter.org) to coordinate assessment logistics
The ISE case manager will:

- Schedule an amendment IEP meeting to review the presenting concerns with the family and obtain consent to proceed with the assessment

What is an ERMHS assessment, and who conducts the assessment?

An ERMHS assessment is designed to determine whether a student has a mental health need resulting in a need for direct, mental health services in order to access and benefit from his or her educational program. The assessment also helps inform IEP goals for students with ERMHS services. At Rocketship, ERMHS assessments are multi-disciplinary and involve the school psychologist, the case manager, and the Seneca ERMHS provider. In order to determine the need for services, ERMHS assessments at Rocketship will include the following components:

- Behavior/social emotional rating scales – completed by the School Psychologist with the teacher, the family and, if appropriate, with the student
- Observations – completed by the Seneca ERMHS provider, including observations in both the classroom and during unstructured times
- Interviews – completed by the Seneca ERMHS provider, with the teacher(s), the student, the family, and any other relevant stakeholders
- Instructional factors – completed by the ISE specialist

Following each assessment, the Seneca ERMHS provider and the school psychologist will meet informally before the IEP meeting to discuss results and align on recommendations to be made to the IEP team. The Seneca ERMHS provider will write an evaluation report incorporating the assessment results from the school psychologist and the ISE Specialist and summarizing the assessment recommendations.

What ERMHS services might a student receive as a result of the ERMHS evaluation?

The exact services will be determined by the IEP team based on the results of the ERMHS evaluation, but the following services are all considered ERMHS services:

<table>
<thead>
<tr>
<th>Service</th>
<th>Description</th>
<th>Possible Providers</th>
</tr>
</thead>
</table>
| Psychological      | • Administering psychological and educational tests, and other assessment procedures  

  • Interpreting assessment results  

  • Obtaining, integrating and interpreting information about child behavior and conditions relating to learning  

  • Consulting with other staff members in planning school programs to meet the special educational needs of children as indicated by psychological tests, interviews, direct observation and behavioral evaluations  

  • Assisting in developing positive behavioral intervention strategies.                                                                                                                                                      | School psychologist         |
<table>
<thead>
<tr>
<th>Service</th>
<th>Description</th>
<th>Possible Providers</th>
</tr>
</thead>
</table>
| Social Work Services          | • Preparing a social or developmental history on a child with a disability  
• Group and individual counseling with the child and family  
• Working in partnership with parents and others on those problems in a child's living situation (home, school, and community) that affect the child's adjustment in school  
• Mobilizing school and community resources to enable the child to learn as effectively as possible in his or her educational program  
• Assisting in developing positive behavioral intervention strategies. | Seneca ERMHS provider      |
| Counseling & Guidance Services | • Educational counseling to assist pupils in planning and implementing their educational program.  
• Personal counseling to help pupils develop their ability to function with social and personal responsibility  
*Note: Counseling & Guidance should be used for group-based services | School psychologist        |
| Parent Counseling & Training  | • Assisting parents in understanding the special needs of their child  
• Providing parents with information about child development  
• Helping parents acquire the necessary skills that will allow them to support the implementation of their child's IEP. | School psychologist        |

**How do we write ERMHS goals?**

Just like any other IEP service, ERMHS must have a corresponding goal. ERMHS goals should be specific, measureable, and aligned to the specific area of deficit. An example of a specific, measureable ERMHS goal is:

- By 11/04/2014, when prompted by a teacher or other adult, (STUDENT) will identify three appropriate social strategies for dealing with anger, disappointment, and frustration with 100% accuracy in three consecutive trials as measured by observation, interview with student, and data collection.

**How do we update progress towards IEP goals?**

Seneca ERMHS providers will provide an update on student progress towards IEP goals directly to the ISE case manager before each IEP goal reporting period. The ISE case manager will be responsible for completing the progress report update in SEIS.
ROCKETSHIP
PUBLIC SCHOOLS
Pre-Referral Playbook
Section 1: Overview of the Pre-referral Process and Purpose

What is a pre-referral process?

“Pre-referral process” refers to any of the general education interventions that occur for students who do not have IEPs. It’s a bit of a misnomer, because pre-referral interventions don’t always lead to a referral for a special education evaluation – in fact, when we are executing a high quality pre-referral process, we will be able to successfully intervene early with many students, preventing the need for a special education evaluation and "label."

There are many components of the pre-referral process at Rocketship, ranging from the SIPPS small groups that occur with tutors in the learning lab to the Class for Articulation Remediation (our speech pre-referral program) to actual SST meetings. The focus of this playbook is on the ‘Student Study Team’ (SST) component of our pre-referral process, and how it fits within the greater Multi-Tiered System of Supports (MTSS) framework at Rocketship.

The SST meeting is a problem-solving process during which stakeholders come together to generate solutions for the most serious individual student challenges in the classroom. SSTs are held when other classroom-based or small-group interventions (generated through universal screenings or MTSS meeting decisions) have been unsuccessful, in order to address a range of student needs. Areas of concern may include academic, behavioral, social-emotional, or attendance difficulties. SSTs are a function of general education, although ISE team members are sometimes involved as consultants (particularly when a referral for Special Education assessment is being considered).

What legal requirements inform pre-referral policies and procedures?

California Education Code mandates that, before a student is assessed for Special Education services, all resources within general education must be considered and, where appropriate, utilized (Section 56303). The pre-referral process ensures that school teams are considering classroom and instructional factors that impact student performance, implementing interventions, and evaluating student responsiveness before moving to an assessment for Special Education services.
The graphics below depicts how and where the SST processes fits into Rocketship’s larger three-tiered model for academic and behavioral supports.

**Section 2: The Student Huddle Process (Optional)**

At Rocketship, an *optional* step in the pre-referral process is known as a “Student Huddle.” Student huddles happen before SSTs meetings, as a way to engage in some initial brainstorming and problem solving, before needing a more formal and intensive meeting. The “Student Huddle” is a team-based problem solving process which focuses on generating interventions and supports for an individual student in a grade level team, with the intention that the supports generated for that student will benefit several students in the cohort. Supports generated in the Student Huddle process should be relatively low lift for teachers – the idea is to assess the degree to which the student responds to low level supports in the classroom before investing in the time intensive process of generating targeted, intensive supports.

Rocketship began implementing the Student Huddle process in 2013-14, and teams that implemented the process with fidelity reported a range of positive outcomes, including increased teacher capacity to support struggling students.

**When do Student Huddles occur?**

Student Huddles can occur during Common Planning Time meetings with each individual grade level, or before/after school as needed.

**What do teachers need to do to prepare for a Student Huddle?**

To prepare for a Student Huddle, teachers simply need to notify their grade level coaches that they have a student for whom they have concerns and want to engage in problem solving and intervention planning. Based on universal screening data, classroom observations, and educational/intervention history, the grade level coach will decide if a huddle is an appropriate next step (or if more time is needed with the current supports, or if an immediate SST is needed). If a student huddle is scheduled, teachers should prepare any data they have (e.g. growth on STEP, number of office referrals) that will help clarify the area of concern for the team.

**What happens during a Student Huddle?**

During a Student Huddle, the Grade Level Coach facilitates a discussion with the grade level team regarding individual student of concern. The presenting concern can be academic, behavioral, or both. The team clarifies the concern, brainstorms potential interventions, aligns on a plan of action, and makes a plan to revisit the plan to evaluate success and determine next steps.

**What happens after a Student Huddle?**

After the Student Huddle occurs, teachers implement the interventions and supports that were agreed upon, and the school leader monitors and supports the implementation of these interventions. The grade level team should revisit the student approximately 6 weeks after the initial Student Huddle. Based on the responsiveness of the student, the team may decide to:
● Discontinue the intervention(s) (if the student has made adequate progress and the concerns have diminished)
● Continue the intervention(s) (if the student is making good progress but is not yet ready to functioning without the support of the intervention)
● Refer the student to SST, a more intensive level of support

**How do we know if a student should be referred to an SST?**

Students may be appropriate candidates for an SST meeting when:

● They are not “on track” to meet their goal after at least 2 rounds of intervention
● They have not made significant progress towards grade level standards despite individualization of Tier 2 interventions
● They remain at least 2 years below grade level, despite a history of small group intervention
● Their behaviors significantly impact the learning of the class, or their own learning (despite Tier 2 interventions such as CICO, social skills intervention, counseling, etc.)
● Any behavior that is dangerous to students or staff, and has occurred repeatedly
● Student is unable to care for personal needs at an age-appropriate level (feeding, toileting, following routines, age-appropriate independence skills, etc.)

In addition, school psychologists will hold weekly “office hours” that are open to any school leader who would like to consult about:

● Whether or not a specific student concern warrants an SST
● Potential interventions to discuss at an upcoming SST meeting
● To pre-meet regarding appropriate next-steps at a follow-up SST (including the possibility of offering an assessment).
Section 3: Overview of the SST Process

The Student Study Team, or SST, is a more intensive team-based problem solving approach, in which a team of individuals creates an intensive, individualized support plan for a student who is demonstrating significant and persistent academic and/or behavioral challenges. An SST is also held any time a parent request for special education assessment is made (see Section 4 of this playbook for more information on how to respond to parent requests for special education assessment). The various ways that a student can arrive at an SST, as well as the potential outcomes of an SST meeting, are summarized in the graphic below:

When do SSTs occur?

Each school will designate either one 60 minute or two 30 minute “SST Blocks” that will occur every week. Schools are able to hold SST meetings outside of this block, but because School Psychologists are serving multiple school sites, School Psychologists are only able to attend SST meetings during the school’s SST block.

Who facilitates SST meetings?

Each school leader is responsible for facilitating SST meetings for students in the grade levels they coach.
How do staff prepare for an SST meeting?

Teachers should come to SST meetings prepared to share quantitative and qualitative information on the student’s present levels of performance, including rates of progress and performance compared to the class average. If the student is participating in intervention, the intervention provider should be prepared to share the student’s current progress monitoring data (e.g. AIMSweb or CICO data). If behavior concerns are indicted, the school leader/grade level coach should be prepared to share any relevant discipline data (e.g. suspension reports or ODR data). If special education assessment is being discussed at the meeting, the school psychologist will prepare any required paperwork.

What happens during an SST meeting?

During an SST meeting, staff review available data and generate interventions to support the student. See the “Selecting and Designing Tier 3 Interventions” guidelines in the “Resources” section of this playbook for more guidance on creating interventions for individual students. Team members create specific goals for the student, along with a plan for monitoring progress towards the goals. If relevant, the team should also discuss and document any accommodations the student may require for the statewide assessment. The team aligns on next steps and responsibilities, and schedules the follow-up SST meeting.

What happens after an SST meeting?

After the SST meeting, the interventions are implemented and progress is monitored. The school leader/grade level coach monitors and, if necessary, supports the implementation of interventions and the monitoring of student progress. A follow-up SST meeting is held within 4-6 weeks to evaluate the effectiveness of the interventions and generate next steps.

How do we know if and when we should consider a special education evaluation for a student?

The same guidelines described in the “Student Huddle” section of this playbook apply here as well. Please note that the school psychologist or speech pathologist (for speech/language concerns) must always be involved in the decision to refer a student for a special education evaluation.

Can I refer a student with an IEP for an SST meeting?

Nope! If a team member has concerns about a student who already has an IEP (including a speech only IEP), they should work with the student’s case manager to schedule an IEP meeting to discuss the concerns and next steps. Any changes to the educational program of a child with an IEP must be made through the formal IEP process.
**Section 4: Responding to Parent Requests for Special Education Testing**

There are several IDEA guidelines that mandate how schools must respond when a parent makes a formal request for special education testing. Schools are required to:

- Assist the parent in putting the request in writing, if the request is made verbally
- Respond formally and in writing to the request within 15 calendar days of receiving it
- Consider the request for assessment and, unless the available data demonstrates that there is no reason to suspect the child may have a disability (e.g. the child is performing on grade level in all areas and is not displaying any maladaptive behaviors), comply with the request

At Rocketship, we utilize our Student Huddle and SST process as the formal mechanisms for responding to parent requests for special education assessment. The process is described below (see visual flow-chart which can be provided to teachers, in the resource section):

<table>
<thead>
<tr>
<th>What?</th>
<th>Who?</th>
<th>When?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assist the parent in putting the request in writing (if necessary)</td>
<td>The individual receiving the request (usually the OM or a school leader)</td>
<td>Immediately upon hearing the request</td>
</tr>
<tr>
<td>Notify the school psychologist and, if necessary, the speech pathologist (if a language assessment is requested)</td>
<td>The individual receiving the request</td>
<td>Immediately (same day!) upon receiving the request</td>
</tr>
<tr>
<td>Schedule and facilitate a Student Huddle meeting*</td>
<td>The school leader managing the grade level</td>
<td>Ideally within 7-10 days of receiving the request</td>
</tr>
<tr>
<td>Conduct a record review to gather historical data for the team to review</td>
<td>School psychologist</td>
<td>Prior to the Student Huddle meeting</td>
</tr>
<tr>
<td>Determine, based on available data, if the school will proceed with a special education evaluation</td>
<td>The Student Huddle team (classroom teachers, school leader, and school psychologist)</td>
<td>During the Student Huddle meeting</td>
</tr>
<tr>
<td>Prepare the paperwork based on the school team's decision</td>
<td>The school psychologist</td>
<td>After the Student Huddle and prior to the SST meeting</td>
</tr>
<tr>
<td>Schedule and facilitate an SST meeting wherein the school's decision and appropriate paperwork is presented to the parent</td>
<td>The school leader managing the grade level (in collaboration with the school psych)</td>
<td>Within 15 days of receiving the request (remember that this is our legally mandated timeline)</td>
</tr>
</tbody>
</table>

*All of the classroom teachers who work with the student should attend this Student Huddle, and should be prepared to share quantitative data and anecdotal feedback on the student’s present levels of performance. This Huddle ideally occurs during CPT. The school psychologist must attend this huddle, but it is facilitated by the school leader managing the grade level.
Section 5: SST Roles and Responsibilities
1. **Grade Level School Leader:**
   - Schedule SSTs for their grade levels
   - Facilitate SST meetings for their grade levels, and ensure action steps are completed
   - Schedule follow up SST meetings and ensure the team re-visits intervention data and progress.
   - Complete screening referral forms, submit to service provider, invite service provider to follow up meeting, engage in communication regarding results if service provider cannot attend follow up
   - Complete Foothill referral form (front page) and submit to Foothill Clinician with parent contact info for Foothill clinician to follow up with the parent to complete intake paperwork

2. **Psych Responsibilities:**
   - Available for designated SST 30 minute blocks (2 per site per week)
   - Will attend SSTs when ISE evaluation will be considered (within SST blocks)
   - Will attend on-going meetings with SST lead to prepare for upcoming SSTs (recommended at least bi-weekly)
   - Will attend SSts held to respond to parent requests for evaluation
   - Will attend SSTs for high level behavior needs
   - Will hold weekly “office hours” during which School Leaders can consult about upcoming SST meetings, or discuss the need for SSTs for specific students of concern.

4. **Teachers:**
   - Refer students to the school leader if serious concerns exist outside of data cycles
   - Bring relevant student data to Huddles and/or SST meetings
   - Implement interventions and monitor progress
   - Contribute information to speech and/or OT screening request paperwork when needed

5. **Speech Language Pathologists/Occupational Therapists:**
   - When receiving screen requests coming out of MTSS meetings, complete screenings by next MTSS cycle date.
   - When receiving screen requests coming out of SST meetings, complete screen within 6-8 weeks.
   - Attend SST meetings when needed (pending outcome of screens)

6. **ISE Specialists**
   ISE Specialists typically do not attend Huddles or SSTs, as these are a function of general education. They may however attend in unique cases (and if the specialists schedule allows), such as:
   - If an Assessment Plan will be proposed
   - Tier 3 interventions with ISE groups are being considered (pending capacity)

**Section 6: Referring Students for Speech or OT Screens**
Teachers and school leaders often have concerns about a student’s language or fine motor development, but aren’t sure if the concerns warrant a formal referral for special education services. In these cases, teams can request that the speech pathologist or occupational therapist conduct a screen, which is a less formal assessment of the student’s skills. The data from this screen is used to inform recommendations to the team, including whether a formal evaluation for services is warranted. It should be noted that occupational therapy is not a “stand alone” special education service, meaning that a student can only qualify for formal OT services if they are already eligible for special education services under another eligibility category.

**What is the process for referring a student for a speech or OT screen?**

1. First make sure that the student is receiving appropriate Tier 1 or 2 interventions (academic or behavioral) through the MTSS or Student Huddle process. For example, make sure that GLAD strategies are consistently being used, the student is getting phonics/fluency instruction (if that is an area of concern), etc.
2. At the MTSS meetings, grade level teams should identify any students that feel are not adequately responding to Tier 1 and 2 supports, and continue to have significant speech or motor concerns. Alternatively, students may be identified through an individual SST meeting.
3. The grade-level teachers, with support of their grade level coach, should complete the Speech Screen or OT Screen paperwork and get parental consent (parents must sign the screen paperwork).
4. The grade level coach should then submit the screen requests directly to the service provider through scanning and also leaving hard-copies in their mailbox.
5. The screening should be documented on the screening tracker in the SST Google Folder.
6. The SLP or OT will complete the screen within 4 weeks, and will bring results to the follow-up SST meeting.

**What types of concerns might indicate that a speech or OT screening referral should be made?**

The following concerns may indicate the need for a speech screening referral:
- Peers cannot understand the student
- The student struggles to follow simple (1-2 step) instructions, or comprehension is a significant area of concern
- Language appears significantly delayed, but not related to being an English language learner.

The following factors may indicate the need for an OT CONSULT:
- Difficulty with age-appropriate fine motor tasks, such as writing letters or numbers, cutting, or copying work from the board
- Inability to maintain a safe, seated position for a significant portion of class

The following factors may indicate the need for an OT Screen:
- The student has an IEP or a 504 Plan
- The team plans on initiating a special education evaluation, or feels an evaluation is highly likely.
As a result of the child’s disability or suspected disability, the child struggled with motor or sensory challenges, which may include: Difficulty with handwriting or written output, poor gross motor skills, difficulty with fine motor tasks such as cutting or folding, challenges with self-care tasks such as dressing/buttoning/zipping, feeding, or using the restroom. Additionally the child may have sensory challenges including strong reactions to noise, touch, or smell, difficulty remaining seating safely, etc.

**What are the potential results of a speech or OT screen?**

A speech screen may result in any of the following:
- Inclusion in our speech intervention program (CAR, or the Class for Articulation Remediation)
- A full speech-language evaluation
- A finding that no specialized supports are required

An OT screen may result in any of the following: Recommendation for Tier 2 Handwriting supports
- Individualized recommendations
- A full OT evaluation (only if student has an IEP or psych/speech is also evaluating)
- A finding that no specialized supports are required

**SECTION 7: SSTs AND STATEWIDE TESTING SUPPORTS**

**SBAC Testing (California and Wisconsin)**

There are three types of supports available to students on the SBAC assessment. "Universal Supports" are available to all students and include things like scratch paper and a digital highlighter. "Accommodations" are available only to students who have them documented in a 504 or IEP, and include things like a scribe or use of a multiplication table. There is a third type of support, "Designated Supports" that are available to "any student for whom the need has been indicated by an educator." This includes things like testing in a separate setting and read aloud or scribe for math items.

**TNReady (Tennessee)**

Similarly, the TNReady assessment in Tennessee makes available several accessibility features to students for whom the need has been designated and documented.

**Designating and Documenting Statewide Testing Supports (all regions)**

At Rocketship, we use the SST process to identify required “Designated Supports” or “Accessibility Features” for students who may require them. In order to make these supports available to students in the SST process, you must:
- Discuss the need for the supports as an SST meeting, and document the need for the supports on the SST paperwork (there is a section for this)
• In the spring, the site-based testing coordinator will work with the analytics team to complete the ISAAP tool, wherein the designated supports are assigned to each individual student.

See the “Resources” section for several SBAC and TNReady accessibility resources.
SECTION 8: BEST PRACTICES

Before the Meeting- Scheduling and Reminders: Keep SSTs scheduled during specified SST blocks when possible and try to avoid having more than 2 SSTs scheduled per week. Call parents to invite them of the meeting when it is scheduled and set up a calendar invite with appropriate school leaders, grade level teachers, ILS (optional), School Psych (if during SST blocks) and translator (if needed). Call to remind parents of SST the week before. Sometimes, an OM can take the lead in scheduling with regular communication structures and management from the SST lead. At the SST meeting, the school leader (facilitator) will schedule the follow up meeting 6-8 weeks later. The school leader should add the meeting to the SST scheduling doc as well as send a gcal invite.

Sample Parent Scheduling Script:

“Hello Ms. Garcia, I wanted to let you know that a team of our staff including Valeria’s teachers, the school psychologist, and the assistant principal who oversees 2nd grade would like to meet to discuss some ways to better support Valeria with reading. The team will be meeting on Monday October 12th from 7:15 - 7:45. If you are available, it would be great for you to join in order to share more information about Valeria and help with our problem-solving process. I know this time may be inconvenient, so if you’d like to call in to the meeting or have us send you home the paperwork with our notes and decisions afterwards, we can do that as well. Our office manager will be calling to remind you a few days in advance that there is a team meeting for Valeria on Monday October 12th at 7:15. We hope to see you there. Thank you.”

During the meeting- Intervention Planning: The intervention plan is the action plan that is created in the SST meeting after student gaps are identified. The following guidelines should be considered with planning SST interventions. More information on intervention planning can be found in the Intervention Tool Box, linked in the resources section of this playbook.

- Intervention should be directly aligned with the identified area of need
- Start with interventions and structures that are already available on your campus
- Consider how a Tier 1 or 2 support could be modified to be a tier 2 or 3 support (e.g. SIPPS 1;1, or double-dose of guided reading
- Consider training select staff on additional interventions to allow for greater flexibility (e.g. Touch Math, Sound Partners, fluency routines, social skills groups, etc.)
- Accommodations are not interventions

During the meeting- Generating a Progress Monitoring Plan: As part of the intervention plan, a plan for monitoring student progress with intervention should also be developed. It is important that this plan is developed and implemented in order for the team to make decisions about next steps in follow up SST meeting. For example, is a student’s progress monitoring data suggests little or no gain, the intervention should be modified. Conversely, if the data suggests that the student is on track to meeting the goal, the intervention should be continued. See below for additional guidelines in setting up progress monitoring plans.
- All SSTs should result in a specific goal. Example goals:
  - Master all letter names/sounds, or X number of sight words
  - Pass the comprehension portion on STEP 5
  - Be able to solve single digit addition problems at 80% accuracy
  - Meet CICO goal of 80% for 4/5 days consecutive days
- Whenever possible, use an already-existing assessment systems:
  - DIBELS
  - STEP
  - BDFs/ODRs (Behavior Disciplinary Forms/Office Discipline Referrals)
  - CICO-SWIS
  - Formative assessments or benchmarks

### SECTION 9: COMMON PRE-REFERRAL PITFALLS AND HOW TO AVOID THEM

Rocketship’s pre-referral and SST process is designed to identify students needing support and match them with the appropriate intervention, as well as ensure that schools are adhering to their child find obligations. However, over the years we have observed several common pre-referral pitfalls, which are described below along with recommendations for avoiding them.

<table>
<thead>
<tr>
<th>Pre-Referral Pitfall</th>
<th>Recommendations for Avoiding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certain grade levels move students through the pre-referral process appropriately while other grade levels don’t refer any students to SST.</td>
<td>- Add a regular standing item to school leadership meetings wherein each school leader reports out how many students in their grade level are at each phase of the pre-referral process.</td>
</tr>
</tbody>
</table>
| Schools focus heavily on Student Huddles and interventions in the learning lab for most of the school year without referring many (or any) students to an actual SST meeting, resulting in a large influx of special education assessment referrals for students who haven’t been progressing in interventions. | - In general, if a student is at the second or third round of an SST meeting and has not been making progress in interventions, the team should consider the need for a referral for assessment.  
- Schedule regular (e.g. monthly) consultation with your School Psychologist to review the data of students in the intervention process and identify appropriate referrals. |
| School teams wait too long to schedule a Student Huddle meeting after receiving a parent request for special education testing, so they are unable to adhere to the 15 day timeline for formally responding to the request. | - Ensure that all staff members are aware of their obligation to support parents in putting verbal requests for assessment into writing.  
- Ensure that all staff members are aware of the 15 day timeline requirement.  
- Notify your school psychologist and/or speech language pathologist immediately when a request for testing is received.  
- Schedule the Student Huddle and follow-up SST meeting as soon as possible after the request for testing is received. |
Students receive informal interventions (e.g. the ISE Specialist pulls them along with ISE students in a small group) but none of the interventions are documented. School teams want to refer students who are still struggling for a special education evaluation, but it is difficult for the ISE team to determine the appropriateness of the referral without documentation of the pre-referral interventions.

- Ensure that SST meetings are held for students that require Tier 3/individualized interventions. In addition to the importance of documenting these interventions, parents must give permission in order for a student to be pulled out of their general program for intervention. The SST process ensures that parents have provided informed consent for Tier 3 interventions.

### Pre-Referral Pitfall

<table>
<thead>
<tr>
<th>Pitfall</th>
<th>Recommendations for Avoiding</th>
</tr>
</thead>
<tbody>
<tr>
<td>ISE is the only option for individualized, Tier 3 interventions. If the ISE caseload is full, there are no options for additional, non-ISE students who require that level of support.</td>
<td>Tier 3 just means that the intervention is targeted and individualized, and schools can be creative in thinking about who can deliver these services. See the “Selecting and Designing Tier 3 Interventions” resource for guidance.</td>
</tr>
<tr>
<td>SST teams fail to create a goal and a progress monitoring plan during the SST meeting, so when the follow-up meeting is held, they are unable to determine if the student has made adequate progress.</td>
<td>Pace SST meetings appropriately to ensure the team has time to align on a measureable, ambitious yet realistic goal, as well as a progress monitoring plan. Be sure to document the goal on the SST paperwork for reference in the next meeting.</td>
</tr>
<tr>
<td>Teams run out of time to assign owners to next steps, and when the follow-up meeting is held, none of the next steps have been completed because the team was unsure of who was responsible for each step.</td>
<td>Pace SST meetings appropriately to ensure the team has time to align on ownership of next steps. Send an email to all meeting participants summarizing next steps.</td>
</tr>
</tbody>
</table>
Section 9: Behavior Intervention Plans

Students are often referred to the SST process because of concerns with maladaptive behavior (either in isolation or co-occurring with academic challenges). Most students will first be placed in behavior interventions as a result of MTSS meetings. For example, students may be placed in Check-In Check-Out (CICO), social skills groups, or Foothill counseling. In some cases, students will not respond adequately to these Tier 2 supports, and will continue to demonstrate highly disruptive, unsafe, or otherwise concerning behaviors. In these cases, SST teams are encouraged to develop behavior intervention plans for students. A behavior intervention plan identifies the target behavior as well as an appropriate replacement behavior, and outlines the approach the team will take to teach and reinforce the replacement behavior, as well as norm on a plan to respond when the problem behavior occurs. Behavior intervention plans are most effective when they are based on an identified function of the problem behavior. There are several resources in the “Resources” section of this playbook, but the general steps to developing a behavior intervention plan are:

1. Identify the behavior the plan will be targeting.
2. Establish the baseline (i.e. how frequently the problem behavior occurs).
3. Hypothesize a function of the behavior (i.e. what need is currently being met for the student? What is the student trying to obtain or avoid with the behavior?)
4. Identify a replacement behavior (i.e. how can the student meet that same need with an alternative, acceptable behavior?)
5. Develop a SMART goal and a progress monitoring plan.
6. Identify the environmental changes that will need to be made in order for the student to use the replacement behavior.
7. Create a plan to teach the student the new replacement behavior.
8. Identify the strategies that will be used to positively reinforce the student for using the replacement behavior.
9. Align on how the team will respond if and when the problem behavior occurs again.

Note: School teams must get parental consent in order to collect behavioral data when developing a behavior intervention plan. The parent consent form can be found in the “Resources” section of the playbook.

School psychologists are available to support SST teams with the development of behavior intervention plans.
Section 11: Resources

Student Huddle and SST Paperwork:
- Blank SST Paperwork (please make copy)
- Blank Student Huddle Paperwork (please make copy)

School SST Tracking Resources:
- Carry-over SST Tracker
- SST ann MTSS Folders (Google folder where each school can store all of their pre-referral/SST paperwork)

Professional Development Resources:
- SST Lead PD SLL 16/17
- Teacher concern and parent request flow-chart
- Tiered supports visual (triangle)
- MTSS and SST cycles and action steps
- Writing Function-Based Behavior Intervention Plans (PD designed for school leaders)

Screening Resources:
- Speech screening form
- OT screening forms
- Foothill referral form (San Jose schools only)

Resources to Support Teams in Generating Interventions:
- Selecting and Designing Tier 3 Interventions
- SST Intervention Toolbox
- Tier 1 Speech and Language Strategies

Behavior Intervention Planning Resources:
- SST Behavior Intervention Plan - Template
- SST Behavior Intervention Plan - Guidelines
- Notice of Intent to Collect Data (parent permission form)
- Occupational Therapy/Sensory Strategy Checklist (for teachers)
- Common Functions of Problem Behaviors
- Progress Monitoring for Behavior Interventions

Statewide Testing Accessibility Guidelines:
- TNReady Accessibility Guidelines
- SBAC Resources Guide (for CA and WI – see page 5 for accessibility guidelines)
2017-18 Teacher Performance Evaluation
Performance Management: Why it’s important

- Educators are the greatest lever we have in creating transformative results for kids
- This is challenging work and we all strive to improve daily
- Cycles of feedback and practice are the best way to grow
- We recognize and reward improvement and excellence

*Performance evaluations are a vital piece of performance management.*
Teacher Evaluation: Performance Management Cycle

Start of Year

Review Evaluation

Student Achievement (Revised)
Parent Metrics
Teaching Performance Rubric (Revised)
Core Characteristics

Coaching cycles
Self Reflection (Oct)
Coaching cycles
Mid-Year Evaluation (on Fairsail) (Jan-Feb)
Coaching cycles
End of Year Evaluation (on Fairsail) (May-June)

Salary increase based on performance
Evaluation Design

We design our evaluations to be:
- Reflective of our values and priorities
- Ambitious and attainable
- Normed across campuses and regions
- Tailored to role (Captures at least 80%)
- Metrics reflect individual differences in performance
- Directionally aligned with school goals
Teacher Evaluations Overview: What We Value

Student Achievement
Rocketeers are achieving at high levels and we are closing the achievement gap.

Parent Metrics
Parents are engaged and leaders in their child’s education.

Core Characteristics
Adult Rocketeers operate in a way that upholds our community values.

Teacher Practice
Rocketeers are fully engaged in their classrooms and receiving a strong and rigorous education.
# Teacher Evaluation Overview: How it Works

<table>
<thead>
<tr>
<th>Evaluation Section</th>
<th>How it is scored</th>
<th>Overall Evaluation Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Student Achievement (50%)</strong></td>
<td>Data is provided from Analytics Team</td>
<td>Weighted Average</td>
</tr>
<tr>
<td>• 2 Absolute Metrics from NWEA MAP</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• 2 Growth Metrics from NWEA MAP</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Parent Metrics (10%)</strong></td>
<td>Rubric: Rated by SL PPH % HV: Data is provided</td>
<td></td>
</tr>
<tr>
<td>• Home Visits (HV)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Parent Partnership Hours (PPH)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Parent Engagement Rubric</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Core Characteristics (20%)</strong></td>
<td>Rated by SL</td>
<td></td>
</tr>
<tr>
<td>• 5 core characteristics</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Teaching Performance Rubric (20%)</strong></td>
<td>Rated by SL</td>
<td></td>
</tr>
<tr>
<td>• Instructional Planning and Execution</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Classroom Management and Routines</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Student Culture and Engagement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Classroom Environment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Weight in 2016-17</td>
<td>Weight in 2017-18</td>
<td>Goal</td>
</tr>
<tr>
<td>-------------------</td>
<td>-------------------</td>
<td>------</td>
</tr>
<tr>
<td>10%</td>
<td>10%</td>
<td><strong>Absolute:</strong> Rocketeers are ending the year meeting or exceeding standards</td>
</tr>
<tr>
<td>5%</td>
<td>5%</td>
<td><strong>Absolute:</strong> Rocketeers who are furthest behind are catching up</td>
</tr>
<tr>
<td>10%</td>
<td>15%</td>
<td><strong>Growth:</strong> All Rocketeers are growing</td>
</tr>
<tr>
<td>25%</td>
<td>20%</td>
<td><strong>Growth:</strong> Rocketeers are making substantial growth</td>
</tr>
</tbody>
</table>
Teacher Evaluation: Deep Dive on Tiered Growth

Distribution of Students in the Fall

Top Quartile
- Growth Goal: 1.1 Years

3rd Quartile
- Growth Goal: 1.2 Years

2nd Quartile
- Growth Goal: 1.3 Years

Bottom Quartile
- Growth Goal: 1.4 Years

22 Total Students

Students Meeting Growth Goal

12 Total Students

% Meeting Goal

\[ \frac{12}{22} = 55\% \]

Meeting Growth Goal
Teacher Evaluation: Core Characteristics

Pursuit of Excellence
“Give the best and nothing less”

Innovation
“Reach Beyond”

Authenticity
“Live our values”

Community
“Broaden the circle and build”

Tenacity
“Blast through”

Link: Core Characteristics Rubric

This rubric highlights professional expectations within the school community and as a Rocketeer.

Each characteristic includes multiple indicators which are described in detail in a rubric
- Updated this year to align with rubrics around adult way of operating.
The TPR is one document that rolls up the *Qualities of Excellent Teaching and Ways of Operating Rubrics*

- While the document is new, you already know the content!
- The overall TPR rating should have a correlation to student achievement results
- TPR assesses a teacher’s practice over the course of review period
# Teacher Evaluation: Parent Metrics

<table>
<thead>
<tr>
<th>Weight</th>
<th>Goal</th>
<th>Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.5%</td>
<td>Teachers actively and effectively engage families in their children’s education and school community.</td>
<td>Parent Rating: 1-5 scale based on rubric provided from Talent Management</td>
</tr>
<tr>
<td></td>
<td>● A qualitative assessment by manager of teachers’ interaction with families</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Teachers successfully work with parents to support them in volunteering with the school.</td>
<td>Parent Partnership Hours (PPH): % of parents completing 18 hours</td>
</tr>
<tr>
<td>2.5%</td>
<td>● Volunteer hrs that families contribute</td>
<td></td>
</tr>
<tr>
<td></td>
<td>● Each <strong>family</strong> is asked to volunteer 2 hrs per month (18 at EOY and 10 at MOY)</td>
<td></td>
</tr>
<tr>
<td>5%</td>
<td>Teachers make personal connections with parents through home visits.</td>
<td>Home Visits: % of home visits completed</td>
</tr>
<tr>
<td></td>
<td>● A visit with the family of each student</td>
<td></td>
</tr>
<tr>
<td></td>
<td>● Must be done for all students, including returners</td>
<td></td>
</tr>
<tr>
<td></td>
<td>● Completed by January 19</td>
<td></td>
</tr>
</tbody>
</table>
## 2017-18 Teacher Evaluation: Key Changes

<table>
<thead>
<tr>
<th>Key Change</th>
<th>Rationale</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Achievement Metrics</strong></td>
<td></td>
</tr>
<tr>
<td>● New tiered growth metric</td>
<td>% Growing 1.4 Years moved to % Meeting Tiered Growth goal to <em>align with school goals</em></td>
</tr>
<tr>
<td>● Change from national norm to top 1/3</td>
<td>% at National Norm moved to % at top third of achievement to <em>align with meeting and exceeding</em> common core and state assessment standards</td>
</tr>
<tr>
<td><strong>Updated Teaching Performance Rubric</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Gives greater clarity to teachers and evaluators on scoring</td>
</tr>
<tr>
<td></td>
<td>Aligned with updated Schools Team rubrics for quality of excellent teaching and ways of operating</td>
</tr>
<tr>
<td><strong>Parent Partnership Hours Metrics</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Updated PPH expectations for parents, and therefore higher expectation of completion</td>
</tr>
<tr>
<td>LEVELS</td>
<td>Below (1)</td>
</tr>
<tr>
<td>--------</td>
<td>-----------</td>
</tr>
<tr>
<td><strong>Best Effort</strong> Teacher:</td>
<td>Does not complete work or does so to low quality. Fails to attempt new skills and does not proactively seek support. Manages time poorly thus limiting ability to exert their best effort.</td>
</tr>
<tr>
<td>Rocketeers:</td>
<td>Less than 75% of Rocketeers complete all work within their skill level independently and at high quality and attempt all new skills in multiple ways before asking for help.</td>
</tr>
<tr>
<td><strong>Understanding the Bar</strong> Teacher:</td>
<td>Unclear on the bar for students and him/herself and often lowers expectations. Has an inaccurate perception of his/her success/struggles relative to the bar.</td>
</tr>
</tbody>
</table>
Questioning to create change

Teacher:

-颁发 grade is confusing. Students often come into class with misconceptions, which the teacher attempts to correct through lectures and writing prompts. Sometimes, students do not understand the teacher’s questions and struggle to answer them. When they do ask questions, they are often not answered.
-颁发 grade is inconsistent. The teacher relies on improvisation within the lesson, rather than planning in advance, and may not always ask relevant questions. Lessons are often not sequenced appropriately, and students may feel lost.
-颁发 grade is often based on subjective feedback, which may not be consistent or clear. The teacher may not provide constructive feedback, and students may not know what they need to improve.
-颁发 grade is often too high or too low. Students may feel demotivated or confused due to the teacher’s feedback.
-颁发 grade is often not aligned with the learning objectives. The teacher may not clearly state the goals of the lesson and how students should achieve them.

Student:

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Vision

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Best Effort

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Innovation

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Student Study and Analyze Student Work

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Feedback (Formative and Summative)

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Student Growth and Self-Referral

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Transitions

Academic Understanding

Significant amount of learning time.

The teacher directs every activity and often does not give clear directions.

They do not seem natural or internalized to teach and as a result skill around integrity when an adult is not actively holding them accountable.

Teacher:

May lower expectations for Rocketeer behavior over time.

Exhibit a high level of off-task behavior (less than 75% are on task) and behavior Rocketeers:

Other, even with teacher prompting, and focus on celebrations of low level actions. Rarely celebrates academic excellence and relies heavily on one approach. Rarely to Rocketeers:

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<th>Rating</th>
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<tbody>
<tr>
<td>Skill: Making a Scholarship</td>
<td>Rocketeers have a personal plan for their future. They are taking steps to achieve their goals. They have a clear vision of what they want to do after high school. They are actively seeking out opportunities to advance their education and career.</td>
<td>Rocketeers have a clear understanding of their future goals. They are actively pursuing opportunities to advance their education and career. They have a strong foundation for their future.</td>
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<td>Skill: Reading</td>
<td>Rocketeers read a variety of genres and discuss their reading. They have a strong foundation for their future. They are actively pursuing opportunities to advance their education and career. They have a clear vision of what they want to do after high school. They are actively seeking out opportunities to advance their education and career.</td>
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<td>Skill: History and Social Studies</td>
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Classroom Environment

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<td>Environment: Classroom</td>
<td>Rocketeers are engaged in class discussions. They are actively participating in class discussions. They have a clear vision of what they want to do after high school. They are actively seeking out opportunities to advance their education and career.</td>
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Appendix version-2017-18 TPR_FINAL
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<td><strong>Aesthetics</strong></td>
<td>Teacher: The classroom set-up and organization of materials does not support learning at a high level. Desks and seatbacks are disorganized and do not provide a clear division of space. Students' materials are not arranged in an orderly manner, and do not communicate the importance of the beauty, organization, and cleanliness. <strong>Rocketeers:</strong> Rocketeers understand that strong aesthetics reflect a pride in self and therefore are meticulous about keeping their spaces beautiful, clean and organized.</td>
<td>Teacher: The classroom set-up and organization of materials somewhat supports learning. Some materials are kept clean and orderly, and do not detract from the learning environment. Students can generally be tidy and clean and organized. <strong>Rocketeers:</strong> Students have frequent opportunities to attend to the aesthetics/organization of their materials and as such they are neat, clean and organized.</td>
<td>Teacher: The classroom set-up and organization of materials supports learning. Desks and seatbacks are clean and orderly, and the classroom library is inviting and organized. Students are generally able to self-regulate their presentation of self.</td>
<td>Teacher: The classroom set-up and organization of materials supports learning at a high level. Desks and seatbacks are clean and orderly, and the classroom library is inviting and organized. Students are usually able to self-regulate their presentation of self.</td>
<td>Rocketeers: Students' body language conveys a deep sense of pride and desire to learn.</td>
<td><strong>Overall TPR Score</strong></td>
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**Present Best Self**

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<td>Rocketeers: A mix of students are attempting to present their best self while others at times seem less aware or show lower levels of self-regulation towards presenting their best self. Some students have shoes untied, shirts not tucked in, or other aspects of presentation they don't attempt to fix. Students understand but struggle to articulate what it means to look scholarly and present self in one way.</td>
<td>Teacher: The classroom set-up and organization of materials somewhat supports learning. Some materials are kept clean and orderly, and do not detract from the learning environment. Students can generally be tidy and clean and organized. <strong>Rocketeers:</strong> Students have frequent opportunities to attend to the aesthetics/organization of their materials and as such they are neat, clean and organized.</td>
<td>Teacher: The classroom set-up and organization of materials supports learning. Desks and seatbacks are clean and orderly, and the classroom library is inviting and organized. Students are usually able to self-regulate their presentation of self.</td>
<td>Teacher: The classroom set-up and organization of materials supports learning at a high level. Desks and seatbacks are clean and orderly, and the classroom library is inviting and organized. Students are usually able to self-regulate their presentation of self.</td>
<td>Rocketeers: Students are always able to self-regulate their presentation of self, because they understand that their appearance is a representation of their sense of scholarship. Students conduct checks of presentation of self (i.e., tie shoelaces, organize materials, tuck in shirt, etc), and fix their appearance accordingly. Students' body language conveys a deep sense of pride and desire to learn.</td>
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Frederick J. Ferrer
Founder, Manzanita Solutions

Frederick J. Ferrer serves as chair of the Rocketship Public Schools Board. Ferrer founded and leads Manzanita Solutions, where he provides consultation on health, child development, non-profit management and community inter-relations. After nine years of service, Ferrer recently left his CEO position at the Health Trust, a $100 million foundation, which provides direct health services, makes grants, builds community partnerships, advocates for policy changes to support its vision: making Silicon Valley healthier for everyone. Ferrer is the former Executive Director of Estrella Family Services, and was chair of the FIRST 5 Santa Clara County Commission. He is a member of the Santa Clara University’s College of Arts and Sciences Advisory Board and is a former member of its Department of Education’s Autism Advisory Board. He chaired The University’s Blue Ribbon Commission on Diversity and Inclusion. He serves on the Board of Legacy Academy. He is also a community advisor to the John S. and James L. Knight Foundation and the Children’s Discovery Museum LGBTQ Advisory Board. Among numerous awards, Ferrer has received: the John Gardner Leadership Award from ALF-SV, the Human Relations Award from the Santa Clara County Commission on Human Relations, and PACT’s Community Builder Award.
For over 25 years, Ferrer has been on the adjunct child development faculty at De Anza College. He has completed two distinguished programs at Harvard University: the Performance Measurement for Effective Management of Nonprofit Organizations, and Strategic Perspectives in Nonprofit Management. Recently he completed the Executive Program for Nonprofit Leaders at the Stanford Graduate School of Business. Ferrer holds a B.S. degree from Santa Clara University, an M.S. degree from San Jose State University, and an honorary Doctorate of Public Service from Santa Clara University.

Arra Yerganian
Chief Marketing Officer, Sutter Health

Arra joined Sutter Health as Chief Marketing and Branding Officer from San Francisco-based One Medical Group, a leading, national primary care network. As the organization’s chief marketing officer responsible for brand stewardship and member acquisition strategies, Arra helped successfully differentiate One Medical Group as a transformational provider. Prior to joining One Medical, Arra served as chief marketing officer for University of Phoenix, the nation’s largest university. As chief marketing officer, he led the organization’s successful “Let’s get to work” campaign connecting education to careers and transforming the image and personality of the University of Phoenix brand. This effort helped
drive unprecedented increases in brand preference and familiarity. A graduate of Boston University and Harvard Business School’s acclaimed Executive Education Strategic Marketing Management program, Arra held senior international marketing roles at Procter & Gamble, the Dial Corp., and Hasbro over the course of his career. He also held senior marketing and operations positions in the homebuilding industry.

**Alex Hernandez**  
Partner, Charter School Growth Fund

Alex Hernandez is a partner at Charter School Growth Fund (CSGF), a venture philanthropy that provides growth capital for high-performing charter school networks. He leads CSGF’s “next-generation” learning investments in blended learning programs as well as core investments on the West Coast and Texas. Alex is a former Area Superintendent for Aspire Public Schools, worked as a Broad Resident at Portland Public Schools, and taught high school math at View Park Prep High School in Los Angeles. Prior to that, Alex worked for several years with JP Morgan and Disney Ventures. He is a graduate of Claremont McKenna and has an MBA and Master of Education from Stanford University.

**Deborah McGriff**  
Managing Partner, NewSchools Venture Fund

Deborah has been committed to transforming the lives of underserved urban school students for more than four decades. In 1993, Deborah became the first public school superintendent to join EdisonLearning (formerly Edison Schools). Prior to joining EdisonLearning, Deborah served as the first female General Superintendent of Detroit Public Schools. She was a teacher and administrator in the New York City Public Schools for more than a decade. Deborah is former President of the Education Industry Association. She serves on the executive committee of the National Alliance for Public Charter Schools, Policy Innovators in Education Network, and is a founder and national board member of the Black Alliance for Educational Options. She also serves on the advisory board of the Games and Learning Publishing Council, Hechinger Report, Program on Education Policy and Governance at Harvard’s John F. Kennedy School of Government and is a member of the Review Board for the Broad Prize in Urban Education. Deborah holds a bachelor’s degree in education from Norfolk State University, a master’s degree in education with a specialization in reading pedagogy from Queens College of the City University of New York, and a doctorate in Administration, Policy and Urban Education from Fordham University.

**Alex Terman**  
Education Consultant
Alex Terman is an independent education consultant, focusing on strategy, finance, and operations for K-12 schools, education technology companies, and related organizations. Prior to starting his consulting practice, Alex served as a Partner at the Learning Accelerator, a non-profit organization that supports the implementation of high-quality blended learning. Alex was the co-founder and CEO of Digital Parent, an online service providing e-learning resources and advice for parents of young children, and served as the Chief Business Officer for the Stupski Foundation, an operating foundation focused on transforming urban school districts. He was also the founding Chief Operating Officer at Leadership Public Schools, a charter management organization serving low-income communities in the Bay Area. In addition to his involvement in education, Alex has worked at America Online and Bain & Company. He has an MBA from Stanford, a BA in History from UC Berkeley, and completed the Broad Residency, a two-year program that prepares participants for leadership roles in public education.

Louis Jordan  
Co-owner, Tympany Vineyards

Louis Jordan retired from the Starbucks Coffee Company in early 2013 where he held the position of SVP, Corporate Finance since 2009. At Starbucks, Louis was responsible for a number of Finance functions, including: Marketing, Category and Global Pricing, Real Estate and Store Development, Global Supply Chain, Digital Ventures, Global Planning and Reporting and Treasury and Risk Management. Prior to joining Starbucks, Louis spent six years at Nike where he served as Chief Financial Officer of Nike Inc.’s Global Retail and Digital Commerce operations, and had finance responsibility for Nike-owned retail first quality stores, factory stores and digital commerce activities worldwide. Before Nike, Louis held finance management positions at a number of Fortune 500 companies including Gap, Citibank, DuPont, Dun & Bradstreet and Duracell. Louis holds a Bachelor of Arts degree from Westmar College and a Master of Arts degree from Brown University. He received his MBA in Finance from the Kelley School of Business at Indiana University.

Greg Stanger  
General Partner, ICONIQ Capital

Greg Stanger brings a broad range of financial leadership experience with high-growth Internet companies. He has served as CFO for oDesk, Chegg and Expedia. He has also been a venture partner at Technology Crossover Ventures and was formerly a corporate development executive at Microsoft. Greg has served on the boards of directors of many successful companies, including Netflix, Kayak, drugstore.com, NexTag, and Expedia. He is currently a trustee of the Yosemite Conservancy. Greg holds an MBA from the University of California at Berkeley and a Bachelor of Science degree from Williams College.
Jolene Sloter  
Board Member, Teach for America DC Region

After receiving her MBA, Joey worked for Corning Glass Works in strategic planning. She later transitioned to the Federal Government where she gained valuable policy experience working for an independent commission charged with making recommendations to Congress about Medicare. Joey and her husband Stanley established the Stanley and Jolene Sloter Family Foundation to focus their philanthropy on education, and specifically the need for better education opportunities in underserved communities. She is applying her policy experience to analyzing this issue, and identifying concepts and organizations that improve the quality of education in low income communities in DC. Joey is a member of the TFA DC Region’s Stewardship Committee, Gala Executive Committee, and co-chaired the annual gala three times. For Rocketship Public Schools, she coordinated the groundbreaking for Rocketship’s first school in DC, which opened in August 2016, and has been greatly instrumental to Rocketship in building our local board and finding new sources of funding. Joey has a Bachelor of Arts from Lycoming College and a Masters of Business Administration from University of Pittsburgh.

Sloter is the Board Chair of Rocketship DC.

Don Shalvey  
Deputy Director K-12, The Bill and Melinda Gates Foundation

Prior to joining the Bill and Melinda Gates Foundation, Don was the founder and CEO of Aspire Public Schools, and has spent the past 40 years in public education where he is widely recognized as a leader in public school reform and the charter school movement. In 1992, Don served as the Superintendent of San Carlos School District, where he sponsored the first charter school in California. The San Carlos Charter Learning Center became a California Distinguished School and has since served as a model for many other charter schools. In 1998, Don and entrepreneur Reed Hastings co-founded Californians for Public School Excellence, a grassroots organization that led to the passage of the Charter Schools Act of 1998, which lifted the cap on the number of charter schools in the state. Dr. Shalvey is a frequent advisor to policy makers, practitioners and authorizers of charter schools across the nation. In 2002, the prestigious Ashoka Foundation recognized Don as a Fellow for his outstanding work as a social entrepreneur. More recently, Don was given the James Irvine Foundation Leadership Award for advancing the quality of life for Californians, and in June 2009, he was elected to the Charter School Hall of Fame. Don earned a Doctorate of Education in Educational Leadership/Administration from the University of Southern California, a Masters of Education in Counseling and Guidance from Gonzaga University, and a B.A. from LaSalle College.

Raymond Raven
CEO, Orthopaedic Surgery Specialists

Ray Raven, born and raised in East Side San Jose, brings a valuable perspective to the Rocketship Board having been educated within the public school district where Rocketship was founded. After successfully navigating his way through the system, Ray earned an undergraduate degree in Molecular Biology & Biochemistry from the University of California, Irvine and a Medical Degree from the University of California, San Francisco. After completing an Orthopaedic Surgery residency at the University of California, San Francisco, Ray received advanced fellowship training in Hand & Upper Extremity Surgery at NYC, Texas and Barcelona, Spain. Ray now serves as managing partner and CEO of Orthopaedic Surgery Specialists, one of the largest private practice orthopaedic medical groups in Los Angeles County. Ray holds several medical device patents and provides consulting services for healthcare companies. During his career as a surgeon, Ray earned an MBA from the Paul Merage School of Business at the University of California, Irvine. Ray enjoys hiking and travel and spends a lot of his free time with his German shepherd dog, Apollo.

Ralph A. Weber
Founding Member, Gass Weber Mullins LLC

Ralph A. Weber is one of the five founding members of Gass Weber Mullins, a national trial litigation law firm recognized by the National Law Journal on its national “Hot List” of boutique firms. Mr. Weber has been active as an educator and community supporter. He teaches Trial Advocacy at Marquette University Law School and created a jury research and courtroom facility, the Trial Science Institute. Mr. Weber is also a member of the Northwestern Mutual Board of Trustees and he has founded and worked with several educational, charitable and nonprofit groups, including the Board of Pius XI High School, the Marquette University National Alumni Board, the Board of the Wisconsin Conservatory of Music, the Marquette University College of Arts & Sciences Alumni Board, and the FC Milwaukee Soccer Club. Mr. Weber clerked for a federal judge after graduating from Columbia Law School (where he served as an editor on the Columbia Law Review) and from Marquette University (B.A., Summa Cum Laude, Phi Beta Kappa). Weber is Board Chair of Rocketship Wisconsin.

Dave Kaval
President, Oakland Athletics

Dave Kaval is the seventh and current President of the Oakland Athletics of Major League Baseball. Kaval has implemented a number of initiatives to connect with fans and community stakeholders, including hosting weekly office hours, participating in town hall meetings, posting blog and Facebook Live updates and communicating via social media. Kaval previously served as president of the San
Jose Earthquakes, a Major League Soccer franchise. Kaval’s efforts with the Earthquakes led to the groundbreaking on an 18,000-seat, privately financed stadium in San Jose, increase in sponsorship, ticket sales and merchandise revenue and a team that finished with the best record in Major League Soccer during the regular season. Kaval helped the Earthquakes navigate the permit approval process for the stadium and helped organize and contribute to a number of community events with the San Jose Earthquakes. The soccer club has visited more than 55 schools and interacted with more than 10,000 students through community programs. Prior to joining the Earthquakes, Kaval founded the Golden Baseball League (GBL) in 2003 with fellow Stanford Business School graduate Amit Patel. Kaval was responsible for raising the initial capital to launch the league, launching the charter teams, and building an entire League from scratch. He also negotiated a number of major corporate partnerships, including the multi-million dollar league naming rights agreement with Safeway. Kaval earned a B.A. in 1998 with honors in International Relations and an M.B.A. in 2003 from Stanford University. He is a long time resident of Menlo Park and lives there with his wife and two daughters.

June Nwabara  
Regional Director, Dollar General

June is currently the Regional Director of Dollar General. June is results oriented and consistently establishes connections with others facilitating attainment of exceeding goals in a highly productive and professional work environment. She does volunteerism with numerous organizations throughout TN including but not limited to Hands on Nashville, National Civil Rights Museum, and Habitat for Humanity.

Before Dollar General, June was a nine year partner at Starbucks Coffee Company and served as the Regional Director of Operations. She led store operations within TN overseeing 92 stores. She managed a team of district managers to create and maintain the Starbucks Experience for partners and customers.

Prior to joining Starbucks, June spent thirteen years with Darden Restaurants and held numerous positions including General Manager, Divisional Training Manager and Director of Operations. She restored profitability and success to several restaurants and reduced turnover through improved consistency of caring and fairness principles in personnel practices. As GM, she was one of fourteen chosen to sit on the President's Council. June holds a Bachelor of Arts degree in Journalism from Michigan State University. She currently serves on the Executive Board of Directors with Hands on Nashville. In her spare time, she enjoys spending time with her family, friends, and chocolate lab named Lucky, traveling, food and wine pairing while exploring breathtaking locations.

Jean-Claude Brizard  
Senior Fellow, Bill & Melinda Gates Foundation

Jean-Claude is a Senior Fellow at the Bill & Melinda Gates Foundation. Jean-Claude is the former Chief Executive of Chicago Public Schools, and prior to his appointment in Chicago, he was Superintendent of Schools for the Rochester, NY School District. Under Jean-Claude’s leadership, both the Chicago Public Schools and the Rochester City School District saw substantial
improvements in student performance. Jean-Claude’s experience also includes a 21-year career as an educator and administrator with the NYC Department of Education. There, he was a Regional Superintendent, supervising more than 100 schools in the Borough of Brooklyn, and he also served as the system’s Executive Director for secondary schools. Jean-Claude is a Fellow of the Broad Center, a Fellow of the third class of the Pahara-Aspen Institute Education Fellowship, and a member of the Aspen Global Leadership Network. A commercial pilot and a native of Haiti, Jean-Claude credits his parents—both of whom were educators—with inspiring him to pursue a career in education. He is married to Dr. K. Brooke Stafford-Brizard and is the proud father of four beautiful children.

Rocketship Senior Leadership Team and Organizational Structure

<table>
<thead>
<tr>
<th>Senior Leadership Team Member</th>
<th>Position</th>
<th>Rocketship Start Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preston Smith</td>
<td>Co-Founder &amp; Chief Executive Officer</td>
<td>6/2007</td>
</tr>
<tr>
<td>Carolyn Davies Lynch</td>
<td>Vice President, Strategy &amp; Scalability</td>
<td>1/2011</td>
</tr>
<tr>
<td>Keysha Bailey</td>
<td>Chief Financial Officer</td>
<td>1/2017</td>
</tr>
<tr>
<td>Lynn Liao</td>
<td>Chief Program Officer</td>
<td>6/2012</td>
</tr>
<tr>
<td>Cheye Calvo</td>
<td>Chief Growth and Community Engagement Officer</td>
<td>5/2015</td>
</tr>
<tr>
<td>Chris Murphy</td>
<td>Vice President, Marketing and Communications</td>
<td>7/2015</td>
</tr>
</tbody>
</table>

Preston Smith
Co-Founder & Chief Executive Officer

Preston Smith co-founded Rocketship Education in 2006. Prior to founding Rocketship, Preston was founder and Principal of L.U.C.H.A. Elementary School, part of the Alum Rock Unified School District in San Jose, CA. After its first three years of operation, L.U.C.H.A. was the fourth highest performing low-income elementary school in California. Preston began his career in education as a Teach for America Corps (TFA) member at Clyde Arbuckle Elementary School (CA). In 2003, Preston was named "Teacher of the Year" at Arbuckle and was also nominated as one of six finalists for TFA’s Sue Lehmann award, given to TFA corps members with the highest classroom academic gains in the nation. Preston is also an Aspen New Schools Fellow.

Before becoming CEO of Rocketship, Preston has had numerous roles including teacher, principal,
director of schools, VP Bay Area and chief achievement officer. He has led Rocketship's professional development, leadership development, integrated special education, and student and teacher recruitment work. Preston is also a proud Rocketeer parent of Zeke and Phoenix, both Rocketeers at Fuerza Community Prep.

Keysha Bailey  
Chief Financial Officer

Keysha leads Rocketship’s financial and real estate activities. Keysha was born and raised in the metro New York City area. She earned her BA in Economics from Princeton University and an MBA in Finance from the Wharton School, University of Pennsylvania. Keysha’s background includes two decades of diverse finance and strategic operational experience. She began her career in investment banking with J.P. Morgan in New York where she advised on capital structure and raised over $10B in the public debt markets for real estate, energy, and diversified corporate clients. She followed as Principal of a Colorado based construction enterprise, with a focus on resort based development. Upon moving to San Francisco 10 years ago, she led the strategy for over $2B in commercial real estate investments including operations, development and joint ventures with Prudential Real Estate Investors. Most recently she has acted as a Consultant, focused on advising corporations and not for profit organizations on strategic finance and real estate matters. Additionally, Keysha serves as a Board Member for Envision Education, a charter network with four Bay Area schools. She is also active in the San Francisco community as a member of the Transbay Center Redevelopment citizens advisory committee and as a leader in SF Little League baseball.

Cheye Calvo  
Chief Growth & Community Engagement Officer

Cheye leads Rocketship’s growth and community engagement team that partners with communities, stakeholders, parents, and families to grow and support Rocketship’s network of highly successful public elementary charter schools. Cheye joins Rocketship after seven years with The SEED Foundation where, as chief expansion officer, he worked with communities across the country to explore innovative educational options for underserved students and opened and sustained urban, public college-preparatory boarding schools. Prior to SEED, Cheye worked for multiple state associations, most notably the National Conference of State Legislatures (NCSL), where he served as a policy expert and directed federal affairs on a diverse array of issues impacting states and communities. Early in his career, Cheye worked for the County Council in his native Prince George's County, Maryland. From 2004 to 2015, Cheye served as the six-time elected mayor of the town of Berwyn Heights, Maryland, just outside of Washington, D.C.

In addition to successfully guiding the diverse, vibrant community through tough times, Cheye has been a strong advocate for law enforcement accountability, successfully advancing reform at the state and county levels.
Christopher Murphy  
VP of Marketing and Communications

Christopher Murphy is the VP of Marketing and Communications at Rocketship. Chris leads Rocketship's marketing and communication efforts both internally and externally. Chris spent the first decade of his career as a Strategic Planner for the world's top creative advertising agencies (Fallon, Wieden + Kennedy, Ogilvy) building marketing strategies for blue-chip brands (Citibank), national non-profits (PBS), and global foundations (The Bill & Melinda Gates Foundation). His work was recognized with five EFFIEs - the industry's top honor for marketing effectiveness.

While working on a voter advocacy campaign for the Gates Foundation, Chris caught the global health bug. He left the advertising world, moved to Kenya, and built a strategic communications capacity for Sub-Saharan Africa's largest HIV control program. In 2010, he moved to the Bay Area but kept one foot in East Africa as he headed up marketing and development for the pioneering social enterprise Living Goods. Chris went on to lead marketing at Common Sense Media, where he spearheaded a national campaign with Univision to help close the broadband internet access gap and directed a $30M national PSA campaign.

Lynn Liao  
Chief Program Officer

Lynn Liao is responsible for talent management, leadership development, analytics, and strategy and scalability. Lynn joins Rocketship from The Broad Center for the Management of School Systems where she co-founded and led the growth of The Broad Residency, a national program to attract and support emerging business leaders to join urban school systems. Under her leadership, the program graduated over 200 Residents, 90% who remain in K-12 education. She most recently served as managing director of network services. The goal of network services is to strengthen and leverage the power of the Broad Center’s network of participants and graduates through career services and connecting network members to facilitate knowledge sharing and advance reforms.

Prior to joining The Broad Center, Lynn served as a director at The Broad Foundation and was responsible for the Foundation’s portfolio of investments in district and national principal leadership programs. She also served as the Foundation’s liaison with districts including New York City, San Diego and Philadelphia supporting their work on district redesign and restructuring.

Carolyn Davies Lynch  
VP of Operations
As VP of Operations, Carolyn leads Rocketship’s work in optimizing processes and operations in our schools and across our network. She previously ran our Strategy and Scalability function. Carolyn came to Rocketship from The Boston Consulting Group, where she led strategic work for organizations ranging from school districts to multinational corporations. Her family drives her passion for education reform, as her mother comes from a long line of educators and her father was first in his family to attend college.
CONFLICT OF INTEREST CODE FOR
ROCKETSHIP EDUCATION

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission ("FPPC") has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) which contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, it may be amended by the FPPC to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the FPPC are hereby incorporated by reference. This regulation and the attached Appendices, designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of Rocketship Education.

Individuals holding designated positions shall file their statements of economic interest with Rocketship Education, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.) All statements will be retained by Rocketship Education.
CONFLICT OF INTEREST CODE FOR
ROCKETSHIP EDUCATION

APPENDIX A
DESIGNATED POSITIONS

<table>
<thead>
<tr>
<th>Designated Position</th>
<th>Assigned Disclosure Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board Members</td>
<td>1, 4</td>
</tr>
<tr>
<td>Chief Executive Officer</td>
<td>1, 2</td>
</tr>
<tr>
<td>Chief Business Officer</td>
<td>1, 2</td>
</tr>
<tr>
<td>Chief Program Officer</td>
<td>1, 2</td>
</tr>
<tr>
<td>Chief Growth &amp; Community Engagement Officer</td>
<td>1, 2</td>
</tr>
<tr>
<td>Vice President of Schools</td>
<td>1, 2</td>
</tr>
<tr>
<td>Vice President, Facilities and Treasury</td>
<td>1, 2</td>
</tr>
<tr>
<td>Vice President, Strategy and Scalability</td>
<td>1, 2</td>
</tr>
<tr>
<td>Vice President, Human Resources</td>
<td>3</td>
</tr>
<tr>
<td>Vice President, of Personalized Learning and Achievement</td>
<td>3</td>
</tr>
<tr>
<td>Controller</td>
<td>2</td>
</tr>
<tr>
<td>Senior Director, Operations</td>
<td>3</td>
</tr>
<tr>
<td>Senior Director, Integrated Special Education</td>
<td>3</td>
</tr>
<tr>
<td>Legal Counsel</td>
<td>1, 2</td>
</tr>
<tr>
<td>School Principal</td>
<td>3</td>
</tr>
<tr>
<td>Consultants/New Positions</td>
<td>*</td>
</tr>
</tbody>
</table>

* The Chief Executive Officer may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)
APPENDIX B

DISCLOSURE CATEGORIES

Category 1. Designated positions assigned to this category must report:

Interests in real property of the type and legal designation that can be utilized for public school use within the jurisdiction.

Category 2. Designated positions assigned to this category must report:

Investments and business positions in business entities and income (including receipt of gifts, loans, and travel payments) from sources of the type that are contractors engaged in the performance of work, training, consulting or services, or are sources that manufacture or sell supplies, instructional materials, machinery, equipment, or vehicles of the type utilized by Rocketship Education.

Category 3. Designated positions assigned to this category must report:

Investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) from sources of the type that are contractors engaged in the performance of work, training, consulting or services, or are sources that manufacture or sell supplies, instructional materials, machinery, equipment, or vehicles of the type utilized by the designated position's department. For the purposes of this category, a principal's department is his or her entire school.

Category 4. Designated positions assigned to this category must report:

Investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) from sources of the type that provide financial accounting auditing services.
This is the last page of the conflict of interest code of the Rocketship Education.

CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the Rocketship Education was approved on 3/23/2017. This code will become effective on 4/21/2017.

Brian G. Lau
Senior Commission Counsel
Fair Political Practices Commission
THIRD AMENDED AND RESTATE BYLAWS
OF
ROCKETSHIP EDUCATION
DBA ROCKETSHIP PUBLIC SCHOOLS
(A California Nonprofit Public Benefit Corporation)

ARTICLE I
NAME

Section 1. NAME. The name of this corporation is Rocketship Education (the “Corporation”) doing business as Rocketship Public Schools.

ARTICLE II
PRINCIPAL OFFICE OF THE CORPORATION

Section 1. PRINCIPAL OFFICE OF THE CORPORATION. The principal office for the transaction of the activities and affairs of the Corporation is 350 Twin Dolphin Drive, Suite 109, Redwood City, State of California. The Corporation’s Board of Directors (the “Board of Directors”) may change the location of the principal office. Any such change of location must be noted by the Secretary on these bylaws opposite this Section; alternatively, this Section may be amended to state the new location.

Section 2. OTHER OFFICES OF THE CORPORATION. The Board of Directors may at any time establish branch or subordinate offices at any place or places where the Corporation is qualified to conduct its activities.

ARTICLE III
GENERAL AND SPECIFIC PURPOSES; LIMITATIONS

Section 1. GENERAL AND SPECIFIC PURPOSES. The purpose of the Corporation is to manage, operate, guide, direct and promote one or more public charter schools. Also in the context of these purposes, the Corporation shall not, except to an insubstantial degree, engage in any other activities or exercise of power that do not further the purposes of the Corporation.

The Corporation shall not carry on any other activities not permitted to be carried on by: (a) a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code; or (b) a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or the corresponding section of any future federal tax code. No substantial part of the activities of the Corporation shall consist of the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not participate in, or intervene in (including the publishing or distributing of statements) any political campaign on behalf of or in opposition to any candidate for public office.
ARTICLE IV
CONSTRUCTION AND DEFINITIONS

Section 1. CONSTRUCTION AND DEFINITIONS. Unless the context indicates otherwise, the general provisions, rules of construction, and definitions in the California Nonprofit Public Benefit Corporation Law, Cal Corp. Code Section 5110 (2001) (the “CNPBCL”) shall govern the construction of these bylaws. Without limiting the generality of the preceding sentence, the masculine gender includes the feminine and neuter, the singular includes the plural, and the plural includes the singular, and the term “person” includes both a legal entity and a natural person.

ARTICLE V
DEDICATION OF ASSETS

Section 1. DEDICATION OF ASSETS. The Corporation’s assets are irrevocably dedicated to public benefit purposes. No part of the net earnings, properties, or assets of the Corporation, on dissolution or otherwise, shall inure to the benefit of any private person or individual, or to any director or officer of the Corporation. On liquidation or dissolution, all properties and assets remaining after payment, or provision for payment, of all debts and liabilities of the Corporation shall be distributed to a nonprofit fund, foundation, or association that is organized and operated exclusively for educational, public or charitable purposes and that has established its exempt status under Internal Revenue Code section 501(c)(3) or shall be distributed to the federal government, or to a state or local government, for a public purpose.

ARTICLE VI
CORPORATIONS WITHOUT MEMBERS

Section 1. CORPORATIONS WITHOUT MEMBERS. The Corporation shall have no voting members within the meaning of the CNPBCL. The Board of Directors may, in its discretion, admit individuals to one or more classes of nonvoting members; the class or classes shall have such rights and obligations as the Board of Directors finds appropriate.

ARTICLE VII
BOARD OF DIRECTORS

Section 1. GENERAL POWERS. Subject to the provisions and limitations of the CNPBCL and any other applicable laws, and subject to any limitations of the articles of incorporation or bylaws, the Corporation’s activities and affairs shall be managed, and all corporate powers shall be exercised, by or under the direction of the Board of Directors. The Board of Directors may delegate the management of the Corporation’s activities to any person(s), management company or committees, however composed, provided that the activities and affairs of the Corporation shall be managed and all corporate powers shall be exercised under the ultimate direction of the Board of Directors.

Section 2. SPECIFIC POWERS. Without prejudice to the general powers set forth in Article VII, Section 1 of these bylaws, but subject to the same limitations, the Board of Directors shall have the power to:
a. Appoint and remove, at the pleasure of the Board of Directors, all corporate officers, agents, and employees; prescribe powers and duties for them as are consistent with the law, the articles of incorporation, and these bylaws; fix their compensation; and require from them security for faithful service.

b. Change the principal office or the principal business office in California from one location to another; cause the Corporation to be qualified to conduct its activities in any other state, territory, dependency, or country; conduct its activities in or outside California; and designate a place in California for holding any meeting of the Board of Directors.

c. Borrow money and incur indebtedness on the Corporation’s behalf and cause to be executed and delivered for the Corporation’s purposes, in the corporate name, promissory notes, bonds, debentures, deeds of trust, mortgages, pledges, hypothecations, and other evidences of debt and securities.

d. Adopt and use a corporate seal; and alter the forms of the seal and certificates.

Section 3. DESIGNATED DIRECTORS AND TERMS. The authorized number of directors of the Corporation shall be no fewer than three (3) and no more than twenty-five (25), unless changed by amendments to these bylaws. The exact authorized number of directors shall be fixed, within the limits set forth in these bylaws, by the Board of Directors. All directors shall be designated and elected by the existing Board of Directors. All directors are to be designated and elected at a meeting of the Board of Directors.

Section 4. RESTRICTION ON INTERESTED PERSONS AS DIRECTORS. No persons serving on the Board of Directors may be interested persons. An interested person is (a) any person compensated by the Corporation for services rendered to it within the previous 12 months, whether as a full-time or part-time employee, independent contractor, or otherwise, excluding any reasonable compensation paid to a director as director; and (b) any brother, sister, ancestor, descendant, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, or father-in-law of such person. The Board of Directors may adopt other policies circumscribing potential conflicts of interest.

Section 5. DIRECTORS’ TERM. Each director shall hold office for a term of two (2) years and until a successor director has been designated and qualified, unless such director is otherwise removed from office in accordance with these bylaws.

Section 6. NOMINATIONS BY COMMITTEE. The Board of Directors may, as it deems appropriate, create a Nominating Committee to assist the Board of Directors in the recruitment, review and nomination of potential new Directors, and to designate qualified candidates for election by the Board of Directors. The Nominating Committee shall be made up of at least two members of the Executive Committee and any additional Directors as the Board of Directors deems appropriate. The Nominating Committee shall work with the Chief Executive Officer and his/her staff to develop criteria for board membership, identify potential candidates for outreach efforts, and develop a recruiting plan for potential candidates. The Nominating
Committee shall make a formal recommendation to the full Board of Directors to elect a potential candidate, and the full Board of Directors shall vote on such appointment.

Section 7. USE OF CORPORATE FUNDS TO SUPPORT NOMINEE. If more people have been nominated for director than can be elected, no Corporation funds may be expended to support a nominee for director without the authorization of the Board of Directors.

Section 8. EVENTS CAUSING VACANCIES ON BOARD. A vacancy or vacancies on the Board of Directors shall occur in the event of (a) the death, resignation, or removal of any director; (b) the declaration by resolution of the Board of Directors of a vacancy in the office of a director who has been convicted of a felony, declared of unsound mind by a court order, or found by final order or judgment of any court to have breached a duty under Chapter 2, Article 3 of the CNPBCL; (c) the increase of the authorized number of directors; or (d) the failure of the Board of Directors, at any meeting of the Board of Directors at which any director or directors are to be elected, to elect the number of directors required to be elected at such meeting.

Section 9. RESIGNATION OF DIRECTORS. Except as provided below, any director may resign by giving written notice to the Chairman of the Board of Directors, or to the Chief Executive Officer, or the Secretary of the Board of Directors. The resignation shall be effective when the notice is given unless the notice specifies a later time for the resignation to become effective. If a director's resignation is effective at a later time, the Board of Directors may elect a successor to take office as of the date when the resignation becomes effective.

Section 10. DIRECTOR MAY NOT RESIGN IF NO DIRECTOR REMAINS. Except on notice to the California Attorney General, no director may resign if the Corporation would be left without a duly elected director or directors.

Section 11. REMOVAL OF DIRECTORS. Any director may be removed, with or without cause, by the vote of the majority of the members of the entire Board of Directors at a special meeting called for that purpose, or at a regular meeting, provided that notice of that meeting and of the removal questions are given in compliance with the provisions of the Ralph M. Brown Act. (Chapter 9 (commencing with Section 54950) of Division 2 of Title 5 of the Government Code) (as amended, the "Brown Act"). Any vacancy caused by the removal of a director shall be filled as provided in Section 11 of this Article VII.

Section 12. VACANCIES FILLED BY BOARD. Vacancies on the Board of Directors may be filled by approval of the Board of Directors or, if the number of directors then in office is less than a quorum, by (a) the unanimous consent of the directors then in office, (b) the affirmative vote of a majority of the directors then in office at a meeting held according to notice or waivers of notice complying with CNPBCL Section 5211, or (c) a sole remaining director.

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1 Rocketship Education Inc. shall operate under the terms of the Brown Act whenever it is considered to be a public agency by virtue of operating one or more California public charter schools.
Section 13.  NO VACANCY ON REDUCTION OF NUMBER OF DIRECTORS. No reduction of the authorized number of directors shall have the effect of removing any director before that director’s term of office expires unless the reduction also explicitly provides for the removal of that specified director in accordance with these bylaws and the CNPBCL.

Section 14.  PLACE OF BOARD OF DIRECTORS MEETINGS. Meetings shall be held at the principal office of the Corporation unless the Board of Directors designates another location in accordance with these bylaws. The Board of Directors may designate that a meeting be held at any place within California that has been designated in the notice of the meeting. All meetings of the Board of Directors shall be called, held and conducted in accordance with the terms and provisions of the Brown Act.

Section 15.  MEETINGS; ANNUAL MEETINGS. All meetings of the Board of Directors and its committees shall be called, noticed, and held in compliance with the applicable provisions of the Brown Act and the Tennessee Open Meetings Law. All approved Board meeting minutes shall be posted on the Corporation’s website.

The Board of Directors shall meet no less than annually for the purpose of organization, appointment of officers, and the transaction of such other business as may properly be brought before the meeting. This meeting shall be held at a time, date, and place as may be specified by resolution of the Board of Directors.

Section 16.  REGULAR MEETINGS. Regular meetings of the Board of Directors, including annual meetings, shall be held at such times and places as may from time to time be fixed by the Board of Directors. At least 72 hours before a regular meeting, the Board of Directors, or its designee shall post an agenda containing a brief general description of each item of business to be transacted or discussed at the meeting. The agenda shall also be posted on the Corporation’s Internet Web site.

Section 17.  SPECIAL MEETINGS. Special meetings of the Board of Directors for any purpose may be called at any time by the Chairman of the Board of Directors or a majority of the Board of Directors. If a Chairman of the Board has not been elected then the Chief Executive Officer is authorized to call a special meeting in place of the Chairman of the Board. The party calling a special meeting shall determine the place, date, and time thereof.

Section 18.  NOTICE OF SPECIAL MEETINGS. In accordance with the Brown Act, special meetings of the Board of Directors may be held only after twenty-four (24) hours notice is given to the public through the posting of an agenda. Directors shall also receive at least twenty-four (24) hour notice of the special meeting. The Board of Directors shall also adhere to any additional notice requirements pursuant to California open meeting laws applicable to the Corporation.

Section 19.  QUORUM. A majority of the directors then in office shall constitute a quorum. All acts or decisions of the Board of Directors will be by majority vote based upon the presence of a quorum. Should there be fewer than a majority of the directors present at any meeting, the meeting shall be adjourned. Directors may not vote by proxy. The vote or abstention of each Board member present for each action taken shall be publicly reported.
Section 20. TELECONFERENCE MEETINGS. Directors may participate in teleconference meetings so long as all of the following requirements in the Brown Act are complied with:

a. At a minimum, a quorum of directors shall participate in the teleconference meeting from locations within the boundaries of the State of California in which the Corporation operates;

b. All votes taken during a teleconference meeting shall be by roll call;

c. If the Board of Directors elects to use teleconferencing, it shall post agendas at all teleconference locations with each teleconference location being identified in the notice and agenda of the meeting;

d. All locations where a director participates in a meeting via teleconference must be fully accessible to members of the public and shall be listed on the agenda;

e. Members of the public must be able to hear what is said during the meeting and shall be provided with an opportunity to address the Board of Directors directly at each teleconference location; and

f. Members of the public attending a meeting conducted via teleconference need not give their name when entering the conference call.

Section 21. ADJOURNMENT. A majority of the directors present, whether or not a quorum is present, may adjourn any Board of Directors meeting to another time or place. If a meeting is adjourned for more than twenty-four (24) hours, notice of such adjournment to another time or place shall be given, prior to the time schedule for the continuation of the meeting, to the directors who were not present at the time of the adjournment, and to the public in the manner prescribed by any applicable public open meeting law.

Section 22. COMPENSATION AND REIMBURSEMENT. Directors may not receive compensation for their services as directors or officers, and may only receive such reimbursement of expenses as the Board of Directors may establish by resolution to be just and reasonable as to the Corporation at the time that the resolution is adopted.

Section 23. CREATION OF POWERS OF COMMITTEES. The Board of Directors, by resolution adopted by a majority of the directors then in office, may create one or more committees, each consisting of two or more directors, and no one who is not a director, to serve at the pleasure of the Board. Appointments to committees of the Board of Directors shall be by majority vote of the directors then in office. The Board of Directors may appoint one or more directors as alternate members of any such committee, who may replace any absent member at any meeting. Any such committee shall have all the authority of the Board, to the extent provided in the Board of Directors’ resolution, except that no committee may:

a. Take any final action on any matter that, under the CNPBCL, also requires approval of a majority of all directors then in office;
b. Fill vacancies on the Board of Directors or any committee of the Board;

c. Amend or repeal these bylaws or adopt new bylaws;

d. Amend or repeal any resolution of the Board of Directors that by its express terms is not so amendable or subject to repeal;

e. Create any other committees of the Board of Directors or appoint the members of committees of the Board;

f. Expend corporate funds to support a nominee for director if more people have been nominated for director than can be elected; or

g. Approve any contract or transaction to which the Corporation is a party and in which one or more of its directors has a material financial interest.

Section 24. MEETINGS AND ACTION OF COMMITTEES. Meetings and actions of committees of the Board of Directors shall be governed by, held, and taken under the provisions of these bylaws concerning meetings, other Board of Directors' actions, and the Brown Act, if applicable, except that the time for general meetings of such committees and the calling of special meetings of such committees may be set either by Board of Directors' resolution or, if none, by resolution of the committee. Minutes of each meeting shall be kept and shall be filed with the corporate records. The Board of Directors may adopt rules for the governance of any committee as long as the rules are not inconsistent with these bylaws. If the Board of Directors has not adopted rules, the committee may do so.

Section 25. NON-LIABILITY OF DIRECTORS. No Director shall be personally liable for the debts, liabilities, or other obligations of the Corporation.

Section 26. COMPLIANCE WITH LAWS GOVERNING STUDENT RECORDS. Rocketship Education, Inc. and its Board of Directors shall comply with all applicable provisions of the Family Education Rights Privacy Act ("FERPA") as set forth in Title 20 of the United States Code Section 1232g and attendant regulations as they may be amended from time to time.

Section 27. COMPLIANCE WITH CONFLICT OF INTEREST LAWS. The Corporation shall comply with Government Code Section 1090 and the Political Reform Act (Govt. Code § 8100 et seq.)

ARTICLE VIII
OFFICERS OF THE CORPORATION

Section 1. OFFICES HELD. The officers of this Corporation shall be a Chairperson of the Board of Directors ("Chairman of the Board" or "Chairman"), a Chief Executive Officer, a Secretary of the Board of Directors ("Secretary"), and a Treasurer of the Board of Directors ("Treasurer"). The Corporation, at the Board's direction, may also appoint one or more Presidents, Vice-Presidents, one or more assistant secretaries, one or more assistant treasurers,
and such other officers as may be appointed under Article VIII, Section 4, of these bylaws. The officers in addition to the corporate duties set forth in this Article VIII shall also have administrative duties as set forth in any applicable contract for employment or job specification.

Section 2. DUPLICATION OF OFFICE HOLDERS. Any number of offices may be held by the same person, except that neither the Secretary nor the Treasurer may serve concurrently as either the Chief Executive Officer or the Chairman of the Board.

Section 3. ELECTION OF OFFICERS. The Board of Directors shall elect from its own members, a Chairman of the Board, a Secretary, and a Treasurer, who shall serve terms concurrent with their term on the Board of Directors.

Section 4. APPOINTMENT OF OTHER OFFICERS. The Board of Directors may by resolution appoint and authorize the Chairman of the Board, the Chief Executive Officer, or another officer to appoint any other officers that the Corporation may require. Each appointed officer shall have the title and authority, hold office for the period, and perform the duties specified in the bylaws or established by the Board.

Section 5. REMOVAL OF OFFICERS. Without prejudice to the rights of any officer under an employment contract, the Board of Directors may remove any officer with or without cause. An officer who was not chosen by the Board of Directors may be removed by any other officer on whom the Board of Directors confers the power of removal.

Section 6. RESIGNATION OF OFFICERS. Any officer may resign at any time by giving written notice to the Board of Directors. The resignation shall take effect on the date the notice is received or at any later time specified in the notice. Unless otherwise specified in the notice, the resignation need not be accepted to be effective. Any resignation shall be without prejudice to any rights of the Corporation under any contract to which the officer is a party.

Section 7. VACANCIES IN OFFICE. A vacancy in any office because of death, resignation, removal, disqualification, or any other cause shall be filled in the manner prescribed in these bylaws for normal appointment to that office, provided, however, that vacancies need not be filled on an annual basis.

Section 8. CHAIRMAN OF THE BOARD. The Chairman of the Board shall preside at the Board of Directors’ meetings and shall exercise and perform such other powers and duties as the Board of Directors may assign from time to time. If there is no Chief Executive Officer, the Chairman of the Board shall also be the chief executive officer and shall have the powers and duties of the Chief Executive Officer of the Corporation set forth in these bylaws.

Section 9. CHIEF EXECUTIVE OFFICER. Subject to such supervisory powers as the Board of Directors may give to the Chairman of the Board, and subject to the control of the Board of Directors, and subject to Chief Executive Officer’s contract of employment, the Chief Executive Officer shall be the general manager of the Corporation and shall supervise, direct, and control the Corporation’s activities, affairs, and officers as fully described in any applicable employment contract, agreement, or job specification.
The Chief Executive Officer shall keep and maintain, or cause to be kept and maintained, adequate and correct books and accounts of the Corporation's properties and transactions. The Chief Executive Officer shall send or cause to be given to the directors such financial statements and reports as are required to be given by law, by these bylaws, or by the Board of Directors. The books of account shall be open to inspection by any director at all reasonable times.

The Chief Executive Officer shall (a) deposit, or cause to be deposited, all money and other valuables in the name and to the credit of the Corporation with such depositories as the Board of Directors may designate; (b) disburse the Corporation's funds as the Board of Directors may order; (c) render to the Chairman of the Board, and the Board of Directors, when requested, an account of all transactions and of the financial condition of the Corporation; and (d) have such other powers and perform such other duties as the Board, contract, job specification, or the bylaws may require.

Section 10. SECRETARY. The Secretary shall keep or cause to be kept, at the Corporation's principal office or such other place as the Board of Directors may direct, the minutes of all meetings, proceedings, and actions of the Board of Directors, and of committees of the Board. The minutes of meetings shall include the time and place that the meeting was held; whether the meeting was annual, regular, special, or emergency and, if special or emergency, how authorized; the notice given; the names of the directors present at Board of Directors and committee meetings and the vote or abstention of each Board member present for each action taken.

The Secretary shall keep or cause to be kept, at the principal California office, a copy of the articles of incorporation and bylaws, as amended to date.

The Secretary shall give, or cause to be given, notice of all meetings of the Board of Directors, and of committees of the Board of Directors that these bylaws require to be given. The Secretary shall keep the corporate seal, if any, in safe custody and shall have such other powers and perform such other duties as the Board of Directors or the bylaws may require.

Section 11. TREASURER. The Treasurer's duties shall include overseeing or causing to be completed (a) audits; (b) federal and state annual information return filings; and (c) corporate filings. The Treasurer shall also exercise and perform such other powers and duties as the Board of Directors may assign from time to time.

Section 12. PRESIDENTS AND VICE-PRESIDENTS. If the Chief Executive Officer is absent or disabled, the Presidents or Vice-Presidents, if any, in order of their rank as fixed by the Board of Directors, or, if not ranked, a President or Vice-President designated by the Board of Directors, shall perform all duties of the Chief Executive Officer. When so acting, a President or Vice-President shall have all powers of and be subject to all restrictions on the Chief Executive Officer. The Presidents or Vice-President shall have such other powers and perform such other duties as the Board of Directors or the bylaws may require.

ARTICLE IX
CONTRACTS WITH DIRECTORS
ARTICLE X
SECTION 1. CONTRACTS WITH DIRECTORS. THE CORPORATION SHALL NOT ENTER INTO A CONTRACT OR TRANSACTION IN WHICH A DIRECTOR DIRECTLY OR INDIRECTLY HAS A MATERIAL FINANCIAL INTEREST (OR ANY OTHER CORPORATION, FIRM, ASSOCIATION, OR OTHER ENTITY IN WHICH ONE OR MORE OF THE CORPORATION'S DIRECTORS ARE DIRECTORS AND HAVE A MATERIAL FINANCIAL INTEREST).
CONTRACTS WITH NON-DIRECTOR DESIGNATED EMPLOYEES

Section 1. CONTRACTS WITH NON-DIRECTOR DESIGNATED EMPLOYEES. The Corporation shall not enter into a contract or transaction in which a non-director designated employee (e.g., officers and other key decision-making employees) directly or indirectly has a material financial interest unless all of the requirements in the Rocketship Education, Inc. Conflict of Interest Code have been fulfilled.

ARTICLE XI
LOANS TO DIRECTORS AND OFFICERS

Section 1. LOANS TO DIRECTORS AND OFFICERS. This Corporation shall not lend any money or property to or guarantee the obligation of any director or officer without the approval of the California Attorney General; provided, however, that the Corporation may advance money to a director or officer of the Corporation for expenses reasonably anticipated to be incurred in the performance of his or her duties if that director or officer would be entitled to reimbursement for such expenses of the Corporation.

ARTICLE XII
INDEMNIFICATION

Section 1. INDEMNIFICATION. To the fullest extent permitted by law, this Corporation shall indemnify its directors, officers, employees, and other persons described in CNPBCL Section 5238(a), including persons formerly occupying any such positions, against all expenses, judgments, fines, settlements, and other amounts actually and reasonably incurred by them in connection with any “proceeding,” as that term is used in that section, and including an action by or in the right of the Corporation by reason of the fact that the person is or was a person described in that section. “Expenses,” as used in this bylaw, shall have the same meaning as in that section of the CNPBCL.

On written request to the Board of Directors by any person seeking indemnification under CNPBCL Section 5238 (b) or Section 5238 (c) the Board of Directors shall promptly decide under CNPBCL Section 5238 (e) whether the applicable standard of conduct set forth in CNPBCL Section 5238 (b) or Section 5238 (c) has been met and, if so, the Board of Directors shall authorize indemnification.

ARTICLE XIII
INSURANCE

Section 1. INSURANCE. This Corporation shall have the right to purchase and maintain insurance to the full extent permitted by law on behalf of its officers, directors,
employees, and other agents, to cover any liability asserted against or incurred by any officer, director, employee, or agent in such capacity or arising from the officer's, director's, employee's, or agent's status as such.

ARTICLE XIV
MAINTENANCE OF CORPORATE RECORDS

Section 1. MAINTENANCE OF CORPORATE RECORDS. This Corporation shall keep:

a. Adequate and correct books and records of account;

b. Written minutes of the proceedings of the Board of Directors and committees of the Board of Directors; and

c. Such reports and records as required by law.

ARTICLE XV
INSPECTION RIGHTS

Section 1. DIRECTORS' RIGHT TO INSPECT. Every director shall have the right at any reasonable time to inspect the Corporation's books, records, documents of every kind, physical properties, and the records of each subsidiary as permitted by California and federal law. The inspection may be made in person or by the director's agent or attorney. The right of inspection includes the right to copy and make extracts of documents as permitted by California and federal law. This right to inspect may be circumscribed in instances where the right to inspect conflicts with California or federal law (e.g., restrictions on the release of educational records under FERPA) pertaining to access to books, records, and documents.

Section 2. ACCOUNTING RECORDS AND MINUTES. On written demand on the Corporation, any director may inspect, copy, and make extracts of the accounting books and records and the minutes of the proceedings of the Board of Directors and committees of the Board of Directors at any reasonable time for a purpose reasonably related to the director's interest as a director. Any such inspection and copying may be made in person or by the director's agent or attorney. This right of inspection extends to the records of any subsidiary of the Corporation.

Section 3. MAINTENANCE AND INSPECTION OF ARTICLES AND BYLAWS. This Corporation shall keep at its principal California office the original or a copy of the articles of incorporation and bylaws, as amended to the current date, which shall be open to inspection by the directors at all reasonable times during office hours. If the Corporation has no business office in California, the Secretary shall, on the written request of any director, furnish to that director a copy of the articles of incorporation and bylaws, as amended to the current date.

ARTICLE XVI
REQUIRED REPORTS
Section 1. ANNUAL REPORTS. The Board of Directors shall cause an annual report to be sent to the Board of Directors (i.e., itself) within 120 days after the end of the Corporation’s fiscal year. That report shall contain the following information, in appropriate detail:

a. The assets and liabilities, including the trust funds, of the Corporation as of the end of the fiscal year;

b. The principal changes in assets and liabilities, including trust funds;

c. The Corporation’s revenue or receipts, both unrestricted and restricted to particular purposes;

d. The Corporation’s expenses or disbursement for both general and restricted purposes;

e. Any information required under these bylaws; and

f. An independent accountant’s report or, if none, the certificate of an authorized officer of the Corporation that such statements were prepared without audit from the Corporation’s books and records.

Section 2. ANNUAL STATEMENT OF CERTAIN TRANSACTIONS AND INDEMNIFICATIONS. The Corporation shall comply with Corporations Code section 6322.

ARTICLE XVII
BYLAW AMENDMENTS

Section 1. BYLAW AMENDMENTS. The Board of Directors may adopt, amend or repeal any of these bylaws by a majority of the directors present at a meeting duly held at which a quorum is present, except that no amendment shall change any provisions of the Charter(s) of the California public charter school(s) operated by Rocketship Education, Inc., or make any provisions of these bylaws inconsistent with that/those Charter(s), the Corporation’s articles of incorporation, or any laws.

The Charter School shall provide written notice to the Santa Clara County Superintendent of any proposed revisions to the Articles of Incorporation and/or Bylaws no less than three (3) weeks prior to consideration of adoption of the revision(s) by the Corporation’s Board. Should the County Superintendent or designee indicate that the SCCOE considers the proposed revision(s) to be a material revision to the Corporation’s governance structure or Charter(s), the Corporation may not formally adopt such revision(s) unless and until the revision(s) is first approved through the process set forth in Education Code section 47607 for material revision to the Charter. Should the Corporation adopt revision(s) to its Articles of Incorporation and/or Bylaws in accordance with these requirements, it shall provide a final copy of the revised document to the Santa Clara County Office of Education within three (3) business days of the adoption of such revision(s).
ARTICLE XVIII
FISCAL YEAR

Section 1. FISCAL YEAR OF THE CORPORATION. The fiscal year of the Corporation shall begin on July 1st and end on June 30th of each year.

CERTIFICATE OF BOARD PRESIDENT

I certify that I am the duly elected and acting President of the Board of Directors of Rocketship Education, a California nonprofit public benefit corporation; that these bylaws, consisting of 13 pages, are the bylaws of this Corporation as adopted by the Board of Directors on August 23, 2017; and that these bylaws have not been amended or modified since that date.

Aug. 23, 2017
Date

[Signature]
President
State of California
Secretary of State

I, BRUCE McPHerson, Secretary of State of the State of California, hereby certify:

That the attached transcript of ___ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

FEB 16 2006

BRUCE McPHerson
Secretary of State
ARTICLES OF INCORPORATION
OF
ROCKETSHIP EDUCATION
(A California Nonprofit Public Benefit Corporation)

I.

The name of the Corporation shall be Rocketship Education.

II.

The Corporation is a nonprofit public benefit corporation and is not organized for the private gain of any person. It is organized under the Nonprofit Public Benefit Corporation Law for public and charitable purposes. The specific purposes for which this Corporation is organized are to manage, operate, guide, direct and promote one or more California public charter schools.

The Corporation is organized and operated exclusively for educational and charitable purposes pursuant to and within the meaning of Section 501(c)(3) of the Internal Revenue Code or the corresponding provision of any future United States Internal Revenue Law. Notwithstanding any other provision of these articles, the Corporation shall not, except to an insubstantial degree, engage in any other activities or exercise of power that do not further the purposes of the Corporation. The Corporation shall not carry on any other activities not permitted to be carried on by: (a) a corporation exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code; or (b) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code, or the corresponding section of any future federal tax code.

III.

The name and address in the State of California of this Corporation's initial agent for service of process is:

John Danner
550 Kingsley Avenue
Palo Alto, CA 94301

IV.

All corporate property is irrevocably dedicated to the purposes set forth in the second article above. No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to any of its directors, members, trustees, officers or other private persons except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered, and to make payments and distributions in furtherance of the purposes set forth in Article II.

No substantial part of the activities of the Corporation shall consist of the carrying on of propaganda, or otherwise attempting to influence legislation, and the Corporation shall not
participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office.

Subject to the provisions of the nonprofit public benefit provisions of the Nonprofit Corporation Law of the State of California, and any limitations in the articles or bylaws relating to action to be approved by the members or by a majority of all members, if any, the activities and affairs of this Corporation shall be conducted and all the powers shall be exercised by or under the direction of the board of directors.

The number of directors shall be as provided for in the bylaws. The bylaws shall prescribe the qualifications, mode of election, and term of office of directors.

V.

The authorized number and qualifications of members of the corporation, if any, the different classes of membership, the property, voting and other rights and privileges of members, and their liability for dues and assessments and the method of collection thereof, shall be set forth in the bylaws.

VI.

Upon the dissolution or winding up of the Corporation, its assets remaining after payment of all debts and liabilities of the Corporation, shall be distributed to a nonprofit fund, foundation, or association which is organized and operated exclusively for educational, public or charitable purposes and which has established its tax exempt status under Section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future federal tax code, or shall be distributed to the federal government, or to a state or local government, for a public purpose. Any such assets not so disposed of shall be disposed of by a court of competent jurisdiction of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said court shall determine, which are organized and operated exclusively for such purposes.

Dated: 2/6/05

John Danner, Incorporator
**State of California**  
**Secretary of State**

**STATEMENT OF INFORMATION**  
(Domestic Nonprofit Corporation)

**Filing Fee $20.00. If amendment, see Instructions.**

**IMPORTANT — READ INSTRUCTIONS BEFORE COMPLETING THIS FORM**

1. **CORPORATE NAME** (Please do not alter if name is preprinted.)
   
   ROCKETSHIP EDUCATION

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**DUE DATE:** MAY 16, 2006

**COMPLETE PRINCIPAL OFFICE ADDRESS** (Do not abbreviate the name of the city. Item 2 cannot be a P.O. Box.)

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**NAMES AND COMPLETE ADDRESSES OF THE FOLLOWING OFFICERS** (The corporation must have these three officers. A comparable title for the specific officer may be added; however, the preprinted titles on this form must not be altered.)

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<td>5. CHIEF FINANCIAL OFFICER/</td>
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**AGENT FOR SERVICE OF PROCESS** (If the agent is an individual, the agent must reside in California and Item 7 must be completed with a California address. If the agent is another corporation, the agent must have on file with the California Secretary of State a certificate pursuant to Corporations Code section 1503 and Item 7 must be left blank.)

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<td>7. ADDRESS OF AGENT FOR SERVICE OF PROCESS IN CALIFORNIA, IF AN INDIVIDUAL</td>
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**DAVIS-STIRLING COMMON INTEREST DEVELOPMENT ACT** (California Civil Code section 1350, et seq.)

8. ☐ Check here if the corporation is an association formed to manage a common interest development under the Davis-Stirling Common Interest Development Act and proceed to Items 9, 10 and 11.

**NOTE:** Corporations formed to manage a common interest development must also file a Statement by Common Interest Development Association (Form SI-CID) as required by California Civil Code section 1363.6. Please see instructions on the reverse side of this form.

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<td>8. ADDRESS OF BUSINESS OR CORPORATE OFFICE OF THE ASSOCIATION, IF ANY</td>
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<td>10. FRONT STREET AND NEAREST CROSS STREET FOR THE PHYSICAL LOCATION OF THE COMMON INTEREST DEVELOPMENT (Complete if the business or corporate office is not on the site of the common interest development.)</td>
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<td>11. NAME AND ADDRESS OF ASSOCIATION'S MANAGING AGENT, IF ANY</td>
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<td>12. THE INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT.</td>
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**TYPE OR PRINT NAME OF PERSON COMPLETING THE FORM**  
**SIGNATURE**  
**TITLE**  
**DATE**  

SI-100 (REV 09/2005)  
APPROVED BY SECRETARY OF STATE
Employee Sexual Harassment Policy
All Regions

Rocketship Public Schools ("Rocketship") is committed to maintaining a working environment that is free from harassment. Rocketship prohibits sexual harassment of Rocketship employees by anyone, in any manner, and shall not tolerate retaliatory behavior or action against a Rocketship employee or other person who complains, testifies, assist or otherwise participates in the complaint process established pursuant to this policy. For the purposes of this policy, Rocketship employees shall include applicants for employment at Rocketship. This policy was written in accordance with California Board Policy and Administrative Regulation 4119.11.

I. Definition

Prohibited sexual harassment includes, but is not limited to, unwelcome sexual advances, requests for sexual favors, and other verbal, visual, or physical conduct of a sexual nature made against another person of the same or opposite gender in the work or educational setting when:

- Submission to or rejection of the conduct by an individual is used as the basis for employment decisions affecting the individual.
- Submission to the conduct is explicitly or implicitly made a term or condition of an individual's employment.
- The conduct has the purpose or effect of having a negative impact on the individual's academic or work performance, or of creating an intimidating, hostile, or offensive educational or work environment, or adversely affecting the individual's evaluation, advancement, assigned duties, or any other condition of education, employment, or career development.
- Submission to or rejection of the conduct by the individual is used as the basis for any decision affecting the individual regarding benefits and services, honors, programs, or activities available or through Rocketship.

Examples of conduct that is prohibited and which may constitute sexual harassment include, but are not limited to:

- Unwelcome leering, sexual flirtations, or propositions
- Unwelcome sexual slurs, epithets, threats, verbal abuse, derogatory comments, or sexually degrading descriptions
- Graphic verbal comments about an individual's body or overly personal conversation
- Sexual jokes, derogatory posters, notes, stories, cartoons, drawings, pictures, obscene gestures, or computer-generated images of a sexual nature
- Spreading sexual rumors
- Teasing or sexual remarks about students enrolled in a predominantly single-sex class
- Massaging, grabbing, fondling, stroking, or brushing the body
- Touching an individual's body or clothes in a sexual way
• Impeding or blocking movements or any physical interference with school activities when directed at an individual on the basis of sex
• Displaying sexually suggestive objects
• Sexual assault, sexual battery, or sexual coercion

II. Reporting Process and Complaint Investigation and Resolutions

Any employee who believes that he/she has been subjected to sexual harassment or who has witnessed sexual harassment is strongly encouraged to report the incident to his/her manager or Rocketship’s Director of Human Resources. Within one school day of receiving such a report, the manager shall forward the report to the Director of Human Resources. In addition, any employee who observes an incident of sexual harassment involving an employee shall, within one school day, report his/her observation to the Director of Human Resources. The employee shall take these actions, whether or not the alleged victim files a complaint. In any case of sexual harassment involving the Director of Human Resources or any other person to whom the incident would ordinarily be reported or filed, the report may instead be submitted to Rocketship’s Chief Executive Officer or designee.

When a report of sexual harassment is submitted, the Director of Human Resources shall inform the student or parent/guardian of the right to file a formal written complaint in accordance with Rocketship’s uniform complaint procedures.

III. Investigation of Complaints at School Site Level

The Director of Human Resources or designee shall promptly (within 24 hours) investigate all complaints of sexual harassment. In so doing, he/she shall talk individually with:

• The employee who is complaining
• The person accused of harassment
• Anyone who saw the harassment take place
• Anyone mentioned as having related information

The employee who is complaining shall have an opportunity to describe the incident, present witnesses and other evidence of the harassment, and put his/her complaint in writing.

The Director of Human Resources shall discuss the complaint only with the people described above. When necessary to carry out his/her investigation or for other good reasons that apply to the particular situation, the Director of Human Resources also may discuss the complaint with the following persons:

• The Superintendent or designee
• An employee whose knowledge of the parties involved may help in determining who is telling the truth
• Rocketship legal counsel

When the employee who complained and the alleged harasser so agree, the Director of Human Resources may arrange for them to resolve the complaint informally with the help of a counselor,
teacher, administrator or trained mediator. The employee who complained shall never be asked to work out the problem directly with the accused person unless such help is provided.

In reaching a decision about the complaint, the Director of Human Resources may take into account:

- Statements made by the persons identified above
- The details and consistency of each person’s account
- Evidence of how the complaining student reacted to the incident
- Evidence of past instances of harassment by the alleged harasser
- Evidence of past harassment complaints that were found to be untrue

To judge the severity of the harassment, the Director of Human Resources may take into consideration:

- How the misconduct affected one or more employees’ work
- The type, frequency and duration of the misconduct
- The number of persons involved
- The age and sex of the person accused of harassment
- The subject(s) of harassment
- The place and situation where the incident occurred
- Other incidents in the workplace, including incidents of harassment that were not related to gender.

The Director of Human Resources shall write a report of his/her findings, decision, and reasons for the decision and shall present this report to the student who complained and the person accused.

IV. Confidentiality

All complaints and allegations of sexual harassment shall be kept confidential except as necessary to carry out the investigation or take other subsequent necessary action.

However, when a complainant or victim of sexual harassment notifies Rocketship of the harassment but requests confidentiality, the Director of Human Resources shall inform him/her that the request may limit Rocketship’s ability to investigate the harassment or take other necessary action. When honoring a request for confidentiality, Rocketship will nevertheless take all reasonable steps to investigate and respond to the complaint consistent with the request.

When a complainant or victim of sexual harassment notifies Rocketship of the harassment but requests that Rocketship not pursue an investigation, Rocketship will determine whether or not it can honor such a request while still providing a safe and nondiscriminatory environment for all students.

V. Response Pending Investigation

When an incident of sexual harassment is reported, the Director of Human Resources shall determine whether interim measures are necessary pending the results of the investigation. The Director of Human
Resources shall take immediate measures necessary to stop the harassment and protect employees and/or ensure their access to a safe working environment. Rocketship should notify the individual who was harassed of his/her options to avoid contact with the alleged harasser and allow the complainant to adjust workplace arrangements as appropriate. Rocketship should also ensure that the complainant is aware of the resources and assistance, such as counseling, that are available to him/her. As appropriate, such actions shall be considered even when an employee chooses to not file a formal complaint or the sexual harassment occurs off school grounds or outside school-sponsored or school-related programs or activities.

VI. Notifications

A copy of Rocketship's sexual harassment policy shall:
- Be displayed in a prominent location in the main administrative building or other area of the school
- Be provided to each faculty member, all members of the administrative staff, and all members of the support staff at the beginning of the school year, or whenever a new employee is hired
- Appear in any Rocketship publication that sets forth the school's comprehensive rules, regulations, procedures, and standards of conduct

VII. Disciplinary Actions

Any Rocketship employee who permits, engages or participates in sexual harassment or sexual violence of another Rocketship employee or student shall be in violation of this policy and is subject to disciplinary action, up to and including dismissal. An employee shall be deemed to have permitted sexual harassment if he/she observes such harassment and fails to report to the appropriate authorities, whether or not the victim makes a complaint.

VIII. Prevention

The Director of Human Resources shall take all actions necessary to ensure the prevention, investigation, and correction of sexual harassment, including but not limited to:
- Providing periodic training to all staff regarding Rocketship's sexual harassment policy, particularly the procedures for registering complaints.
- Publicizing and disseminating Rocketship's sexual harassment policy
- Ensuring prompt, thorough investigation of complaints in a way that respects the privacy of all parties concerned, to the extent necessary
- Taking timely and appropriate corrective/remedial actions after completion of investigation.

IX. Record Keeping

The Director of Human Resources or designee shall maintain a record of all reported cases of sexual harassment to enable Rocketship to monitor, address, and prevent repetitive harassing behavior in the schools.
This policy is adopted by the Board of Directors of Rocketship Education, Inc.

Date: 11/16/17

Signed
Frederick Ferrer, Board President
Rocketship Education
To promote the health and well-being of all students, and to comply with California laws and regulations, Rocketship requires all students to present proof of certain immunizations prior to beginning school at a Rocketship campus.

I. Immunization Requirements

No student shall be admitted to a Rocketship school unless the school has certification of immunization for that student, or unless the student is exempted pursuant to this policy.

Under CA Health and Safety Code §120325 and 17 C.C.R. § 6020, the California Department of Health requires that all students receive the following immunizations:

- Polio
- Diptheria, Tetanus, and Pertussis (DTaP, DTP, or DT)
- Measles, Mumps, and Rubella (MMR)
- Hepatitis B
- Varicella (chicken pox)

Vaccinations must be administered according to the schedules and doses required by Department of Health guidelines.

II. Conditional Admission

In accordance with 17 C.C.R. § 6035, a student who lacks documentation of having received all required vaccine doses may be admitted conditionally if he/she has commenced receiving doses, is not currently due for any doses at the time of admission, and the parent/guardian is notified of the date by which the student must complete all the required immunizations. A student may also be granted conditional admission if he/she has obtained a temporary medical exemption in accordance with 17 C.C.R. § 6050 and the parent/guardian is notified of the date by which the student must complete all the required immunizations once the temporary medical exemption terminates.

III. Certification

As required by 17 C.C.R. § 6065, the physician or agency performing the immunization must provide the student’s parent/guardian with a written record containing the following information:
- Name of the student;
- Birthdate;
- Type of vaccine administered;
- Month, day, and year of each immunization;
- Name of the physician or agency administering the vaccine.

When such a written record is not available, the parent/guardian will be referred to a physician or nurse for review of the student’s immunization history and provision of immunizations as needed. The student will not be admitted until satisfactory proof can be shown.

Rocketship will record each student’s immunizations on the California School Immunization Record, which will become part of the mandatory student record as defined in 5 C.C.R. § 430.

For students transferring between school campuses in California or from a school in another state to a school in California, if the mandatory permanent student record has not been received at the time of entry to the new school, Rocketship may admit the student for up to 30 days in accordance with 17 C.C.R. § 6070(e). If the mandatory record is not received at the end of the 30-day period, Rocketship will require the parent/guardian to present a written immunization record as described in this policy. If the record is not presented, the student will be excluded from further attendance until he/she comes into compliance with the immunization requirements.

IV. Exemptions

Medical Exemption

Pursuant to Health and Safety Code § 120370(a), students will be exempt from the immunization requirements if the parent/guardian files a written statement by a licensed physician stating that the child’s physical condition renders immunization unsafe. The statement will indicate the specific nature and probable duration of the medical condition or circumstances for which the physician does not recommend immunization.

Under Health and Safety Code § 120370(b), Rocketship may temporarily exclude a student from school if there is good cause to believe that the child has been exposed to a disease for which he/she has no proof of immunization until a local health officer is satisfied that the child is no longer at risk of developing or transmitting the disease.

Personal Belief Exemption

Pursuant to SB 277, Rocketship will no longer accept exemptions related to personal beliefs against immunization. Students who have a letter or affidavit on file as of January 1, 2016, stating beliefs against immunization, will be allowed to remain enrolled until the student enrolls in the next grade span. For the purposes of this policy, “grade spans” are as follows: (1) birth to preschool; (2) kindergarten and grades 1-6, inclusive, including TK; (3) grades 7-12, inclusive.
Health and Safety Plan

Revised March 2018
Statement of Purpose

Rocketship Public Schools ("Rocketship" or "RPS") is committed to the safety and security of students, faculty, staff, and visitors on its campus. In order to support that commitment, we have led a thorough review and revision of Rocketship emergency mitigation/prevention, health, preparedness, response, and recovery procedures relevant to natural and human-caused disasters.

The Health/Safety Plan herein is the official policy of Rocketship Public Schools. It is a result of a comprehensive review and update of school policies in the context of its locations. We support its recommendations and commit the school's resources to ongoing training, exercises, and maintenance required to keep it current and tailor recommendations to new regions as Rocketship expands. This plan is a blueprint that relies on the commitment and expertise of individuals within and outside of the school community and clear communication with emergency management officials.

This handbook was written in compliance with this school's charter and developed in cooperation with Federal and State guidelines.

This plan is to be reviewed annually.

This plan includes specific courses of action to be taken in case an emergency situation develops. Every employee of Rocketship Public Schools is expected to be familiar with this plan in order to carry out his/her responsibilities in an emergency.

The major objective of emergency preparedness is to save lives and protect property in the event of a disaster. This plan was developed with this in mind.

A master table of contents appears on the following page.
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- Safe School Vision
- What does Rocketship do to promote school safety?
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- Framework for Emergency Preparedness
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**Fire Drill**

**Lockdown Drill**
Mission

At Rocketship Public Schools, we believe in the infinite possibility of human potential. We believe that every student deserves the right to dream, to discover, and to develop their unique potential. And it is our responsibility and our privilege to unleash the potential inside every Rocketeer we serve. Our non-profit network of public elementary charter schools propels student achievement, develops exceptional educators, and partners with parents who enable high-quality public schools to thrive in their community.

Safe School Vision

1. Rocketship will provide a safe, orderly, and secure environment conducive to learning.
2. Rocketship will create a school in which students will be safe from both physical and social-psychological harm.
3. Rocketship will develop a plan to work cooperatively and collaboratively with the national office and School Board to identify, establish and use strategies and programs to comply with local school safety laws.
4. Rocketship will develop a plan to work cooperatively and collaboratively with parents, pupils, teachers, administrators, counselors and community agencies, including law enforcement, to provide safe and orderly schools and neighborhoods.
5. Rocketship will create a learning and working environment where parents can be confident that their students are safe and secure.
6. Rocketship will develop an academic program that will focus on high expectations of pupil performance and behavior in various aspects of the school experience.
7. Rocketship will identify clear procedures for emergencies.
8. Rocketship is aware of very few conditions on campus that could potentially cause accidental injury to students or staff and the school staff is working hard on preventing accidental injury to those present on campus (students, staff, parents, etc.).

9. Rocketship will solicit the participation, views, and advice of teachers, parents, school administrators, and community members and use this information to promote the safety of our pupils, staff and community.

What does Rocketship do to promote school safety?

Rocketship schools use the following training, exercises, tools, and resources to promote school safety:

- **Health/Safety Plan (this document):** Every school is equipped with a copy of this Health/Safety Plan, which should be kept in the Front Office. This plan is also accessible online. This plan is reviewed/updated every year by Rocketship Network staff. Principals, Assistant Principals, Office Managers, and Business Operations Managers (BOM) are expected to review this manual every summer.

- **Training:** School staff receive training annually on a variety of topics, including basic first aid, CPR, and emergency procedures.

- **Safety Drills:** Schools run regular safety drills (earthquake, tornado, fire, etc.) in accordance with local regulations. Protocols for running safety drills are included in this Health/Safety Plan.

- **School Safety Teams:** Before the school year starts, each school makes assignments to a School Safety Team. School Safety teams meet at least twice a year.

- **Safety Checklists:** School staff conduct regular walkthroughs to identify hazards and to confirm that the school facilities are in good condition.

- **School Evacuation Map + Assembly Sites:** Each school has a designated on- and off-site assembly site in case of an evacuation.

- **Safety Equipment:** In addition to First Aid Kits and other emergency tools (e.g. a working flashlight, survival/earthquake buckets), schools are equipped with fire extinguishers and alarms. The Rocketship Facilities team maintains extinguishers and alarms (and keeps building up to code).

- **Emergency Cards:** Emergency cards for students are collected at the beginning of the school year and kept in the Front Office. The Office Manager uses these cards to contact student parent/guardians in case of an emergency at the school.

- **Safety Clipboards:** Every Rocketship classroom is equipped with a safety clipboard with an evacuation map and information to be used in case of emergency.

- **Safety Binder:** The school Business Operations Managers is responsible for maintaining an up-to-date Safety Binder that contains the school's drill logs and other documentation related to school safety.

- **Safety Audit:** Schools are periodically audited by regional/national staff to ensure compliance with internal and external safety rules and regulations.
- **Crisis Response Plan**: Rocketship also has an established Crisis Response Plan for school leaders to access with plans to stabilize a school community and deal with public communications. *This plan is kept confidential to Rocketship school leaders.*
- **Accident/Incident Binder**: When there is a major accident or behavioral incident on campus, school employees fill out an Accident/Incident binder to keep a record of what happened. These are kept in a distinct "Accident/Incident Report" binder.

**Safe Facilities**

In accordance with Board policy and regional statutes, both students and staff of the school campus have the right to be safe and secure in their schools. This includes having a safe physical environment.

**School Buildings**

All Rocketship schools will be housed in a facility that meets local building code requirements, as adopted and enforced by the local building enforcement agency with jurisdiction over the area in which the charter school is located.

- **Hazardous Materials**: Surveys and management plans will be maintained and updated for hazardous building materials (lead, asbestos, etc.) and hazardous materials used and stored in and around the School will be handled and disposed properly. Additionally, appropriate training for staff working with hazardous materials (i.e., pesticides, cleaning chemicals, etc.) will be provided.
- **Indoor Air Quality**: A comprehensive indoor air quality program modeled on the EPA's "Tools for Schools" program will be implemented and maintained. This will include activities described in the Safety Checklists.
- **Maintenance/Inspection of School Buildings**: Inspections will be performed to ensure that daily operations do not compromise facility safety and health. This will include maintaining safe access / egress paths (both routine and emergency), access to emergency equipment, eliminating obstructions to airflow, etc.
- **Visitors/Campus Access Policies**: Rocketship schools adhere to established visitor and volunteer policies. These policies are shared with schools via the company's online file storage site.
- **Arrival/Dismissal**: In addition, Rocketship maintains a school map established by school staff at each school indicating safe entrance and exit areas for pupils, parents and school employees on its file storage site and in hard copy at each school site.

**Expectations for Campus Safety and Appearance**

Rocketship expects School Leaders and national/regional staff to ensure that our school campuses reflect the pride we take in our learning environments. (See also: Safety Checklists) The following are explicit expectations for campus appearance and upkeep:
- Rocketship school buildings are well-maintained and appear neat and clean.
- Pupils take pride in the appearance of their school and may contribute to campus beautification efforts (e.g. providing artwork for the walls, planting a vegetable garden outside).
- If the school is enclosed by a fence, the gate should be kept locked and/or monitored during school hours.
- During the school day, staff members share responsibility for campus supervision and do not hesitate to question visitors or communicate safety concerns to the Principal and BOM.
- If school staff identify locations on the campus that pose safety concerns, those areas receive increased supervision as determined by the Principal and BOM.
- Graffiti and other acts of vandalism are removed ASAP. It is the goal of staff to make sure that schools are restored before pupils arrive each day.
- School leaders have an accountability and maintenance system in place for most equipment (e.g., printers, copiers, laptops), which includes inventorying equipment annually.
- School leaders will institute and abide by additional security procedures that are established regionally or by the Principal and BOM, such as the use of security systems.

Framework for Emergency Preparedness

There are four stages of Emergency Management:

1. Mitigation/Prevention
2. Preparedness
3. Response
4. Recovery

1) Mitigation/Prevention

To prepare schools and staff for emergency management, Rocketship will emphasize mitigation/prevention of potential hazards or vulnerabilities at the school site.

Mitigation/prevention activities occur outside of an active emergency - they are actions that eliminate or reduce a potential threat beforehand.

Mitigation/prevention activities included in Rocketship’s approach to emergency management include:

A. Hazard analysis
B. Identifying hazards
C. Recording hazards
D. Analyzing hazards
E. Mitigating/preventing hazards
F. Monitoring hazards
G. Safety Audit

(2) Preparedness
Preparedness activities help prepare school sites and school staff for an emergency response. Preparedness activities included in Rocketship's approach to emergency management include:

A. Providing emergency equipment and facilities.
B. Emergency planning, including maintaining this plan and its appendices.
C. Involving emergency responders, emergency management personnel, other local officials, and volunteer groups who assist this school during emergencies in training opportunities.
D. Conducting periodic drills and exercises to test emergency plans and training.
E. Reviewing drill, exercises and actual emergencies after they have occurring.
F. Revising safety plans as necessary.

(3) Response
Rocketship will prepare school staff to respond to emergency situations effectively and efficiently. This document contains carefully-devised Emergency Response Plans (See Part: 2) for several common emergencies. The goal of these plans is to guide staff to resolve an emergency situation quickly, while minimizing casualties and property damage.

(4) Recovery
If a disaster occurs, Rocketship will carry out a recovery program that involves both short-term and long-term efforts. Short-term operations seek to restore vital services to the school and provide for the basic needs of the staff and students. Long-term recovery focuses on restoring the school to its normal state.

The federal government, pursuant to the Stafford Act, provides the vast majority of disaster recovery assistance. The recovery process may include assistance to students, families and staff. Examples of recovery programs include temporary relocation of classes, restoration of school services, debris removal, restoration of utilities, disaster mental health services, and reconstruction of damaged stadiums and athletic facilities.

Note on Emergencies Occurring During Summer or Other School Breaks:

If a school administrator or other emergency response team member is notified of an emergency during the summer (or when students are not in attendance for other reasons, depending on the school schedule), the response usually will be one of limited school involvement. In that case, the following steps should be taken:

- Disseminate information to Emergency Response Team members and request a meeting of available members.
• Identify close friends/staff most likely to be affected by the emergency. Keep the list and recheck it when school reconvenes.
• Notify staff or families of students most likely to be affected by the emergency and recommend community resources for support.
• Notify general faculty/staff by letter or telephone with appropriate information.
• Schedule faculty meeting for an update the week before students return to school.
• Be alert for repercussions among students and staff.
• When school reconvenes, check core group of friends and other at-risk students and staff, and institute appropriate support mechanisms and referral procedures.

Principles for Emergency Response

The previous section discussed the four phases of emergency management (Mitigation/Prevention, Preparedness, Response, and Recovery). This section focuses on the second phase (Response) and provides a framework for responding to a school emergency.

1. While the Principal and BOM are ultimately responsible for leading school-wide emergency responses, all/any staff members should feel prepared to take charge in an emergency response situation.
   a. School personnel are usually first on the scene of an emergency situation in or near the school. School leaders may not be the first responder in an emergency situation – it could be a teacher, support staff member, or the school psychologist. Staff members present during an emergency situation will be expected to take charge and remain in charge of an emergency response situation until it is resolved or until he/she can transfer command to the Principal or to an emergency responder agency with legal authority to assume responsibility. It is important that Rocketship employees understand this expectation when committing to work at a Rocketship school.

2. Staff members should seek help from other agencies, but may NOT transfer responsibility for student care outside Rocketship.
   a. When responding to an emergency, school staff should seek and take direction from local officials and seek technical assistance from state, federal, and other agencies (e.g., industry) as appropriate. At no time, however, should school officials transfer responsibility for managing students to another agency – accounting for students is the responsibility of the school.

3. Deciding what to do first in an emergency is a two-step process.
   a. When an emergency situation occurs, school personnel must quickly determine which initial response action is appropriate for the situation. Evacuate or duck and cover? Lock the doors or go to a safe space? There are two quick steps to determining what to do when faced with an emergency: 1) identify the type of emergency; and 2) determine immediate action(s) that are required.
Step #1: Identify Type of Emergency
The first step in responding to an emergency is to determine the type of emergency is occurring. Is it a fire or an earthquake? Is it a fire in the neighborhood of the school or a fire in the classroom? Identifying the type of emergency will inform the appropriate response. Emergency procedures for a range of man-made and natural emergencies are provided in the “Emergency Response Plan” of this document. As this list cannot be exhaustive to all emergencies and situations, school staff are expected to exercise their judgment determining which type of emergency most applies to the current situation.

Step #2: Determine, Execute and Communicate Immediate Response Actions
Alerting others of an emergency situation on campus can save lives and minimize damage to the school site. School staff should understand the following:

4. In a life-threatening emergency, follow the “Window of Life” approach of Protecting Yourself, then Protecting Others, then Protecting the Place and then Notifying Public Safety/911.
While it is important to quickly notify the authorities in an emergency, the priority of all staff should be to take personal protective action first before warning others in the immediate area and in the building. Once these immediate life-saving steps are taken, the 911 call for help should be placed.
If for example, an Intruder with a weapon enters the front office, the Office Manager should:
   1. Secure her/himself in a locked office
   2. Make the lockdown call over the intercom / walkie talkies, if Principal or BOM has not already done so.
   3. Call 911
   4. After calling 911, the flow of information at a school site should go from the first responder to the Principal to the Rocketship regional office.

5: In case of fire, activate the alarm
In the event of a fire, anyone discovering the fire should activate the building fire alarm system and the building should evacuate immediately. In the event that a lock down or shelter-in-place incident is simultaneously in progress, the evacuation would be limited to the area immediately in danger from the fire – such a situation would occur under direction of the Principal or BOM.

6. All Rocketship employees are responsible for notifying school leaders of emergencies
In the event the Rocketship main office or school staff receives information of an emergency on or near a school campus, the information shall be provided immediately to the school Principal. Once the type of emergency has been identified and the initial Window of Life steps have been taken, school personnel can determine the appropriate emergency response action to take:
<table>
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<th>Emergency Response Actions- Summary</th>
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<tr>
<td>Duck and Cover (and Hold)</td>
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<tr>
<td>Earthquake</td>
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<tr>
<td>1. Take action immediately in case of an earthquake, even without announcement by the Principal.</td>
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<td>2. If possible, the Principal or BOM should make an announcement over the PA system. If the PA system is not available, the School Leader will use other means of communication, i.e., sending messengers to deliver instructions.</td>
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<td>3. If inside, teachers will instruct students to duck under their desks, cover their heads with arms and hands, and hold onto furniture until the shaking stops or otherwise notified. Students and staff should move away from windows.</td>
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<tr>
<td>4. If outside, teachers will instruct students to place their heads between their knees and cover their heads with their arms and hands. Students and staff should stay in the open, away from buildings, trees, and power lines.</td>
</tr>
<tr>
<td>Shelter-In-Place</td>
</tr>
<tr>
<td>Airborne Contaminants, Hazardous Materials</td>
</tr>
<tr>
<td>1. The Principal or BOM will make an announcement on the PA system. If the PA system is not available, the School Leader will use other means of communication, i.e., sending messengers to deliver instructions.</td>
</tr>
<tr>
<td>2. If inside, teachers will keep students in the classroom until further instructions are given.</td>
</tr>
<tr>
<td>3. If outside, students will proceed inside and into their classrooms if it is safe to do so. If not, teachers or staff will direct students into nearby classrooms or school buildings.</td>
</tr>
<tr>
<td>4. The Utilities &amp; Hazards Team will assist in turning off the HVAC systems, turning off local fans, making sure windows and doors are shut, etc.</td>
</tr>
<tr>
<td>Lockdown</td>
</tr>
<tr>
<td>Criminal Activity in Area, Intruder on campus, Shooting</td>
</tr>
<tr>
<td>1. If possible, the Principal or BOM should make an announcement over the PA system. If the PA system is not available, the School Leader will use other means of communication, i.e., sending messengers to deliver instructions.</td>
</tr>
<tr>
<td>2. If outside, students will proceed to their classrooms if it is safe to do so. If not, teachers or staff will direct students into nearby classrooms or school buildings.</td>
</tr>
<tr>
<td>3. If inside, teachers will instruct students to drop to the floor, lock the doors, and close shades or blinds if it appears safe to do so.</td>
</tr>
<tr>
<td>4. Teachers and students will remain in the classroom or secured area until further instructions are given by the Principal or law enforcement.</td>
</tr>
<tr>
<td>5. The front entrance is to be locked and no visitors other than appropriate law enforcement or emergency personnel, have to be allowed on campus.</td>
</tr>
</tbody>
</table>
| Evacuate Building (Primary Evacuation Site) | 1. The Principal or BOM will make the following announcement on the PA system. If the PA system is not available, the School Leader will use other means of communication, i.e., sending messengers to deliver instructions.  
2. The School Leader will initiate a fire alarm.  
3. Teachers will instruct students to evacuate the building, using designated routes, and assemble in their assigned Assembly Area.  
4. Teachers will take the student roster when leaving the building and take attendance once the class is assembled in a safe location (e.g., Primary Evacuation Site).  
5. Once assembled, teachers and students will stay in place until further instructions are given |
| Fire | |
| Off-Site Evacuation (Secondary Evacuation Site) | 1. The Principal or BOM will make an announcement on the PA system. If the PA system is not available, the School Leader will use other means of communication, i.e., sending messengers to deliver instructions.  
2. The Principal will determine the safest method for evacuating the campus. This may include the use of school buses or simply walking to the designated off-site location. The off-site assembly areas (Secondary Evacuation Site) are indicated on the Evacuation Map.  
3. Teachers will grab the student roster when leaving the building and take attendance once the class is assembled in a pre-designated safe location.  
4. Once assembled off-site, teachers and students will stay in place until further instructions are given.  
5. In the event clearance is received from appropriate agencies, the Principal may authorize students and staff to return to the classrooms. |
| Go to Safe Site | 1. A siren will sound, or the Principal or BOM will make an announcement on the PA system. If the PA system is not available, the School Leader will use other means of communication.  
2. Upon hearing the announcement or siren, teachers will grab their safety clipboard and walk-talkies, count their students, and lead them to the designated indoor safe site.  
3. At the safe site, teachers will instruct students to face the wall and hold their hands behind their head. Teachers will take roll, if safe.  
4. The Principal will announce to staff when it is safe to leave the safe site. |
| Tornado | |
| All Clear (Emergency is Over) | 1. The Principal or BOM will make an announcement on the PA system. If the PA system is not available, the Principal will use other means of communication, i.e., sending messengers to deliver instructions.  
2. If appropriate, teachers should immediately begin discussions and activities to address students' fears, anxieties, and other concerns |
Safety Drills Required by State

California:¹

1. Fire drills: Monthly while school is in session, with one occurring within the first 15 days of school starting.
2. Lock down drills: twice yearly, once within first 30 days of school starting.
3. Earthquake drill: once per quarter.

Tennessee:²

1. Fire drill: Monthly while school is in sessions, with one additional fire drill during the first full month of the school year.
2. Lockdown drill: One armed intruder drill annually in coordination with local law enforcement.
3. One CPR/AED drill annually
4. Three (3) additional safety drills during the school year, which may include inclement weather, earthquake, intruder, or other emergency drills not requiring full evacuation.

Wisconsin:³

1. Fire drill: Monthly when school is in session.
2. Tornado drill: twice annually.
3. Lockdown drill: twice annually.

Washington, D.C.:⁴

1. Fire drills: at least two fire drills must be conducted during the first two weeks of the school year, and one a month thereafter.
2. Lockdown drills: twice per year.
3. Emergency Evacuation drills: twice per year.
4. Severe Weather Safe Area: at least one in March

² [https://www.tn.gov/content/dam/tn/stateboardofeducation/schools/documents/procedures/Safety%20Drill%20Procedures_Accessible.pdf](https://www.tn.gov/content/dam/tn/stateboardofeducation/schools/documents/procedures/Safety%20Drill%20Procedures_Accessible.pdf)
³ [https://docs.legis.wisconsin.gov/statutes/statutes/118/075](https://docs.legis.wisconsin.gov/statutes/statutes/118/075)
Accounting for Students During/After and Emergency

1. The Principal will direct teachers to take attendance using their paper rosters and report back to School Leaders by email (during a lockdown) or in person (at an evacuation site). Teachers should report three pieces of information:

2. Number of students present in their classroom at that time (headcount – Police may want this information):
   a. Names of any students on the roster who aren’t currently in the classroom;
   b. Names of any students who are not on the roster but are in the classroom
   i. Note: If for some reason the teacher doesn’t have a paper roster, one can be generated in PowerSchool by pulling the Weekly Attendance Summary (Meeting) Report and navigating to the page for that cohort.

3. A school leader will access the online attendance system and and pull a Summary Report to generate daily attendance by grade. (If the school is unable to access the report, a school leader will contact a Rocketship national/regional staff member to pull this report remotely).

4. The Office Manager will give the other school leaders the student sign-in/out sheets. If the OM can’t leave the Front Office, the OM can scan the sheet and email it around.

5. As teachers report in, school leaders (AP, BOM, Principal) should compile the information from teachers, PowerSchool, and the sign-out sheet to account for every student on the roster who isn’t currently in their teacher’s classroom. These students may be absent that day, they may have left school early, or they may be in another teacher’s classroom.

6. If a student is still missing, the Principal should contact the child’s family to confirm that the child was at school that day and did not leave early. If the child is still unaccounted for, the Principal should search for the child or notify the police.

Fire

Fire in the School Building

When the fire is discovered:

1. Activate the nearest fire alarm.
2. Call 911.
3. Notify the Principal or other school leader ASAP
4. The Principal and BOM should make all teachers aware of the fire and facilitate evacuation of students. If the fire alarm is not working, the Principal and designees should alert teachers by other means (e.g. Walkie-Talkies, go door to door, shout)
5. In case of a fire, the Principal is the designated responsible official
6. Fight the fire ONLY if:
   a. The fire extinguisher is in working condition
   b. The fire is small (the size of a wastebasket or smaller) and is not spreading to other areas
c. Escaping the area is possible by backing up to the nearest exit

Upon hearing of a fire:
1. The Principal should make sure 911 has been called. Multiple 911 calls are OK.
   a. Important! The Fire Department is to be notified of fires larger in size than a
      wastebasket. The Fire Department will need the following information: School
      name and phone number, building address including cross streets, location of the
      fire within the building, information on the layout of the building/how to quickly
      reach the fire.
2. The Principal and BOM should direct students and staff to evacuate the building.
   Teachers should know that the fire alarm means EVACUATE.
3. Teachers should evacuate their students:
   a. Grab the class roster and safety clipboard.
   b. Students should line up in single file and count student quickly.
   c. Shut the door upon leaving
   d. Take students to the primary assembly site, following the route on the map.
   e. At the assembly site, take attendance and report any missing children to the
      Principal.
4. The Office Manager should grab the Emergency Cards, Sign in/Out Sheets, and First Aid
   Kit before evacuating. As the Assistant to the Physically-Challenged, the Office Manager
   needs to make sure that students and staff with medical conditions are safely evacuated.
   The Office Manager will also render first aid if necessary.
5. If safe, the Principal should direct the Business Operations Manager (head of the
   Hazards/Utilities Team) to disconnect utilities & equipment before evacuating. This will
   mitigate damage caused by a fire.
6. All other staff members or visitors in the building should also evacuate.
7. The Principal and Assistant Principals should sweep the hall for students.
8. After evacuating (at the assembly site):
   a. The Principal should make sure that teachers take attendance. If students are
      missing, they should report to the Principal or Assistant Principals. The Principal
      will decide if a search/rescue mission is appropriate.
   b. The Principal should wait for the fire department and be prepared to assist them.
   c. Students and staff should wait together at the assembly site until they are
      dismissed.
9. If an emergency release is necessary:
   a. The Principal will work with the Office Manager (head of the Student Release/
      Communications Team) to contact parents (e.g. using OneCall) with instructions for
      the emergency release.
   b. Important! The Principal or Office Manager are the only individuals authorized to
      sign out a child in the case of an emergency.
   c. The Traffic and Security Team (led by the Business Operations Manager) should
      help direct traffic during student release.
10. Wait until the fire department says it is OK to return to the building. If major damage occurred, the Principal should contact the Director of Schools to initiate a Crisis Response and bring in Facilities experts to make sure the building is safe for students.

Fire in the Surrounding Area

This procedure addresses the situation where a fire is discovered in an area near the school.

1. If a fire is discovered near a school, the Principal should notify 911. If someone learns of a fire near the school, he or she should notify the Principal immediately.
2. The Principal/designee will initiate the appropriate emergency response action based on the nature of the threat. The Principal should consult with the Fire Department about whether or not the school should be evacuated and where it students and staff should go.
3. In the event of an evacuation, follow the protocol described above.
4. If an emergency release is necessary, follow the protocol described above. The Principal/designee should consult with the Fire Department to determine how the emergency release should occur.

Earthquake

What to Expect During an Earthquake

Rocketship staff members who’ve never experienced an earthquake or didn’t grow up in an area where earthquake safety training was necessary may be especially anxious about what to expect when an earthquake hits. Knowing what to expect will help school staff make quick decisions about where to stand and which potential hazards (such as bookshelves or items mounted on walls) to avoid.

When an earthquake hits, the first indication of a damaging earthquake may be a gentle shaking, the swaying of hanging objects or the sound of objects wobbling on shelves. For a small earthquake, the gentle shaking, swaying, and wobbling for a few seconds may be the only impact. You may not have time to register that an earthquake is occurring and enact an emergency response.

In a violent earthquake, the signal to begin the emergency procedure is the sensation of severe quaking. You may be jarred by a violent jolt after the shaking, swaying, and wobbling. You may hear a low, loud, rumbling noise and then feel shaking, making it very difficult to move from one place to another. The following may occur:

- Freestanding bookshelves may topple
- Wall-mounted objects may loosen and fall
- Suspended ceiling components may fall, causing others to come down with them
• Door frames may be bent by moving walls and may jam doors shut
• Moving walls may bend window frames, causing glass to shatter, sending dangerous shards into the room
• The accompanying noise may cause considerable stress

Emergency response procedures for earthquakes included in this Plan include:
1. During/Immediately After an Earthquake
2. After an Earthquake: Evacuation
3. Special Circumstances: Earthquakes when Outside

**During/Immediately After an Earthquake: Drop and Cover**

1. Instruct students to DROP and COVER.
   a. Drop to the floor.
   b. Take cover under a structure that can protect you from falling objects. The safest places in a classroom are under a sturdy desk or table, in a doorway, or against an inside wall (a wall that separates inside spaces). Keep your back to the windows to shield against broken glass.
   c. Cover your head and neck with your arms.
2. Everyone else in the building should drop and cover as well.
3. Stay in this position until the shaking stops. Talk to students to keep them in the drop/cover position until the shaking stops.
4. If you have access to a walkie-talkie, make sure it is turned on.
5. After the shaking stops, check for injuries and render first aid.
6. Wait for further instruction from the Principal or designee (e.g. evacuation).

**After an Earthquake: Evacuation**

Evacuation after a major earthquake is necessary because of the threat of secondary disasters (fire, explosions, etc.) caused by the earthquake.

Communications between and within the school may also be interrupted during an earthquake, so principals and teachers may need to use their own judgment deciding whether or not to evacuate a school or their classroom. These are the steps to follow after the initial response to an earthquake described above:

1. The Principal (or designee) is responsible for initiating the fire alarm to signal the evacuation of the building after an earthquake. Always evacuate after an earthquake to check the school grounds before bringing students back inside.
2. If an evacuation is initiated (i.e. fire alarm goes off), everyone in the building should evacuate and leave the door open as they leave:
3. Teachers should evacuate their students:
   a. Grab the class roster and safety clipboard.
   b. Students should line up in single file and count student quickly.
c. LEAVE THE DOOR OPEN upon leaving (this is the opposite of a fire drill)
d. Take students to the primary assembly site, following the route on the map.
e. At the assembly site, take attendance and report missing children to the Principal.

4. The Office Manager should grab the Emergency Cards, Sign-in/Out Sheets, and First Aid Kit before evacuating. As the Assistant to the Physically-Challenged, the Office Manager needs to make sure that students and staff with medical conditions are safely evacuated.

5. If safe, the Principal should direct the BOM to disconnect utilities & equipment before evacuating. This will mitigate damage caused by a fire, if one results.

6. After initiating the fire alarm, the Principal should:
   a. Sweep the hallways (with APs or the Business Operations Manager).
   b. Make sure 911 has been called (if not already called).
   c. Evacuate the building with students and staff.

7. As the Head of the First Aid Team, the Office Manager should be sure to grab a First Aid Kit, Emergency Cards, and Sign In/Out sheets.

8. After evacuating (at the assembly site):

9. Teachers should take attendance and notify the Site Communications Team (Principal, APs, BOM) of missing students or staff.
   a. If students or staff are missing, the Principal should lead a search and rescue mission.

10. If first aid is needed, the Office Manager and the First Aid Team should set up a First Aid Kit and render aid as needed.

11. The BOM should turn off the gas and electricity, if it is safe to do so. (This will minimize risk of fire or explosion after an earthquake).

12. Rocketship schools are equipped with earthquake/survival buckets with tools, food, and drinking water that can be used in case of an emergency.

Principals will observe the following:

**Re-entry into Classrooms:** The Principal/designee will determine if it is safe to re-enter the classroom. Students and staff should stay out of the building until the Principal has given the OK.

**Release of Staff:** Once the student body is as safe and secure as possible, teachers will remain with students until the Principal or designee releases staff to attend to personal situations, families and home.

**Special Circumstance: Earthquake While Outside the Building**

Follow these instructions if outside during an earthquake:
1) Stay outside.
2) Instruct students to move away from buildings, street lights, and utility wires — stay in the open.
3) Instruct students to drop and cover until the shaking stops.
4) Proceed to the evacuation site when safe.
5) Make sure your walkie-talkie is on and listen for further instruction.
Severe Weather/Tornado

Severe weather includes violent thunderstorms, tornadoes, and other forms of disruptive weather phenomena.

1. The Principal is responsible for monitoring severe weather situations and initiating an emergency response. If other staff or community members learn of a severe weather situation, they should notify the Principal ASAP.

2. In the case of a Tornado or Severe Weather Watch (i.e. be on alert as conditions are favorable for a Tornado or severe weather), the Principal should use a battery-powered weather radio to monitor/listen for updates on the weather.

3. In case of a Tornado or Severe Weather Warning (i.e. tornado has been spotted/is coming/is imminent), a siren may sound, and the Principal should Initiate a GO TO SAFE SITE response.

4. Upon hearing an announcement to GO TO A SAFE SITE, Teachers should take the following steps:
   a. Grab your safety clipboard and walkie-talkies
   b. Make sure your walkie-talkie is on.
   c. COUNT your students before you leave the room.
   d. Lead your students to the designated INDOOR SAFE SITE, following the path on your map.
   e. If severe weather is imminent, instruct students to face the interior wall and get down on their knees, holding their hands behind their head.
   f. As possible, take attendance at the safe site.
   g. If you need assistance or student(s) is (are) missing notify the Site Communications Team (Principal and APs).

5. The Office Manager should grab the Emergency Cards, Sign-in/Sign-Out sheet, and First Aid Kit before heading to the Safe Site. As the assistant to the physically-challenged, the OM needs to make sure that students and staff with physical challenges are evacuated. (Consult the List of Staff/Students with Medical Conditions if there are any questions).

6. The Principal and BOM should continue listening to the weather radio and determine when it is safe to return to the classrooms.

7. Staff and students should wait for the “All Clear” signal from the Principal to return.

Note: If you are unable to get to the school’s designated safe site, consider the following safety tips:

Small interior rooms on the lowest floor and without windows
- Hallways on the lowest floor away from doors and windows
- Rooms constructed with reinforced concrete, brick or no windows
- Stay away from outside walls & windows
- Use arms & protect head & neck
• Remain sheltered until the tornado threat is announced to be over

Flood

In case of a flood, the Principal will generally have sufficient warning to make arrangements to close the campus.

If a flood threatens the school without sufficient warning (e.g. flash flooding), the following procedure applies:

1. The Principal is responsible for monitoring severe weather situations and initiating an emergency response. If a staff or community member learns of an impending flood, he or she should notify the Principal ASAP. In case a flood watch/warning, a siren may also sound. The Principal should have a battery-powered weather radio handy and should keep it on when severe weather, such as a flood, is impending.
2. The Principal should get information from the local emergency management agency and determine whether a SHELTER-IN-PLACE (with emergency release, if there is enough time), EVACUATE, or OFF-SITE EVACUATION procedure is appropriate.
3. In case of an evacuation, the Principal should direct staff and students to evacuate the affected buildings per the regular procedure (grab clipboard + roster, count students, lead them out in single file by the established evacuation route). If conditions change, the Principal may turn an Evacuation into an Off-Site Evacuation.
4. The Office Manager should contact families with information on emergency release plans/to reassure them that students have been evacuated (OneCall or other).
5. The Principal should continue monitoring the situation using the weather radio and remain in contact with emergency response officials to inform next steps.
6. In case of sustained emergency situation, Rocketship schools have earthquake/survival buckets with tools, drinking water, and food that can be used in case of emergency.

Flood Special Circumstance—Flood off site

If a flood occurs when students/teachers are unable to evacuate with the rest of the school, the following guidelines should be observed:

• Climb to high ground and stay there
• Avoid walking or driving through flood water
• If car/bus/van stalls, abandon it immediately and climb to a higher ground

Air Pollution / Smog

It is recommended that protective measures be taken by sensitive persons in case of air pollution/smog. These are persons with chronic lung disease or asthma, the elderly, the chronically ill and exercising children and adults.

Protective Actions

• Avoid strenuous outdoor physical activity during an episode.
• Avoid exertion or excitement, which will increase breathing rate.
• Plan indoor activities for students.
• Outdoor activities should be restricted beginning at stage two or when the media announces a bad air day.
• Remain indoors until the episode ends. Keep doors and windows closed, as indoor concentrations of ozone are about one-half that of outdoor levels.
• Use the air conditioner to recirculate indoor air and keep cool. High temperatures may add stress to the pollutant effects.
• Avoid aerosols, dust, fumes, and other irritants. Reduce activities such as cooking or cleaning, which produce irritants to the nose, eyes, and lungs.
• Avoid traffic-congested areas where pollutants are being generated, if you must go outside.
• During air pollution seasons, use the cooler morning hours for outdoor activities.
• Expect severity of symptoms to increase as ozone levels increase (coughing, wheezing, shortness of breath, headaches, chest discomfort and pain, etc.).

**Important!** If notified, via the health department that it is unhealthful for students to be outside, the school (Office Manager/Principal) will be notified, via the all-call system. In this case, recesses should be cancelled and schools should follow the “rainy day” schedule for lunch.

**Stage 1: Advisory/Alert**

• MODIFY WORK PROGRAM to reduce activities that increase your respiration rate.
• REDUCE VEHICLE USE. Curtail work-related driving and carpool to and from work. Encourage students to bike, walk or carpool to school.

**Stage 2: Warning**

• IF AT HOME, REMAIN HOME. Sites will be closed until the Principal is notified that the warning is terminated. Await instructions from your supervisor.
• IF AT WORK, REMAIN AT WORK until released by the Principal

**Stage 3: Declared Emergency**

• IF AT HOME, REMAIN HOME. Sites will be closed until the Principal is notified that the emergency is over. Await instructions from your supervisor.
• IF AT WORK, REMAIN AT WORK until released by the Principal/designee

**Power Loss**

If the power goes off (either as an isolated event or as part of another emergency), it may quickly return. In the event of extended power loss to a facility, these precautionary measures should be taken to keep the facilities safe:
- Unnecessary electrical equipment and appliances should be turned off. Power restoration could result in a surge causing damage to electronics and affecting sensitive equipment.
- If the facility is located in an environment with freezing temperatures:
  - Turn off and drain the following the fire sprinkler system, standpipes, potable water lines, and toilets in the event of a long term power loss.
  - Equipment that contains fluids that could freeze without heat should be moved to heated areas or drained of liquids.

**Upon Restoration of Heat & Power:**
- Electronic equipment should be brought up to room temperature before energizing to prevent condensate from forming in the circuitry.
- In freezing environments: Fire and potable (drinking) water piping should be checked for leaks from freeze damage after the heat has been restored to the facility and water turned back on.

### Criminal Activity Nearby (Police Chase, Search in Neighborhood)

When someone learns of a threat near the school campus (ex. violence or criminal activity in the neighborhood), he/she should initiate a **Lockdown Procedure** as follows:

1. Call 911 (multiple phone calls to report the same disturbance are OK).
2. Notify the Principal or another school leader ASAP.
3. A school leader should announce that the school needs to go into Lockdown over the intercom or through other means (i.e. walkie talkies). For example: "We have an emergency situation and teachers need to implement a lockdown. Teachers, bring students into classrooms and lock and/or barricade your doors. Do not open your door unless a school leader asks you to. We will be sending an email asking teachers to confirm that they are OK and all students are accounted for – please respond to this ASAP."
4. For updates on the situation, a school leader should call the local police department.
5. The Office Manager should be prepared to share the sign-in/out sheet with School Leaders.
6. The Principal (or an AP, if the Principal is absent) should try to make sure all students in the building are accounted for by having teachers take attendance and report the # of students in their room, the names of students on their rosters who aren’t physically in their rooms, and the names of students who aren’t on their rosters but are physically in their rooms. *(See also: Accounting for Students During/After an Emergency)*
7. Communicate with parents using our automated calling system another means. Parents should stay away from the school if there is an active situation at the school – they could get in the way of law enforcement responding to the crisis. Consider the following message:

“There is a situation at Rocketship XY and the school is in lockdown. Everyone is safe, and the police have been contacted. For your safety and the safety of our staff and students, please do not call the school and do not come to the school. We will notify you with an update as soon as possible.”

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8. Notify the Director of Schools (when safe to do so) to initiate a crisis response (See also: Crisis Response Plan).
9. Wait until you hear from law enforcement to end the lockdown. When the lockdown ends, get contact families and let them know what your plan is for emergency dismissal and if you will use additional safety measures during dismissal (e.g. checking walker rider cards at two locations and/or asking for photo IDs).

Upon hearing the Lockdown order, teachers should:
1. Bring all students inside (even if they don’t belong in your class)
2. Lock the door if you can. If not, barricade with furniture.
3. Turn off the lights.
4. Close the curtains/blinds.
5. If there is shooting, have students hide behind internal barricades away from windows and wait silently.
6. Turn on your walkie and check your email. Wait for instructions from school leaders.
7. Do not allow students to exit the classroom. No bathroom breaks!
8. When asked by your school leaders, use the paper roster in your classroom to take attendance and then send an email to your school leaders (Principal, APs, BOM, and OM) with three pieces of information:
   a. # of students currently in your classroom;
   b. Names of students on your roster who aren’t currently in your classroom;
   c. Names of student who isn’t on your roster but is in your classroom at that time (See also: ACCOUNTING FOR STUDENT DURING/AFTER AN EMERGENCY)
9. There is no way to predict how long a lockdown will last. Keep kids quiet and comfortable. Consider handing out hard candies to help kids cope with the stress. If a lockdown lasts for an hour or more, consider handing out small bottles of water (no bathroom breaks!) and/or granola bars.
10. Kids will have questions – be prepared. All Rocketeers should understand what it means to go into “lockdown” and that we go into lockdown to stay safe when there’s a threat (burglar, wild animal, or violence) near our school.
11. Remember to….Turn on your Walkie-Talkie, Keep it Quiet: Teachers and YMCA/City Year/Field Crew & After School staff must have a charged & functioning Walkie Talkie in their classroom or on their body, if outside. Walkie Talkies are charged/stored in the Staff Room at night.

Intruder With a Weapon

Note on Students With Weapons:
When a student is discovered on campus with a deadly weapon:
- The Principal (or other school leader) shall be notified ASAP
- If the student poses an active threat to the school (e.g. student will not give up the weapon), the Principal or designee shall implement a school-wide emergency response, initiating a Lockdown and calling 911.
- If no imminent threat is posed (e.g., a knife was discovered in a student's backpack and immediately confiscated), the Principal shall take the student to the school's front office and deal with the situation using standard Rocketship disciplinary procedures.

If an intruder enters the school campus with a deadly weapon, everyone should do the following:
1. Do not confront the intruder. Follow the Window of Life principle and take personal protective action first: get to a safe location with the door locked and/or barricaded.
2. Warn those immediately around you and if possible, notify the principal or another school leader ASAP. All staff can and should make the Lockdown call over the intercom or walkie talkies rather than waiting for the principal or school leader.
3. Call 911. Give as many details as you can about the intruder's identity, location, and the kind of weapons he/she has. Please also use as many descriptive details about the intruder as you can, including: gender, age, ethnicity, height/weight, clothing and other remarkable characteristics such as piercings, jewelry and tattoos.
4. Follow the Lockdown Procedure described above.

Shooting (Drive-by, Neighborhood)

If shooting occurs at or near a school site, the immediate concern is the safety of students and staff.

If you suspect that shots may be fired from a passing vehicle:
1. Direct staff and students to lie flat on the ground and keep as low as possible.
2. If safe, look at the vehicle, try to identify:
   3. License plate number
      a. Type of vehicle
      b. Occupants
      c. Weapons

Immediately after the vehicle is gone:
1. Alert the Principal
2. Call 911.
3. Principal/Designee will order a Lockdown for staff and students (See Lockdown Procedure above). This order will stay in place until law enforcement arrives and gives the all clear.
4. Do not move those seriously injured unless imminent danger exists. If the injured are ambulatory, move them to a safe shelter.
5. Immediately notify the Principal of any injuries and report the extent of the injuries.
6. Stay with the injured until emergency services arrive.
7. The Principal/Designee should contact his/her supervisory Director of Schools to initiate a crisis response (See also: Crisis Response Plan).
8. If the media arrives, they should be directed to the Principal/Designee.
REMEMBER - Students will model their emotional reaction after yours. STAY CALM.

Hostage Situation

If a hostage situation arises at a school, do the following:

1. Notify the Principal or another school leader ASAP.
2. Stay calm and keep students as calm as possible.
3. Do NOT be a hero; Follow the captor’s instructions.
4. Cooperate and be friendly. Don't argue with or antagonize the captor.
5. Inform captors of medical or other needs.
6. Be prepared to wait – elapsed time is a good sign.
7. Don't try to escape and don't try to resolve situation by force.
8. Be observant and remember everything that is seen or heard, including details about the captor’s appearance (gender, ethnicity, height/weight, clothing, tattoos, etc.).
9. If a rescue takes place, lie on the floor and await instructions from rescuers.

Upon hearing of a hostage situation at the school site, the Principal or BOM should:

1. Call the police ASAP.
2. Move other students and teachers away from the hostage situation, if safe. Avoid making announcements over the loudspeaker if this could antagonize the captor.
3. Keep everyone as calm as possible.

Shelter-in-Place (or “Soft” Lockdown)

A Shelter-In-Place order means that a situation has occurred that requires students and staff to remain inside with limited exposure to outside air (turn off the air conditioning). An example of a Shelter-in-Place emergency would be a fire in a nearby factory that is releasing toxic fumes into the air.

1. The Principal or BOM will make an announcement to signal a shelter-in-place, such as “we have received information about a fire at a manufacturing plant nearby that is releasing toxic fumes. Students and staff should get inside. Teachers, close the windows to your classrooms. We will be turning off the air conditioning. Please stay inside and continue teaching with the windows shut. I will be sending out an email that you should all respond to once you have taken attendance.”
2. The Business Operations Manager should turn off the HVAC system.
3. When the announcement has been given, Teachers should lead all students indoors and into their classrooms and shut the doors and windows. It is a good idea to stuff a towel or sweatshirt under the door, if available. Once inside, teachers should take roll.
4. The Principal or BOM will send out an email to teachers asking teachers to confirm that they are OK and that all students are accounted for.
5. If safe to do so, the BOM should walk through the campus to make sure that all windows are shut and doors are closed.
6. Teachers should respond to the email confirming they are OK or alerting the Principal that students are missing. If students are missing, the Principal will decide if a search and rescue mission is safe.
7. If necessary, BOM should shut off the gas and power systems for the building.
8. The Principal or BOM should contact the authorities (local Fire department) to find out when it is safe to release students/end the Shelter-in-Place drill.

Car/ Bus Accident

In case of a car or bus accident on/near the school premises, the Bus Driver or First Responder to the Scene should take charge of emergency response actions.

1. Remain calm and call 911 immediately. Report any and all details, including if another vehicle was involved the make/license plate number, and details about the driver.
2. Contact the school Principal. The Principal or designee should call the Head of the Region immediately and also contact the bus owner/contractor.
3. The driver or First Responder should care for the immediate needs of his passengers to the extent possible and also to the non-passengers involved in the accident, if possible.
4. The driver and First Responder(s) should wait for the emergency responder personnel (fire, ambulance, etc.) to arrive. Even if an ambulance is not needed, the First Responder should also and driver should wait on the premises while the driver(s) and principal report the accident.
5. Afterward, preserve the accident to the extent possible – don’t move things except in the service of helping victims.
6. The driver may not authorize any passenger to leave or be taken from the accident scene. If there are children on the bus, a staff member must supervise dismissal.

Threat to School

*If a school is threatened over the phone (e.g. bomb threat, terrorism), the caller needs to keep cool and try to remember as much information as possible from the caller.*

**Person Receiving the Threat**

The person who receives the threat has the best information for police to follow-up on:

- Try to keep the caller on the line – don’t hang up!
- Get as much information as possible from the caller and write down what you’re hearing:
  - Is the caller a male or a female? Adult or child?
- Did the caller have an accent?
- What specifics did the caller give about the threat (e.g. a bomb will explode in the server room tomorrow at noon)?
- After the caller hangs up, report immediately to the Principal or nearest school leader.
- Call 911 and report these details.

**Upon hearing of a threat to the school, the Principal:**
- Makes sure 911 is called immediately, and that the person receiving the threat has either called or is available to provide first-hand information to the 911 receiver.
- Assumes command of the emergency until replaced by the fire department/Sheriff’s Department.

**Specific Situation: Bomb Threat**
- BOM or designee leads an evacuation of the building. “Your attention please. Your attention please. Evacuate the building – Evacuate the building.”
- The principal and BOM should conduct a sweep of the school grounds after the evacuation to make sure everyone is out.
- Teachers should: visually scan their room – is there anything out of place? Any students missing?
- Count students quickly before leaving and grab the safety clipboard.
- Leave doors unlocked and turn off lights when leaving.

**Specific Situation: Shooting Threat**
- The BOM shall implement a hard lockdown order until police arrive.
- School Leaders should check all restrooms and the perimeter of the building and lock the gate to keep the area is secure.
- Contact the Director of Schools to initiate a crisis response plan.
- If school buildings are deemed unsafe, School Leaders should arrange for transportation to another site.
- With the Office Manager, the Principal and BOM will contact parents so that they know where to go for an emergency pickup and so that they are not rushing to a dangerous site (i.e. by using OneCall)

**Explosion**

This section addresses four possible scenarios involving an Explosion/Risk of Explosion. (Note: this plan addresses the emergency response to a terrorist attack resulting in an explosion).

**Scenario 1: Explosion on School Property**
1. In the event of an explosion, everyone should initiate DUCK AND COVER. Teachers should instruct students to DUCK and COVER.

2. The Principal or BOM will call "911."

3. The Principal/BOM will consider the possibility of another imminent explosion and initiate emergency response actions based on their assessment of the situation. These emergency response actions could include SHELTER-IN-PLACE (low threat of another explosion), EVACUATE BUILDING or OFF-SITE EVACUATION.
   a. In the event of an evacuation, the Principal will direct the Office Manager or designees to make an announcement of the evacuation and give instructions to teachers and other staff, including which assembly site to use.
   b. The Office Manager will take the Emergency Cards, Sign in/Sign Out Sheets, and the First Aid Kit with him/her to the assembly point. The Office Manager must make sure all Physically-Challenged Students and staff are assisted out of the buildings.
   c. In the event of an evacuation, Teachers should follow standard evacuation procedures. They should grab their safety clipboard and attendance roster, count their students before leaving, shut the door, and lead their students in an orderly fashion on the established route to the assembly site.

4. At the assembly site, teachers will take attendance to account for students. Teachers will notify the Site Communications Team (Principal, APs, or BOM) of missing students.

5. If students or staff are injured, the Office Manager will direct the First Aid Team to set up a station and tend to the injured.

6. The BOM will notify the appropriate utility company of damages to water lines, sewers, power lines and other utilities.

7. The Principal/BOM will notify the Head of the Region to Initiate a Crisis Response Plan (See also: Crisis Response Plan).

8. Members of the Traffic and Security Team, under direction of the BOM, will post guards a safe distance away from the building entrance to prevent persons entering the school buildings.

9. The Student Release/Communications Team will notify parents of emergency release plans and procedures (e.g. using OneCall).

10. An area affected by an explosion will not be reopened until an appropriate agency provides clearance and the Principal/designee gives authorization to do so and a qualified fire suppression and hazmat team has inspected the building.

**Scenario 2: Risk of Explosion on School Property**

1. The Principal and BOM will initiate the appropriate emergency response based on available information. This may include DUCK AND COVER, SHELTER-IN-PLACE, EVACUATE BUILDING, or OFF-SITE EVACUATION.

2. In the event of an evacuation, the BOM will make an announcement of the evacuation and give instructions to teachers and other staff, including which assembly site to use.

3. The Office Manager will take the Emergency Cards, Sign-in/Out sheets, and First Aid Kit with him/her to the assembly point.
4. In the event of an evacuation, Teachers should follow standard evacuation procedures. They should grab their safety clipboard and attendance roster, count their students (if safe to do so) before leaving, and proceed to the assembly site.

5. At the assembly site, teachers will take attendance to account for students. Teachers will notify the Site Communications Team (Principal, APs, or BOM) of missing students.

6. The Principal or other school leader will call "911."

7. The BOM will notify the appropriate utility company of damages to water lines, sewers, power lines and other utilities.

8. The Principal/designee will notify the Head of the Region and initiate a crisis response (see also: Crisis Response Plan).

9. All affected areas will not be reopened until the appropriate agency provides clearance and the Principal/designee issues authorization to do so.

Scenario 3: Explosion or Risk of Explosion in Surrounding Area

1. The Principal or BOM will initiate a SHELTER-IN-PLACE emergency response.

2. The Principal or BOM will notify "911."

3. The school will remain in a SHELTER-IN-PLACE condition until the appropriate agency provides clearance and the Principal/designee issues further instructions.

Scenario 4: Nuclear Blast or Explosion Involving Radioactive Materials

1. The Principal or BOM will initiate the SHELTER-IN-PLACE emergency response. The Office Manager or designee will communicate this order to teachers, and teachers will make sure students follow this order:
   a. When sheltering, personnel should try to establish adequate barriers or shielding (e.g. concrete walls, metal doors) between themselves and the source of the blast or explosion, and should avoid sheltering near exterior windows.
   b. The Principal/designee will notify "911."

2. After the initial blast, the Site Communications Team (Principal, APs, BOM) should lead an effort to remove students from rooms with broken windows, extinguish fires, provide first aid, and relocate students from upper floors if possible.

3. Under direction of the BOM, the Utilities and Hazards Team will turn off the school's main gas supply, local fans in the area; close and lock doors and windows; shut down all buildings' air handling systems; seal gaps under doors and windows with wet towels or duct tape; seal vents with aluminum foil or plastic wrap, if available; and turn off sources of ignition, such as pilot lights.

4. The Principal or designee will monitor radio or television announcements and initiate further actions as appropriate.

5. At the Principal/designee's discretion, and only if safe to do so, designated personnel should attempt to distribute emergency supplies including food and water.

6. The school will remain in a SHELTER-IN-PLACE condition until the appropriate agency provides clearance.
Radiation Threat

A radiation threat, often called a "dirty bomb," is the use of common explosives to spread radioactive materials over a targeted area.

- If you are OUTSIDE, cover your nose and mouth and quickly go inside a building that has not been damaged.
- If you are INSIDE, close windows and doors; turn off air conditioners, heaters or other ventilation systems. (Shelter-in-Place)
- Steps for self-decontamination:
  - REMOVE YOUR CLOTHES and put them in a sealed plastic bag.
  - WASH SKIN as thoroughly as possible with lukewarm water

Chemical Spills and Hazardous Substances

*When a large chemical spill has occurred:*

- Immediately notify the BOM and Principal
- Contain the spill with available equipment (See "Location of Safety Equipment" list in the safety clipboard)
- Secure the area & alert other site personnel
- Do not attempt to clean the spill unless trained to do so
- Attend to injured personnel & call the medical emergency number if required
- Call a local spill cleanup company or the Fire Department to perform a large chemical spill cleanup (See the Emergency Contact list in your safety clipboard)
- Evacuate building as necessary

*When a small chemical spill has occurred:*

- Notify the designated official (Principal)
- If toxic fumes are present, secure the area to prevent other personnel/students from entering
- Small spills must be handled in a safe manner, while wearing the proper PPE
- Review general spill cleanup procedures
- The name/number to call for chemical spill cleanup is included in the Emergency Contact List in your safety clipboards.

*Hazardous Substances:*

Hazardous Substances include, but are not limited to, the following:

- Gasoline
- Lacquer Thinner
- Solvents
- Paint
- Motor Oil
- Agricultural Spray
- Diesel Fuel
- Paint Thinner
If you encounter a spill, always call for assistance (See the Emergency Contacts on your Safety Clipboard) and:

- Extinguish all ignition sources
- Shut off main emergency switch to fuel pump, if appropriate
- Move appropriate fire extinguishing equipment to area
- If possible, contain the spill to prevent further contamination
- Move people/personnel away or evacuate from contamination area

**Biological / Chemical Incident**

A Biological or Chemical Release is an incident involving the discharge of a biological or chemical substance in a solid, liquid or gaseous state. Such incidents may also include the release of radioactive materials. Common chemical threats within or adjacent to schools include the discharge of acid in a school laboratory, an overturned truck of hazardous materials in proximity of the school, or an explosion at a nearby oil refinery or other chemical plant.

The following indicators may suggest the release of a biological or chemical substance: (1) Multiple victims suffering from watery eyes, twitching, choking or loss of coordination, or having trouble breathing. Other indicators may include the presence of distressed animals or dead birds.

**Scenario 1: Substance Released Inside a Room or Building**

1. The Principal/designee will initiate the EVACUATE BUILDING action. Staff will use designated routes or other alternative safe routes to an assigned Assembly Area, located upwind of the affected room or building.
2. The Principal/designee will call "911" and will provide the exact location (e.g., building, room, area) and nature of emergency.
3. The Principal/designee will notify the Regional Team of the situation.
4. The Principal/designee will instruct the Security/Utilities Team to isolate and restrict access to potentially contaminated areas.
5. The Security/Utilities Team will turn off local fans in the area of the release, close the windows and doors, and shut down the building's air handling system.
6. Persons who have come into direct contact with hazardous substances should have affected areas washed with soap and water. Immediately remove and contain contaminated clothes. Do not use bleach or other disinfectants on potentially exposed skin. Individuals that have been contaminated "topically" by a liquid should be segregated from unaffected individuals (isolation does not apply to widespread airborne releases). A member of the First Aid/Medical Team should assess the need for medical attention.
7. The Assembly Area Team will prepare a list of all people in the affected room or contaminated area, specifying those who may have had actual contact with the substance.
The Assembly Area Team will provide the list to the Principal/designee and the emergency response personnel.

8. Any affected areas will not be reopened until the Santa Clara County HazMat or appropriate agency provides clearance and the Principal/designee gives authorization to do so.

**Scenario 2: Substance Released Outdoors and Localized**

1. The Principal/designee will immediately direct staff to remove students from the affected areas to an area upwind from the release. The Principal/designee will, if necessary, initiate the EVACUATE BUILDING action.
2. The Traffic and Security Team (under direction of the Business Operations Manager) will establish a safe perimeter around the affected area and keep personnel from entering the area.
3. The Principal/designee will call "911" and the local emergency management agency (see Emergency Contacts List in your safety clipboard) and will provide the exact location and nature of emergency.
4. The Principal/designee will notify the Head of the Region of the situation to initiate a crisis response.
5. The Utilities/Hazards Team (under direction of the Business Operations Manager) will turn off local fans in the area of the release, close the windows and doors and shut down the air handling systems of affected buildings.
6. Persons who come into direct contact with hazardous substances should have affected areas washed with soap and water. Immediately remove and contain contaminated clothes. Do not use bleach or other disinfectants on potentially exposed skin. Individuals that have been contaminated “topically” by a liquid should be segregated from unaffected individuals (isolation does not apply to widespread airborne releases). A member of the First Aid Team (led by the OM) should assess the need for medical attention.
7. The Site Communications Team (Principal, APs, BOM) should compile a list of all people in areas of contamination, especially those who may have had actual contact with the substance. The Site Communications Team will provide this list to emergency response personnel.
8. Any affected areas will not be reopened until the appropriate agency provides clearance and the Principal/designee gives authorization to do so.

**Scenario 3: Substance Released in Surrounding Community**

1. If the Principal/designee or local authorities determine a potentially toxic substance has been released to the atmosphere, the Principal/designee will initiate SHELTER-IN-PLACE.
2. Upon receiving the SHELTER-IN-PLACE notification, the Utilities/Hazards Team (under direction of the BOM) will turn off local fans in the area; close and lock doors and windows; shut down all buildings’ air handling systems; seal gaps under doors and windows with wet towels or duct tape; seal vents with aluminum foil or plastic wrap, if available; and turn off sources of ignition, such as pilot lights.
3. Staff and students located outdoors will be directed to proceed immediately to nearby classrooms or buildings (e.g., auditorium, library, cafeteria, gymnasium). Teachers should
communicate their locations to the Principal/designee, using walkie-talkies or other means without leaving the building.
4. The Principal/designee will call "911", and the local emergency management warning agency.
5. The Principal/designee will notify the Head of the Region of the situation.
6. The Principal/designee will turn on a radio or television station to monitor information concerning the incident.
7. The school will remain in a SHELTER-IN-PLACE condition until appropriate agency provides clearance, or staff is otherwise notified by the Principal/designee.

Fire Drill

1. The Principal or designee should sound the fire alarm or otherwise announce the beginning of the fire drill.
2. Teachers should grab their safety clipboards, quickly count students, and lead students outside to the assembly site. Teachers should SHUT THE DOOR when leaving.
3. At the assembly site, teachers should take attendance.
4. The Principal or designee should time the drill. Evacuation of the building should be complete within 5 minutes of the alarm sounding.
5. While staff and students are still assembled, take a moment to quickly debrief: did everyone remember to take attendance? Did staff members know where to go? Did everyone shut their door? Did they take their safety clipboards with them?
6. Remember to record the drill in the Rocketship Drill Log.

Lockdown Drill

1. Students, staff, and families should be prepared for the lockdown drill prior to the announcement. Teachers could discuss the lockdown drill with students as “learning what to do in case a burglar comes into the school.”
2. The Principal or designee (e.g. OM) will make an announcement over the loudspeaker indicating that the lockdown drill has begun: “Staff and Students: This is the beginning of our lockdown drill. Teachers, please barricade your doors and count your students.”
3. Teachers should follow the lockdown procedure: Bring all students into the classroom, lock the classroom door (as possible), barricade the door, turn off the lights, build interior barricades, and keep students calm and quiet (sheltering behind interior barricades until the drill is over). Teachers should also take roll, using the roster from their safety clipboard.
4. The Principal and other administrators (e.g. AP, Deans) should come door to door to check on classrooms and ensure all teachers have followed the proper procedure.
5. After the drill has been completed, the **Principal or designee** should give the “All Clear” notice over the loudspeaker.

6. The Principal and staff should debrief after the drill has been conducted. Teachers and students may also want to discuss the drill after it is done.

**Shelter-in-Place Drill**

To run a shelter in place drill, staff should do the following:

1. The **Principal** should make an announcement for a Shelter-in-Place drill and clarify what staff and students are expected to do: “We are beginning our Shelter-In-Place drill. Teachers, shut and lock your classroom door and have your class gather in a part of the classroom away from the windows and doors. Lower the blinds in your classroom to cover the windows, if possible.”

2. **Teachers** should lock the door (if they can) and direct students to remain indoors in the safest part of the classroom (away from windows and doors). Windows should be shut and covered.

3. The **Business Operations Manager** should confirm that he/she understands how to turn off the gas, power, and HVAC systems.

4. The **Principal** should time 5 minutes total from the start of the drill to the end of the drill and should then announce that the “Shelter-in-Place” drill is over.

5. While staff and students are still assembled, take a moment to quickly debrief: did everyone remember what to do? Was it scary?

6. Remember to record the drill in the Rocketship Drill Log.

**Earthquake Drills**

1. The **Principal or designee** (e.g. Office Manager) will make an announcement over the loudspeaker to indicate the start of the drill.

2. Teachers should instruct their students to **DUCK, COVER, and HOLD**.

3. Students and staff members should duck or drop to the floor, take cover under a sturdy desk or table (keeping their backs to the windows), cover their heads with their hands, and hold onto the furniture for stability.

4. The **Principal** should wait for four minutes and then announce: “The Earthquake is over.”

5. If desired, classes can also practice evacuating after an earthquake. The **Principal (or designee)** should announce: “We are now evacuating to our primary assembly site.”

6. Staff and students can get out of the ducking position and prepare to evacuate:

7. Teachers should grab their safety clipboards, make sure their walkie-talkies are on, and count their students before leaving the room.

8. When leaving the room, the door should be left **OPEN**.

9. Students and staff should proceed to the assembly site using their predetermined evacuation route. At the assembly site, teachers should take attendance.
10. The Principal (or designee) should conduct a walkthrough of the building to make sure that all students and staff have evacuated and that doors have been left open.

11. At the assembly site, the Principal (or designee) should make sure that teachers have taken attendance.

12. While staff and students are still assembled, take a moment to quickly debrief: did everyone remember to take attendance? Did staff members know where to go? Did everyone leave their door open? Did they take their safety clipboards with them?

13. Remember to record the drill in the Rocketship Drill Log.

Severe Weather/Tornado Drill

1. The Principal or designee should announce the beginning of the drill over the intercom.

2. Teachers should quickly count their students, grab the safety clipboard, and lead students in an orderly fashion to the designated indoor safe site. Students should then be directed to assume a ducking position, facing the interior wall, and cover their heads with their hands. The teacher should take attendance if the count of students doesn’t match the number in their students.

3. The Principal or designee should conduct a hall sweep to make sure all students and staff have followed directions to evacuate.

4. Once all students are assembled, the Principal or designee should wait for three minutes and then announce that the drill is over.

5. While staff and students are still assembled, take a moment to quickly debrief: did everyone remember to take attendance? Did staff members know where to go? Did they take their safety clipboards with them?

6. Remember to record the drill in the Rocketship Drill Log.

Administering Medications to Students
(Policy)

Parents/guardians need to notify the school (Office Manager and Principal) when their child is diagnosed with a chronic or acute medical condition. Parents and guardians should understand what school staff can and cannot do to help manage their child’s condition. Please ask your school for the Rocketship Student/Staff Medication Policy.

With the Principal and Business Operations Manager, the Office Manager will manage the process for identifying students with medical conditions, documenting this condition and any medications that need to be administered, and administering the medication.
Medical Emergency Reporting Procedures

Medical emergencies and accidents can occur at any time and may involve a student or employee. Some emergencies may only require first aid care, while others may require immediate medical attention. When in doubt, it is better to err on the side of caution and dial 911.

1. Medical emergencies involving students or employees must be reported to the School Principal or his/her designee.
2. Dial 911 or direct someone to do so, provide the following information:
   a. School name and phone number
   b. Building address including nearest cross street(s)
   c. Exact location within the building
   d. Your name and phone number
   e. Nature of the emergency
3. Do not hang up until advised to do so by dispatcher
4. Send a runner to notify the school office that an individual has been injured and an ambulance has been called.
5. Ask someone to dispatch a first aid/CPR trained employee to the victim.
7. Do not move the victim unless there is danger of further injury.
8. Do not give the victim anything to eat or drink.
9. Draft a written incident report and submit it to School Principal, or his/her designee, before the end of the next workday. Whenever 911 is called, you must submit and file an approved incident report (i.e. with Principal signature) within 24 hrs. of the incident.

First Aid (Illness/Injury)

Rocketship recognizes the importance of taking appropriate preventive or remedial measures to minimize accidents or illness at school or during school-sponsored activities. To this end, Rocketship expects parents/guardians to provide emergency contact information to the school and keep such information current in case of an incident at the school.

Schools shall be stocked with multiple First Aid Kits containing appropriate supplies. First aid will be administered whenever necessary by trained staff members. When necessary, the appropriate emergency personnel will be called to assist.

School leaders (including Business Operations Managers and Office Managers) should be certified in CPR. Teachers are to have the opportunity to be certified in adult and pediatric CPR and First Aid and be recertified prior to expiration of certificates. Opportunities for adult and pediatric CPR and First Aid training will be offered to teachers, support staff, and volunteers. As possible/safe, students will be referred to the Office Manager for minor accidents and incidents.
**Minor Accidents:** For minor accidents, use the First Aid Kit located in the Front Office.

**Poisoning:** If a student ingested a poisonous substance:
1. Call Poison Control Center Link Line 1-800-222-1222. Take appropriate first aid measures based on their instructions.
2. Call 911.
3. Notify the Principal.
4. Call the child’s parents.

**Illness:** If child complains of illness, question him/her to determine severity.
For minor illness, the teacher should have child rest head on desk for 10 to 15 minutes. If he/she still complains, send him/her with note to the Office Manager (or call office for escort).
If student is too ill to walk to office, call the Office Manager for immediate help and explain severity of situation. If the child feels sick after fifteen minutes, the child may be sent home.

**Convulsions:** If a child has convulsions:
1. Keep calm. Attempt to ease him/her to the floor so he/she will not fall and injure him/herself
2. Turn his/her head to one side so his/her tongue will not block his/her airway
3. Do not attempt to insert anything in his/her mouth
4. Send someone to the office or call the office for assistance

**Chemical Burns:**
1. Chemical burns, especially those of the skin or eyes, should be flushed with large quantities of water at the nearest source.
2. After flushing the burn, the child can be escorted to the Front Office.
3. If a burn is severe, call 911.

**Insect Bites:**
1. Remove stinger if possible.
2. Apply cold, wet towel
3. Call 911 if systemic symptoms occur (labored breathing, swelling of entire body, etc.).

**Bio-Waste:**
When a student has an accident or vomits, clean carpets within the first few minutes— the more untreated exposure the carpet has to the bio-waste, the more likely that there will be a permanent and deep stain. Disposable gloves are available in the Front Office; Office Managers should also stock carpet/floor cleaner.
A bio bag, if necessary, should be disposed of in one of the larger cafeteria garbage bins at the earliest possible moment (may send a student if necessary). Place your bio waste placard on the site of the incident before leaving for the day. This will indicate to the custodial crew the need for a more thorough carpet cleaning treatment on that.

**Tooth**
If a Tooth is displaced by traumatic injury, wrap tooth in moist gauze. Send tooth with injured child to office. Office Manager should call parents immediately.

Playground Accidents:
1. Render first aid on playground if necessary
2. If child is mobile, take to the Office Manager
3. If the child is immobile, call 911.

Rescue Breathing
1. Tap and shout
2. Open airway
3. Look, listen, and feel for breathing.
4. Give 2 full breaths.
5. Check circulation
6. Rescue breathing count: (1) ADULT: 1 breath every 5 seconds; (2) CHILD: 1 breath every 3 seconds, (3) INFANT: 1 breath every 3 seconds

Nose Bleed:
- Have the child sit down and lean forward.
- Stop bleeding with a cloth

Wounds:
1. Wash the wound and apply bandage and ice, if desired.
2. If the wound is severe:
   a. Have victim sit or lie down
   b. Apply direct pressure to the wound
   c. Treat for shock (keep them calm)
   d. Do NOT move the victim unless absolutely necessary
   e. Call 911

Choking
If the child is unable to breath, cough, speak, then:
1. Give thrusts (below rib cage)
2. Repeat until clear
3. Do not leave a child who is choking or having breathing problems alone

Electric Shock: If a child has suffered an electric shock, do NOT touch them. Call 911.

Major Incident
- Office Managers are trained in First Aid and can provide assistance until emergency personnel arrive
- School leaders are trained in CPR

If personnel trained in First Aid are not available, at a minimum, attempt to provide the following assistance:
- Stop wounds from bleeding with firm pressure on the wound (avoid contact with blood or other bodily fluids)
- In case of choking, clear the air passages using the Heimlich Maneuver

In case of rendering assistance to personnel exposed to hazardous materials, wear the appropriate personal protective equipment. **Attempt First Aid ONLY if trained & qualified**

**Resuscitation Orders**
School employees are trained and expected to respond to emergency situations without discrimination. If a student needs resuscitation, staff shall make every effort to resuscitate him/her.

**Staff members are prohibited from accepting or following parental or medical "do not resuscitate" orders.** School staff should not be placed in the position of determining whether such orders should be followed, and such Advance Directives shall not be communicated to staff. The Principal, or designee, shall inform parents/guardians of this policy.

**Head Lice Policy**
Consistent with the Rocketship Education Head Lice Policy, in order to prevent the spread of head lice infestations, School employees shall report all suspected cases of head lice to the Office Manager as soon as possible. The Office Manager shall examine the student and siblings of affected students or members of the same household. If nits or lice are found, the student shall be excluded from attendance and parents/guardians informed about recommended treatment procedures and sources of further information.

The Principal, or designee, shall send home the notification required by law for excluded students. If there are two or more students affected in a class, an exposure notice with information about head lice shall be sent home to all parents/guardians of those students. Staff shall maintain the privacy of students identified as having head lice and excluded from attendance. Excluded students may return to school when reexamination by Office Manager shows that all nits and lice have been removed.

**See also:**
Rocketship Head Lice Policy

**First Aid, CPR, and Health Screening Policy**
Rocketship recognizes the importance of taking appropriate preventive or remedial measures to minimize accidents or illness at school or during school-sponsored activities. To this end,
Rocketship expects parents/guardians to provide emergency information and keep such information current in order to facilitate immediate contact with parents/guardians if an accident or illness occurs.

First Aid Kits: Every classroom shall have a First Aid Kit containing appropriate supplies. First aid will be administered whenever necessary by trained staff members. When necessary, the appropriate emergency personnel will be called to assist.

CPR: Administrators (Principals and Assistant Principals) and School Office Personnel (Business Operations Managers and Office Managers) are to be certified in adult and pediatric CPR and First Aid and be recertified prior to expiration of certificates. The Business Operations Manager will be responsible for maintaining these records.

Opportunities for adult and pediatric CPR and First Aid training will be offered to teachers and teachers will be strongly encouraged to become certified in adult and pediatric CPR and First Aid and be recertified prior to expiration of certificates. Adult and pediatric CPR and First Aid training will also be offered to all support staff and volunteers.

Severe Allergic Reactions (Anaphylaxis)

Anaphylaxis is a severe and sudden allergic reaction. It occurs when a person is exposed to an allergen to which they are sensitive. The most common allergens or trigger substances that may cause anaphylaxis in school-aged children are: Peanuts, tree nuts, fish, shellfish, egg, cow's milk, sesame, soy, insect stings, latex, and certain medications.

Anaphylaxis is potentially life threatening and always requires an emergency response. It is therefore critical that school staff, parents and caregivers are confident about the management and treatment of students who have been diagnosed by a medical practitioner as being anaphylactic or potentially anaphylactic.

Symptoms and Signs:
The symptoms and signs of anaphylaxis, usually but not always, occur within the first 20 minutes after exposure but in some cases can be delayed up to 2 hours or more. Rapid onset and development of potentially life-threatening clinical effects are characteristic markers of anaphylaxis.

Symptoms and signs of anaphylaxis (a severe allergic reaction) may include one or more of the following:

- Difficulty and/or noisy breathing
- Swelling of the tongue
- Swelling or tightness in the throat
- Difficulty talking or hoarse voice
- Wheeze or persistent cough
- Dizzy/light-headed
- Loss of consciousness and/or collapse
- Pale and floppy (young child)
Symptoms and signs of a mild to moderate allergic reaction may include one or more of the following:

- Tingling of the mouth
- Hives, welts or body redness
- Swelling of the face, lips, eyes
- Vomiting, abdominal pain

**Students with Severe Allergies:**
If a student is known to have a severe allergy, the student’s parent/guardian should inform the Office Manager and submit a Medication Authorization Form signed by the child’s physician for any over-the-counter or prescription medication the child needs (see also "Administering Medication to Student"). The physician should attach detailed instructions to this form; a copy of these instructions + form should be included in the plastic baggie with the student medication and the original should be kept in the Safety Binder.

**Students with Physician Plan - Emergency Treatment for Anaphylaxis**

1. Follow emergency response procedures as outlined in the Instructions from the physician (found in the baggie, along with the student’s medication, in the Front Office).
2. If the instructions indicate the use of an adrenaline auto injector (EpiPen), staff (e.g. Office Manager) should administer the EpiPen [See Following Page for Detailed Instructions]
3. Seek urgent medical assistance – call 911 and tell the dispatcher that the medical condition is anaphylaxis or a severe allergy.
4. If unconscious and no pulse is evident, commence Cardio Pulmonary Resuscitation (CPR) and continue until ambulance arrives. (School leaders are trained in CPR, including OMs and BOMs)
5. Maintain close observation for possible relapse while waiting for ambulance or medical assistance.
6. Maintain airway, breathing and circulation at all times
7. Contact parents/caregivers.

**Students WITHOUT a Physician Plan – Emergency Response to Anaphylaxis**

Severe allergic reactions or anaphylaxis can occur rarely when there is no history of known allergies. This situation should be treated as an emergency. Under these circumstances there will be no Action Plan. Recognition of the symptoms and/or signs as being anaphylactic may also be a problem. The following steps should be followed:

1. Seek urgent medical assistance – call 911. (If suspected, tell the dispatcher that the medical condition is anaphylaxis or a severe allergy)
2. Lay the person flat and elevate the legs if the person is dizzy or seems confused or has a reduced level of consciousness, unless this makes it more difficult for the person to breathe
3. Follow standard resuscitation measures if there is no pulse, no breathing or loss of consciousness – if oxygen is available give at a high flow rate.

**Using an Epinephrine Auto-Injector (EpiPen)**
1. Determine if anaphylaxis is suspected. Anaphylaxis usually, but not always, occurs right after exposure to an allergen. Frequently anaphylaxis occurs in individuals who have a history of a previous reaction. If there is uncertainty about the diagnosis, but there is a reasonable probability that it is anaphylaxis, then treat as anaphylaxis.

2. If anaphylaxis symptoms occur, call 911. Stay with the victim. Have others notify the parents and Principal/designee immediately.

3. Have the victim sit down. Reassure the victim and avoid moving him or her. Calming reduces the distribution of the allergen in the body.

4. Prepare to administer EpiPen.
   a. For students in second grade or below, or if less than 66 lbs, use White label EpiPen Jr (0.15 mg)
   b. For adults and students in third grade or above, or if more than 66 lbs, use Yellow label EpiPen (0.3 mg)
   c. The EpiPen acts immediately; however the effects last only 10 -15 minutes. Make sure someone has called 911 for continued care.

5. EpiPen Administration Procedure:
   a. Grasp the EpiPen and form a fist around the unit. With the other hand, pull off the GRAY Safety Cap.
   b. Hold the black tip near the outer thigh. Never put thumb, fingers, or hand over the black tip. (If an accidental injection occurs, go immediately to the nearest hospital emergency room.)
   c. Swing and jab the black tip firmly into the OUTER BARE THIGH so that the autoinjector is perpendicular (at a 90° angle) to the thigh. You will hear a click. (The EpiPen can be injected through the victim's clothing, if necessary.)
   d. Hold the EpiPen firmly in place for 10 seconds, and then remove it from the thigh. (After the injection, the victim may feel his or her heart pounding. This is a normal reaction.)
   e. Remove the EpiPen and massage the injection area for several seconds.
   f. Check the black tip:
      i. If the needle is exposed, the dose has been delivered
      ii. If the needle is not exposed, repeat steps b through e
   g. Dispose of the EpiPen in a "sharps" container or give the expended EpiPen to the paramedics.
   h. Call 911, if not previously called.

6. If the anaphylactic reaction is due to an insect sting, remove the stinger as soon as possible after administering the EpiPen. Remove stinger quickly by scraping with a fingernail, plastic card or piece of cardboard. Apply an ice pack to sting area. Do NOT push, pinch, or squeeze, or further imbed the stinger into the skin because such action may cause more venom to be injected into the victim.

7. Observe the victim for signs of shock. Cover the victim with a blanket, as necessary, to maintain body temperature and help to prevent shock.

8. Monitor the victim's airway and breathing. Begin CPR immediately if the victim stops breathing.
9. Take the victim's vital signs (if trained to do so) and record them. Duplicate the emergency card for the paramedics. When paramedics arrive tell them the time EpiPen was administered and the dose administered. If EpiPen has not been disposed of in a sharps container, give the expended EpiPen to the paramedics.

10. If symptoms continue and paramedics do not arrive, use a new EpiPen and re-inject 15 to 20 minutes after initial injection. Continue to monitor the victim's airway and breathing.

11. Follow-up medical care should be obtained at the emergency room or from the victim's physician. A second delayed reaction may occur up to 6 hours after the initial anaphylaxis.

12. Document the incident and complete the accident/incident report. Include in the documentation the date and time EpiPen was administered, the victim's response, and additional pertinent information.

**DO NOT HESITATE to administer EpiPen and to call 911, even if the parents cannot be reached.**

- Call 911 immediately. 911 must be called if EpiPen is administered.
- Advise 911 dispatch that the student is having a severe allergic reaction and EpiPen is being administered.
- Student should remain quiet with a staff member at the location where the symptoms began until EMS arrives.
- Provide a copy of the Severe Allergy Plan to EMS upon arrival.
- Notify the administrator and parent/guardian.

Please also see Rocketship Education Medication Administration Policy.

**Asthma Attack**

Asthma is a chronic inflammatory disease that causes the airways of the lungs to tighten, leading the wheezing, breathlessness, chest constriction, and coughing. Schools can be full of environmental triggers for student asthma. Students with uncontrolled asthma may miss school more often and have poorer academic performance than healthy students; supporting a strong asthma management program is crucial to ensuring a child's asthma is controlled and that student is ready to learn.

**Students with Asthma:**
If a student is known to have asthma, the student's parent/guardian should inform the Office Manager and submit a Medication Authorization Form signed by the child's physician and Medication Administration Record for any over-the-counter or prescription medication the child needs (see also "Administering Medication to Student"). The physician should attach detailed instructions to this form; a copy of these instructions + form should be included in the plastic baggie with the student medication and the original should be kept in the Nurse's Binder.

**Emergency Response Procedures for Severe Asthma Episode**
NEVER leave a student with breathing problems alone, whether or not asthma has been diagnosed. Stay with the student and do not send the student with breathing problems anywhere.

*Signs/Symptoms of an Asthma Attack:*
1. Very fast or hard breathing.
2. Skin sucking in over child’s stomach or ribs with breathing.
3. Breathing so hard they cannot walk or speak.
4. Lips or fingernail beds turn blue.

*Emergency Response:*
1. Stay with student, call for help, and have someone call 9-1-1.
2. Keep student sitting upright.
3. Ask student if their quick-relief medication (Albuterol) is with them, or have quick-relief medication brought to student from the Front Office (by Office Manager) and assist in immediate administration (inhaler or nebulizer), in accordance with their Asthma Action Plan.
4. Repeat quick-relief medication every 20 min or as authorized in student’s Asthma Action Plan.
5. Watch breathing and be prepared to administer CPR until paramedics arrive.
6. Have someone notify the student’s parents/caregivers.

**Communicable and Contagious Disease/Illness**

Schools, like other workplaces, can spread communicable diseases. When faced with an outbreak of a communicable or contagious disease, the Principal of an Rocketship school will consult closely with the State Department of Health for accurate medical/outbreak management advice.

The following are among the most common communicable diseases in school/childcare settings:

- **Chickenpox:** Chickenpox is a highly contagious disease caused by the varicella virus, a member of the herpes virus family. It is the most commonly reported childhood disease; about 75% of the population has had chickenpox by age 15 and 90% by young adulthood. Chickenpox is most common winter and early spring. Symptoms of chickenpox commonly appear 13-17 days after infection and include the sudden onset of a low grade fever and tiredness/weakness. This is followed by an itchy blister-like rash.

- **Common Cold:** The common cold (also called viral rhinitis) is a viral infection, characterized by nasal congestion, a clear, runny nose, sneezing, scratchy throat and general malaise.

- **Fifth Disease:** Fifth disease, a mild, usually non febrile rash illness is caused by a human parvovirus (B19). While considered a mild disease Fifth disease is of concern for persons with the following conditions: pregnant, immunocompromised, undergoing chemotherapy
treatment and sickle cell. **Staff with these conditions should consult with their personal health care providers and alert the Principal and regional staff immediately. The Principal and regional staff should contact the State Department of Health if there is a case or outbreak of Fifth disease.**

- **Hepatitis B:** Hepatitis B (formerly known as serum hepatitis) is an infection of the liver caused by a blood borne virus. The disease is fairly common. Hepatitis B causes fatigue, poor appetite, fever, nausea, vomiting, diarrhea, joint pain, hives, and rash. Urine may appear dark in color and jaundice (yellowing of the skin) may result. Symptoms appear 3-6 months after exposure.

- **Influenza (Flu):** Influenza is a viral infection of the nose, throat, bronchial tubes and lungs. There are two main types of virus: influenza A and influenza B. Each type includes many different strains, which tend to change each year.

- **Measles:** Measles is a highly contagious viral disease that causes fever and a rash. Measles is more common in winter and spring. Epidemics of measles can occur. Measles can cause a very high fever, cough, runny nose, and red watery eyes. Roughly 2-4 days after initial symptoms, a rash of red spots develops on the face and spreads over the body. Little white spots (Koplik spots) may appear on the gums and inside the cheeks. A person is contagious 4 days before to 4 days after the appearance of the measles rash. Infection with measles provides lifelong immunity.

- **Meningitis (Bacterial):** Meningitis (bacterial) is a severe bacterial infection of the meninges (a thin lining covering the brain and spinal cord) caused by the bacteria called Neisseria meningitidis. Meningococcemia is the term for infections involving the bloodstream. Most people exposed to meningococcus bacteria do not become seriously ill, but some develop fever, headache, vomiting, stiff neck, and rash. This disease can be fatal. Symptoms may occur 2-10 days after exposure. **Staff with these conditions should consult with their personal health care providers and alert the Principal and regional staff immediately. The Principal and regional staff should contact the State Department of Health if there is a case or outbreak.**

- **Meningitis (Viral):** Viral meningitis is a viral infection of the lining (meninges) covering the brain and spinal cord. There are many types of viruses that can cause this disease. Some kinds of viral meningitis and others are not. Symptoms include fever, headache, stiff neck, and fatigue. Rash, sore throat, and intestinal symptoms may also occur. **Staff with these conditions should consult with their personal health care providers and alert the Principal and regional staff immediately. The Principal and regional staff should contact the State Department of Health if there is a case or outbreak.**

- **(MRSA) Methicillin-Resistant Staphylococcus Aureus:** MRSA stands for methicillin-resistant Staphylococcus aureus, but is shorthand for any strain of Staphylococcus bacteria which is resistant to one or more conventional antibiotics. Symptoms depend on the part of the body affected but often include redness, swelling, and tenderness at the site of the infection.
• **Mumps**: Mumps is a viral illness that causes fever and swelling of one or more glands near the jaw. Mumps is more common during winter and spring. Symptoms of mumps include fever, body aches, headaches, and the swelling of one or more of the salivary glands. The parotid gland (just below the ear) is most often affected. Complications can include pain/swelling of the testicles, deafness, arthritis, and problems of the brain and nervous system. People with mumps are contagious for 3 days before to 4 days after symptoms appear. Symptoms usually occur 16-18 days after infection.

• **Tuberculosis**: TB is spread when a person who has active, untreated TB germs in their lungs or throat coughs, sneezes, laughs, or speaks, spreading their germs into the air. A person who breathes in TB germs usually has had very close, day-to-day contact with someone who has active TB disease.

• **Whooping Cough (Pertussis)**: Pertussis, also known as whooping cough, is a highly contagious bacterial illness that causes a cough lasting several weeks. Early symptoms of pertussis include a runny nose, sneezing, fever, and cough. About 1-2 weeks later, the cough worsens and patients develop bursts or rapid coughing following by a "whoop." A person is contagious from 7 days after exposure to 3 weeks after the appearance of the coughing fits. (Adapted from: [http://www.uft.org/our-rights/meningitis-viral](http://www.uft.org/our-rights/meningitis-viral))

**Principles for Dealing with an Outbreak or Incident of Communicable/Contagious Disease on School Grounds**

School staff and parents should notify the Principal ASAP of any confirmed cases of common contagious diseases (ex. influenza, pertussis, mumps, measles, chickenpox) or a single incident or a severe contagious disease (ex. TB, meningitis)

In case of an outbreak (3 or more confirmed cases) of a common contagious disease, the Principal should alert the Director of Schools and consult with the State Department of Health for next steps (see also: Crisis Response Plan).

In case of an incident of a severe contagious disease, the Principal should alert the Director of Schools and consult with the State Department of Health for next steps (See Crisis Response Plan).

**Guidelines for Dealing with an Outbreak of a Communicable Disease:**

1. The Principal reports incident to the Director of Schools.
2. The Director of Schools and Principal report to the State Department of Health to seek guidance on managing the outbreak and to create public communications materials for families with up-to-date medical information
3. If requested, the Principal may share student vaccination information with the State Department of Health (in student cum. files)
4. The Principal will notify families of exposure to this disease by sending home a letter with information on next steps (For example, if a student at a schools is found to have TB, TB tests may be provided at the school site free of charge)
5. The Principal should exclude from school student staff members who have symptoms until it is safe for them to return, per guidelines provided by the State Department of Health. (For example, kids with chickenpox may return after their rash has crusted)

6. The Principal should exclude from school student staff members who have symptoms until it is safe for them to return, per guidelines provided by the State Department of Health. (For example, kids with chickenpox may return after their rash has crusted)

7. The Principal may also, per Department of Health guidance, exclude infants, immunocompromised persons (including pregnant women) and non-compliant (unvaccinated) children or those with religious exemptions to vaccination

Bloodborne Pathogens Safety Procedures

Rocketship Policy on Bloodborne Pathogens Safety

The blood borne pathogens safety procedure has been developed by Rocketship Education to promote safe work practices for employees in an effort to reduce occupational exposure to Hepatitis B Virus (HBV), Human Immunodeficiency Virus (HIV) and other blood borne pathogens.

The following are several principles that should be followed by Rocketship employees when working with, or if exposed to, bloodborne pathogens:

- Being prudent and wise in their work to minimize exposure to bloodborne pathogens
- Never underestimate the risk of exposure to bloodborne pathogens
- Rocketship shall work to institute as many engineering and work practice controls as necessary to minimize or eliminate employee exposure to bloodborne pathogens.

To keep this policy current, it will be reviewed and updated as follows:

- At least annually
- Whenever new or modified work tasks or procedures are implemented which may affect occupational exposure to employees.
- Whenever an employee is exposed to a blood borne pathogen.

Methods of Compliance

To effectively eliminate or minimize exposure to bloodborne pathogens, Rocketship has implemented the following methods of compliance.

- **Universal Precautions**: Rocketship observes the practice of "Universal Precautions" to prevent contact with blood and other potentially infectious materials. As a result, Rocketship employees treat all human blood and bodily fluids as if they are potentially infectious for HBV, HIV and other blood borne pathogens.
- **Engineering Controls**: When necessary, Rocketship shall use available engineering controls to eliminate or minimize employee exposure to bloodborne pathogens including
• Hand washing facilities (or antiseptic hand cleansers and towels or antiseptic towelettes), which are readily accessible to employees who have potential for exposure.

• Containers for contaminated sharps have the following characteristics:
  o Puncture-resistant
  o Color coded or labeled with a biohazard warning label
  o Leak-proof on the sides and bottom
  o Specimen and Secondary Containers which are:
    ■ Red in color
    ■ Puncture-resistant, when necessary
    ■ Color coded or labeled with a biohazard warning label
    ■ Leak-proof on the sides and bottom

• Workplace Controls: Work practice controls are those that have been implemented to prevent the spread of infectious diseases. Universal precautions include hand washing, gloving (and other personal protective equipment - PPE), clean-up and housekeeping techniques

• Hand washing: Employees must always wash their hands before eating, before handling clean equipment and utensils, before and after assisting with feeding, after toileting, or assisting in toileting, after contact with any bodily secretions or fluids, after removing disposable gloves and after completing custodial tasks.

• Gloving (and other personal protective equipment - PPE): Gloves and other PPE should be worn at a minimum under the following conditions:
  o At all times when contact is anticipated with blood or other bodily fluids.
  o When the wearer has an open sore or cut and handling bodily fluids or blood.
  o When rendering first-aid.
  o When cleaning up a spill of blood, bodily fluids, vomit, urine, fecal material or saliva

• Clean-Up of Spills: The following safe practices should be followed when cleaning up spills:
  o Always wear gloves and other PPE as necessary to prevent exposure
  o Use towels or other absorbents in conjunction with soap and water.
  o Use approved disinfectants as necessary.
  o Discard absorbents and other materials in appropriate plastic bag labeled for such items
  o Remove gloves after completing clean-up procedure and discard them into the same plastic bag as other contaminated items.

• Housekeeping: The following housekeeping practices should be followed to aid in the elimination of potential exposure hazards.
  o Always decontaminate any contaminated surfaces immediately with the appropriate disinfectant.
  o If equipment or PPE become contaminated, immediately remove and replace them
  o Inspect and decontaminate bins, pails or other similar receptacles which may become contaminated
○ Make sure broken glassware, which may be contaminated, is cleaned up using such items as a dust pan, tong, etc. Do not pick up broken glassware directly with your hands.
○ Discard regulated waste in manner consistent with law.
○ Discard sharps immediately in containers provided for such.
○ Always close containers. If a container is leaking place one container in a second container. Containers for regulated waste other than sharps are red in color and labeled biohazard.
○ The CEO or his/her designee is responsible for organizing the collection and handling of the school's contaminated waste with a HazMat Collection Organization. Written records of regulated waste disposal off site shall be kept by the school.

Information and Training
Employees shall be retrained annually to keep their knowledge of this area current. New employees or those who may be assigned a new task will receive this training as necessary. The CEO or his/her designee is responsible for ensuring that employees who have a potential for exposure to bloodborne pathogens receive this training. Records of the training shall be maintained by the CEO or his/her designee and include names and job titles of attendees, date of training, contents of training provided, and the names and qualifications of instructor(s). The training program shall cover at a minimum:

- Blood borne Pathogens Standard
- The location of this policy and that it is available for review
- Appropriate methods for recognizing tasks and activities that may involve exposure to blood and other potentially infectious materials.
- Review of limitations and methods that will prevent or reduce exposure including: engineering controls, workplace practices, PPE.
- Visual warnings of biohazards including signs, labels, and color coded containers
- Information on Hepatitis B Vaccinations including efficacy, safety, method of administration, benefits of the vaccination and the District free vaccination program
- Actions to take and persons to contact in an emergency involving blood or other potentially infectious materials. Including follow up reporting if an exposure incident occurs and post exposure evaluation including medical consultation to be provided.

Labels and Signs
The biohazard labeling system is used. These labels, which are red in color, are used in conjunction with the approved red color-coded containers to warn employees of possible exposures. The following items at the school are labeled: Containers of regulated waste, shaRocketship disposal containers, other containers used to store contaminated material.

Hepatitis B Vaccinations, Post Exposure and Follow up
The Principal, or designee, shall meet state and federal standards for dealing with bloodborne pathogens and other potentially infectious materials in the workplace. The Principal, or designee, shall establish a written "Exposure Control Plan" designed to protect employees from possible infection due to contact with bloodborne viruses, including human immunodeficiency virus (HIV) and hepatitis B virus (HBV).

The Board shall determine which employees have occupational exposure to bloodborne pathogens and other potentially infectious materials. In accordance with ROPS' "Exposure Control Plan," employees having occupational exposure shall be trained in accordance with applicable state regulations (8 CCR 5193) and offered the hepatitis B vaccination. The Principal, or designee, may exempt designated first-aid providers from pre-exposure hepatitis B vaccination under the conditions specified by state regulations.

Employees not identified as having occupational exposure in ROPS' exposure determination may petition to be included in ROPS' employee in-service training and hepatitis B vaccination program. Such a petition should be submitted to the Principal, or designee, who shall evaluate the request and notify the petitioners of his/her decision. The Principal, or designee, may deny a request when there is no reasonable anticipation of contact with infectious material.

**Vaccination Program**

The vaccination program has been implemented for those employees who may be exposed to bloodborne pathogens during their routine work tasks. There is no cost to employees for the vaccinations. The vaccination program consists of a series of three inoculations over a six month period.

Vaccinations shall be performed under the supervision of a licensed physician or other health care professional. A list of Employees interested in taking part in the vaccination program shall be created and kept. A list of employees who decline to take part in the vaccination program shall be created and kept as well and will have signed a "vaccination declination form". The Principal or a designated employee shall notify interested employees of the time and date of the vaccination, at least 2 weeks prior to the vaccination date.

**Post Exposure and Follow-Up**

If an employee is accidentally exposed to bloodborne pathogens during the performance of their work, the following shall be immediately conducted:

- Employees shall receive medical consultation and if necessary, treatment.
- An investigation of the circumstance surrounding the exposure incident shall be conducted and a written report prepared within 24 hours of its occurrence. The investigation shall obtain as much information as possible including:
  - Date and time of exposure
• Location of exposure
• The type of potentially infectious materials (blood, urine, etc.)
• Source of infectious materials
• Circumstances of the exposure (type of work being conducted)
• Cause of exposure if known (accident, equipment malfunction, etc.)
• Was PPE being worn
• Actions taken as a result of the exposure (clean up activities, notifications, medical attention sought, etc.)

• After the investigation, a written summary of the incident, its apparent causes and recommendations to avoid similar incidents in the future.
  • A post-exposure checklist shall be used.
  • Follow-up shall provide exposed employee with the following confidential info:
    ▪ Documentation regarding the routes of exposures and circumstance
    ▪ Identification, if possible, of the source individual (unless infeasible or prohibited by law).
    ▪ If possible, source individual’s blood shall be tested to determine if HBV or HIV infectivity. The information obtained here shall also be provided to the exposed employee and a discussion of the applicable laws and regulations concerning disclosure of the identity and infectious status of a source individual conducted. In addition, the exposed employee shall have blood collected and tested for HBV and HIV infectivity.
  • The process is to remain confidential.
  • The healthcare professional treating the employee shall be sent all necessary documents describing exposure, any relevant employee medical records and any other pertinent information.

Written Opinion: The healthcare professional shall provide Rocketship with a written opinion evaluating the exposed employee’s situation as soon as possible. The written opinion shall contain only the following:
• Whether Hepatitis B Vaccinations is indicated for the employee.
• Whether the employee has received the Hepatitis B Vaccination
• Confirmation that the employee has been informed of the result of the evaluation
• Confirmation that the employee has been told about medical conditions resulting from the exposure incident which require further evaluation or treatment.

A copy of this opinion shall be forwarded to the employee. After completion of these procedures, the exposed employee shall meet with the qualified healthcare professional to discuss the employee’s medical status. This includes the evaluation of any reported illnesses, as well as recommended treatment. Other findings and diagnoses will remain confidential and will not be included in the written report.

Medical records concerning employees are kept confidential and will not be disclosed to another party without the written consent of that employee (except as required by law).
Self-Harm/Suicide Threat

Rocketship Policy on Self-Harm/Suicide Threats
It is Rocketship policy to take threats of suicide or self-harm seriously, whether witnessed directly or heard second-hand. School staff are expected to err on the side of caution, to exercise sound professional judgment, and to practice extreme sensitivity in such situations. School personnel should be informed of the signs of youth depression/suicide.

1. A staff member who is originally made aware of a threat or witnesses any attempt towards self-harm, that is written, drawn, spoken or threatened, will immediately notify the Principal.
2. A threat in any form must be treated as real and dealt with immediately.
3. No student should be left alone, nor confidences promised to the student in case of a suicide threat. In cases of life threatening situations, a student’s confidentiality will be waived.
4. Along with school leaders, school psychologists are all thoroughly trained in crisis response. Principals should use school psychologists as a resource in case of a possible threat of self-harm.
5. The law requires that you do only what is reasonable under the circumstances; for example, you do not need to try to remove a gun or other weapon from the person.
6. Principals should refer to the Rocketship Crisis Response Plan – Serious Injury or Death (Grief) protocol for actions to take to stabilize the school community after a traumatic incident (e.g. a suicide threat that is public or an act that is witnessed by other students). The Crisis Response Plan appendix also contains resources around suicide/self-harm that can be shared with parents/guardians.

Emergency Response to a Self-Harm/Suicide Threat
1. Take all threats seriously.
2. If the situation is volatile (i.e. the person has attempted or could attempt self-harm at any moment), call 911.
3. Do not leave a potentially suicidal person unattended — send a runner to notify the Principal ASAP.
4. If other students are in the room with a student who has attempted or is threatening to attempt suicide, they should be escorted to another space.

Important! If a suicide threat is public or traumatic to other students, the Principal should refer to the Rocketship Crisis Response Plan for steps to stabilize the greater school environment.
- The Principal will then contact the child’s parent/guardian and tell them of the situation by phone.
- The Principal will then contact the School Psychologist to inform them of the situation and arrange for a risk assessment to be performed with the child ASAP.
• Within 24 hrs, the Principal will provide the child’s parents/guardians with written recommendations for treatment and follow-up. These recommendations should include the following:
  o Contact information for the national suicide lifeline: 1-800-SUICIDE or 1-800-273-TALK
  o Findings from the school psychologist’s risk assessment
  o Recommendations from the school psychologist for follow-up/treatment
  o Written resources on suicide prevention/youth depression
• If a student is known to be in counseling, the Principal/designee will attempt to inform the child’s treatment provider of what occurred.
• If the parent refuses to cooperate, the Principal should talk to the School Psychologists about options for an involuntary mental health assessment.

Under no circumstances should school staff drive the suicidal student in personal vehicles. Do not leave the student alone at any time.

Note: If a threat is made during an after-school program, and no school personnel is available, call 1-800-SUICIDE or 1-800-273-TALK for help. Inform the Principal of the incident and actions taken.

**Serious Injury or Death at the School**

The death of a student or staff member is traumatic to school communities, whether the death was the result of a long illness or an act of violence. Principals should refer to the Rocketship Crisis Response Plan – Serious Injury or Death (Grief) protocol for actions to take following a serious injury or death at the school. The Crisis Response Plan appendix contains resources around suicide/self-harm.

Staff members should know the following:
1. In case of serious injury or death, do not move the victim unless absolutely necessary. Do not leave an injured student alone. Send a runner to notify the Principal and Office Manager ASAP.
2. A School Leader will call 911.
3. The Office Manager should administer First Aid (See Part Four: First Aid and Medical Conditions in this Health/Safety Plan).
4. If students are in the same space as a student who is seriously injured, they should be escorted elsewhere.
5. Do not make announcements about an accident or incident over the intercom.
6. The Principal should refer to the Rocketship Crisis Response Plan for next steps to stabilize the situation and support the school community.
Policy Appendix

STUDENT DRESS CODE
All Regions

Student uniforms help minimize disruption during the school day, promote respect for oneself and others, and foster school/community spirit. This policy addresses Rocketship’s dress code requirements; dress code violations; and consequences for students who do not abide by the dress code.

I. Dress Code Requirements

All students are expected to wear the Rocketship uniform to school every day. The Rocketship uniform consists of a khaki bottom (pants, shorts, dress, skirt, or skorts) and a white, green, or dark purple collared shirt. Students may also wear a Rocketship polo shirt, which can be obtained from a designated vendor. Each school Principal has the discretion to set more detailed dress codes on his/her campus that align with this policy. Shirts must be tucked in at all times, which Rocketship considers being “dressed for success.”

Students should also wear closed toe shoes. Sandals are not considered to be safe or appropriate for school.

II. Dress Code Violations

The following are considered to be dress code violations and are NOT permitted on any Rocketship campus:

- Dresses and shorts which are shorter than mid-thigh
- Spaghetti straps (smaller than two inches, or the width of a credit card)
- Tank tops (including white, ribbed undershirts)
- Clothing or accessories that are sexually provocative
- Clothing or accessories that identify a student with non-school clubs, profanity, obscenity, references to drugs, alcohol, tobacco, gangs (red or blue color) or prison culture name insignia
- Attire with writing that degrades individuals or groups Body piercings that create a safety hazard are not acceptable; moderate sized earrings are acceptable
- Platform shoes or high heels over 2.5 inches high
- Underwear or undergarments that are visible
- Tops that show the midriff area
- Pants that sag around the waist
- Intentionally torn pants or jeans
- Untied shoelaces
- Bandanas, hairnets, headbands, doo rags, and non-Marshall hats (also includes beanies, baseball caps, etc.)
- Sunglasses or Marshall hats may not be worn in the building, but may be worn outside to protect from the sun

III. Consequences of Dress Code Violations

Students who repeatedly do not come to school in uniform may lose privileges in accordance with Rocketship's Student Discipline Policy. In addition, Rocketship may contact the student's parent/guardian to discuss the dress code violation.

DISCRIMINATION, HARASSMENT, INTIMIDATION, AND BULLYING POLICY
California

Rocketship desires to provide a safe school environment that allows all students equal access and opportunities in our schools' academic and other educational programs, services, and activities. Rocketship prohibits, at any Rocketship school or activity, discrimination, harassment, intimidation, or bullying of any student based on the student's actual race, color, ancestry, national origin, ethnic group identification, age, religion, marital or parental status, physical or mental disability, sex, sexual orientation, gender, gender identity, or gender expression; the perception of one or more such characteristics; or association with a person or group with one or more of these actual or perceived characteristics. School personnel must take immediate steps to intervene when safe to do so when he or she witnesses an act of discrimination, harassment, intimidation or bullying ("DHIB"). This policy shall apply to all acts related to school activity or to school attendance occurring within a Rocketship school. It covers definitions; prohibitions against discrimination, harassment, intimidation, and bullying; free speech protection; duties of school personnel; reporting and investigating complaints; discipline; notification; and bullying prevention efforts.

I. Definitions

"Bullying" means any severe or pervasive physical or verbal act or conduct, including communications made in writing or by means of an electronic act, and including one or more acts committed by a pupil or group of pupils as defined in Section 48900.2, 48900.3, or 48900.4, directed toward one or more pupils that has or can be reasonably predicted to have the effect of one or more of the following:

- Placing a reasonable pupil or pupils in fear of harm to that pupil's or those pupils' person or property.
- Causing a reasonable pupil to experience a substantially detrimental effect on his or her physical or mental health.
- Causing a reasonable pupil to experience substantial interference with his or her academic performance.
- Causing a reasonable pupil to experience substantial interference with his or her ability to
participate in or benefit from the services, activities, or privileges provided by a school.

"Cyberbullying" includes the transmission of harassing communications, direct threats, or other harmful texts, sounds, or images on the Internet, social media, or other technologies using a telephone, computer, or any wireless communication device. Cyberbullying also includes breaking into another person's electronic account and assuming that person's identity in order to damage that person's reputation.

"Electronic act" means the transmission of a communication, including, but not limited to, a message, text, sound, or image, or a post on a social network Internet Web site, by means of an electronic device, including, but not limited to, a telephone, wireless telephone, or other wireless communication device, computer or pager.

"Reasonable pupil" means a pupil, including, but not limited to, an exceptional needs pupil, who exercises average care, skill, and judgment in conduct for a person of his or her age, or for a person of his or her age with his or her exceptional needs.

II. Prohibitions Against Discrimination, Harassment, Intimidation, and Bullying

Rocketship prohibits discrimination, harassment, intimidation, and bullying as defined in this policy. This includes discrimination, harassment, intimidation, or bullying of any student based on the student's actual race, color, ancestry, national origin, ethnic group identification, age, religion, marital or parental status, physical or mental disability, sex, sexual orientation, gender, gender identity, or gender expression; the perception of one or more such characteristics; or association with a person or group with one or more of these actual or perceived characteristics. Additionally, in accordance with state and federal law, Rocketship will not exclude or deny any student from any educational program or activity because of pregnancy, childbirth, false pregnancy, termination or pregnancy, or recovery therefrom. Rocketship will, as necessary, make reasonable accommodations to a lactating pupil on a school campus.

Additionally, Rocketship will adopt curriculum and instructional methods and materials, and electronic communication policies that promote a safe environment free of discrimination and harassment.

III. Free Speech Protection

This policy shall not be construed to limit pupil rights to free speech as protected by the United States Constitution, the California Constitution, EC §§ 48907 and 48950, and other applicable law.

IV. Duties and Responsibilities of School Employees

If school personnel witness an act of discrimination, harassment, intimidation, or bullying, he or she shall take immediate steps to intervene when safe to do so. (EC § 234.1(b)(1))

V. Reporting and Investigating Complaints

Students, parents or other stakeholders have the option of filing a formal complaint of DHIB through Rocketship's Uniform Complaint Process or General Complaint Policy. If an individual wishes to file a formal complaint, the school will assist the individual in determining the appropriate type of complaint and accessing the appropriate materials. If a formal complaint is filed, Rocketship will investigate in accordance with the procedures set forth in the applicable complaint policy.

The Principal may also become aware of an alleged incident of DHIB through means other than a formal complaint. Any employee who has knowledge of DHIB must inform the Principal of the concern as soon
as possible. If the Principal becomes aware of a complaint of DHIB through means other than a formal complaint, the Principal shall investigate in accordance with this section:

Prior to the investigation of a complaint of DHIB, the Principal will take steps to ensure the safety of the alleged victim referenced in reported DHIB incident. These steps will be designed to restore a sense of safety to the victim and to protect them from further incidents if necessary. Examples of such steps taken include designating a staff member to serve as that alleged victim's "safe" person, altering the alleged bully/bullies' seating or schedule to reduce access to the alleged victim or creating a safety plan in consultation with the alleged victim. Once an investigation is concluded, further steps will be taken as needed to assure the continued safety of the victim from additional incidents of DHIB or retaliation.

Once a report of DHIB has been received by the school, the following groups will be notified as needed by the Principal so long as, in the absence of legal imperative, the parent or guardian's written consent is obtained prior to notification.

- **Parents and guardians**: Rocketship will notify the parents or guardians of victims, bullies, and if appropriate, witnesses to an incident of DHIB behavior about the nature of the incident and the procedures and steps in place for responding to it. The school Principal will determine if parents or guardians should be informed prior to or after the investigation of an incident.

- **Law enforcement agencies**: If Rocketship determines that the reported incident may involve criminal activity or the basis for criminal charges, information about the incident must be conveyed to the appropriate law enforcement authorities. As part of making this determination, the Principal may wish to consult with either a law enforcement officer or legal counsel. Law enforcement shall only be contacted if all other available remedies have been exhausted.

Rocketship will notify these groups of incidents of DHIB only to the extent allowed by law. Notification will be undertaken solely to ensure that services are provided to victims and bullies and to protect victims from further or sustained victimization. Rocketship will make every effort to protect the confidentiality of those who report bullying incidents.

The school Principal is responsible for investigating reports of DHIB. An Investigation of an incident will be initiated no more than one day after the Principal receives a report of DHIB and will conclude no later than 30 days after the receipt of such a report. As part of the investigation, the Principal will interview any involved or relevant parties including alleged victims, bullies, witnesses, staff, parents or guardians.

The school Principal will provide confidentiality as far as possible to relevant parties as part of the investigation, and inform all relevant parties that retaliation for reporting acts of DHIB are prohibited. Written records of the investigation process should be maintained. Where necessary, provisions will be made to include the advice of legal counsel.

In investigating an incident of DHIB, the Principal will seek to ensure that the reported incident is one of victimization, a sign of DHIB, rather than of conflict. Thus when investigating a reported incident the Principal will attempt to determine, through interviewing the victim, what mechanisms the victim had and has access to for halting the incident that occurred, and preventing future such instances. If the victim reports a few or no mechanisms for ending the incident or constructively dealing with future instances, that information will serve as compelling, though not conclusive evidence that the reported
Incident was an incident of DHIB.

The Principal is charged with making determinations as to whether a reported incident constitutes a case of DHIB. These determinations will be made in consideration of the totality of the facts and the circumstances surrounding the incident. If the Principal determines that an incident of DHIB has occurred, they should take response steps to prevent the recurrence of an incident and restore the safety of a victim.

If the Principal determines that additional support is needed to conduct a thorough and equitable investigation, he/she may contact Rocketship’s Legal team.

If the student is using a social networking site or service that has terms of use that prohibit posting of harmful material, the Principal or designee also may file a complaint with the Internet site or service to have the material removed.

Rocketship prohibits retaliation against anyone who makes a complaint.

VI. Discipline

Any student who engages in DHIB on school premises, or off campus in a manner that causes or is likely to cause a substantial disruption of a school activity or school attendance, shall be subject to discipline, which may include suspension or expulsion, in accordance with school policies and regulations.

VII. Notifications

The policy shall be publicized, including information about the manner in which to file a complaint, to pupils, parents, employees, agents of the governing board and the general public. The information shall be translated pursuant to Education Code § 48985. This policy shall be posted in all schools and offices, including staff lounges and pupil meeting rooms. (Education Code §§ 234.1(c) and (d))

VIII. DHIB Prevention

To the extent possible, school strategies shall focus on prevention of DHIB by establishing clear rules for student conduct and strategies to establish a positive, collaborative school climate. Students shall be informed, through student handbooks and other appropriate means, of school rules related to DHIB, mechanisms available for reporting incidents or threats, and the consequences for perpetrators of DHIB.

The school may provide students with instruction, in the classroom or other educational settings, that promotes effective communication and conflict resolution skills, social skills, character/values education, respect for cultural and individual differences, self-esteem development, assertiveness skills, and appropriate online behavior.

SECLUSION AND RESTRAINT POLICY
All Regions
Rocketship is dedicated to using the Positive Behavior Intervention and Supports framework to proactively teach and reinforce positive behaviors. Additionally, Rocketship has Student Discipline and Suspension and Expulsion policies to address a spectrum of behavioral infractions. However, Rocketship recognizes the possibility that emergency situations may arise where it becomes necessary for staff to use a seclusion or restraint to protect the safety of students. This policy addresses definitions of seclusion and restraint; requirements that staff must meet when using seclusion and restraint; recordkeeping requirements; and guidelines for staff to consider when using seclusion or restraint.

I. Definitions

"Seclusion" is defined as the involuntary confinement of a student alone in a room or area from which the student is physically prevented from leaving. The following are not considered "seclusion" for the purposes of this policy:

- **Time-out from reinforcement as a form of strategic behavior modification.** Staff members may limit a student's access to reinforcement in an effort to extinguish an inappropriate behavior. When this is a part of an approved behavior modification program, the student is monitored in a non-locked setting, and as long as the student is not physically prevented from leaving the alternative space, this is not considered seclusion.

- **Students voluntarily accessing a separate space as to facilitate de-escalation or problem-solving.** Again, as long as students are monitored and not being physically prevented from leaving, this is not considered "seclusion."

"Restraint" is defined personal restriction that immobilizes or reduces the ability of a student to move his or her torso, arms, legs, or head freely. The following are not considered "restraint" for the purposes of this policy.

- **Physical escort.** Physical escort means a temporary touching or holding of the hand, wrist, arm, shoulder, or back for the purpose of inducing a student who is acting out to walk to a safe location.

- **Behavioral interventions as a means of calming or comforting.** For example, proximity control, verbal soothing, or sensory inputs (such as a "squeeze") might be used as a component of an approved behavior modification program and are not considered "restraint."

"Emergency circumstance" is defined as a circumstance that meets both of the following criteria:

- Intervention is necessary to protect the student or another person from imminent, serious physical harm; and
- Other less intrusive, non-physical interventions have failed or have been determined inappropriate.

II. Requirements for Use of Seclusion and Restraint
Rocketship prohibits the use of restraint or seclusion except in an emergency circumstance. Any restraint or seclusion shall be applied only by school personnel who are trained in the appropriate use of specific authorized techniques.

In accordance with state law, Rocketship personnel may not use or authorize any seclusion or restraint intervention that does any of the following:

- Is designed to, or likely to, cause physical pain, including electric shock;
- Involves the release of noxious, toxic, or otherwise unpleasant sprays, mists, or substances in proximity to the face of the student;
- Denies adequate sleep, food, water, shelter, bedding, physical comfort, or access to bathroom facilities;
- Is designed to subject, used to subject, or likely to subject the student to verbal abuse, ridicule, or humiliation, or that can be expected to cause excessive emotional trauma;
- Employs a device, material or objects that simultaneously immobilize all four extremities, including the procedure known as prone containment, except that prone containment or similar techniques may be used by trained personnel as a limited emergency intervention;
- Is a locked seclusion, unless it is in a facility otherwise licensed or permitted by state law to use a locked room;
- Precludes adequate supervision of the student;
- Deprives the student of one or more of his/her senses.

A space used for seclusion shall, at a minimum, be free of objects and fixtures with which a student could self-inflict bodily harm, shall provide school personnel an adequate view of the student from an adjacent area, and shall provide adequate lighting and ventilation. If the room does not include a window that allows school personnel to see the student at all times, then a staff member must remain in the seclusion area with the student.

The use of physical restraint shall include a degree of force and duration that do not exceed the degree and duration that are reasonable and necessary to resolve the clear, present, and imminent risk to the physical safety of the student or others. Physical restraint should not be used if there are any medical contraindications to its use.

If school staff are unable to resolve the matter with the use of seclusion or restraint in accordance with this Policy, the student shall be allowed to remain in place until local law enforcement officers can be summoned to relocate the student or take the student into custody.

III. Recordkeeping

Rocketship shall maintain written incident reports for each incident involving a restraint or seclusion. The reports shall be placed in the student’s permanent file and maintained as part of his/her education record. Rocketship will promptly contact a student’s parent/guardian if a seclusion or restraint is used on that student during the school day and will provide the parent/guardian with a copy of the incident report within one school day.

A Behavior Emergency Report Form must also be completed following the use of a physical restraint with a child with a disability. This form must be provided to the student’s parent/guardian within one school day and a copy must be filed in the student’s special education records.
The incident report and, when relevant, Behavior Emergency Report form will include the following information:

- Location of the incident leading to restraint/seclusion
- A description of the incident, including the names of any persons involved and details of any injuries sustained as a result of the incident
- Time and duration of restraint/seclusion
- Staff members involved
- Interventions attempted prior to restraint/seclusion
- Whether the student is currently engaged in any systematic behavioral intervention plan
- Description of attempts to de-escalate prior to restraint/seclusion
- Description of the student’s behavior that promoted the need for restraint/seclusion
- Description of any injuries sustained by the student or staff during the restraint/seclusion

Rocketship will retain all reports prepared under this policy and will track the number of incidents of seclusion and restraint used during the school year, including the total number of students with disabilities who were involved in the incidents.

IV. Training

All School Leaders and ISE personnel are trained in the Nonviolent Crisis Intervention program annually. Previously-certified staff members participate in a shorter “refresher” course annually. The Nonviolent Crisis Intervention program is the worldwide standard in crisis prevention and intervention, and the program is aligned with each of the aforementioned guiding principles for the use of restraint and seclusion.

V. Guiding Principles

In addition to following the requirements and procedures for using a seclusion or restraint outlined in Sections I-IV of this policy, Rocketship staff will abide by the following 15 principles from the U.S. Department of Education to guide the use of seclusion and restraint at all Rocketship schools.

- Every effort should be made to prevent the need for the use of restraint and for the use of seclusion.
- Schools should never use mechanical restraints to restrict a child’s freedom of movement, and schools should never use a chemical, drug or medication to control behavior or restrict freedom of movement (except as authorized by a licensed physician or other qualified health professional).
- Physical restraint or seclusion should not be used except in situations where the child’s behavior poses imminent danger of serious physical harm to self or others and other interventions are ineffective and should be discontinued as soon as imminent danger of serious physical harm to self or others has dissipated. (See Sections I-II above.)
- Policies restricting the use of restraint and seclusion should apply to all children, not just children with disabilities.
• Any behavioral intervention must be consistent with the child’s rights to be treated with dignity and to be free from abuse.

• Restraint or seclusion should never be used as punishment or discipline (i.e. placing in seclusion for out-of-seat behavior), as a means of coercion or retaliation, or as a convenience.

• Restraint or seclusion should never be used in a manner that restricts a child’s breathing or harms the child.

• The use of restraint or seclusion, particularly when there is repeated use for an individual child, multiple uses within the same classroom, or multiple uses by the same individual, should trigger a review and, if appropriate, revision of strategies currently in place to address dangerous behavior; if positive behavioral strategies are not in place, staff should consider developing them.

• Behavioral strategies to address dangerous behavior that results in the use of restraint or seclusion should address the underlying cause or purpose of the dangerous behavior.

• Teachers and other personnel should be trained regularly on the appropriate use of effective alternatives to physical restraint and seclusion, such as positive behavioral interventions and supports and, only for cases involving imminent danger of serious physical harm, on the safe use of physical restraint and seclusion.

• Every instance in which restraint or seclusion is used should be carefully and continuously and visually monitored to ensure the appropriateness of its use and safety of the child, other children, teachers, and other personnel. (See Section III above.)

• Parents should be informed of the policies on restraint and seclusion at their child’s school or other educational setting, as well as applicable Federal, State, or local laws.

• Parents should be notified as soon as possible following each instance in which restraint or seclusion is used with their child. (See Section III above.)

• Policies regarding the use of restraint and seclusion should be reviewed regularly and updated as appropriate.

• Policies regarding the use of restraint and seclusion should provide that each incident involving the use of restraint or seclusion should be documented in writing and provide for the collection of specific data that would enable teachers, staff, and other personnel to understand and implement the preceding principles. (See Section V above.)

VI. Students Receiving Special Education Services

Different states have different laws that govern the use of seclusion and restraint on students receiving special education services. The legal requirements for each state in which Rocketship schools are located are addressed in turn below. Each school is required to follow the law for the state in which it is located.

California

Under EC § 56521.1, if a behavioral intervention report is written for a student with special needs who does not have a behavioral intervention plan, the designated administrator responsible for the student’s
IEP must, within two days, schedule an IEP team meeting to review the emergency report, determine the necessity for a functional behavioral assessment, and determine the necessity for an interim plan. The IEP team shall document the reasons for not conducting the functional behavioral assessment, not developing an interim plan, or both.

If a behavioral emergency report is written for a student with special needs who has a positive behavioral intervention plan, the IEP team will review the incident if it involves a previously unseen serious behavior problem or if a previously designated intervention is ineffective. The IEP team will determine whether the incident constitutes a need to modify the positive behavioral intervention plan.

D.C. For students with disabilities, restraint and seclusion may be used only when it is included in the student’s IEP, Section 504 Plan, and/or Behavior Intervention Plan. The restraint must be used to address specific behaviors under defined circumstances and must be implemented by appropriate staff.

**Tennessee**

*Physical Holding Restraints Not Prohibited*

Under T.C.A. § 49-10-1305(e)(3), the use of a physical holding restraint on a student receiving special education services is *not* prohibited in the following circumstances:

- The brief holding by an adult in order to calm or comfort;
- The minimum contact necessary to physically escort a student from one area to another;
- Assisting a student in completing a task or response if the student does not resist, or if resistance is minimal in intensity or duration; or
- Holding a student for a brief time in order to prevent any impulsive behavior that threatens the student’s immediate safety.

In any of the above circumstances, Rocketship is not legally required to notify the student’s parent/guardian.

**Isolation Room Guidelines**

Under T.C.A. § 49-10-1305(g), any space used as an isolation room for a student receiving special education services must meet the following conditions:

- Unlocked and incapable of being locked;
- Free of any condition that could be a danger to the student;
- Well-ventilated and temperature controlled;
- Sufficiently lighted;
- Where school personnel are in continuous direct visual contact with the student at all times;
- At least 40 square feet; and
- In compliance with all applicable state and local fire, health, and safety codes.

**IEP**

Under T.C.A. § 49-10-1304(d), individualized education programs (IEPs) that provide for the use of isolation or restraint in emergency situations shall also contain a data-driven functional behavioral assessment and a plan for modification of the behavior developed and implemented by a qualified team of professionals.
If the student’s IEP does not provide for the use of isolation or restraint for the behavior precipitating such action or if school personnel are required to use isolation or restraint over an extended period of time, then an IEP meeting shall be convened within 10 days following the use of the isolation or restraint. If the behavior precipitating the action also warrants a change of placement, the child will have all rights provided under applicable federal and state law.

**Wisconsin**

Under Wis State, § 118.305(5), the first time that seclusion or physical restraint is used on a student with a disability, the student’s IEP team must convene as soon as possible after the incident. The IEP team must review the student’s IEP to ensure that it contains appropriate positive behavioral interventions and supports and other strategies to address the behavior of concern. The IEP should be revised if necessary.

**SUSPENSION AND EXPULSION POLICY**

**California**

When disciplinary infractions occur on campus, our Principals are expected to respond in accordance with our Student Discipline Policy, which encourages positive behavioral interventions and supports and outlines available in-school disciplinary actions. Suspension should only be considered in cases of egregious behavioral infractions — and, even then, should be viewed as a last resort—as we believe that our students are best served when they are present at school every day. Recommendations for expulsion should not be pursued except in the most extreme cases, as our policy is to do whatever it takes to serve every student who enrolls in a Rocketship school.

If a situation does arise where a Principal feels that the student should be suspended or recommended for expulsion, this policy was written to guide the process. The policy has been written in accordance with relevant federal and state laws and regulations. It addresses grounds for suspension and expulsion; suspension and expulsion procedures; the maintenance of disciplinary records; student appeal rights; rehabilitation and readmission; and special procedures for the consideration of suspension and expulsion of students with disabilities.

1. **Grounds for Suspension and Expulsion**

   A student may be disciplined, suspended or expelled for prohibited misconduct if the act is related to school activity or school attendance occurring at a Rocketship school or at any other school or a school-sponsored event at any time including but not limited to: while on school grounds; while going
to or coming from school; during the lunch period, whether on or off the school campus; and during, going to, or coming from a school-sponsored activity. Students may also be subject to disciplinary action for off-campus behavior if it creates a substantial disruption to the school environment or interferes with another student's ability to participate in the school program.

In California, in accordance with EC §48900, a student may be suspended or expelled for any of the following acts when it is determined that the student:

(a) Caused, attempted to cause, or threatened to cause physical injury to another person or willfully used force of violence upon the person of another, except self-defense.

(b) Possessed, sold, or otherwise furnished any firearm, knife, explosive, or other dangerous object unless, in the case of possession of any object of this type, the students had obtained written permission to possess the item from a certificated school employee, with the Principal/Administrator or designee's concurrence.

(c) Unlawfully possessed, used, sold or otherwise furnished, or was under the influence of any controlled substance, as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage, or intoxicant of any kind.

(d) Unlawfully offered, arranged, or negotiated to sell any controlled substance as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage or intoxicant of any kind, and then sold, delivered or otherwise furnished to any person another liquid substance or material and represented same as controlled substance, alcoholic beverage or intoxicant.

(e) Committed or attempted to commit robbery or extortion.

(f) Caused or attempted to cause damage to school property or private property.

(g) Stole or attempted to steal school property or private property.

(h) Possessed or used tobacco or any products containing tobacco or nicotine products, including but not limited to cigars, cigarettes, miniature cigars, clove cigarettes, smokeless tobacco, snuff, chew packets and betel.

(i) Committed an obscene act or engaged in habitual profanity or vulgarity.

(j) Unlawfully possessed or unlawfully offered, arranged, or negotiated to sell any drug paraphernalia, as defined in Health and Safety Code § 11014.5.

(k) Disrupted school activities or otherwise willfully defied the valid authority of supervisors, teachers, administrators, other school officials, or other school personnel engaged in the performance of their duties. Under Education Code § 48900(k), students in grades K-3 may not be suspended for disruption or willful defiance. No student in grades K-5 may be expelled for willful defiance.

(l) Knowingly received stolen school property or private property.

(m) Possessed an imitation firearm, i.e.: a replica of a firearm that is so substantially similar in physical properties to an existing firearm as to lead a reasonable person to conclude that the replica is a firearm.

(n) Committed or attempted to commit a sexual assault as defined in Penal Code §§ 261, 266c, 286, 288, 288a or 289, or committed a sexual battery as defined in Penal Code §243.4.

(o) Harassed, threatened, or intimidated a student who is a complaining witness or witness in a school disciplinary proceeding for the purpose of preventing that student from being a witness and/or retaliating against that student for being a witness.

(p) Unlawfully offered, arranged to sell, negotiated to sell, or sold the prescription drug Soma.

(q) Engaged in or attempted to engage in hazing of another. For the purposes of this subdivision,
“hazing” means a method of initiation or preinitiation into a pupil organization or body, whether or not the organization or body is officially recognized by an educational institution, which is likely to cause serious bodily injury or personal degradation or disgrace resulting in physical or mental harm to a former, current, or prospective pupil. For purposes of this section, “hazing” does not include athletic events or school-sanctioned events.

(r) Engaged in an act of bullying. For the purpose of this subdivision, the following terms have the following meanings:

- (1) “Bullying” means any severe or pervasive physical or verbal act or conduct, including communications made in writing or by means of an electronic act, and including one or more acts committed by a pupil or group of pupils as defined in Section 48900.2, 48900.3, or 48900.4, directed toward one or more pupils that has or can be reasonably predicted to have the effect of one or more of the following:
  - Placing a reasonable pupil or pupils in fear of harm to that pupil’s or those pupils’ person or property.
  - Causing a reasonable pupil to experience a substantially detrimental effect on his or her physical or mental health.
  - Causing a reasonable pupil to experience substantial interference with his or her academic performance.
  - Causing a reasonable pupil to experience substantial interference with his or her ability to participate in or benefit from the services, activities, or privileges provided by a school.

- (2) (A) “Electronic act” means the creation or transmission originated on or off the school site, by means of an electronic device, including, but not limited to, a telephone, wireless telephone, or other wireless communication device, computer, or pager, of a communication, including, but not limited to, any of the following:
  - A message, text, sound, video, or image.
  - A post on a social network Internet Web site, including, but not limited to: Posting to or creating a burn page. “Burn page” means an Internet Web site created for the purpose of having one or more of the effects listed in paragraph (1); Creating a credible impersonation of another actual pupil for the purpose of having one or more of the effects listed in paragraph (1). “Credible impersonation” means to knowingly and without consent impersonate a pupil for the purpose of bullying the pupil and such that another pupil would reasonably believe, or has reasonably believed, that the pupil was or is the pupil who was impersonated; Creating a false profile for the purpose of having one or more of the effects listed in paragraph (1). “False profile” means a profile of a fictitious pupil or a profile using the likeness or attributes of an actual pupil other than the pupil who created the false profile.
  - An act of cyber sexual bullying. For purposes of this clause, “cyber sexual bullying” means the dissemination of, or the solicitation or incitement to disseminate, a photograph or other visual recording by a pupil to another pupil or to school personnel by means of an electronic act that has or can be reasonably predicted to have one or more of the effects described in subparagraphs (A) to (D), inclusive, of paragraph (1). A photograph or other visual recording, as described above, shall include the depiction of a nude, semi-nude, or sexually explicit photograph or other visual recording of a minor where the minor is identifiable from the photograph, visual recording, or other electronic act. For purposes of this clause, “cyber sexual bullying” does not
include a depiction, portrayal, or image that has any serious literary, artistic, educational, political, or scientific value or that involves athletic events or school-sanctioned activities.

- (2) (B) Notwithstanding paragraph (1) and subparagraph (A), an electronic act shall not constitute pervasive conduct solely on the basis that it has been transmitted on the Internet or is currently posted on the Internet.
- (3) "Reasonable pupil" means a pupil, including, but not limited to, an exceptional needs pupil, who exercises average care, skill, and judgment in conduct for a person of his or her age, or for a person of his or her age with his or her exceptional needs.

Pursuant to Ed Code 48900(v), for a pupil subject to discipline under this section, a principal may use his or her discretion to provide alternatives to suspension or expulsion that are age appropriate and designed to address and correct the pupil’s specific misbehavior.

In accordance with Ed Code 48900(w), alternatives to suspension or expulsion will first be attempted with students who are truant, tardy, or otherwise absent from assigned school activities.

II. Suspension Procedures

A suspension is a temporary dismissal of a student from the regular school program and school-sponsored events for the allotted time assigned by a school administrator. Suspensions can range from one to five school days, depending on the seriousness of the violation. Students are expected to complete all work assigned while they serve their suspension.

Suspensions at Rocketship will adhere to the following procedures:

Conference

In accordance with Ed Code 47605(b)(5)(J)(i), suspensions of less than 10 days will be preceded by a conference conducted by the Principal or designee with the student and his/her parent and, whenever practical, the teacher, supervisor, or school employee who referred the student to the Principal. The conference may be omitted if the Principal or designee determines that an emergency situation exists. An "emergency situation" involves a clear and present danger to the lives, safety or health of students or school personnel. If a student is suspended without this conference in an emergency situation, both the parent/guardian and student shall be given the opportunity to conference within two school days.

At the conference, the student shall be informed of the reason for the disciplinary action and the evidence against him/her and shall be given the opportunity to present his/her version and evidence in his/her defense.

Absent an emergency situation, the conference must occur before the student is sent home on suspension.

No penalties may be imposed on a student for failure of the student’s parent/guardian to attend a conference with school officials. Reinstatement of the suspended student shall not be contingent upon attendance by the student’s parent or guardian at the conference.

Notice to Parents/Guardians
At the time that the decision is made to suspend a student, the Principal or designee shall make a reasonable effort to contact the student’s parent/guardian by telephone or in person. Whenever a student is suspended, the parent/guardian shall also be notified in writing of the suspension, the reason for the suspension, the length of the suspension, the student’s right to return to school at the end of the suspension, and any conditions for that return (i.e. a return conference with the parent/guardian) and the date of return following suspension. If school officials wish to ask the parent/guardian to confer regarding matters pertinent to the suspension, the notice may request that the parent/guardian respond to such requests without delay.

A copy of this notice will also be filed in the student’s cumulative folder in the school.

Suspension Time Limits/Recommendation for Expulsion

Suspensions, when not including a recommendation for expulsion, shall not exceed five consecutive school days per suspension. In calculating days of suspension, days served will not include days when school is not in session for students, including but not limited to school closure days, school holidays, spring break, and summer break. If the student leaves school on the day that the suspension was imposed, this day will be counted as part of the suspension if the student was denied class participation prior to 12 noon of that day. The suspension shall terminate at midnight on the day listed as the last day of the suspension.

Upon a recommendation of expulsion by the Principal or Principal’s designee, the student and the student’s parent/guardian or representative will be invited to a second conference to determine if the suspension for the student should be extended pending an expulsion hearing. This determination will be made by the Principal or designee upon either of the following determinations: (1) the student’s presence will be disruptive to the education process; or (2) the student poses a threat or danger to others. Upon either determination, the student’s suspension will be extended pending the results of an expulsion hearing.

Students who are suspended shall be excluded from all school and school-related activities unless otherwise agreed during the period of suspension.

III. Authority to Expel

An expulsion is the permanent dismissal of a student from the Rocketship program. If an expulsion is approved, the parent/guardian has the responsibility to place the student in another school.

In accordance with Ed Code 47605(b)(5)(J)(iii), no student will be involuntarily disenrolled, dismissed, or transferred by the charter school for any reason, unless the parent or guardian has been provided written notice at least five school days before the effective date of the removal. For all involuntary removals, including expulsions and dismissals for non-disciplinary reasons, parents must be informed of their right to a hearing before the effective date of the removal. If the student’s parent or guardian initiates the hearing procedures, the student must remain enrolled until the charter school issues a final decision.

The full authority of the Rocketship governing Board of Directors ("the Board") to hear and conduct expulsions shall be granted to the Academic Affairs Committee, a committee of the Board. The Academic Affairs Committee shall consist of three board members. The Academic Affairs Committee may expel any student found to have committed an expellable offense as listed in Section II above.
Instead of conducting the hearing itself, the Academic Affairs Committee may appoint an impartial administrative panel of three or more persons, none of whom is a member of the Board or employed on the staff of the school in which the student is enrolled. The Academic Affairs Committee will pre-appoint a panel of at least five certificated Rocketship staff members, each from different Rocketship school sites. Should any of the persons appointed to the panel be employed by the staff of the school in which the student is enrolled, he/she will recuse him/herself from the proceedings.

IV. Expulsion Procedures

In accordance with Ed Code 47605(b)(5)(J)(ii), for expulsions and suspensions in excess of 10 days, the Charter School shall provide timely written notice of the charges against the student and an explanation of the student’s basic rights.

Hearing

In accordance with Ed Code 47605(b)(5)(J)(ii), students recommended for expulsion are entitled to a hearing to determine whether the student should be expelled. The hearing shall be held within 20 school days after the Principal or designee determines that the student has committed an expellable offense, unless the student requests, in writing, that the hearing be postponed.

In the event an administrative panel hears the case, it will, within 10 days of the hearing, make a recommendation to the Academic Affairs Committee for a final decision whether or not to expel. The hearing shall be held in closed session unless the student makes a written request for a public hearing three days prior to the hearing.

Written notice of the hearing shall be forwarded to the student and the student’s parent/guardian before the date of the hearing. Upon mailing the notice, it shall be deemed served upon the student. The notice shall include:

- The date and place of the expulsion hearing;
- A statement of the specific facts, charges and offenses upon which the proposed expulsion is based, along with a summary of the evidence against the student;
- A copy of Rocketship's disciplinary rules which relate to the alleged violation;
- Notification of the student's or parent/guardian's obligation to provide information about the student's status at the school to any other school district or school to which the student seeks enrollment;
- The opportunity for the student or the student's parent/guardian to appear in person or to employ and be represented by counsel or a non-attorney advisor;
- The right to inspect and obtain copies of all documents to be used at the hearing;
- The opportunity to confront and question all witnesses who testify at the hearing;
- The opportunity to question all evidence presented and to present oral and documentary evidence on the student’s behalf including witnesses.

Special Procedures for Expulsion Hearings Involving Sexual Assault or Battery Offenses

Rocketship may, upon a finding of good cause, determine that the disclosure of either the identity of the
witness or the testimony of that witness at the hearing, or both, would subject the witness to an unreasonable risk of psychological or physical harm. Upon this determination, the testimony of the witness may be presented at the hearing in the form of a statement from the victim or witness, which shall be examined only by Rocketship or the hearing officer. Copies of these statements, edited to delete the name and identity of the witness, shall be made available to the student.

Presentation of Evidence

While technical rules of evidence do not apply to expulsion hearings, evidence may be admitted and used as proof only if it is the kind of evidence on which reasonable persons can rely in the conduct of serious affairs. A recommendation by the Administrative Panel and decision by the Board to expel must be supported by a preponderance of the evidence that the student committed an expellable offense.

Findings of fact shall be based solely on the evidence at the hearing. While hearsay evidence is admissible, no decision to expel shall be based solely on hearsay and written declarations may be admitted as testimony from witnesses of whom the Board, Panel or designee determines that disclosure of their identity or testimony at the hearing may subject them to an unreasonable risk of physical or psychological harm.

The decision of the Administrative Panel shall be in the form of written findings of fact and shall be made within three school days following the conclusion of the hearing.

If the expulsion hearing panel decides not to recommend expulsion, the student shall be returned to his/her educational program or become subject to discipline or suspension in accordance with this policy.

V. Written Notice to Expel

The Principal or designee, following a decision of the Board to expel, shall send written notice of the decision to expel, including the Board’s adopted findings of fact, to the student or parent/guardian. This notice shall also include the following:

- notice of the specific offense committed by the student and
- notice of the student’s or parent/guardian’s obligation to inform any new district in which the student seeks to enroll of the student’s status with Rocketship.

VI. Right to Appeal

The student/family shall have the right to appeal the decision to expel the student from Rocketship directly to the Academic Affairs Committee. If the Academic Affairs Committee made the final decision on the expulsion, the appeal shall go directly to the Executive Committee of the Board. The request to appeal must be made in writing and shall be submitted to the Academic Affairs Committee or Executive Committee within five business days of being made aware of the decision to expel the student. The appeal shall be heard by the Academic Affairs Committee or Executive Committee within 15 days of receipt of the appeal.

VII. Expelled Students/Alternative Education
With the exception of students with disabilities under IDEA, students who are expelled shall be responsible for seeking alternative education programs including, but not limited to, programs within their school district of residence.

VIII. Rehabilitation and Readmission

At the time of the expulsion order, students who are expelled shall be given a rehabilitation plan, to be developed by the Academic Affairs Committee in conjunction with Rocketship staff, which may include, but is not limited to, periodic review as well as assessment at the time of review for readmission. The rehabilitation plan should include a date not later than one year from the date of expulsion when the student may be reviewed for readmission to a Rocketship school.

The decision to readmit a pupil who has been expelled from a Rocketship school shall be in the sole discretion of the Board following a meeting with the Principal and the pupil and guardian or representative to determine whether the pupil has successfully completed the rehabilitation plan and to determine whether the pupil poses a threat to others or will be disruptive to the school environment. The Principal shall make a recommendation to the Board following the meeting regarding his or her determination. The pupil’s readmission is also contingent upon Rocketship’s capacity at the time the student seeks readmission.

The decision to admit a pupil who has previously been expelled from another school, school district or charter school shall be in the discretion of the Principal following a meeting with the pupil and guardian or representative to determine whether the pupil poses a threat to others or will be disruptive to the school environment. Where applicable, the Principal may also consider whether the pupil has completed any rehabilitation plan or other improvement measures prescribed by the pupil’s previous school. The Principal shall make a recommendation following the meeting regarding his or her determination. The pupil’s admission is also contingent upon Rocketship’s capacity at the time the student seeks admission.

IX. Special Procedures for the Consideration of Suspension and Expulsion of Students with Disabilities

Services During Suspension

Students with disabilities pursuant to the Individuals with Disabilities Act ("IDEA") suspended for more than ten school days in a school year shall continue to receive services so as to enable the student to continue to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the child’s IEP; and receive, as appropriate, a functional behavioral assessment or functional analysis, and behavioral intervention services and modifications, that are designed to address the behavior violation so that it does not recur. These services may be provided in an interim alternative educational setting.

Procedural Safeguards/Manifestation Determination

Within 10 school days of any decision to change the placement of a child with a disability because of a violation of a code of student conduct, a manifestation determination shall take place. "Change of Placement" includes a recommendation for expulsion, consecutive removal of more than ten school days, or a cumulative removal of more than ten school days in a school year. Rocketship, the parent,
and relevant members of the IEP Team shall review all relevant information in the student’s file, including the child’s IEP, any teacher observations, and any relevant information provided by the parents to determine: (1) If the conduct in question was caused by, or had a direct and substantial relationship to, the child’s disability; or (2) the conduct in question was the direct result of the local educational agency’s failure to implement the IEP.

If Rocketship, the parent, and relevant members of the IEP Team determine that either of the above is applicable for the child, the conduct shall be determined to be a manifestation of the child’s disability.

If Rocketship, the parent, and relevant members of the IEP Team make the determination that the conduct was a manifestation of the child’s disability, the IEP Team shall:

(1) Conduct a functional behavioral assessment or a functional analysis assessment, and implement a behavioral intervention plan for such child, provided that the school had not conducted such assessment prior to such determination before the behavior that resulted in a change in placement;

(2) If a behavioral intervention plan has been developed, review the behavioral intervention plan if the child already has such a behavioral intervention plan, and modify it, as necessary, to address the behavior; and

(3) Return the child to the placement from which the child was removed, unless the parent and the school agree to a change of placement as part of the modification of the behavioral intervention plan.

If the school, the parent, and relevant members of the IEP team determine that the behavior was not a manifestation of the student’s disability and that the conduct in question was not a result of the failure to implement the IEP, then the school may apply the relevant disciplinary procedures to children with disabilities in the same manner and for the same duration as the procedures would be applied to students without disabilities.

**Due Process Appeals**

If the parent of a child with a disability disagrees with any decision regarding a disciplinary change in placement, or the manifestation determination, or the school believes that maintaining the current placement of the child is substantially likely to result in injury to the child or to others, the parent or school may request an expedited administrative hearing through the regional administrative hearing office.

When an appeal relating to the placement of the student or the manifestation determination has been requested by either the parent or the school, the student shall remain in the interim alternative educational setting pending the decision of the hearing officer or until the expiration of the 45-day time period provided for in an interim alternative educational setting, whichever occurs first, unless the parent and the school agree otherwise.

**Special Circumstances**

Rocketship personnel may consider any unique circumstances on a case-by-case basis when determining whether to order a change in placement for a child with a disability who violates a code of student conduct.

The Principal or designee may remove a student to an interim alternative educational setting for not
more than 45 days without regard to whether the behavior is determined to be a manifestation of the student’s disability in cases where a student:

- Carries or possesses a weapon, as defined in 18 USC § 930, to or at school, on school premises, or to or at a school function;
- Knowingly possesses or uses illegal drugs, or sells or solicits the sale of a controlled substance, while at school, on school premises, or at a school function; or
- Has inflicted serious bodily injury upon a person while at school, on school premises, or at a school function.

Interim Alternative Educational Setting

The student’s interim alternative educational setting shall be determined by the student’s IEP team.

Procedures for Students Not Yet Eligible for Special Education Services

A student who has not been identified as an individual with disabilities pursuant to IDEIA and who has violated the Rocketship’s behavioral policies may assert the procedural safeguards granted under this administrative regulation only if Rocketship had knowledge that the student was disabled before the behavior occurred.

Rocketship shall be deemed to have knowledge that the student had a disability if one of the following conditions exists prior to the behavior at issue:

- The parent of the child expressed concern in writing to supervisory or administrative personnel of Rocketship, or a teacher of the child, that the child is in need of special education and related services;
- The parent of the child requested an evaluation of the child pursuant to §§ 300.300 through 300.311; or
- The teacher of the child, or other Rocketship personnel, expressed specific concerns about a pattern of behavior demonstrated by the child directly to the director of special education of the agency or to other supervisory personnel of the agency.

If the school knew or should have known the student had a disability under any of the three circumstances described above, the student may assert any of the disciplinary protections available to IDEA-eligible children with disabilities.

If the school had no basis for knowledge of the student’s disability, it shall proceed with the proposed discipline. The school shall conduct an expedited evaluation if requested by the parents; however the student shall remain in the education placement determined by Rocketship pending the results of the evaluation.

Rocketship shall not be deemed to have knowledge of that the student had a disability if the parent has not allowed an evaluation, refused services, or if the student has been evaluated and determined to not be eligible.

Revised 12/11/17
CAMPUS ACCESS AND VISITOR POLICY
All Regions

Rocketship strives to create campuses that are welcoming to families, volunteers, and community members while maintaining a safe and secure environment for students and staff. This policy addresses management of the front entrance; prohibitions against loitering; procedures for visitor registration; procedures to follow when arranging for a campus visit; and barring an individual from campus.

I. Front Entrance
At all times during the school day, the front office to each Rocketship campus will be supervised by a Rocketship staff member.

Ensuring that the front office is manned at all times is a primary responsibility of the school’s Office Manager. If the Office Manager is unable to be at the front desk for some reason, he/she is responsible for finding another Rocketship staff member to be present. Individuals who are not Rocketship staff members should NOT be asked to cover the front desk.

II. Loitering
Parents/guardians and other visitors, including children who are not students at the school, shall not loiter on the school premises, including in the parking lot and outside school buildings. The parking lot shall be used for picking up and dropping off students, and while conducting business.

Parents/guardians and other visitors are expected to leave the campus premises upon the conclusion of any business matters or after dropping their student off at school. If a parent or guardian wishes to visit the school to view the educational program, the visitor must adhere to the following procedures, which have been developed to ensure the safety of students and staff as well as to minimize interruption of the instructional program.

III. Visitor Registration and Passes/Badges

- All visitors (including Rocketship Education regional and national staff members) are required to register with the front office immediately upon entering any school building or grounds during school hours.
- The Principal, or designee, may refuse to register an outsider if he or she has a reasonable basis for concluding that the visitor’s presence or acts would disrupt the school, its students, its teachers, or its other employees; would result in damage to property; or would result in the distribution or use of unlawful or controlled substance.
- Visitors in disguise or costume will not be allowed entrance onto campus without prior agreement with the school administration.
- After registering in the front office, visitors who are not Rocketship employees will be issued a
Visitor’s Pass that they must display at all times while on campus. Rocketship regional/national staff (“Network Support”) will receive personal identification badges from the Rocketship Human Resources department. Network Support staff must display their badge on their persons at all time while at a school site. If a Network Support staff member forgets his/her badge, he/she will need to obtain a Visitor’s Pass from the front office.

- All visitors must also sign out when leaving the campus.
- Any visitor may be asked to provide personal identification at any time.
- The Principal or designee may withdraw consent for an individual to be on campus, even if the visitor has a right to be on campus, whenever there is reasonable basis for concluding that the visitor presence on school grounds would interfere or is interfering with the peaceful conduct of the activities of the school, or would disrupt or is disrupting the school, its students, its teachers, or its other employees.
- The Principal or designee may request that a visitor who has failed to register, or whose registration privileges have been denied or revoked, promptly leave school grounds. When a visitor is directed to leave, the Principal or designee shall inform the visitor that if he/she reenters the school without following the posted requirements he/she will be guilty of a misdemeanor.

IV. Arranging for a Campus Visit

Visits during school hours by non-Network Support or other Rocketship staff members should be arranged with the teacher and Principal (or designee) in advance. Teacher conferences should be arranged by appointment in advance and must be scheduled to take place during non-instructional time.

Parent/guardians who want to visit a classroom during school hours must first obtain written approval from the classroom teacher and the Principal or designee.

No electronic listening or recording device may be used by students or visitors in a classroom without the teacher's and Principal's written permission.

V. Barring Individuals From Campus

Rocketship recognizes that situations could arise where it may become necessary for the Principal and/or the Principal's supervisor to prohibit an individual from entering or remaining on a Rocketship campus. Such situations may include an individual engaging in violence, threats of violence, harassment, or any other behavior that the Principal deems to be disruptive of the learning environment. Such actions will comply with any relevant state law requirements.

Barring is specific to each individual Rocketship campus and its related activities. Principals generally have the authority to bar a disruptive individual, but they must follow Rocketship's internal protocol and comply with all applicable state laws. Principals may not bar an individual beyond his/her particular campus, unless specific authorization is granted by the Director of Schools or the legal department.

It is the responsibility of the Business Operations Manager, Office Manager, and school security to:

- Enforce photo identification verification 100% of the time to ensure no barred individuals enter the building.
- Document and maintain procedures for pick-up, drop-off and any documentation related to the barring notice at the front desk.
- Determine, at the time of the incident and in collaboration with the Principals, whether the local
police must be contacted.

- Maintain an accurate and current list of individuals who have been issued a barring notice.
- Enforce the barring notice by escorting individuals out of the building and off school grounds in a peaceful, quiet, and orderly fashion.
- Not engage any barred individual off school grounds using combative language, tone, or action.
- Contact the local police department in the event that an individual becomes physically confrontational or refuses to comply with the barring notice.

VI. Refusal to Leave Campus

Anyone who refuses to leave after being asked by the Principal, or who enters a campus without proper authorization in accordance with this policy, may become subject to the local criminal laws regarding trespass and unlawful entry.

Further conduct of this nature by the visitor may lead to the School’s pursuit of a restraining order against such visitor which would prohibit him/her from coming onto school grounds or attending School activities for any purpose for a statutorily prescribed period.

The Principal or designee may seek the assistance of the police in dealing with or reporting any visitor in violation of this policy.

HEAD LICE POLICY
All Regions

Head lice are parasitic insects that are found on the head, eyebrows, and eyelashes of affected people. Head lice are spread through direct contact with the hair of an infected person and are often spread in elementary schools. This policy was created to minimize the potential for head lice outbreaks at Rocketship schools. The policy addresses examination protocols; notices to families; and the process for a student who has been infested to return to school.

I. Examination

If student is suspected of having head lice (i.e. constant itching or tickling feeling in the hair), the school nurse or other trained school employee may examine the hair of the suspected student for lice or nits (lice eggs). In certain circumstances, the employee may also examine other members of that student’s household.

II. Sending a Student Home

If a student is positive for live head lice, the student is to be promptly sent home with information to the parents regarding treatment and control measures. Any absences related to head lice will be documented and counted as an excused absence in accordance with Rocketship’s Attendance and Truancy Policy.

III. Exposure Notice

If there are two or more students affected in any class, Rocketship will send home an exposure notice
with information about head lice to all parents/guardians in that class. Rocketship will maintain the privacy of students identified as having head lice and excluded from attendance.

IV. Returning to School

Rocketship will provide parents/guardians of affected students with instructions on how to conduct post-treatment examinations on their children. Parents/guardians may send their child back to school when they believe that the child’s hair is no longer infested with head lice. Parents should not withhold the child from school for any days longer than necessary; typically, no more than three days. Any absences longer than three days require a doctor’s note. If the family is unable to obtain a doctor’s note, the family should arrange to have a meeting with the school to discuss the length of absence. The school has the discretion to excuse additional days if school staff determine this is necessary. The school nurse or other trained staff members may reexamine the student’s hair upon return. If the student shows no trace of lice or nits, the student may return to school.

MEDICATION ADMINISTRATION POLICY

California

Rocketship is committed to supporting the health of its students and meeting the needs of students with medical conditions, in compliance with California laws and regulations. This policy addresses required documentation of student medication needs; staff administration of medication; self-administration of medication; the use of epinephrine and asthma inhalers; emergency situations; and documentation and training requirements.

I. Medication Authorization Form

Before medication can be kept or administered at Rocketship, a student’s parent/guardian must complete and submit a Medication Authorization Form. In accordance with EC § 49423 and 5 C.C.R. § 601(a), the Medication Authorization Form must include:

- Student’s name and date of birth;
- Name of the medication to be administered and the reason for administration;
- Amount or dose of the medication;
- Method of administration;
- The time the medication is to be administered at school;
- Possible side effects;
- For medication prescribed on an as-needed basis, the specific symptoms that necessitate administration of medications, the allowable frequency for administration, and indications for referral for medical evaluation;
- For medication that is to be self-administered by the student, a statement that, in the authorized health care provider’s opinion, the student is competent to safely self-administer the medication according to the conditions in the provider’s written statement;
• Name, address, telephone number, and signature of the California authorized health care provider.

The student’s parent/guardian must also provide Rocketship with a written statement indicating their desire that the school assist the student with medication administration as set forth in the Medication Authorization Form.

Immediately following any changes regarding the health or treatment of the student, the parent/guardian must submit an amended Medication Authorization Form to Rocketship. All Medication Authorization Forms must be updated at least annually.

II. Staff Administration of Medication

Pursuant to 5 C.C.R. § 604, medication may be administered to students by Rocketship personnel designated by the Principal who are legally able and has consented to administer or assist in administering medication to students.

Parents/guardians may come to Rocketship to administer medication to their child if the parent/guardian signs an agreement identifying who will administer the medication, stating the conditions under which the medication will be administered, and releasing Rocketship from the responsibility of administering the medication.

School staff should NOT provide any over-the-counter medications or therapeutic/homeopathic remedies (i.e. cough drops, herbal teas) to students unless the student has
• a note from an authorized health care provider that prescribes the use of the remedy, including the amount or dose to be given, and the method and timing of the administration; AND
• a written statement from a parent/guardian permitting the use of the remedy at the school.

III. Self-Administration of Medication

Under Ed Code § 49423.6 and 5 C.C.R. § 605, Rocketship may allow a student to carry and self-administer medication in accordance with this policy. This includes prescription inhaled asthma medication and auto-injectable epinephrine, which are each further described below in this policy. Any self-administration of medication must be done in accordance with the Medication Authorization Form as described above.

Prior to allowing self-administration, Rocketship personnel must observe the student self-administering the medication and determine that the student is capable to adhere to standard precautions and appropriate handling of the medication.

IV. Storage of Medication

In accordance with Ed Code § 49423, Rocketship may receive medication from a student’s parent/guardian to store for use in accordance with a valid Medication Authorization Form. The medication will be stored with Rocketship’s school nurse or Office Manager in a location that is easily
accessible during an emergency.

The medication must be labeled with the following information:
- Name of the student;
- Name of the medication;
- Dosage;
- Time of administration; and
- Duration of medication.

All medications must be in original manufacturer packaging. The labeling must be consistent with the written statement from the authorized health care provider in the Medication Authorization Form.

Rocketship will return any unused, discontinued, or outdated medication to a student’s parent/guardian as soon as possible after the course of treatment is completed or the medication reaches its expiration. Any medication that cannot be returned to the student’s parent/guardian will be disposed of at the end of the school year in accordance with all applicable laws.

V. Documentation Maintenance of Records

Rocketship will create and maintain a list of students with valid Medication Authorization Forms, including the emergency contact information for each student. Students who are allowed to carry and self-administer medication will be specified in this list. The Principal may distribute the list among appropriate employees or agents.

Rocketship will maintain accurate records of all its employees and agents who are certified to administer medication. Rocketship will also maintain accurate records of all incidents where medication was administered to a student at school. The log will include at least the name of the student; the name of the medication; the medication dose and route; and the time the medication was administered.

If a material or significant deviation from the authorized health care provider’s written statement is discovered by Rocketship, notification of the deviation shall be given as quickly as possible to the Director of Schools, the student’s parent/guardian, and the student’s authorized health care provider.

VI. Emergency Situations

Designated Rocketship personnel will be possess valid, up-to-date certifications in first aid and CPR. First aid will be administered whenever possible by trained staff members.

Rocketship personnel who provide life-sustaining emergency medication must receive documented training and maintain current certification in CPR from a recognized source (i.e. American Red Cross or American Heart Association).

School employees with proper training and certification are expected to respond to emergency situations without discrimination. If any student or staff member needs resuscitation, staff shall make every effort to resuscitate him/her. Staff members are prohibited from accepting or following any parental or medical "do not resuscitate" orders. School staff should not be placed in the position of determining whether such orders should be followed, and such advance directives shall not be
communicated to staff.

VII. Epinephrine Auto-Injectors

Pursuant to Ed Code § 49414, Rocketship schools in California will stock emergency epinephrine auto-injectors to be used by the school nurse or trained personnel on persons suffering or reasonably believed to be suffering from an anaphylactic reaction. A school nurse or, if the school does not have a nurse, a volunteer trained in accordance with this policy, may administer an epinephrine auto-injector to a person exhibiting potentially life-threatening symptoms or anaphylaxis at school or at a school activity when a physician is not immediately available. The training provided to school personnel shall be in compliance with the requirements of Ed Code § 49414 and any regulations promulgated thereunder.

Rocketship will store the epinephrine auto-injectors in a secure but accessible, well-marked, unlocked location.

VIII. Asthma Inhalers

Pursuant to Ed Code § 49423.1, students who are required to take, during the regular school day, inhaled asthma medication may be permitted to carry and self-administer the inhaled asthma medication or otherwise be assisted by designated school personnel, provided that Rocketship receives the appropriate written documentation, specified below:

- In order for a student to be assisted by a school nurse or other designated school personnel, Rocketship shall obtain both (1) a written statement from a physician detailing the name of the medication, method, amount, and the time schedules by which the medication is to be taken AND (2) a written statement from the parent/guardian requesting that Rocketship assist the student with the medication.
- In order for a student to carry and self-administer prescription inhaled asthma medication, Rocketship shall obtain both (1) a written statement from a physician detailing the name of the medication, method, amount, the time schedules by which the medication is to be taken, and confirming that the student is able to self-administer inhaled asthma medication AND (2) a written statement from the parent/guardian consenting to the self-administration, providing a release for the school nurse or other designated school personnel to consult with the health care provider of the student regarding any questions that may arise with regard to the medication, and releasing Rocketship Education and school personnel from civil liability if the self-administering student suffers an adverse reaction by taking the medication pursuant to this policy.

These written statements must be provided at least annually, and more frequently if the medication, dosage, frequency of administration, or reason for administration changes.

IX. Misuse

A student who self-administers medication while at school, at a school-sponsored activity, or while on school-sponsored transportation for a purpose other than his or her own treatment will be subject to disciplinary action in accordance with Rocketship's Student Discipline Policy. Such disciplinary action shall not limit or restrict the access of a student to his or her prescribed medication. Rocketship will promptly notify the student's parent/guardian of any disciplinary action imposed.
This Policy is adopted by the Board of Directors of Rocketship Education.

Date: 3/2/18  
Signed  
Frederick Ferrer, Board Chair  
Rocketship Education

This Policy is adopted by the Board of Directors of Rocketship Education, D.C. Public Charter School, Inc.

Date:  
Signed  
Jolene Sloter, Board Chair  
Rocketship Education D.C., Public Charter School, Inc.

This Policy is adopted by the Board of Directors of Rocketship Education Wisconsin, Inc.

Date:  
Signed  
Ralph Weber, Board Chair  
Rocketship Education Wisconsin, Inc.
This Policy is adopted by the Board of Directors of Rocketship Education.

Date: 3/2/18  
Signed  
Frederick Ferrer, Board Chair  
Rocketship Education

This Policy is adopted by the Board of Directors of Rocketship Education, D.C., Public Charter School, Inc.

Date: 3/5/18  
Signed  
Jolene Sloter, Board Chair  
Rocketship Education D.C., Public Charter School, Inc.

This Policy is adopted by the Board of Directors of Rocketship Education Wisconsin, Inc.

Date: 3/5/18  
Signed  
Ralph Weber, Board Chair  
Rocketship Education Wisconsin, Inc.
Student Related Policies

Mandated Reporter (CA) (Policy #631.1)

In California, certain professionals are required to report known or suspected child abuse. Educators, including teachers, aides, school administrators, office workers, and all other employees of public schools are legally mandated reporters. As an employee of a Rocketship school, or an employee of the Rocketship National office who has regular contact with children, you are a Mandated Reporter. A mandated reporter must make a report to the Department of Family and Children’s Services whenever, in his/her professional capacity or within the scope of employment, he/she has knowledge of or observes a child whom the mandated reporter knows or reasonably suspects has been a victim of child abuse.

This “Mandated Reporter Policy” is intended to educate Rocketship school employees of their responsibilities and rights under the Child Abuse and Neglect Reporting Act (“Act”), as well as the procedures for complying with the Act.

When Must You Report?

The Act requires a report to be made when a mandated reporter has a “reasonable suspicion” of abuse. According to CA Penal Code § 11166(a)(1) “reasonable suspicion” means that it is “objectively reasonable for a person to entertain a suspicion, based upon facts that could cause a reasonable person in a like position, drawing, when appropriate, on his or her training and experience, to suspect child abuse or neglect.”

The language chosen in the statute was deliberate and was drafted to ensure that a maximum number of abused children are identified and protected. As an educator, your role is not to serve as an investigator. If you feel that an ordinary person in your position would have any reason to suspect abuse, you are required to immediately report your suspicions.

What Types of Abuse Must Be Reported?

Under applicable law, when the victim is a child (ordinarily a person under the age of 18), the following types of abuse must be reported by all legally mandated reporters.

The perpetrator can be any adult or child, with the exception of a “mutual affray between minors” (i.e., a school yard fight).

Physical Abuse

Physical Abuse means non--accidental bodily injury that has been or is being willfully inflicted on a child. It includes willful harming or injuring of a child or endangering of the person or health of a
child defined as a situation where any person willfully causes or permits any child to suffer, or inflicts thereon, unjustifiable physical pain or mental suffering, or having the care or custody of any child, willfully causes or permits the person or health of the child to be placed in a situation such that his or her person or health is endangered.

**Severe Physical Abuse**

Severe Physical Abuse includes any single act of abuse which causes physical trauma of sufficient severity that, if left untreated, would cause permanent physical disfigurement, permanent physical disability, or death; any single act of sexual abuse which causes significant bleeding, deep bruising, or significant external or internal swelling; or repeated acts of physical abuse, each of which causes bleeding, deep bruising, significant external or internal swelling, bone fracture, or unconsciousness.

**Neglect**

Neglect means the negligent treatment or maltreatment of a child by acts or omissions by a person responsible for the child’s welfare under circumstances indicating harm or threatened harm to the child’s health or welfare, including physical and/or psychological endangerment. The term includes both severe and general neglect.

**Severe Neglect**

Severe Neglect includes the negligent failure to protect a child from severe malnutrition or medically diagnosed non-organic failure to thrive and/or to permit the child or the child’s health to be endangered by intentional failure to provide adequate food, clothing, shelter or medical care.

**General Neglect**

General Neglect includes the failure to provide adequate food, shelter, clothing, and/or medical care, supervision when no physical injury to the child occurs.

**NOTE:** A child receiving treatment by spiritual means or not receiving specified medical treatment for religious reasons, shall not for that reason alone be considered a neglected child. An informed and appropriate medical decision made by a parent or guardian after consultation with a physician or physicians who have examined the child does not constitute neglect. See Assessment of Medical Neglect.

**Sexual Abuse**

Sexual Abuse is the victimization of a child by sexual activities including, but not limited to sexual assault, rape (statutory rape and rape in concert), incest, sodomy, lewd and lascivious acts upon a child under fourteen (14) years of age, oral copulation, penetration of a genital or anal opening
by a foreign object, child molestation and unlawful sexual intercourse. Also, please be aware that it is sexual abuse if the parent or guardian has failed to adequately protect the child from sexual abuse when the parent or guardian knew or reasonably should have known that the child was in danger of sexual abuse.

**Sexual Exploitation**

Sexual Exploitation involves any person or person who is responsible for a child’s welfare who knowingly promotes, aids or assists, employs, uses, persuades, induces or coerces a child, or knowingly permits or encourages a child to engage in, or assists others to engage in, prostitution or live performance involving obscene sexual conduct or to either pose or model alone or with others for the purpose of preparing a film, photograph, negative, slide, drawing, painting or other pictorial depiction involving obscene sexual conduct.

NOTE: Unlawful sexual intercourse is defined as an adult who engages in an act of sexual intercourse with a minor or any person who engages in an act of unlawful sexual intercourse with a minor who is more than three (3) years younger, or a person twenty-one (21) years or older with a minor who is under sixteen (16) years old.

**Non-Sexual Exploitation**

Non-Sexual Exploitation involves forcing or coercing a child into performing acts which are beyond his/her capabilities, such as being employed for long hours and/or in a job which is dangerous or beyond his/her capabilities or forcing or coercing the child into illegal or degrading acts such as stealing, panhandling, and/or drug sales. Generally, these acts benefit the perpetrator in some way.

**Emotional Abuse**

Emotional Abuse is nonphysical mistreatment, the results of which may be characterized by disturbed behavior on the part of the child, such as severe withdrawal, regression, bizarre behavior, hyperactivity, or dangerous acting-out behavior. Such disturbed behavior is not deemed, in and of itself, to be evidence of emotional abuse. Exposure to repeated violent, brutal or intimidating acts among household members (domestic violence) is emotional abuse.

**Caretaker Absence**

Caretaker Absence is specific to the caregiver’s situation rather than to the child’s and may be used in addition to general neglect or substantial risk of harm allegations. This allegation type shall be used in either of the following circumstances:

- Caretaker Absence: The child’s parent has been incarcerated, hospitalized or institutionalized and cannot arrange for the care of the child; parent’s whereabouts are unknown or the custodian with whom the child has been left is unable or unwilling to
provide care and support for the child.

- Caretaker Incapacity: The child’s parent or guardian is unable to provide adequate care for the child due to the parent or guardian’s mental illness, developmental disability or substance abuse.

**Procedures for Reporting**

1. The moment you have a reasonable suspicion of abuse, reports must be made immediately or as soon practically possible by phone.

2. Reports must be made to a county welfare department, probation department (if designated by the county to receive mandated reports), or to a police or sheriff’s department.

   a. In the San Jose Area, you can contact the Santa Clara County Department of Family and Children’s Services twenty-four (24) hours a day by calling the:

      **Child Abuse and Neglect Center (408) 299---2071**

   b. If you are unable to make your report at the number listed above, or you are informed that the incident is one which they will not investigate, please contact the police station closest to your school site.

3. Within thirty-six (36) hours of the initial phone call, you must mail a written report to the same agency. The written report must be submitted on a Department of Justice Form SS 8572.

   a. Form SS 8572 (State of CA Suspected Child Abuse Form):

      http://oag.ca.gov/sites/all/files/pdfs/childabuse/ss_8572.pdf?

   b. Instructions for Form SS8572:

      http://oag.ca.gov/sites/all/files/pdfs/childabuse/8572_instruct.pdf?

   c. If you contact the Santa Clara County Department of Family and Children’s Services, mail your written report to:

      **Santa Clara County Department of Family and Children’s Services Child Abuse and Neglect Center**

      **373 West Julian St. --- Second Floor San Jose CA 95110**

4. Joint Knowledge

   a. It is the policy of Rocketship that a mandated reporter who is making a report, as required, is also to inform the Principal of the school of the suspected abuse,
unless the Principal is the subject of the suspicion. You are not required to identify yourself to the Principal when you so inform him/her. REMEMBER, reporting the information to the Principal or any other person shall not be a substitute for your making a mandated report to one of the agencies specified above.

b. However, when two (2) or more persons who are mandated reporters jointly have knowledge of a known or suspected instance of child abuse, and when there is agreement among them, the telephone report and written report may be made by a single member of the team. Any member of a team who has knowledge that the member designated to report failed to do so must thereafter make the report himself/herself.

c. Knowledge that a report has already been made by an outside party is not a substitute for making a mandated report.

5. After the report is made, Child Protection workers and/or law enforcement officers may contact you to gather additional information to aid in their investigation. You may have knowledge about the child and/or family which can aid the investigators in making accurate assessments and providing appropriate services. After the investigation has been completed or the matter reaches a final disposition, the investigating agency shall inform the mandated reporter of the results of the investigation and any action the agency is taking.

Immunity from Liability

● Mandated reporters have immunity from criminal or civil liability for reporting as required, unless the report is proven to be false and the person reporting knows it is false, or the report is made with reckless disregard of the truth or falsity of the incident. Mandated reporters and others acting at their direction are not liable civilly or criminally for photographing the victim and disseminating the photograph with the report.

● No supervisor or administrator may impede or inhibit a report or subject the reporting person to any sanction.

● The identity of the reporting party and the contents of the child abuse report are confidential and may only be disclosed to specified persons and agencies.

● In the event a civil action is brought against a mandated reporter as a result of a required or authorized report, he or she may present a claim to the State Board of Control for reasonable attorney’s fees incurred in the action if he or she prevails in the action or the court dismisses the action.

Failure to Report

Failure to report suspected abuse is a misdemeanor punishable by imprisonment or fine or both.
Training

RSED will provide annual training on the mandated reporting requirements, using the online training module provided by the State Department of Social Services, to employees who are mandated reporters. Mandated reporter training will also be provided to employees hired during the course of the school year. This training will include information that failure to report an incident of known or reasonably suspected child abuse or neglect, as required by Penal Code § 11166, is a misdemeanor punishable by up to six (6) months confinement in a county jail, or by a fine of one-thousand dollars ($1,000), or by both that imprisonment and fine.

All employees required to receive mandated reporter training must provide proof of completing the training within the first six (6) weeks of each school year or within the first six (6) weeks of that employee’s employment.

Mandated Reporter (TN) (Policy #631.3)

In Tennessee, certain professionals are required to report known or suspected child abuse and neglect. School teachers, administrators, counselors and staff are legally mandated reporters. As an employee of a Rocketship Education school, or an employee of the Rocketship Network Support office who has regular contact with children, you are a Mandated Reporter.

This “Mandated Reporter Policy” is intended to inform Rocketship Education employees of their responsibilities and rights under Tennessee Code Annotated, Title 37, Chapter 1, Part 4, as well as the procedures for complying with the statute.

What Types of Abuse Must Be Reported?

Under state law, child abuse is defined as any wound, injury, disability, or physical or mental condition that is of such nature as to reasonably indicate that it has been caused by brutality, abuse, or neglect or that, on the basis of available information, reasonable appears to have been caused by such. For practical purposes, the descriptions of abuse under the California section of this handbook also apply in Tennessee.

Possible indicators of abuse or neglect may include:

- The child has repeated injuries that are not properly treated or adequately explained.
- The child begins acting in unusual ways ranging from disruptive and aggressive behaviors to passive and withdrawn behaviors.
- The child acts in the role of parent toward their brothers and sisters or even toward their own parents.
- The child may have disturbed sleep (nightmares, bed wetting, fear of sleeping alone, needing a nightlight, etc.).
SUSPENSION AND EXPULSION POLICY
California

When disciplinary infractions occur on campus, our Principals are expected to respond in accordance with our Student Discipline Policy, which encourages positive behavioral interventions and supports and outlines available in-school disciplinary actions. Suspension should only be considered in cases of egregious behavioral infractions— and, even then, should be viewed as a last resort—as we believe that our students are best served when they are present at school every day. Recommendations for expulsion should not be pursued except in the most extreme cases, as our policy is to do whatever it takes to serve every student who enrolls in a Rocketship school.

If a situation does arise where a Principal feels that the student should be suspended or recommended for expulsion, this policy was written to guide the process. The policy has been written in accordance with relevant federal and state laws and regulations. It addresses grounds for suspension and expulsion; suspension and expulsion procedures; the maintenance of disciplinary records; student appeal rights; rehabilitation and readmission; and special procedures for the consideration of suspension and expulsion of students with disabilities.

Note that no student shall be involuntarily removed by a Rocketship school for any reason unless the parent or guardian of the student has been provided written notice of intent to remove the student no less than five schooldays before the effective date of the action. The written notice shall be in the native language of the student or the student’s parent or guardian or, if the student is a foster child or youth or a homeless child or youth, the student’s educational rights holder, and shall inform him or her of the right to initiate the procedures specified below for suspensions, before the effective date of the action. If the student’s parent, guardian, or educational rights holder initiates the procedures specified below for suspensions, the student shall remain enrolled and shall not be removed until the School issues a final decision. As used herein, “involuntarily removed” includes disenrolled, dismissed, transferred, or terminated, but does not include suspensions or expulsions pursuant to the suspension and expulsion procedures described below.

I. Grounds for Suspension and Expulsion
A student may be disciplined, suspended or expelled for prohibited misconduct if the act is related to school activity or school attendance occurring at a Rocketship school or at any other school or a school-sponsored event at any time including but not limited to: while on school grounds; while going to or coming from school; during the lunch period, whether on or off the school campus; and during, going to, or coming from a school-sponsored activity. Students may also be subject to disciplinary action for off-campus behavior if it creates a substantial disruption to the school environment or interferes with another student’s ability to participate in the school program. In California, in accordance with EC §48900, a student may be suspended or expelled for any of the following acts when it is determined that the student:
A. Caused, attempted to cause, or threatened to cause physical injury to another person or willfully used force of violence upon the person of another, except self-defense.

B. Possessed, sold, or otherwise furnished any firearm, knife, explosive, or other dangerous object unless, in the case of possession of any object of this type, the students had obtained written permission to possess the item from a certificated school employee, with the Principal/Administrator or designee’s concurrence.

C. Unlawfully possessed, used, sold or otherwise furnished, or was under the influence of any controlled substance, as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage, or intoxicant of any kind.

D. Unlawfully offered, arranged, or negotiated to sell any controlled substance as defined in Health and Safety Code §§ 11053-11058, alcoholic beverage or intoxicant of any kind, and then sold, delivered or otherwise furnished to any person another liquid substance or material and represented same as controlled substance, alcoholic beverage or intoxicant.

E. Committed or attempted to commit robbery or extortion.

F. Caused or attempted to cause damage to school property or private property, which includes but is not limited to, electronic files and databases.

G. Stole or attempted to steal school property or private property, which includes but is not limited to, electronic files and databases.

H. Possessed or used tobacco or any products containing tobacco or nicotine products, including but not limited to cigars, cigarettes, miniature cigars, clove cigarettes, smokeless tobacco, snuff, chew packets and betel.

I. Committed an obscene act or engaged in habitual profanity or vulgarity.

J. Unlawfully possessed or unlawfully offered, arranged, or negotiated to sell any drug paraphernalia, as defined in Health and Safety Code § 11014.5.

K. Disrupted school activities or otherwise willfully defied the valid authority of supervisors, teachers, administrators, other school officials, or other school personnel engaged in the performance of their duties. Under Education Code § 48900(k), students in grades K-3 may not be suspended for disruption or willful defiance. No student in grades K-5 may be expelled for willful defiance.

L. Knowingly received stolen school property or private property, which includes but is not limited to, electronic files and databases.

M. Possessed an imitation firearm, i.e.: a replica of a firearm that is so substantially similar in physical properties to an existing firearm as to lead a reasonable person to conclude that the replica is a firearm.

N. Committed or attempted to commit a sexual assault as defined in Penal Code §§ 261, 266c, 286, 288, 288a or 289, or committed a sexual battery as defined in Penal Code §243.4.

O. Harassed, threatened, or intimidated a student who is a complaining witness or witness in a school disciplinary proceeding for the purpose of preventing that student from being a witness and/or retaliating against that student for being a witness.

P. Unlawfully offered, arranged to sell, negotiated to sell, or sold the prescription drug Soma.

Q. Engaged in or attempted to engage in hazing of another. For the purposes of this subdivision, “hazing” means a method of initiation or preinitiation into a pupil organization or body, whether or not the organization or body is officially recognized by an educational institution, which is likely to cause serious bodily injury or personal degradation or disgrace resulting in physical or mental harm to a former, current, or prospective pupil. For purposes of this section, “hazing” does not include athletic events or school-sanctioned events.

R. Aiding or abetting as defined in Section 31 of the Penal Code, the infliction or attempted infliction of physical injury to another person may be subject to suspension, but not expulsion,
pursuant to this section, except that a pupil who has been adjudged by a juvenile court to have committed, as an aider and abettor, a crime of physical violence in which the victim suffered great bodily injury or serious bodily injury shall be subject to suspension or expulsion.

S. Made terrorist threats against school officials and/or school property, which includes but is not limited to, electronic files and databases. For purposes of this section, "terroristic threat" shall include any statement, whether written or oral, by a person who willfully threatens to commit a crime which will result in death, great bodily injury to another person, or property damage in excess of $1000, with the specific intent that the statement is to be taken as a threat, even if there is no intent of actually carrying it out, which, on its face and under the circumstances in which it is made, is so unequivocal, unconditional, immediate, and specific as to convey to the person threatened, a gravity of purpose and an immediate prospect of execution of the threat, and thereby causes that person reasonably to be in sustained fear for his or her own safety or for his or her immediate family's safety, or for the protection of school property, which includes but is not limited to, electronic files and databases, or the personal property of the person threatened or his or her immediate family.

T. Committed sexual harassment, as defined in EC § 212.5. For the purposes of this section, the conduct described in § 212.5 must be considered by a reasonable person of the same gender as the victim to be sufficiently severe or pervasive to have a negative impact upon the individual's academic performance or to create an intimidating, hostile, or offensive educational environment. Under EC § 48900.2, this does not apply to students in grades K-3.

U. Caused, attempted to cause, threatened to cause, or participated in an act of hate violence, as defined in subdivision (e) of Section 233 of the Education Code. Under EC § 48900.3, this does not apply to students in grades K-3.

V. Intentionally harassed, threatened or intimidated school personnel or volunteers and/or a student or group of students to the extent of having the actual and reasonably expected effect of materially disrupting classwork, creating substantial disorder and invading the rights of either school personnel or volunteers and/or student(s) by creating an intimidating or hostile educational environment. Under EC § 48900.4, this does not apply to students in grades K-3.

W. Engaged in an act of bullying, including, but not limited to, bullying committed by means of an electronic act, as defined in subdivisions (f) and (g) of Section 32261 of the Education Code, directed specifically toward a pupil or school personnel.

Alternatives to suspension or expulsion will first be attempted with students who are truant, tardy, or otherwise absent from assigned school activities.

II. Suspension Procedures

A suspension is a temporary dismissal of a student from the regular school program and school-sponsored events for the allotted time assigned by a school administrator. Suspensions can range from one to five school days, depending on the seriousness of the violation. Students are expected to complete all work assigned while they serve their suspension.

Suspensions at Rocketship will adhere to the following procedures:

Conference. When feasible, suspension may be preceded by a conference conducted by the Principal or designee with the student and his/her parent and, whenever practical, the teacher, supervisor, or school employee who referred the student to the Principal. The conference may be omitted if the Principal or
designee determines that an emergency situation exists. An "emergency situation" involves a clear and present danger to the lives, safety or health of students or school personnel. If a student is suspended without this conference in an emergency situation, both the parent/guardian and student shall be given the opportunity to conference within two school days.

At the conference, the student shall be informed of the reason for the disciplinary action and the evidence against him/her and shall be given the opportunity to present his/her version and evidence in his/her defense.

Absent an emergency situation, the conference must occur before the student is sent home on suspension.

No penalties may be imposed on a student for failure of the student’s parent/guardian to attend a conference with school officials. Reinstatement of the suspended student shall not be contingent upon attendance by the student’s parent or guardian at the conference.

**Notice to Parents/Guardians.** At the time that the decision is made to suspend a student, the Principal or designee shall make a reasonable effort to contact the student’s parent/guardian by telephone or in person. Whenever a student is suspended, the parent/guardian shall also be notified in writing of the suspension, the reason for the suspension, the length of the suspension, the student’s right to return to school at the end of the suspension, and any conditions for that return (i.e. a return conference with the parent/guardian) and the date of return following suspension. If school officials wish to ask the parent/guardian to confer regarding matters pertinent to the suspension, the notice may request that the parent/guardian respond to such requests without delay.

A copy of this notice will also be filed in the student’s cumulative folder in the school.

**Suspension Time Limits/Recommendation for Expulsion.** Suspensions, when not including a recommendation for expulsion, shall not exceed five consecutive school days per suspension. In calculating days of suspension, days served will not include days when school is not in session for students, including but not limited to school closure days, school holidays, spring break, and summer break. If the student leaves school on the day that the suspension was imposed, this day will be counted as part of the suspension if the student was denied class participation prior to 12 noon of that day. The suspension shall terminate at midnight on the day listed as the last day of the suspension.

Upon a recommendation of expulsion by the Principal or Principal’s designee, the student and the student’s parent/guardian or representative will be invited to a second conference to determine if the suspension for the student should be extended pending an expulsion hearing. In such instances when the School has determined a suspension period shall be extended, such extension shall be made only after a conference is held with the pupil or the pupil’s parents, unless the pupil and the pupil’s parents fail to attend the conference.

This determination will be made by the Principal or designee upon either of the following determinations: (1) the student’s presence will be disruptive to the education process; or (2) the student poses a threat or danger to others. Upon either determination, the student’s suspension will be extended pending the results of an expulsion hearing.
Students who are suspended shall be excluded from all school and school-related activities unless otherwise agreed during the period of suspension.

III. Authority to Expel
An expulsion is the permanent dismissal of a student from the Rocketship program. If an expulsion is approved, the parent/guardian has the responsibility to place the student in another school. As required by Education Code Section 47605(b)(5)(J)(ii), students recommended for expulsion are entitled to a hearing adjudicated by a neutral officer to determine whether the student should be expelled. The procedures herein provide for such a hearing and the notice of said hearing, as required by law.

The full authority of the Rocketship governing Board of Directors (“the Board”) to hear and conduct expulsions shall be granted to the Academic Affairs Committee, a committee of the Board. The Academic Affairs Committee shall consist of three board members. The Academic Affairs Committee may expel any student found to have committed an expellable offense as listed above.

Instead of conducting the hearing itself, the Academic Affairs Committee may appoint a neutral and impartial administrative panel of three or more persons, none of whom is a member of the Board or employed on the staff of the school in which the student is enrolled. The Academic Affairs Committee will pre-appoint a panel of at least five certificated Rocketship staff members, each from different Rocketship school sites. Should any of the persons appointed to the panel be employed by the staff of the school in which the student is enrolled, he/she will recuse him/herself from the proceedings.

IV. Expulsion Procedures

Hearing: Students recommended for expulsion are entitled to a hearing to determine whether the student should be expelled. The hearing shall be held within 20 school days after the Principal or designee determines that the student has committed an expellable offense, unless the student requests, in writing, that the hearing be postponed.

In the event an administrative panel hears the case, it will, within 10 days of the hearing, make a recommendation to the Academic Affairs Committee for a final decision whether or not to expel. The hearing shall be held in closed session unless the student makes a written request for a public hearing three days prior to the hearing.

Written notice of the hearing shall be forwarded to the student and the student's parent/guardian before the date of the hearing and at least five school days before the hearing or any effective date of any expulsion or involuntary removal. No student shall be involuntarily removed unless the parent or guardian of the student has received this notice of intent at least five days before the hearing or any effective date of expulsion or involuntary removal. Upon mailing the notice, it shall be deemed served upon the student. The notice shall include:

- The date and place of the expulsion hearing;
- A statement of the specific facts, charges and offenses upon which the proposed expulsion is based, along with a summary of the evidence against the student;
- A copy of Rocketship's disciplinary rules which relate to the alleged violation;
- Notification of the student's or parent/guardian's obligation to provide information about the
student's status at the school to any other school district or school to which the student seeks enrollment;
● The opportunity for the student or the student's parent/guardian to appear in person or to employ and be represented by counsel or a non-attorney advisor;
● The right to inspect and obtain copies of all documents to be used at the hearing;
● The opportunity to confront and question all witnesses who testify at the hearing;
● The opportunity to question all evidence presented and to present oral and documentary evidence on the student's behalf including witnesses;
● The written notice shall be in the native language of the pupil or the pupil’s parent or guardian, and shall inform him or her of the right to initiate the procedures specified above, before the effective date of the involuntary removal.
● The student shall remain enrolled and shall not be removed until the charter school issues a final decision. For purposes of this policy, “involuntarily removed” includes disenrolled, dismissed, transferred, or terminated, but does not include suspension.

V. Written Notice to Expel

The Principal or designee, following a decision of the Board to expel, shall send written notice of the decision to expel, including the Board’s adopted findings of fact, to the student or parent/guardian. This notice shall also include the following:
● notice of the specific offense committed by the student and
● notice of the student’s or parent/guardian's obligation to inform any new district in which the student seeks to enroll of the student's status with Rocketship.

VI. Right to Appeal

The student/family shall have the right to appeal the decision to expel the student from Rocketship directly to the Academic Affairs Committee. If the Academic Affairs Committee made the final decision on the expulsion, the appeal shall go directly to the Executive Committee of the Board. The request to appeal must be made in writing and shall be submitted to the Academic Affairs Committee or Executive Committee within five business days of being made aware of the decision to expel the student. The appeal shall be heard by the Academic Affairs Committee or Executive Committee within 15 days of receipt of the appeal.

VII. Expelled Students/Alternative Education

With the exception of students with disabilities under IDEA, students who are expelled shall be responsible for seeking alternative education programs including, but not limited to, programs within their school district of residence.

VIII. Rehabilitation and Readmission

At the time of the expulsion order, students who are expelled shall be given a rehabilitation plan, to be developed by the Academic Affairs Committee in conjunction with Rocketship staff, which may include, but is not limited to, periodic review as well as assessment at the time of review for readmission. The rehabilitation plan should include a date not later than one year from the date of expulsion when the
student may be reviewed for readmission to a Rocketship school.

The decision to readmit a pupil who has been expelled from a Rocketship school shall be in the sole discretion of the Board following a meeting with the Principal and the pupil and guardian or representative to determine whether the pupil has successfully completed the rehabilitation plan and to determine whether the pupil poses a threat to others or will be disruptive to the school environment. The Principal shall make a recommendation to the Board following the meeting regarding his or her determination. The pupil's readmission is also contingent upon RSED's capacity at the time the student seeks readmission.

The decision to admit a pupil who has previously been expelled from another school, school district or charter school shall be in the discretion of the Principal following a meeting with the pupil and guardian or representative to determine whether the pupil poses a threat to others or will be disruptive to the school environment. Where applicable, the Principal may also consider whether the pupil has completed any rehabilitation plan or other improvement measures prescribed by the pupil’s previous school. The Principal shall make a recommendation following the meeting regarding his or her determination. The pupil's admission is also contingent upon RSED's capacity at the time the student seeks admission.

IX. Special Procedures for the Consideration of Suspension and Expulsion of Students with Disabilities

Services During Suspension

Students suspended for more than 10 school days in a school year shall continue to receive services so as to enable the student to continue to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the child's IEP; and receive, as appropriate, a functional behavioral assessment or functional analysis, and behavioral intervention services and modifications, that are designed to address the behavior violation so that it does not recur. These services may be provided in an interim alternative educational setting.

Procedural Safeguards/Manifestation Determination

Within 10 school days of any decision to change the placement of a child with a disability because of a violation of a code of student conduct, a manifestation determination shall take place. “Change of Placement” includes a recommendation for expulsion or a cumulative removal of more than 10 school days in a school year.

If Rocketship, the parent, and relevant members of the IEP Team make the determination that the conduct was a manifestation of the child's disability, the IEP Team will (a) conduct a functional behavioral assessment or a functional analysis assessment, and implement a behavioral intervention plan for such child, provided that the school had not conducted such assessment prior to such determination before the behavior that resulted in a change in placement; (b) if a behavioral intervention plan has been developed, review the behavioral intervention plan if the child already has such a behavioral intervention plan, and modify it, as necessary, to address the behavior; and (c) return the child to the placement from which the child was removed, unless the parent and the school agree to a change of placement as part of the modification of the behavioral intervention plan.
If the school, the parent, and relevant members of the IEP team determine that the behavior was not a manifestation of the student’s disability and that the conduct in question was not a result of the failure to implement the IEP, then the school may apply the relevant disciplinary procedures to children with disabilities in the same manner and for the same duration as the procedures would be applied to students without disabilities.

**Due Process Appeals**

The parent of a child with a disability who disagrees with any decision regarding placement, or the manifestation determination, or the school believes that maintaining the current placement of the child is substantially likely to result in injury to the child or to others, may request an expedited administrative hearing through the Special Education Unit of the Office of Administrative Hearings.

When an appeal relating to the placement of the student or the manifestation determination has been requested by either the parent or the school, the student shall remain in the interim alternative educational setting pending the decision of the hearing officer or until the expiration of the 45 day time period provided for in an interim alternative educational setting, whichever occurs first, unless the parent and the school agree otherwise.

In accordance with 20 U.S.C. Section 1415(k)(3), if a parent/guardian disagrees with any decision regarding placement, or the manifestation determination, or if the School believes that maintaining the current placement of the child is substantially likely to result in injury to the child or to others, the parent/guardian or School may request a hearing.

In such an appeal, a hearing officer may: (1) return a child with a disability to the placement from which the child was removed; or (2) order a change in placement of a child with a disability to an appropriate interim alternative educational setting for not more than 45 school days if the hearing officer determines that maintaining the current placement of such child is substantially likely to result in injury to the child or to others.

**Special Circumstances**

- Rocketship personnel may consider any unique circumstances on a case-by-case basis when determining whether to order a change in placement for a child with a disability who violates a code of student conduct. The Principal or designee may remove a student to an interim alternative educational setting for not more than 45 days without regard to whether the behavior is determined to be a manifestation of the student’s disability in cases where a student: a) carries or possesses a weapon, as defined in 18 USC 930, to or at school, on school premises, or to or at a school function; (b) knowingly possesses or uses illegal drugs, or sells or solicits the sale of a controlled substance, while at school, on school premises, or at a school function; or (c) has inflicted serious bodily injury, as defined by 20 USC 1415(k)(7)(D), upon a person while at school, on school premises, or at a school function.

**Interim Alternative Educational Setting**

The student’s interim alternative educational setting shall be determined by the student’s IEP team.

**Procedures for Students Not Yet Eligible for Special Education Services**
A student who has not been identified as an individual with disabilities pursuant to IDEA and who has violated the Rocketship’s behavioral policies may assert the procedural safeguards granted under this administrative regulation only if Rocketship had knowledge that the student was disabled before the behavior occurred.

Rocketship shall be deemed to have knowledge that the student had a disability if one of the following conditions exists prior to the behavior at issue:

- The parent of the child expressed concern in writing to supervisory or administrative personnel of Rocketship, or a teacher of the child, that the child is in need of special education and related services;
- The parent of the child requested an evaluation of the child pursuant to §§ 300.300 through 300.311; or
- The teacher of the child, or other Rocketship personnel, expressed specific concerns about a pattern of behavior demonstrated by the child directly to the director of special education of the agency or to other supervisory personnel of the agency.

If the school knew or should have known the student had a disability under any of the three circumstances described above, the student may assert any of the disciplinary protections available to IDEA-eligible children with disabilities.

If the school had no basis for knowledge of the student’s disability, it shall proceed with the proposed discipline. The school shall conduct an expedited evaluation if requested by the parents; however the student shall remain in the education placement determined by Rocketship pending the results of the evaluation.

Rocketship shall not be deemed to have knowledge of that the student had a disability if the parent has not allowed an evaluation, refused services, or if the student has been evaluated and determined to not be eligible.
## CHARTER REVIEW MATRIX

<table>
<thead>
<tr>
<th>Proposed Charter School</th>
<th>Petitioner Contact Information</th>
<th>Petition Review Timeline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Proposed Charter School:</td>
<td>Name: Maria O’Hollearn</td>
<td>Petition Received:</td>
</tr>
<tr>
<td>Location of Proposed School(s):</td>
<td>Title: San Jose Region Director</td>
<td>Public Hearing: (30 days from receipt)</td>
</tr>
<tr>
<td>70 S. Jackson Ave, San Jose, CA</td>
<td>Phone/Cell: 314-941-8016</td>
<td>Board Decision: (60 days from receipt)</td>
</tr>
<tr>
<td>Grade Level: TK-5</td>
<td>Email: <a href="mailto:mohollearn@rsed.org">mohollearn@rsed.org</a></td>
<td>☑ 30 day extension granted (60 days from receipt, may be extended 30 days if agreed by petitioner(s) and SCCOE)</td>
</tr>
<tr>
<td>District of Proposed Charter School: Alum Rock Union</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### REQUIRED ELEMENTS: Education Code § 47605 (b) (1)-(6)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ The charter school presents a sound educational program?</td>
<td></td>
<td></td>
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<tr>
<td>☐ The petitioners are demonstrably unlikely to successfully implement the program?</td>
<td></td>
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<tr>
<td>☐ The petition contains an affirmation of each of the conditions described in Education Code § 47605(d) (1)?</td>
<td></td>
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</tr>
<tr>
<td>☐ The initial review finds that the petition has included all of the required elements (A-P)?</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>☐ The petition includes a declaration of whether the charter school shall be the exclusive employer of charter school employees for purposes of Educational Employment Relations Act (EERA)?</td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### REQUIRED PETITION SIGNATURES IF CONVERSION OF EXISTING PUBLIC SCHOOL: Education Code § 47605(a)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
</table>

### REQUIRED PETITION SIGNATURES IF NOT CONVERSION OF EXISTING PUBLIC SCHOOL: Education Code § 47605(a)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
</table>

### NOTIFICATION UPON APPROVAL: Education Code § 47605 (3) (i)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
</table>

### REQUIRED AFFIRMATIONS: Education Code § 47605(d)(1)

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
</table>

### Instructions to Review Team:
This checklist is designed to guide the review of charter school petitions. Your comments are essential to understanding your assessment and will be used as part of the final analysis and report to the County Board.

### Legend:
- ☐ Required to be included in charter petition and/or Memorandum of Understanding.
- ☑ Applies to Petition Renewals

---

Page 1 of 17 Figure AR6230-1
<table>
<thead>
<tr>
<th>CHARTER RENEWAL REQUIRED ELEMENTS: Evidence to Support Renewal (CCR) Title 5 Section 11966.4</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Required fiscal reports and audits demonstrating past performance and likely future financial viability of the school</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Ap. 1</td>
</tr>
<tr>
<td>Compliance with all state and federal laws applicable to charter schools and fulfilling the terms of the charter</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>n/a</td>
</tr>
<tr>
<td>Academic performance data reports showing increases in pupil academic achievement for all groups; LCAP and annual updates</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>9-20</td>
</tr>
<tr>
<td>Annual visits and annual reports, including correcting and deficiencies identified curing annual reviews and visits.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>n/a</td>
</tr>
<tr>
<td>Inspections or observations of any part of the charter school at any time</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>n/a</td>
</tr>
<tr>
<td>Strengths:</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Areas of Concerns:</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Conclusions</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DETAILED REVIEW - REQUIRED ELEMENTS: Education Code § 47605(A-P)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A Educational Program</td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td>1. Targeted School Populations</td>
</tr>
<tr>
<td>Age, grade levels and number of students</td>
</tr>
<tr>
<td>Number of students per class room</td>
</tr>
<tr>
<td>Maximum enrollment predicted for school</td>
</tr>
<tr>
<td>Type of desired student populations</td>
</tr>
<tr>
<td>States whether school will enroll students from outside the district (if submitted as an appeal previously denied by a district)</td>
</tr>
<tr>
<td>States whether school will enroll students from outside the county</td>
</tr>
<tr>
<td>2. Attendance</td>
</tr>
<tr>
<td>Describes whether multiple sites will be included</td>
</tr>
<tr>
<td>Indicates proposed opening date(s)</td>
</tr>
<tr>
<td>Attendance requirements</td>
</tr>
<tr>
<td>Includes length of school day and year</td>
</tr>
<tr>
<td>Submit for approval the specific means to be used for student attendance accounting and reporting, satisfactory to support state average daily attendance claims and to satisfy audits related to attendance that may be conducted</td>
</tr>
<tr>
<td>3. What it Means to be an Educated Person in the 21st Century</td>
</tr>
<tr>
<td>Objective of enabling pupils to become self-motivated, competent, lifelong learners</td>
</tr>
<tr>
<td>Clear list of general academic skills and qualities important for an educated person</td>
</tr>
<tr>
<td>4. Description of How Learning Best Occurs</td>
</tr>
<tr>
<td>Persuasive instructional design</td>
</tr>
<tr>
<td>Broad outline (not entire scope and sequence) of the curriculum content</td>
</tr>
<tr>
<td>Description of instructional approaches and strategies</td>
</tr>
<tr>
<td>Description of learning environment (e.g. traditional, independent study)</td>
</tr>
<tr>
<td>Proposed program strongly aligned to school's mission</td>
</tr>
<tr>
<td>Affirmation or description of curriculum aligned to student performance standards</td>
</tr>
<tr>
<td>Outline of plan or strategy to support students not meeting pupil outcomes</td>
</tr>
<tr>
<td>Instructional design or strategies based upon successful practice or research</td>
</tr>
<tr>
<td>Instructional strategies for special education, English learners, etc.</td>
</tr>
<tr>
<td>Proposed program/curriculum reflects a focus on adopted CCSS and NGSS</td>
</tr>
<tr>
<td>Educational Program describes professional development for teachers</td>
</tr>
<tr>
<td>Minimal instructional time</td>
</tr>
<tr>
<td>Includes school calendar</td>
</tr>
</tbody>
</table>
5. Transitional Kindergarten program outlines developmentally appropriate learning outcomes, using modified curriculum, specialized instruction, and assessment for all students (Only if Charter offers Kindergarten)

6. English Learner Services
- All eligible students enrolled in the charter school will receive appropriate English Learner services in accordance with applicable state and federal law.
- Staff members providing English Learner Services are appropriately credentialed.
- A description of the manner in which students are identified as requiring English Learner Services
- A description of the process for reclassification of English Learners
- An acknowledgment of the responsibility of the charter school to provide access to grade-level core curriculum for English Learners. (Check for reference to the use and implementation and New Proficiency Level Descriptors (PLD) as part of California English-language Development Standards.)
- References to curriculum and materials that will be utilized in the instruction of English Learners
- Petition tells how English Learners will be reclassified and how English Learners will be monitored

Strengths:

Areas of Concerns

Conclusions

7. LCFF/LCAP Annual Goals and Annual Actions to Achieve Goals for Subgroups in EC 2052
- Charter includes language that assures compliance with LCFF/LCAP
- Describes annual goals for all pupils and for each subgroup of pupils identified in EC 52052 to be achieved in each of the 8 state priorities, as described in EC 52060(d), that apply for the grade levels served or the nature of program operated by the charter school.

A. Conditions of Learning
- (Priority 1) Basic Needs
- (Priority 2) Implementation of State Standards
- (Priority 7) Course Access

B. Pupil Outcomes
- (Priority 4) Pupil Achievement (College and Career)
- (Priority 8) Other Pupil Outcomes

C. Engagement
- (Priority 3) Parental Involvement
- (Priority 5) Pupil Engagement
- (Priority 6) School Climate

Provides specific annual actions to achieve these goals for each of the subgroups listed below:
- Racial/Ethnic groups
- Low-income students, including homeless students
- English learners
- Students with disabilities
- Foster youth

8. Transferability of High School Courses EC 47605 (b) (5) (A) (iii)
- If serving high school students, describes how district/charter school informs parents of:
  - Transferability of courses to other public high schools; and
  - Eligibility of courses to meet college entrance requirements
- Courses that are accredited by the Western Association of Schools and Colleges (WASC) may be considered transferable, and courses meeting the UC/CSU “a-g” admissions criteria may be considered to meet college entrance requirements

Page 3 of 17
Strengths:

- Measurable Student Outcomes - “Exit Outcomes” or “Graduation Standards”

<table>
<thead>
<tr>
<th>Evidence</th>
<th>Addressed in Petition</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Pupil outcomes are measurable, i.e. specific assessments listed for each exit outcome.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ How pupil outcomes will address state content and performance standards in core academics.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Exit outcomes include acquisition of academic and non-academic skills.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Concise (one page) list of exit outcomes encompass specific skills, not too vague.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Affirmation that “benchmark” skills and specific classroom-level skills will be developed.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Affirmation/description that exit outcomes will align to mission, curriculum and assessments.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Affirmation that college-bound students wishing to attend California colleges or universities will have the opportunity to take courses that meet the “A–G” requirements.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Lists school-wide student performance goals students will make over a given period of time, projected attendance, dropout, or graduation rate goals, etc.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ Acknowledges that exit outcomes and performance goals may need to be modified over time.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ If high school, graduation requirements defined.</td>
<td>☐</td>
<td>86-104</td>
</tr>
<tr>
<td>☐ If high school, WASC accreditation standards addressed.</td>
<td>☐</td>
<td>86-104</td>
</tr>
</tbody>
</table>

| LCFF/LCAP Measurable Goals of the Education Program: Charter provides assurance that all identified subgroups will meet performance goals that are stated in the charter | ☐ | 86-104 |
| Statement that pupil achievement measurements will include the elements listed below: | ☐ | 86-104 |
| ☐ Alignment with state priorities 52060 (d) and description of how the charter will address all 8 state priorities | ☐ | 86-104 |
| ☐ (A) Statewide assessments administered pursuant to Article 4 (commencing with Section 60640) of Chapter 5 of Part 33 or any subsequent assessment, as certified by the state board. | ☐ | 86-104 |
| ☐ (B) The Academic Performance Index, as described in Section 52052 | ☐ | n/a |
| ☐ (C) The percentage of pupils who have successfully completed courses that satisfy the requirements for entrance to the University of California and the California State University, or career technical education sequences or programs of study that align with state board-approved career technical educational standards and frameworks. | ☐ | n/a |
| ☐ (D) The percentage of English learner pupils who make progress toward English proficiency as measured by the California English Language Development Test or any subsequent assessment of English proficiency, as certified by the state board. | ☐ | 86-104 |
| ☐ (E) The English learner reclassification rate. | ☐ | 86-104 |
| ☐ (F) The percentage of pupils who have passed an advanced placement examination with a score of 3 or higher. | ☐ | n/a |
| ☐ (G) The percentage of pupils who participate in, and demonstrate college preparedness pursuant to, the Early Assessment Program. | ☐ | n/a |
For Charter Renewal, EC 47607 (a)(3)(A) defines the most important factor is the increase in pupil academic achievement for all groups of students served by the charter school. The Minimum Threshold for Student Achievement:

<p>| | | |</p>
<table>
<thead>
<tr>
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<tbody>
<tr>
<td>1)</td>
<td>Attained its API growth target in the prior year, or in two of the last three years both school-wide and for all groups of pupils served (Also 3 yr. average during SBAC transition)</td>
<td></td>
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<tr>
<td>EC 52052 (e) proxies include</td>
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</tr>
<tr>
<td>I. Most recent API calculation</td>
<td></td>
<td></td>
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<tr>
<td>II. Average of 3 most recent API calculations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>III. Alternative measures that show increases in pupil academic achievement for all groups of pupils school-wide and among significant student groups.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2)</td>
<td>Ranked in deciles 4 to 10, inclusive, on the API in prior year or two of the last three years</td>
<td>n/a</td>
</tr>
<tr>
<td>3)</td>
<td>Ranked in deciles 4 to 10 inclusive, on the API for a demographically comparable school in the prior school year, or two of the last three years.</td>
<td>n/a</td>
</tr>
<tr>
<td>4)(A)</td>
<td>The entity that granted the charter determines that the academic performance of the charter school is at least equal to the academic performance of the public schools that the charter school pupils would otherwise have been required to attend, as well as the academic performance of the schools in the school district in which the charter school is located, taking into account the composition of the pupil population that is served at the charter school.</td>
<td></td>
</tr>
<tr>
<td>(B)</td>
<td>The determination made pursuant to this paragraph shall be based upon all of the following:</td>
<td></td>
</tr>
<tr>
<td>(i) Documented and clear and convincing data.</td>
<td></td>
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<tr>
<td>(ii) Pupil achievement data from assessments, including, but not limited to, the Standardized Testing and Reporting Program established by Article 4 (commencing with Section 60640) of Chapter 5 of Part 33 for demographically similar pupil populations in the comparison schools.</td>
<td></td>
<td></td>
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<tr>
<td>(iii) Information submitted by the charter school.</td>
<td></td>
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<tr>
<td>5)</td>
<td>Qualified for an alternative accountability system (EC 52052)</td>
<td></td>
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</tbody>
</table>

**Strengths:**

**Areas of Concern:**

**Conclusions:**
### C  The Method by Which Pupil Progress in Meeting Outcomes Will be Measured

<table>
<thead>
<tr>
<th>Evidence Addressed in Petition</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>At least one assessment method or tool listed for each of the exit outcomes</td>
<td>☐</td>
</tr>
<tr>
<td>Assessments include multiple, valid and reliable measures using traditional/alternative tools</td>
<td>☐</td>
</tr>
<tr>
<td>Chosen assessments are appropriate for standards and skills they seek to measures</td>
<td>☐</td>
</tr>
<tr>
<td>Affirmation/description of how assessments align to mission, exit outcomes, and curriculum</td>
<td>☐</td>
</tr>
<tr>
<td>Describes minimal required performance level necessary to attain each standard</td>
<td>☐</td>
</tr>
<tr>
<td>Outlines a plan for collecting, analyzing and reporting student/school performance data</td>
<td>☐</td>
</tr>
<tr>
<td><strong>LCFF/LCAP Assurance that methods of assessment are aligned with measureable student outcomes</strong></td>
<td>☐</td>
</tr>
<tr>
<td><strong>(A)</strong> Utilizes a variety of assessment tools that include multiple, valid and reliable measures using traditional/alternative tools appropriate to the skills, knowledge, or attitudes being assessed. Including, at a minimum tools that employ objective means of assessment.</td>
<td>☐</td>
</tr>
<tr>
<td><strong>(B)</strong> Include the annual assessment tools as required by state and federal assessments (CAASPP, CELDT, etc.)</td>
<td>☐</td>
</tr>
<tr>
<td><strong>(C)</strong> Outlines a plan for collecting, analyzing, and reporting data continuously to monitor and improve the charter school’s educational program.</td>
<td>☐</td>
</tr>
<tr>
<td><strong>(D)</strong> Presents a coherent plan for using student assessments data to evaluate and inform instruction on an on-going basis</td>
<td>☐</td>
</tr>
<tr>
<td><strong>(E)</strong> Committed plan to share performance information with students, families and public agencies</td>
<td>☐</td>
</tr>
</tbody>
</table>

**Strengths:**

**Areas of Concern:**

**Conclusions**

### D  Governance Structure of School (Including Parental Involvement)

<table>
<thead>
<tr>
<th>Evidence Addressed in Petition</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Describes what role parents have in the governance of the school</td>
<td>☐</td>
</tr>
<tr>
<td>Describes key features of governing structure (usually a board of directors) such as:</td>
<td>☐</td>
</tr>
<tr>
<td>• Size/composition of board</td>
<td>☐</td>
</tr>
<tr>
<td>• Board committees or advisory councils</td>
<td>☐</td>
</tr>
<tr>
<td>• Board’s scope of authority/responsibility/conflict of interest</td>
<td>☐</td>
</tr>
<tr>
<td>Status as a non-profit or public school</td>
<td>☐</td>
</tr>
<tr>
<td>If non-profit, provisions for liability of debts</td>
<td>☐</td>
</tr>
<tr>
<td>Has set of, proposed bylaws, policies or similar documents</td>
<td>☐</td>
</tr>
<tr>
<td>Initial governing board members identified by name or the process used to select them</td>
<td>☐</td>
</tr>
<tr>
<td>Clear description of school’s legal status and determination of whether a board member from the charter-granting agency is on the board of the charter</td>
<td>☐</td>
</tr>
<tr>
<td>Demonstrates compliance with Brown Act</td>
<td>☐</td>
</tr>
<tr>
<td>Annual Review and Revision of the Local Control Accountability Plan (LCAP).</td>
<td>☐</td>
</tr>
</tbody>
</table>

**Strengths:**

**Areas of Concern:**
<table>
<thead>
<tr>
<th></th>
<th>Special Education/SELPA</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Identifies whether or not in an independent LEA for special education purposes</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>2</td>
<td>Consulted with the Santa Clara County SELPA Director</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Discussed special education responsibilities of charter</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>- Discussed application of SELPA policies</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td>3</td>
<td>In writing explains how special education services will be provided consistent with SELPA plan and/or policies and procedures</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>- Includes fiscal allocation plan</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td>4</td>
<td>If charter not an independent LEA:</td>
<td></td>
<td></td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>- Clarifies in charter the responsibilities of each party for service delivery</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Referral</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Assessment</td>
<td></td>
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<tr>
<td></td>
<td>- Instruction</td>
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<td></td>
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<tr>
<td></td>
<td>- Due Process</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Agreements describing allocation of actual and excess costs</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Charter fiscally responsible for fair share of any encroachment on general funds</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>If charter is LEA within County SELPA</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Notifies SELPA Director of intent prior to February 1&lt;sup&gt;st&lt;/sup&gt; of the preceding school year</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td></td>
<td>- Located within SELPA geographical boundaries</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td></td>
<td>- Provides current operating budget in accordance with Ed Code § 42130 and § 42131</td>
<td></td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>- Provides assurances that all be instructed in safe environment</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td></td>
<td>- Responsible for any legal fees relating to application and assurances process</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td></td>
<td>- Meets the terms of the “Agreement Regarding the Organization, Implementation, Administration and Operation of the SELPA (Section 12–13, Appendix A)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Meets the terms of all SELPA policies and procedures</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>- Charter fiscally responsible for fair share of any encroachment on general funds</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>6</td>
<td>Petition includes the following assurances:</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>- The charter will comply with all provisions of IDEA</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td></td>
<td>- No student will be denied admission based on disability or lack of available services</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td></td>
<td>- The charter will include a description of the school’s SST process as it relates to identification of students who may qualify for Special Education</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>7</td>
<td>Staff members providing special education services are appropriately credentialed</td>
<td></td>
<td></td>
<td>64-65</td>
</tr>
<tr>
<td>8</td>
<td>Any facility used by the school does not present physical barriers that would limit an eligible student’s full participation in the educational and extracurricular programs</td>
<td></td>
<td></td>
<td>54; 127</td>
</tr>
<tr>
<td>9</td>
<td>The charter school will assume full responsibility for appropriate accommodation to address the needs of any student</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>10</td>
<td>The responsibility of the school to provide special education, instruction and related serves to the students enrolled in the school regardless of students’ district of residence</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>11</td>
<td>Specialized instruction and services available at the school</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>12</td>
<td>The provision of Designated Instruction and Services (DIS)</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>13</td>
<td>Special transportation for students whose IEPs indicate that service is necessary for the provision of FAPE</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>14</td>
<td>The procedures for ensuring that students are referred, assessed and served in a timeline manner</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>15</td>
<td>A description of the school’s “Search and Service” procedures</td>
<td></td>
<td></td>
<td>54-64</td>
</tr>
<tr>
<td>16</td>
<td>The dispute resolution procedures that will apply to any disputes between educational entities, including the SELPA and LEA, regarding the provision of special education services in the charter school.</td>
<td></td>
<td></td>
<td>55</td>
</tr>
<tr>
<td>17</td>
<td>Petition describes process for notifying district of residence and chartering district when a special education student enroll, becomes eligible, ineligible and/or leaves charter school</td>
<td></td>
<td></td>
<td>55</td>
</tr>
</tbody>
</table>
Overview of how special education funding and services will be provided by:
- Charter School
- Charter Granting Agency
- SELPA

Petition describes the transition to or from a district when a student with an IEP enrolls in, or transfers out of, the charter school

<table>
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<tbody>
<tr>
<td>All eligible enrolled students will receive appropriate accommodations and modifications consistent with Section 504 of the Rehabilitation Act</td>
<td>62-64</td>
</tr>
<tr>
<td>No student &quot;otherwise eligible to enroll in the charter school&quot; will be denied enrollment due to disability, or the charter school’s inability to provide necessary services</td>
<td>62-64</td>
</tr>
<tr>
<td>All staff members providing services to the student are familiar with the identified needs of the student</td>
<td>62-64</td>
</tr>
<tr>
<td>Any facility used by the school does not present physical barriers limiting eligible students’ full participation in the educational and extracurricular program</td>
<td>62-64</td>
</tr>
<tr>
<td>The charter school will assume full responsibility for appropriate accommodations, modifications and services to address the needs of any student regardless of the student’s district of residence</td>
<td>62-64</td>
</tr>
</tbody>
</table>

Strengths:

Areas of Concern:

Conclusions

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<thead>
<tr>
<th>Evidence Addressed in Petition</th>
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<tr>
<td>Identifies roles and functions of staff members (including…)</td>
<td>115-125</td>
</tr>
<tr>
<td>Job Descriptions for Positions</td>
<td>115-125</td>
</tr>
<tr>
<td>Identifies key staff positions with the charter school</td>
<td>115-125</td>
</tr>
<tr>
<td>Process for staff selection</td>
<td>115-125</td>
</tr>
<tr>
<td>Procedure for adequate background checks</td>
<td>126</td>
</tr>
<tr>
<td>Salaries and benefits for all employees</td>
<td>125</td>
</tr>
<tr>
<td>Measures of assessment of performance</td>
<td>73</td>
</tr>
<tr>
<td>Describes specific key qualifications (knowledge, experience, education, certification, etc.) sufficient to ensure the health and safety of pupils and staff,</td>
<td>115-125</td>
</tr>
<tr>
<td>Defines “core, college preparatory” teachers/affirms they will hold appropriate credentials (Commission on Teacher Credentialing Certificate/Permit)</td>
<td>118</td>
</tr>
<tr>
<td>Identifies whether these teachers will teach only within the restrictions of their credentials</td>
<td>118</td>
</tr>
<tr>
<td>Verification that teachers and paraprofessionals who are required to be certified are “highly qualified” as required by the federal No Child Left Behind Act</td>
<td>118</td>
</tr>
<tr>
<td>Identifies any non-core, non-college prep teaching positions staffed by non-certified teachers and their qualifications</td>
<td>115-125</td>
</tr>
<tr>
<td>The credentials/qualifications of other charter school staff (e.g., counselors, librarians, administrators, nurses and others)</td>
<td>115-125</td>
</tr>
<tr>
<td>Statement of acknowledgment that all employees, even if not public, are subject to state and federal employment laws</td>
<td>115</td>
</tr>
<tr>
<td>Explains how teachers will learn the curricula</td>
<td>73</td>
</tr>
</tbody>
</table>

Strengths:

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<tr>
<th>F</th>
<th>Health and Safety Procedures</th>
<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>Affirms that each employee will furnish the school with a criminal record summary</td>
<td>☐</td>
<td>125-126</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Outlines specific health and safety practices addressing such key areas as:</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Seismic safety (structural integrity and earthquake preparedness)</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Natural disasters and emergencies</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Immunizations, health screenings, administration of medications, employee TB testing</td>
<td>☐</td>
<td></td>
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<tr>
<td></td>
<td>• Tolerance for use of drugs and/or tobacco</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Staff training on emergency and first aid response</td>
<td>☐</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>• Description of the charter school’s safety plan and disaster preparedness plan</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>References/accompanied by more detailed set of health and safety related policies/procedures</td>
<td>☐</td>
<td>125-126</td>
<td></td>
</tr>
<tr>
<td>☐</td>
<td>Method for conducting criminal background checks in potential employees, contractors, and volunteers as required by law to ensure that the charter does not hire any person who has been convicted of a violent serious felony</td>
<td>☐</td>
<td>125-126</td>
<td></td>
</tr>
</tbody>
</table>

### Strengths:

### Areas of Concern:

### Conclusions

<table>
<thead>
<tr>
<th>G</th>
<th>Means to Achieve a Reflective Racial and Ethnic Balance</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
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</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Lists several specific practices/policies likely to lead to a diverse applicant pool/enrollment: practices and policies appear to be selected to target relevant racial and ethnic groups</td>
<td>☐</td>
<td>128-129</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Describes the means by which the school will achieve, or has achieved, racial and ethnic balance which is reflected of the district/COE's general student population</td>
<td>☐</td>
<td>128-129</td>
<td></td>
</tr>
</tbody>
</table>

### Strengths:

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### Conclusions

<table>
<thead>
<tr>
<th>H</th>
<th>Admissions Requirements</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>Mandatory assurances regarding non-discriminatory admission procedures</td>
<td>☐</td>
<td>130</td>
<td></td>
</tr>
<tr>
<td>☐</td>
<td>No contradiction of admissions requirements re: conversion schools and public random drawings</td>
<td>☐</td>
<td>131</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Describes how random drawing will be conducted</td>
<td>☐</td>
<td>131</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Clearly describes admissions requirements, including any preferences</td>
<td>☐</td>
<td>131</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Identifies that the charter school will give preference to pupils who reside in the district and how the charter school will employ this preference</td>
<td>☐</td>
<td>131</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Includes a copy of an annual parent/student contract, if available</td>
<td>☐</td>
<td>130-131</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Proposed admissions and enrollment process and timeline</td>
<td>☐</td>
<td>130-131</td>
<td></td>
</tr>
</tbody>
</table>

Note that with regard to student recruitment, charter schools cannot recruit students in any way that discriminates against students on the basis of race, gender, gender identity, gender expression, color, national origin or disability. In advertising for students, charter schools are required by federal law to distribute materials in ways that effectively reach all segments of the parent community. Charter schools must also be sure to safeguard the rights of parents/guardians who are limited-English proficient, providing materials in languages other than English in
order to communicate effectively with all parent groups. Similarly, in recruiting students of parents/guardians with disabilities, outreach materials should be available upon request in various alternative formats (such as Braille or large print, or in public meetings where interpreters are available).

**Strengths:**

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<table>
<thead>
<tr>
<th>Financial Audit</th>
<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>Procedure to select and retain independent auditor</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
<tr>
<td>Qualifications of independent auditor</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
<tr>
<td>Audit will employ generally accepted accounting procedures</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
<tr>
<td>Describe specific scope of audit</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
<tr>
<td>Timing of audit and whom it will be sent to</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
<tr>
<td>Process for resolving audit exceptions to satisfaction of granting agencies</td>
<td>☐</td>
<td>131-132</td>
<td></td>
</tr>
</tbody>
</table>

Describes manner in which the audit will be made public:

Describes manner in which the charter school will keep track of financial data and compile information in the prescribed format needed for the annual statement of receipts and expenditures for the prior fiscal year that is due to the authorizing board by Sept. 15 of each year.

Proof of knowledge of requirement and the process by which charter school will submit quarterly financial reports to its chartering authority and the county superintendent of schools (required by AB 1137)

Describes services the charter intends to contract out to the district or another provider (if not included in a memorandum of understanding)

Describes manner in which audit exceptions and deficiencies will be resolved to the satisfaction of the authorizing board

**Strengths:**

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<thead>
<tr>
<th>Pupil Suspension Expulsion</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
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</thead>
<tbody>
<tr>
<td>Student code of conduct and process by which this information is given to students and parents/guardians</td>
<td>☐</td>
<td>132-142</td>
<td></td>
</tr>
<tr>
<td>Procedure for involving parents, students and staff in designing and implementing a discipline policy</td>
<td>☐</td>
<td>132</td>
<td></td>
</tr>
<tr>
<td>Reference to a comprehensive set of student disciplinary policies OR detailed process by which student may be suspended or expelled</td>
<td>☐</td>
<td>132-142</td>
<td></td>
</tr>
<tr>
<td>Describes disciplinary steps to be taken prior to suspension or expulsion</td>
<td>☐</td>
<td>132-142</td>
<td></td>
</tr>
</tbody>
</table>

**Evaluation Criteria** [5CCR § 11967.5.1(f)(10)]

(A) Identify a preliminary list, subject to later revision pursuant to subparagraph (E), of the offenses for which students in the charter school must (where non-discretionary) and may (where discretionary) be suspended and, separately, the offenses for which students in the charter school must (where non-discretionary) or may (where discretionary) be expelled, providing evidence that the petitioners reviewed the offenses for which students must or may be suspended or expelled in non-charter public schools.

(B) Identify the procedures by which pupils can be suspended or expelled.

(C) Identify the procedures by which parents, guardians, and pupils will be informed about reasons for suspension or expulsion and of their due process rights in regard to suspension or expulsion.

(D) Provide evidence that in preparing the lists of offenses specified in subparagraph (A) and the procedures specified in subparagraphs (B) and (C), the petitioners reviewed the lists of offenses and procedures that apply to students attending non-charter schools, and provide evidence that the charter petitioners believe their proposed lists of offenses and procedures provide adequate safety.
for students, staff, and visitors to the school and serve the best interests the school’s pupils and their parents (guardians).

(E) If not otherwise covered under subparagraphs (A), (B), (C), and (D):
   1. Provide for due process for all pupils and demonstrate an understanding of the rights of pupils with disabilities in regard to suspension and expulsion.
   2. Outline how detailed policies and procedures regarding suspension and expulsion will be developed and periodically reviewed, including, but not limited to, periodic review and (as necessary) modification of the lists of offenses for which students are subject to suspension or expulsion.

| What educational alternative, if any, will be provided to students who were suspended or expelled | ☐ | 132-142 |
| Describes who or what body will be responsible for final suspension/expulsion decisions | ☐ | 132-142 |
| Describes appeal procedures | ☐ | 132-142 |
| Identifies process by which the charter school will notify the superintendent of the school district of the expelled student’s last known address within 30 days and send a copy of student’s cumulative record, including transcripts of grades and health records to the school district | ☐ | 132-142 |
| Outlines or describes strong understanding of relevant laws protecting constitution rights of students, generally, and of disabled and other protected classes of students | ☐ | 132-142 |
| Policies balance students’ rights to due process with responsibility to maintain a safe learning environment | ☐ | 132-142 |
| Explains how resident school district or COE will be involved in disciplinary matters | ☐ | 132-142 |

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<th>Staff Retirement System</th>
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<tbody>
<tr>
<td>☐</td>
<td>Statement of whether staff will participate in STRS, PERS, or Social Security (if STRS, then all teachers must do so)</td>
<td>☐</td>
<td>143</td>
<td></td>
</tr>
<tr>
<td>Relationship between teachers and district/county bargaining unit</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process by which salaries, benefits, working conditions and items, i.e., calendars, holidays, vacations, work day and year will be determined</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Labor procedures which will be applied to employees</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process for resolving complaints/grievances</td>
<td>☐</td>
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<tr>
<td>Process for ensuring due process</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Manner by which staff members will be covered by STRS, PERS, Social Security or Medicare</td>
<td>☐</td>
<td>143</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process for staff recruitment, selection, evaluation and termination</td>
<td>☐</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Procedure for processing and monitoring credentials if required</td>
<td>☐</td>
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</tr>
</tbody>
</table>

**Strengths:**

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<th>Attendance Alternatives</th>
<th>Evidence</th>
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<tbody>
<tr>
<td>☐</td>
<td>States that students may attend other district schools or pursue an inter-district transfer in accordance with existing enrollment and transfer policies of their district or county of residence or description of other attendance alternatives</td>
<td>☐</td>
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<td></td>
</tr>
</tbody>
</table>

**Strengths:**

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<tr>
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<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>Description of employee rights upon leaving school district</td>
<td>☐</td>
<td>132-142</td>
<td></td>
</tr>
<tr>
<td>Acknowledgment whether collective bargaining contract in sponsor district will be controlling</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Whether and how charter school staff may resume employment within the district</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sick/vacation leave (ability to carry it over to and from charter school)</td>
<td>☐</td>
<td>132-142</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Dispute Resolution Process</td>
<td>Evidence</td>
<td>Addressed in Petition</td>
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<tr>
<td></td>
<td>Outlines a simple process for charter and granting agency to settle disputes</td>
<td></td>
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<td>146</td>
</tr>
<tr>
<td></td>
<td>Process indicates whether it is binding on school or granting agency/fair process</td>
<td></td>
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<td>146</td>
</tr>
<tr>
<td></td>
<td>Step by step process for identifying/framing dispute points</td>
<td></td>
<td></td>
<td>146</td>
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<tr>
<td></td>
<td>• Whether internal charter disputes may be brought to granting agency</td>
<td></td>
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<tr>
<td></td>
<td>• Identifies specific parties to be involved at each step</td>
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<tr>
<td></td>
<td>• Basic rules at each step</td>
<td></td>
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<tr>
<td></td>
<td>• Which results are binding</td>
<td></td>
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</tr>
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**Strengths:**

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<th>Evidence</th>
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<tbody>
<tr>
<td></td>
<td>Whether charter or local school district will be employer for EERA purposes</td>
<td></td>
<td></td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>If local district the employer, includes provisions clarifying charter’s roles in collective bargaining</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>A declaration of whether charter school employees will be part of the collective bargaining unit in the sponsoring district</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Statement that charter school employees who are part of the sponsoring district’s or county’s employee union will be employed under the terms of the district or country collective bargaining agreement</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>If the petitioners elect not to have charter school employees join the sponsoring district’s or county’s employee union, a declaration as to whether petitioners intend to organize and bargain as a separate unit. Note the charter employees are not required to engage in collective bargaining, but they have that right if they choose as a group to do so.</td>
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</table>

**Strengths:**

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<table>
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<tr>
<th>P</th>
<th>Closure of Charter School</th>
<th>Evidence</th>
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<tbody>
<tr>
<td></td>
<td>Outlines an adequate process to be used if the charter school closes</td>
<td></td>
<td></td>
<td>147</td>
</tr>
<tr>
<td></td>
<td>Process includes a final audit of the charter school that includes specific plans for disposition of all assets and liabilities of the charter school and for the maintenance and transfer of public records, including pupil records (per code)</td>
<td></td>
<td></td>
<td>147</td>
</tr>
<tr>
<td></td>
<td>What the employment status relative to the district/county of charter school employees is and what it will be in the event the charter school ceases or in the event employees seek employment in the district/county</td>
<td></td>
<td></td>
<td>147</td>
</tr>
</tbody>
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### REQUIRED SUPPLEMENTAL INFORMATION: Education Code § 47605(g)

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<tbody>
<tr>
<td>☐</td>
<td>Outlines an adequate process to be used if the charter school closes</td>
<td>☐</td>
<td>147-148</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Process includes a final audit of the charter school that includes specific plans for disposition of any net assets and for the maintenance and transfer of pupil records</td>
<td>☐</td>
<td>147-148</td>
<td></td>
</tr>
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#### Civil Liability

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<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>Did the petitioners provide information regarding the potential civil liability effects, if any, upon the school, and the school district where the charter school operates or proposes to operate and upon the county board of education?</td>
<td>☐</td>
<td>153</td>
</tr>
</tbody>
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#### Financial Plan

<table>
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<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>First year operational budget includes:</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td></td>
<td>• Start-up costs</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Cash flow for first three years</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Financial projections for first three years</td>
<td>☐</td>
<td></td>
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</table>

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#### Planning Assumptions

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<th>Evidence</th>
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</thead>
<tbody>
<tr>
<td>☐</td>
<td>Number/types of students</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Number of staff</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Teacher/student ratio</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Facilities needs</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Whether the charter school will participate in the National School Lunch Program</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Costs of all major items are identified and within reasonable market ranges</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Revenue assumptions in line with state and federal funding guidelines</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Revenue from “soft sources” (e.g., donations, grants and fundraisers) less than 10% of ongoing operational costs</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
<tr>
<td>☐</td>
<td>Timeline allows window for grant applications to be submitted and funded</td>
<td>☐</td>
<td>Ap.1</td>
</tr>
</tbody>
</table>

**Strengths:**

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### Conclusions
<table>
<thead>
<tr>
<th>v</th>
<th>Start-Up Costs</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Clearly identifies most major start-up costs</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>- Staffing</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>- Facilities</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>- Equipment and Supplies</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>- Professional Services</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Assumptions in line with overall school design plan</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Identifies potential funding source that could or would fund these costs</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Timeline allows for grant and fundraising</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
</tbody>
</table>

**Strengths:**

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<table>
<thead>
<tr>
<th>vi</th>
<th>Annual Operating Budget</th>
<th>Evidence</th>
<th>Addressed in Petition</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Annual revenues and expenditures clearly identified by source and expenditures are clearly identified by destination (i.e. object codes).</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Revenue assumptions closely related to applicable state and federal funding formulas</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditure assumptions reflect school design plan</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditure assumptions reflect prevailing or market costs</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>“Soft” revenues (e.g. fund-raises and grants) are not critical to solvency</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Strong reserve or projected ending balance (the larger of 2-5% of expenditure or $25,000)</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>If first year is not in balance, the long-term plan identifies solvency in future years and sources of capital sufficient to cover deficits until year budget is projected to balance.</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Budget clearly indicates restricted versus general operating/flexible funds.</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditure for sufficient insurance to name district as also insured/hold harmless agreement</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditure sufficient for reasonably expected legal services</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditure for Special Education excess costs consistent with current experience in county</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Description of all insurance the charter school will purchase, complete with annual cost</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
</tbody>
</table>

**Strengths:**

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<table>
<thead>
<tr>
<th>vii</th>
<th>Cash Flow Analysis</th>
<th>Evidence</th>
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<tbody>
<tr>
<td></td>
<td>Monthly projection of revenue receipts in line with state/federal funding disbursements</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Expenditures projected by month and correspond with typical/reasonable schedules</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
<tr>
<td></td>
<td>Show positive fund balance each month and/or identify sources of working capital</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
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</tbody>
</table>

**Strengths:**

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<table>
<thead>
<tr>
<th>viii</th>
<th>Long-term Plan</th>
<th>Evidence</th>
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<tbody>
<tr>
<td></td>
<td>Projects revenues and expenditures for at least three additional years (in addition to first year budget).</td>
<td>☐</td>
<td></td>
<td>Ap. 1</td>
</tr>
</tbody>
</table>
- Revenue assumptions based on reasonable potential growth in state and federal revenues
- Revenue assumptions based on reasonable student growth projections
- Expenditure projections are inflated by reasonable cost-of-living and inflation assumptions and school growth assumptions.
- Projected annual fund balances are positive or likely sources of working capital are identified to cover projected deficits.

**Strengths:**

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<table>
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<tr>
<th>District Impact Statement</th>
<th>Evidence Addressed in Petition</th>
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<tbody>
<tr>
<td>Does the charter include an impact statement?</td>
<td>□</td>
<td>153</td>
</tr>
<tr>
<td>Provides estimated numbers of students anticipated to enroll</td>
<td>□</td>
<td>Ap. 1</td>
</tr>
<tr>
<td>Identify whether or not will request district-owned facilities</td>
<td>□</td>
<td>152</td>
</tr>
</tbody>
</table>

**Strengths:**

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<table>
<thead>
<tr>
<th>Grade Level, Geographic and Site Limitations</th>
<th>Evidence Addressed in Petition</th>
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<tbody>
<tr>
<td>Does the Petition demonstrate that it will operate a single charter school within the geographic jurisdiction of the school district</td>
<td>□</td>
<td>152</td>
</tr>
<tr>
<td>If not, does the Petition demonstrate any basis for an exception</td>
<td>□</td>
<td>157</td>
</tr>
<tr>
<td>Does the Petition identify where the school will operate</td>
<td>□</td>
<td>157</td>
</tr>
<tr>
<td>Does the Petition demonstrate that it will not serve grade levels not served by this district unless it serves all of the grade levels</td>
<td>□</td>
<td>157</td>
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<tr>
<th>Annual Financial Report</th>
<th>Evidence Addressed in Petition</th>
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<tbody>
<tr>
<td>Does the Petition demonstrate that the charter school shall provide the authorizer with a copy of an annual financial report in a format prescribed by the State Superintendent of Public Instruction.</td>
<td>□</td>
<td>149</td>
</tr>
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<th>Facilities / Transportation</th>
<th>Evidence Addressed in Petition</th>
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<tr>
<td>Specify where school intends to be located and describes facilities to be used by the charter school.</td>
<td>□</td>
<td>152</td>
</tr>
<tr>
<td>Present a written agreement (a lease or similar document) indicating the charter school’s right to use the principal school site identified by the petitioners for at least the first year of the charter school’s operation and evidence that the facility will be adequate for the charter school’s needs. Not later than June 1, present a written agreement (or agreements) indicating the charter school’s right to use any ancillary facilities planned for use in the first year of operation.</td>
<td>□</td>
<td>152</td>
</tr>
<tr>
<td>Present evidence that the facility is located in an area properly zoned for operation of a school and has been cleared for student occupancy by all appropriate local authorities.</td>
<td>□</td>
<td>152</td>
</tr>
<tr>
<td>Transportation arrangements, if any. (Note that if charter schools provide transportation to and/or from school or while at school, they may be subject to state and federal laws governing vehicles, drivers, etc.)</td>
<td>□</td>
<td>152</td>
</tr>
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</table>
### Areas of Concern:

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<table>
<thead>
<tr>
<th>Insurance Coverage</th>
<th>Evidence</th>
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<tr>
<td>• Not later than June 1, (or such earlier time as charter school may employ individuals or acquire or lease property or facilities for which insurance would be customary), submit documentation of adequate insurance coverage, including liability insurance, which shall be based on the type and amount of insurance coverage maintained in similar settings.</td>
<td>☐</td>
<td></td>
<td>151</td>
</tr>
<tr>
<td>• Liability of district/county to handle payments if charter school defaults:</td>
<td>☐</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• for schools organized pursuant to Non-Profit Benefit Corporation Law</td>
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</tr>
<tr>
<td>• for schools not covered by Non-Profit Benefit Corporation Law</td>
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<td></td>
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<tr>
<td>• AB 1994</td>
<td></td>
<td></td>
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<tr>
<td>• General Assurances “Boiler Plate” Language</td>
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