



Update on Categorical Program Monitoring

Santa Clara County Office of Education

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Categorical Program Monitoring (CPM) Update

- Basic Facts & Historical Perspective
- Old & New Selection Processes
- Analysis-based Cycle C Reviews
- Cycle D Developments
- Fall 2010 and Beyond
- California Accountability & Improvement System (CAIS)
- Your Redesign Recommendations



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Basic Facts

- Non-mandated on-site reviews suspended for one year from February 2009
<http://www.cde.ca.gov/ta/cr/cc/onsitecpmhalt.asp>
- LEAs continue to be responsible for maintaining compliant programs
- Uniform Complaint Procedures remain in effect
- Categorical flexibility provisions affected only some programs
<http://www.cde.ca.gov/nr/el/le/09ltr0225bdgt.asp>
- “Monitoring” and follow-up monitoring continue
- Increased SBE focus on resolving NC items



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Historical Perspective

- From inception of CPM in 2005-06 until 2008-09, each LEA in California:
 - was assigned to one of four CPM monitoring cycles: Cycle A, B, C or D
 - was screened in a selection process for an on-site review once every four years
 - could be selected for a CDE on-site review only once every four years



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Previous Selection Process

- About one-quarter of all LEAs were screened annually using primarily Academic Performance Index (API) data and compliance status/history (EC§64001[b])
- LEAs with API scores of 800 or above at the LEA-level, across multiple student subgroups, and across multiple school years, were screened out of the candidate pool for an on-site review
- Fiscal factors were not used to determine LEA placement in the candidate pool for an on-site CPM monitoring visit



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New Selection Process

- Analysis-based selection process
- Academic factors tied to several accountability systems
- Fiscal analysis tied to categorical funds received and used by LEAs
- Compliance status/history and Single Audit findings will also be considered



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Analysis-Based 2010 Cycle C Reviews

- 2010 Cycle C notification letter (12/9/09) to selected eleven LEAs available at:
<http://www.cde.ca.gov/ta/cr/cc/documents/cpms2010.pdf>
- On-site reviews being conducted late January through March 2010
- All eleven LEAs are utilizing CAIS as part of 2010 Cycle C monitoring
- In addition to 2010 Cycle C reviews, a modified review of the DJJ (NorD + related CP) is taking place (Jan-March)



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Analysis-Based 2010 Cycle C Reviews

- Selection of 2010 Cycle C LEAs for on-site reviews was based on analysis of academic and fiscal factors
- Academic factors used included:
 - API (from selection process used for 08-09)
 - status with Titles I-III accountability systems (PI \geq yr 3 & Title II (C) & Title III \geq yr 4)
- Fiscal factors used included:
 - Categorical funds carryover percentage
 - Categorical funds (including ARRA) per pupil allocation
- Other factors (compliance status/history, audit findings were also considered)



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Analysis-Based 2010 Cycle C Reviews

- Programs and funds being reviewed in 2010 Cycle C monitoring:
 - Title I: Parts A (Basic/CE/PI) , C (Migrant), D (NorD)
 - English Learners (including Title III)
 - Title II (ITQ)
 - Title X Part C (Homeless)
 - EIA (SCE&LEP) and Title I ARRA funds
- Audits & Investigations Division's scheduled to join CPM in Cycle D
- Other than these key changes, review will be similar to previous CPM process



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Cycle D Monitoring

- Last cohort of 4-year cycle
- About 20 LEAs to be selected for on-site reviews based on analysis of academic and fiscal factors (notification in late Feb.)
- On-site reviews will begin April 2010
- Balance of 255 (about 235) LEAs will upload to CAIS (scope [e.g. programs, items, schools] TBD)
- CAIS trainings (multiple dates and format) will be provided (dates TBD)
- LEAs and CDE will collaborate to better identify what to upload into CAIS



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Cycle D Monitoring

- All CPM programs and items will be reviewed
- Related ARRA funds will also be reviewed (scope TBD)
- OPSET no longer needed (CAIS will subsume its function)
- No more separate Cross Program Instrument



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Cycle D Monitoring

- Academic & fiscal factors to select LEAs for on-site reviews will build upon those used for 2010 Cycle C reviews, such as (TBD):
 - Academic achievement:
 - API: < 800 in any category; AND
 - PI \geq yr 3 & Title II (C) & Title III \geq yr 4; OR
 - Fiscal:
 - Top 1/4 (1/3?) of LEAs in PPA and carryover % in some or all of CPM programs and funds
 - For A&I review (scope TBD), prioritize by audit findings and/or largest total allocation of funds of CPM programs



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CPM Redesign In Progress

- The use of CAIS will be expanded (scope TBD)
- LEAs will be selected for on-site reviews based on analysis of academic and fiscal factors (TBD)
- No OPSET
- No separate Cross Program Instrument



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CPM Redesign In Progress

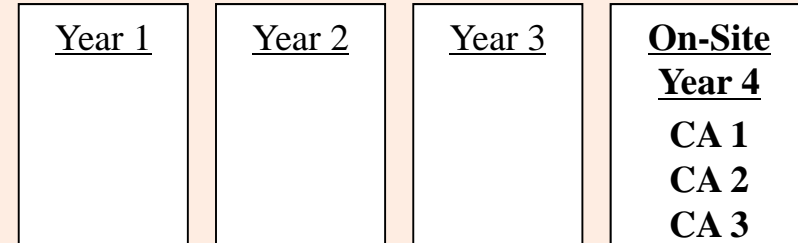
- Number of years in monitoring Cycle TBD
- Each LEA may have a designated year for possible on-site review (follow-up on-site reviews or audits as needed)
- Compliance findings will be based on:
 - Review of e-documents (CAIS)
 - On-site reviews (during on-site review year)
 - Combination of e-documents and on-site reviews (during on-site review year)
- Demonstration of compliance with requirements that fall in multiple years may be done through CAIS



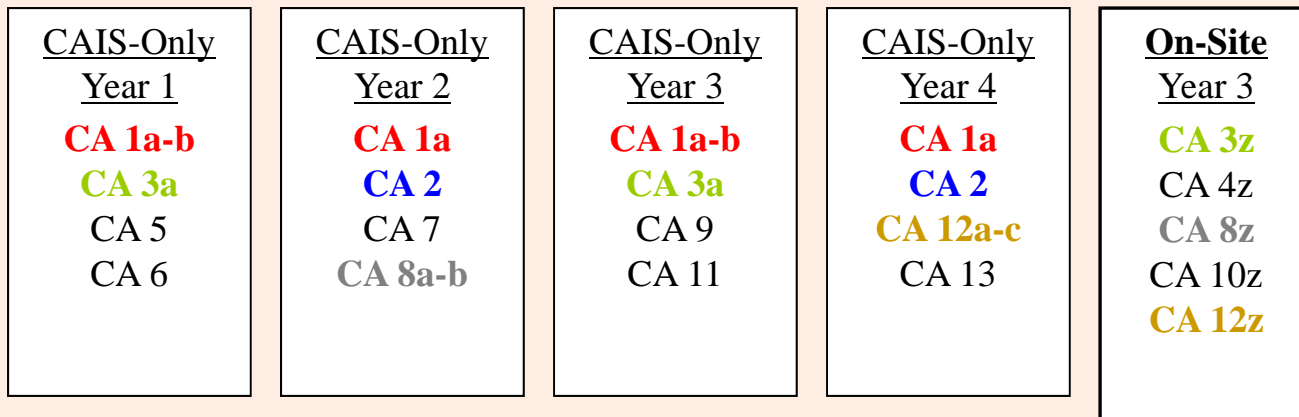
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CPM Redesign In Progress

Previous Model →



Possible Scenario/New Model ↓





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CPM Redesign In Progress

- Lessons learned from 2010 Cycles C & D will inform redesign effort
- Multiple CPM redesign update and input forums and webinar(s) will be held (dates TBD)
- CPM redesign input mailbox:
cpmredesign@cde.ca.gov



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CA Accountability & Improvement System (CAIS)

- Developed in collaboration with the CACC at WestEd
- Similar systems used in other states and have received positive feedback by ED
- On-line system:
 - Storage and tracking of compliance evidence
 - Compliance management tool
 - Communication tool
- No more “boxes” of compliance evidence (but non-electronic evidence may still be provided in hard copy as needed)



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CA Accountability & Improvement System (CAIS)

- Supports and facilitates an LEA's ongoing monitoring of programs
- Capacity to link to other (non-CPM) accountability systems at the CDE
- Reduces duplication and redundancy
- Facilitates consistency
- Engages stakeholders and fosters collaboration
- Offers opportunity for targeted technical assistance



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For More Information

- CPM Office
 - Telephone: 916-319-0935
 - CPM web site:
<http://www.cde.ca.gov/ta/cr/cc/>
 - Analysis-based Cycle C web site:
<http://www.cde.ca.gov/ta/cr/cc/cpmredesign.asp>
- Audits and Investigations Division
 - Telephone: 916-322-2288
- ARRA website
 - www.cde.ca.gov/ar/