Title IX Decision-Maker Training for K-12 Districts & COEs

Santa Clara County Office of Education
Title IX Decision-Maker Training
August 8, 2022

SESSION ONE

PRESENTED BY:
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Cerritos • Fresno • Irvine • Marin • Pasadena • Pleasanton • Riverside • Sacramento • San Diego
Agenda

• Definitions for Training
• Review Title IX Decision-Maker Role
• Review Final Investigative Report
• Facilitate Written Questions for the Parties
• Review Hypothetical “Cross-Examination” Questions
• Preparation for Session 2
Definitions for Training

- Complainant/Respondent
- Parties
- Witness
- Advisor
- Grievance Process
- Final Investigative Report
- Written Cross-Examination Questions
- Determination of Responsibility
Title IX Team: Decision-Maker

Decision-Maker Role:

- Reviews Final Investigative Report with “fresh eyes” to see if information is missing or incomplete
- Facilitates relevant written questions & “cross-examination” from parties for parties and witnesses; must be trained on issues of relevance
- Reviews all evidence, identifies the disputed issues, and weighs the evidence
Title IX Team: Decision-Maker

Decision-Maker Role:

– Makes conclusions about whether alleged conduct occurred and determines responsibility
– Prepares written determination with findings of fact, policy conclusions, and rationale for the result as to each allegation
– If applicable, recommends sanctions for Respondent and remedies for Complainant
– Provides written determination and appeal rights to the parties/advisors simultaneously
REVIEW FINAL INVESTIGATIVE REPORT
Review Final Investigative Report

• Review your Title IX Board Policies and/or Administrative Regulations
  – Look at the policies and regulations cited in the Final Investigative Report and the Notice of Allegations
  – Review your role as Decision-Maker, and determine the scope of your decision
    • Are you deciding if there is a preponderance of evidence to find quid pro quo sexual harassment, hostile environment sexual harassment, sexual assault, fondling, stalking, and/or dating violence, etc. under the Title IX administrative regulations? (Federal Law)
    • Are you deciding if there is a preponderance of evidence to find quid pro quo sexual harassment, hostile environment sexual harassment, sexual assault, and/or sexual battery, etc. under the Board Policies prohibiting sexual harassment? (State Law)
Review Final Investigative Report

• Read Final Investigative Report and Review Attachments
  – Take notes, and create a list of questions (if any)

• Calendar timelines to accommodate these phases:
  – Process to ask questions of Parties and exchange written “cross examination” questions between Parties or from the Parties to witnesses
  – Decision-Maker analyzes the evidence, writes the decision, and Title IX Coordinator, administrator or legal advisor reviews for thoroughness and readability
  – Deliver written decision to the Complainant, Respondent, Advisors (if any), and Title IX Coordinator with notice of appeal rights

• Plan and Schedule the Process with the Parties
  – If needed, seek help from Title IX Coordinator to schedule and plan logistics
Discuss *Hypothetical* Final Investigative Report

- Review Table of Contents for Roadmap
- Read the Notice of Allegations and Formal Complaint
- Tips for what to look for in the Investigative Report
  - Note the steps taken in the Title IX complaint process *for this matter* because that information will likely be “copied” into your decision
  - Note where Complainant or others describe an *impact on the educational environment* (e.g., how did the matter affect Complainant’s access to or actual education?)
  - Note where Complainant or others describe the *desired remedy* (e.g., what result does the Complainant want from the formal complaint?)
Discuss *Hypothetical* Final Investigative Report

• Tips for what to look for in the Investigative Report, continued:
  – Note the evidence from Complainant and Respondent
    • Pay attention to **timing** of statements (e.g., What’s in the NOA vs. the Formal Complaint? When Respondent know of allegations?)
    • Pay attention to **content** of statements (e.g., vague, offering too much or too little information, full or partial denial, conditional denial - “I would never”)
    • Pay attention to where they **disagree** about what happened
    • Pay attention to what makes one more **credible** than the other
      – Credible: The person offers reasonable grounds for being believed
      – You must articulate your credibility observations in a deliberate, systematic, and objective process (e.g., look at corroboration; consistency/inconsistency; admissions against interest; plausibility; motive to lie/falsify, etc.)
FACILITATE WRITTEN QUESTIONS BETWEEN PARTIES OR FOR WITNESSES
Written Questions Between Parties

Before making a decision about responsibility, the Decision-Maker must facilitate a question process:

The Decision-maker must afford each party the opportunity to submit written, relevant questions that a party wants asked of any party or witness, provide each party with the answers, and allow for additional, limited follow-up questions from each party. (34 CFR 106.45(b)(6)(ii).)

Purposes for the questions may include:

• The opportunity for the parties to seek information that may shed light on someone’s credibility

• The opportunity for the Decision-Makers to ask questions and observe the credibility of Complainant, Respondent and witnesses, since they did not conduct the investigation
Written Questions Between Parties

Requirements for Questions

• Questions must be relevant

• Questions and evidence about the Complainant’s sexual predisposition or prior sexual behavior are not relevant…
  – Unless such questions and evidence about Complainant’s prior sexual behavior are offered to prove that someone other than the Respondent committed the conduct alleged by the Complainant; or
  – If the questions and evidence concern specific incidents of Complainant’s prior sexual behavior with respect to the Respondent and are offered to prove consent.

• You must explain any decision to exclude a party’s question as irrelevant
Written Questions Between Parties

Definition of Relevance

• Having significant and demonstrable bearing on the matter at hand
• Affording evidence tending to prove or disprove the matter at issue or under discussion
• Synonyms: applicable, material, pertinent
  (Merriam-Webster)
• Legalistic definition of relevance:
  – That quality of evidence which renders it properly applicable in determining the truth and falsity of the matters at issue between the parties.
  (Black’s Law Dictionary, Sixth Edition)
Written Questions Between Parties

Guidelines for Questions

- Questions should not be repetitive
  - Ask the party to ask another question intended to elicit different information
- Questions should be clear
  - Ask the party to clarify the question
- Avoid compound questions
  - Ask the party to separate the questions
- Avoid questions with difficult words
  - Ask the party to rephrase the question
- Avoid argumentative questions
  - Ask the party to rephrase the question
REVIEW HYPOTHETICAL “CROSS EXAMINATION” QUESTIONS
PREPARATION FOR SESSION 2
Discussion of Homework

**Hypothetical** Investigative Report

- Weigh the evidence and determine by a preponderance of the evidence whether Respondent was flirty or friendly with Complainant before the August 21 incidents.

- If you find that Respondent was flirty, write factual findings to demonstrate the flirting.

- If you find the Respondent was friendly in a non-sexual way, write factual findings to demonstrate the non-sexual friendliness.

- Your factual findings should include who, what, where, when, why & how of what happened that was flirty and/or friendly.

- Explain why you made that finding; what was your rationale.

- **GOAL:** We may disagree, but did you adequately explain your rationale?
Discussion of Homework

*Hypothetical* Investigative Report

- Review the evidence surrounding the touching of the knee and determine if the touch was based on “sex.”

- Review the evidence related to Respondent touching the Complainant’s groin area and determine whether that touching occurred.

- If you find that Respondent touched Complainant’s groin, determine if the touch was sexual in nature *and* if Complainant permitted the touch *and* if the touch was for the purpose of sexual gratification.

- Write factual findings about the touching of the knee and whether or not the Respondent touched the Complainant’s groin for sexual gratification.

- Explain why you made that finding; what was your rationale.

- **GOAL:** We may disagree, but did you adequately explain your rationale?
Question & Answer Session

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